

135. Mappings and Pseudo-compact Spaces

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Let f be a mapping of a topological space X onto another topological space Y : then, by Whyburn [1, 2], Stone [3], Morita [4-6], Hanai [6-8], McDougale [9, 10] and others, it is known that some properties of f , for instance closedness, openness and quasi-compactness, give the interest relations between X and Y .

In this paper, we shall first prove that a space X is pseudo-compact if and only if any continuous mapping of X onto a weakly separable T_2 -space is always a P_0 -mapping. Next we shall show, for a continuous mapping f of a pseudo-compact space X onto a weakly separable T_2 -space, that 1) f is quasi-compact if and only if $f(\mathfrak{B}U) = \mathfrak{B}f(U)$ for any open inverse subset U where $\mathfrak{B}U$ denotes the boundary of U , and 2) if $\mathfrak{B}f^{-1}(y)$ is compact for every $y \in Y$ and X is locally compact, then f is always closed and Y is locally compact. Finally we give some characterization of compact spaces.

In the following, we assume that any mapping is always continuous. Let f be a mapping of X onto Y where X and Y are topological spaces; f is a P_1 (or P_0)-mapping provided that whenever $y \in Y$ and U is any neighborhood of $f^{-1}(y)$, $y \in \text{Int } f(U)$ (or $y \in \text{Int } f(\bar{U})$). f is a P_2 -mapping if for each $y \in Y$, there is a compact subset C of $f^{-1}(y)$ such that $\text{Int } f(U) \ni y$ for every open subset U containing C (the definitions of both P_1 and P_2 -mappings are due to McDougale [9]). f is called to have a *compact trace property* [2] if any point y of Y is interior of the image of some compact subset of X . The following implications are obvious: (*open* $\rightarrow P_1$), (*closed* $\rightarrow P_1 \rightarrow$ *quasi-compact*) and ($P_2 \rightarrow P_1 \rightarrow P_0$).

1. **Characterizations of pseudo-compact spaces.** The following lemma is useful.

Lemma 1. *Let f be a mapping of a topological space X onto a T_2 -space Y . If $\{y_n\} \rightarrow y$ in Y and x_n is any point contained in $f^{-1}(y_n)$, then $\overline{\{x_n\}} - \{x_n\} \ni x$ implies $f(x) = y$.*

Theorem 1. *The following conditions are equivalent for a complete regular T_1 -space X ;*

- 1) X is pseudo-compact.
- 2) Any mapping of X onto a weakly separable T_2 -space is P_0 .
- 3) If f is a mapping of X onto a weakly separable T_2 -space Y , then

$\overline{f(\overline{U})} - f(U) \subset f(\mathfrak{B}U)$ for any open subset U of X .

4) Under the same assumption as in (3), $\overline{f(\overline{U})} = f(\overline{U})$ for any open subset U of X .

5) Under the same condition as in (3), if a regular open subset U of X contains $f^{-1}(y)$, then $\text{Int } f(U) \ni y$.

Proof. (1→2) Let $y \in Y$ and U be any open set containing $f^{-1}(y)$.

Suppose that $\text{Int } f(\overline{U}) \not\ni y$. Since U contains $f^{-1}(y)$, there is a sequence $\{y_n\}$ in $Y - f(\overline{U})$ which converges to y . If $f^{-1}(y_n) - \overline{U} \ni x_n$, $\{x_n\}$ has no cluster points. For if x is a cluster point of $\{x_n\}$, $U \supset f^{-1}(y)$ implies $f^{-1}(y) \ni x$ and hence $\{f(x_n) (= y_n)\}$ converges to $f(x) (= y)$ which is impossible by Lemma 1. Let $\{V_n\}$ be a base of neighborhoods at the point y such that $V_n \supset \overline{V_{n+1}}$ and $V_n \ni y_m$ for all $m \geq n$. Since $\{x_n\}$ is closed and X is regular, we can select a family $\{U_n\}$ of open sets such that $U_n \ni x_n$, $\overline{U_n} \cap \overline{U_m} = \emptyset$ ($n \neq m$), $\overline{U_n} \cap \overline{U} = \emptyset$ and $f(\overline{U_n}) \subset V_n$. Then the pseudo-compactness of X implies that $\{U_n\}$ is not locally finite (for instance, see [11]). Hence there is at least one point c in $(\bigcup_{n=1}^{\infty} \overline{U_n}) - \bigcup_{n=1}^{\infty} U_n$. By the method of construction of $\{U_n\}$, we have $f(c) = y$ which contradicts the fact that U is an open set containing $f^{-1}(y)$. This shows that $f^{-1}(y_n) \subset \overline{U}$ and hence $y_n \in f(\overline{U})$ for every n . Thus y must be an interior point of $f(\overline{U})$.

(1→3) Suppose that $y \in \overline{f(\overline{U})} - f(U)$ and $f^{-1}(y) \subset X - \overline{U}$. Let $\{y_n\}$ be a sequence in $f(U)$, which converges to y and let $x_n \in f^{-1}(y_n) \cap U$. We select a base $\{V_n\}$ of neighborhoods at y such that $V_n \supset \overline{V_{n+1}}$. Then $\{x_n\}$ is closed because if $\overline{\{x_n\}} - \{x_n\} \ni c$, then $\overline{U} \ni c$ and $f(c) = y$, that is, $f^{-1}(y) \cap \overline{U} \neq \emptyset$ which is a contradiction. Let U_n be an open set containing x_n such that $\overline{U_n} \subset U \cap f^{-1}(V_n)$ and $\overline{U_n} \cap \overline{U_m} = \emptyset$ ($n \neq m$). Since X is pseudo-compact, $\{U_n\}$ is not locally finite and hence there is a point c in $\overline{\{U_n\}} - \{U_n\}$. By the method of construction of $\{U_n\}$, $f(c) \in V_n$ for every n , and hence $f(c) = y$. On the other hand, the assumption that $f^{-1}(y) \subset X - \overline{U}$ implies $c \notin f^{-1}(y)$. This is a contradiction. Thus we have $\overline{f(\overline{U})} - f(U) \subset f(\overline{U} - U) = f(\mathfrak{B}U)$.

(1→4) This follows from (1→3) and the fact that $f(\overline{U}) \subset \overline{f(\overline{U})}$.

(1→5) Suppose that $\text{Int } f(U) \not\ni y$. Then there is a sequence $\{y_n\}$ in $Y - f(U)$ which converges to y . As in the proof of (1→2), $f^{-1}(y_n) \subset \overline{U} - U$, because $f^{-1}(y_n) \cap U = \emptyset$ for every n . If a point $x_n \in f^{-1}(y_n)$, except with finitely many n , has a neighborhood U_n such that $U_n \cap (X - \overline{U}) \neq \emptyset$, then $\{U_n \cap (X - \overline{U})\}$ has no cluster points by Lemma 1. But this shows that $\{U_n \cap (X - \overline{U})\}$ is locally finite which contradicts the

pseudo-compactness of X . Thus all points in $f^{-1}(y_n)$, except with finitely many n , have neighborhoods contained in U . This is impossible since U is a regular open subset of X .

(*Proofs of reverse implications*). Suppose that X is not pseudo-compact. Then there is a locally finite family $\{U_n\}$ of disjoint open sets of X . Let f be a non-negative continuous function such that $f\left(X - \bigcup_{n=1}^{\infty} U_n\right) = 0$, $f(U_n) \leq n$ and $f(x_n) = n$ for some point $x_n \in U_n$. We construct a space Y , from $Z = f(X)$, with the following topology: a neighborhood U_n of the point 1 is the union of a neighborhood V_n of the point 1 in Z and a set $\{x; f(x) > n\}$ where $V_n = \{z; |z - 1| < 1/n\}$. Other points have the same neighborhoods as ones in Z . Let h be the identical mapping of Z onto Y . Then $g = hf$ is a mapping of X onto a weakly separable space Y .

Let $U = \{x; x \in X, f(x) < 2\}$ and $V = \text{Int}(\bar{U})$. It is obvious that U is an open inverse set and V is a regular open subset containing $g^{-1}(1)$. By the methods of construction of Y , $g(U)$ does not contain the point 1 as an inner point. This shows that g is not P_0 which proves $(2 \rightarrow 1)$. On the other hand, $V \supset g^{-1}(1)$ but $\text{Int } g(V)$ does not the point 1 as an inner point. This shows that $(5 \rightarrow 1)$. As similarly, it is easy to see that $(3 \rightarrow 1)$ and $(4 \rightarrow 1)$.

2. Quasi-compact mappings and closed mappings. First we shall prove the following

Theorem 2. *Let f be a mapping of a pseudo-compact, completely regular T_1 -space X onto a weakly separable T_2 -space Y . f is quasi-compact if and only if $f(\mathfrak{B}U) = \mathfrak{B}f(U)$ for any open inverse subset of X .*

Proof. Suppose that f is quasi-compact and U is an open inverse set of X . By Theorem 1 and the openness of $f(U)$, we have $\mathfrak{B}f(U) = \overline{f(\bar{U})} - f(U) \subset f(\mathfrak{B}U)$. On the other hand, $f(\bar{U}) \subset \overline{f(\bar{U})}$ and hence $f(\bar{U}) - f(U) \subset \overline{f(\bar{U})} - f(U)$. Since U is an open inverse set, $f(\bar{U}) = f(\mathfrak{B}U) \cup f(U)$, $f(\mathfrak{B}U) \cap f(U) = \theta$. Thus we have $f(\mathfrak{B}U) \subset \mathfrak{B}f(U)$ and hence we have $f(\mathfrak{B}U) = \mathfrak{B}f(U)$.

Conversely suppose that $f(\mathfrak{B}U) = \mathfrak{B}f(U)$ for any open inverse set U . Since U is an open inverse set, $\bar{U} = U \cup \mathfrak{B}U$, $U \cap \mathfrak{B}U = \theta$ and $f(U) \cap f(\mathfrak{B}U) = \theta$. Thus $f(\bar{U})$ is a union of two disjoint sets $f(U)$ and $f(\mathfrak{B}U)$. On the other hand, $\overline{f(\bar{U})} = \mathfrak{B}f(U) \cup \text{Int } f(U)$, $\mathfrak{B}f(U) \cap \text{Int } f(U) = \theta$. By Theorem 1, we have $f(\bar{U}) = \overline{f(\bar{U})}$, and hence $f(U) = f(\bar{U}) - f(\mathfrak{B}U) = \overline{f(\bar{U})} - f(\mathfrak{B}U) = \overline{f(\bar{U})} - \mathfrak{B}f(U) = \text{Int } f(U)$. This shows that $f(U)$ is open.

In §1 we proved that any mapping of a pseudo-compact space onto

a weakly separable T_2 -space is always a P_0 -mapping. If X is not countably compact, then there is a mapping which is not closed (see §3 below or [11, Theorem 3]). K. Morita has proved that a quasi-compact mapping f of a semi-compact T_2 -space X onto a T_2 -space Y is closed if $f^{-1}(y)$ is connected and $\mathfrak{B}f^{-1}(y)$ is compact for every $y \in Y$ [5, Theorem 1 and Remarks]. McDougale [10, Lemma 2] has proved that if a mapping f of a topological space X onto a T_1 -space Y is P_1 and if $\mathfrak{B}f^{-1}(y)$ is compact for each point $y \in Y$, then f is P_2 . Whyburn [2] obtained the result that if X and Y are locally compact separable metric spaces, then $f(X)=Y$ has the property P_2 if and only if every compact set E in Y has a compact trace F , that is, $f(F)=E$. The proof of this result contains the following results: 1) if X is locally compact and $f(X)=Y$ is P_2 , then any compact set in Y has a compact trace, and 2) if Y is locally compact and $f(X)=Y$ has the property such that any compact set in Y has a compact trace, then f is P_2 . For a pseudo-compact space, we have the following

Theorem 3. *Let X be a pseudo-compact completely regular T_1 -space and let f be a mapping of X onto a weakly separable T_2 -space Y . Suppose that $\mathfrak{B}f^{-1}(y)$ is compact for every point $y \in Y$. Then, 1) f is always closed, and 2) if X is locally compact, then Y is locally compact and f is P_2 and moreover f has the compact trace property.*

Proof. 1) Let F be a closed subset of X and $y \in \overline{f(F)} - f(F)$. Then there is a sequence $\{y_n\}$ in $f(F)$, which converges to y . Let $x_n \in f^{-1}(y_n) \cap F$. Since $X - F$ contains $f^{-1}(y)$, $\{x_n\}$ is closed by Lemma 1. Since $\mathfrak{B}f^{-1}(y)$ is compact, there are disjoint open sets U and V such that $U \supset f^{-1}(y)$ and $V \supset F$. Let $\{V_n\}$ be a family of open sets such that $V_n \ni x_n$, $V \supset V_n$, $f(V_n) \subset W_n$ and $\overline{V_n} \cap \overline{V_m} = \emptyset$ ($n \neq m$) where $\{W_n\}$ is a base of neighborhoods at y . Since X is pseudo-compact, $\{V_n\}$ is not locally finite and hence $\{\overline{V_n}\} - \{V_n\}$ contains a point c . It is easy to see that $f(c) = y$. But $c \notin f^{-1}(y)$ because $(\bigcup \overline{V_n}) \subset \overline{V} \subset X - U$. Thus f must be closed.

2) Let y be a point of Y . If $\mathfrak{B}f^{-1}(y) = \emptyset$, $f^{-1}(y)$ is open and closed. This leads that the point y is an isolated point in Y by the pseudo-compactness and weak separability of Y . Thus we lose no generality by assuming that $\mathfrak{B}f^{-1}(y)$ is not empty. (In the following proof, the pseudo-compactness of X and the weak separability of Y are not used and we use only a local compactness of X and a P_1 -property of mapping.) To prove the theorem, it suffices to show that Y is locally compact. Since $\mathfrak{B}f^{-1}(y)$ is compact, we can select an open covering $\{U_1, \dots, U_n\}$ of $\mathfrak{B}f^{-1}(y)$ such that $\overline{U_i}$ is compact. Thus we have that $A = \text{Int} \left(\bigcup_{i=1}^n U_i \right) \supset \text{Int} (f^{-1}(y)) \ni y$. Since f is P_1 and $f(A)$

$\subset f\left(\bigcup_{i=1}^n U_i\right)$, we have that $y \in \text{Int } f(A) \subset \text{Int } f\left(\bigcup_{i=1}^n U_i\right) \subset \text{Int } f\left(\bigcup_{i=1}^n \bar{U}_i\right)$.

This shows that y has an arbitrary small compact neighborhoods. Thus Y is locally compact.

3. Countably compact spaces and compact spaces. In §1 we obtained a characterization of pseudo-compact spaces. In this section, we shall consider, in the same direction as in §1, a characterization of compact spaces. For countably compact spaces, we have obtained the following theorem [11, Theorem 3]:

a completely regular T_1 -space X is countably compact if and only if any mapping of X onto a weakly separable T_2 -space is always closed.

For compact spaces we have

Theorem 4. *The following conditions are equivalent for a completely regular T_1 -space X :*

- 1) X is compact;
- 2) any mapping of X onto a weakly separable T_2 -space Y is compact;
- 3) any mapping of X onto a completely regular T_1 -space is P_0 ;
- 4) any mapping of X onto a completely regular T_1 -space is quasi-compact.

Proof. (1→2) and (1→4→3) are obvious.

(2→1) Suppose that X is not compact, that is, there is a point x^* in $\beta X - X$. Let f be a continuous function on βX which vanishes on some neighborhood of x^* . If we put $h = f|X$, then $h^{-1}(0)$ is not compact.

(3→1) Suppose that X is not compact and Y is a space obtained from $X \setminus \{x^*\}$ by contracting x^* to a fixed point x in X where $x^* \in \beta X - X$. Then Y is a one-to-one continuous image of X , we denote by f this mapping. Let U be an open set, in X , containing x such that \bar{U} (in βX) $\not\ni x^*$. It is obvious that $\text{Int } f(U) \not\ni x$. This shows that f is not P_0 .

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