

55. A Note on Hasse-Witt Matrices of Algebraic Curves of Positive Characteristic p

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1. Let A be an Abelian variety over a field k of positive characteristic p and $k(A)$ be the field of rational functions on A over k . Let A operate on $k(A)$ as follows:

$$(af)(x) = f(a^{-1}x) \quad (f \in k(A); a, x \in A).$$

A derivation D of $k(A)/k$ is called an invariant derivation if $a \circ D \circ a^{-1} = D$ ($a \in A$). It is known that the module of invariant derivations is a k -vector space $\mathfrak{M}(A)$ of the same dimension of A over k and for any $D \in \mathfrak{M}(A)$ D^p is also an invariant derivation. The dual space $(\mathfrak{M})^*(A)$ is the vector space of all invariant 1-differentials of $k(A)/k$. Let $\{D_1, \dots, D_n\}$ be a k -base of $\mathfrak{M}(A)$ and $\{\omega_1, \dots, \omega_n\}$ be the dual k -base of $\mathfrak{M}^*(A)$ with respect to $\{D_1, \dots, D_n\}$, i.e. $\{\omega_1, \dots, \omega_n\}$ is a k -base of $\mathfrak{M}^*(A)$ such that

$$\omega_i(D_j) = \begin{cases} 1, & (i=j) \\ 0, & (i \neq j). \end{cases}$$

Then $\omega_i(D_j^p)$ ($1 \leq i, j \leq n$) are elements in k . We shall call the square matrix $(\omega_i(D_j^p))$ the Hasse-Witt matrix of A with respect to the base $\{D_1, \dots, D_n\}$.

In the present note we shall notice that, if J is a Jacobian variety of an algebraic curve Γ , the Hasse-Witt matrix is nothing else than a Hasse-Witt matrix of Γ introduced by Hasse and Witt.¹⁾

2. We shall first recall the definition of a Hasse-Witt matrix of an algebraic curve. Let Γ be a non-singular complete curve defined over a field k of characteristic $p (> 0)$ and g be the genus of Γ . For the sake of simplicity we may assume that k is algebraically closed. Let $P_1 + \dots + P_g$ be a non-special divisor of degree g on Γ and t_1, \dots, t_g be local parameters at P_1, \dots, P_g , respectively, and $\{\omega_1, \dots, \omega_g\}$ be a base of k -vector space of all differentials of 1st kind on Γ . Let $\omega_i = (\sum_{\nu} a_{ij}^{(\nu)} t_j^{\nu}) dt_j$ be the t_j -expansion of ω_i ($1 \leq i, j \leq g$), and $B^{(\nu)}$ be the matrix of which (i, j) -element is $a_{ij}^{(\nu)}$ ($\nu = 0, 1, 2, \dots$). Then Hasse and Witt defined the Hasse-Witt matrix H_{Γ} of Γ by $B^{(0)-1} B^{(p-1)}$.

We shall choose local parameters t_1, \dots, t_g respectively at P_1, \dots, P_g such that

1) See [1].

$$(1) \quad t_i \equiv 1 \pmod{P_j (i \neq j)}.$$

By Riemann-Roch Theorem such a system of local parameters always exists. We denote by $\Gamma = \Gamma_1, \Gamma_2, \dots, \Gamma_g$ the copies of Γ and by $k(\Gamma_i)$ the field of rational functions on Γ_i over k , where $k(\Gamma_1), \dots, k(\Gamma_g)$ are independent over k . We denote by σ_i the canonical isomorphism of Γ onto Γ_i and write simply $t_j^{(i)}$ instead of $\sigma_i(t_j)$. We denote by J the Jacobian variety of Γ and denote by φ the canonical mapping of Γ into J . We denote by the same notation φ the mapping of $\Gamma_1 \times \dots \times \Gamma_g$ into J defined by $\varphi(\sigma_1(Q) \times \dots \times \sigma_g(Q_g)) = \sum_{i=1}^g \varphi(Q_i)$. Then the field of rational functions $k(\Gamma_1 \times \dots \times \Gamma_g)$ on $\Gamma_1 \times \dots \times \Gamma_g$ is a finite separable normal algebraic extension of the field of rational functions $k(J)$ on J whose galois group is induced by the permutations of the factors $\Gamma_1, \dots, \Gamma_g$. Namely $k(J)$ is the subfield of $k(\Gamma_1 \times \dots \times \Gamma_g)$ consisting of all f such that $f(\sigma_1(Q_1) \times \dots \times \sigma_g(Q_g)) = f(\sigma_1(Q_{i_1}), \dots, \sigma_g(Q_{i_g}))$ for every permutation $(\begin{smallmatrix} 1, 2, \dots, g \\ i_1, i_2, \dots, i_g \end{smallmatrix})$. Since every derivation of $k(J)$ is uniquely extended to a derivation of $k(\Gamma_1 \times \dots \times \Gamma_g)$, we shall use same notations for restricted derivations and extended derivations. Since $\Gamma_1 \times \dots \times \Gamma_g$ and J are non-singular and φ is regular, the image $\varphi^*(\Omega)$ of an invariant 1-differential ω on J is a 1-differential of 1st kind on $\Gamma_1 \times \dots \times \Gamma_g$. Such a 1-differential of 1st kind $\Omega' = \varphi^*(\Omega)$ is characterized by the invariantness for permutations of indices:

$$\Omega'(\sigma_1(Q_1), \dots, \sigma_g(Q_g)) = \Omega'(\sigma_1(Q_{i_1}), \dots, \sigma_g(Q_{i_g})).$$

Namely Ω' is the image of an invariant 1-differential on J if and only if $\omega' = \sum_{\nu=1}^g \sigma_\nu(\omega)$ with a differential ω of 1st kind on Γ . Moreover φ^* is a monomorphism of the module of invariant differentials on J into the module of differentials on $\Gamma_1 \times \dots \times \Gamma_g$. In the following we shall identify Ω with $\varphi^*(\Omega)$.

Let $\{\omega_1, \omega_2, \dots, \omega_g\}$ be a k -base of the module of all the differentials of 1st kind on Γ and put

$$(2) \quad \begin{aligned} \Omega_i &= \sum_{\nu=1}^g \sigma_\nu(\omega_i), \\ s_j &= t_j^{(j)}, \quad (1 \leq i, j \leq g). \end{aligned}$$

Since the module of all the invariant 1-differentials is the dual module of all the invariant derivations, there exists a k -base $\{D^{(1)}, \dots, D^{(g)}\}$ of invariant derivations such that $\Omega_i(D^{(j)}) = 1$ ($i=j$), 0 ($i \neq j$), where $\{D^{(j)}\}$ are considered as extended derivations of $k(\Gamma_1 \times \dots \times \Gamma_g)$ induced by derivations $\{D^{(i)}\}$ of $k(J)$.

3. We shall show that the k -matrix $(\Omega_i(D^{(j)p}))$ is the Hasse-Witt matrix of the algebraic curve. We denote by

$$(3) \quad \omega_i = \left(\sum_{\nu=0}^{\infty} a_{i\nu}^{(\nu)} t_j^\nu \right) dt_j$$

the t_j -expansion of ω_i ($1 \leq i, j \leq g$). Then we have

$$(4) \quad \Omega_i = \left(\sum_{j=1}^g \alpha_{ij}^{(\nu)} t_j^{(\nu)} \right) dt_j^{(\nu)} = \left(\sum_{j=1}^g \alpha_{ij}^{(\nu)} s_j^\nu \right) ds_j, \quad (1 \leq i \leq g).$$

Since $\{s_1, \dots, s_g\}$ is a system of uniformizing parameters of $\Gamma_1 \times \dots \times \Gamma_g$ at $P_1 \times P_2 \times \dots \times P_g$, there exists a base $\{D_{s_1}, \dots, D_{s_g}\}$ of the space of all the derivations of $k(\Gamma_1 \times \dots \times \Gamma_g)/k$ such that

$$(5) \quad D_{s_i}(s_j) = \delta_{ij}, \quad (1 \leq i, j \leq g).$$

We put

$$(6) \quad \alpha_{ij}(s_j) = \sum_{\nu=0}^{\infty} \alpha_{ij}^{(\nu)} s_j^\nu, \quad (1 \leq i, j \leq g),$$

and

$$(7) \quad D^{(j)} = \sum_{i=1}^g \beta_{ij}(s) D_{s_i}, \quad (1 \leq j \leq g).$$

Then, since

$$(8) \quad \Omega_i = \sum_{l=1}^g \alpha_{il}(s_l) ds_l, \quad (1 \leq i \leq g),$$

we have

$$\Omega_i(D^{(j)}) = \left(\sum_{l=1}^g \alpha_{il} ds_l \right) \left(\sum_{i=1}^g \beta_{ij} D_{s_i} \right) = \sum_{i=1}^g \alpha_{il} \beta_{ij} = \begin{cases} 1 & (i=j) \\ 0 & (i \neq j) \end{cases}.$$

This shows (β_{ij}) is the inverse matrix of (α_{ij}) . Hence we have

$$(9) \quad D_{s_i} = \sum_{l=1}^g \alpha_{il}(s_l) D^{(l)}, \quad (1 \leq i \leq g).$$

We shall first prove

$$(10) \quad D_{s_j}^p = \sum_{i=1}^g D_{s_j}^{p-1}(\alpha_{ij}) D^{(i)} + \sum_{i=1}^g \alpha_{ij}^p D^{(i)p}, \quad (1 \leq j \leq g).$$

Since $D_{s_j}^p$ is also a derivations, $D_{s_j}^p = \left(\sum_{i=1}^g \alpha_{ji}(s_i) D^{(i)} \right)^p$ is a linear combination of $D^{(h)}$ and $D^{(i)p}$ with coefficients in $k(\Gamma_1 \times \dots \times \Gamma_g)$ and the other terms $D^{(i_1)} \dots D^{(i_r)}$ ($2 \leq r \leq p-1$) disappear in the expansion. By virtue of (6) α_{ij} is a function only on s_j , hence the coefficients of $D^{(h)}$ and $D^{(i)p}$ in $D_{s_i}^p$ are respectively $D_{s_j}^{p-1}(\alpha_{ij})$ and α_{ij}^p .

This proves (10).

Secondly we shall notice:

$$(11) \quad D_{s_j}^p = 0, \quad (1 \leq j \leq g),$$

since s_1, \dots, s_g are independent elements in $k(\Gamma_1 \times \dots \times \Gamma_g)$.

Hence from (10) we have

$$(12) \quad - \sum_{h=1}^g D_{s_j}^{p-1}(\alpha_{hj}) D^{(h)} = \sum_{h=1}^g \alpha_{hj}^p D^{(h)p}, \quad (1 \leq j \leq g).$$

Operating Ω_i on the both sides of (12), we have

$$- \sum_{h=1}^g D_{s_j}^{p-1}(\alpha_{hj}) \Omega_i(D^{(h)}) = \sum_{h=1}^g \alpha_{hj}^p \Omega_i(D^{(h)p})$$

and

$$(13) \quad - D_{s_j}^{p-1}(\alpha_{ij}) = \sum_{h=1}^g \alpha_{hj}^p \Omega_i(D^{(h)p}), \quad (1 \leq i, j \leq g).$$

Hence from (6), comparing the constant terms of the both sides of (13), we have

$$(14) \quad -(p-1)! a_{ij}^{(p-1)} = \sum_{h=1}^g a_{hj}^{(0)p} \Omega_i(D^{(h)p}) \quad (1 \leq i, j \leq g).$$

Since $(p-1)! \equiv -1 \pmod{p}$, (14) shows

$$(\Omega_i(D^{(j)p})) = A^{(p-1)}(A^{(0)\mathbb{P}})^{-1} = A^{(0)} A^{(0)-1} A^{(p-1)}(A^{(0)\mathbb{P}}),$$

where $A^{(0)\mathbb{P}}$ means the matrix whose (i, j) -element is $a_{ij}^{(0)p}$. This shows that $(\Omega_i(D^{(j)p}))$ is the Hasse-Witt matrix of Γ .

Reference

- [1] H. Hasse und E. Witt: Zyklische unverzweigte Erweiterungskörper von Primzahlgrade p über einem algebraischen Funktionenkörper der Charakteristik p , Mh. Math. Phys., **43**, 477-492 (1936).