

## 145. On the Class Number of an Absolutely Cyclic Number Field of Prime Degree

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Let  $K$  be a cyclic extension of odd prime degree  $p$  over  $\mathcal{Q}$ , and suppose that 2 is a primitive root mod  $p$ .  $p$  may be, for example, 3, 5, 11, 13, 19 or 29. We shall prove that the class number  $h$  of  $K$  is even, if and only if a cyclotomic unit  $\eta$  of  $K$  is either totally positive or totally negative, i.e.  $|\eta|$  is totally positive. We shall also show that  $|\eta|$  is not totally positive, if the discriminant of  $K$  is a power of prime. Hence, in such a case, we can conclude that the class number  $h$  of  $K$  is odd.

### §1. On cyclotomic units.

In order to prove our results, we first recollect some properties of cyclotomic units, which are described in [3] with thorough proofs.

Let  $K$  be a cyclic extension of odd prime degree  $p$  over  $\mathcal{Q}$ . Then, it is well known that  $K$  is cyclotomic, that is,  $K$  is contained in  $\mathcal{Q}_m = \mathcal{Q}(\zeta_m)$  for some  $m$ . Here, and in what follows,  $\zeta_m$  denotes

$$\cos \frac{2\pi}{m} + i \sin \frac{2\pi}{m}.$$

Let  $f$  be the greatest common divisor of  $m$ 's such that  $\mathcal{Q}_m \supset K$ . Then,  $K$  is contained in  $\mathcal{Q}_f$ . Note that a prime number is ramified in  $K$ , if and only if it divides  $f$ . For any integer  $a$  which is prime to  $f$ , we define the element  $i(a)$  of the Galois group  $G(\mathcal{Q}_f/\mathcal{Q})$  by

$$\zeta_f^{i(a)} = \zeta_f^a.$$

Then the map

$$a \mapsto i(a)$$

induces an isomorphism of the multiplicative group  $Z_f^\times$  of reduced residue classes mod  $f$  onto  $G(\mathcal{Q}_f/\mathcal{Q})$ . We will use the same notation  $i(a)$  for this isomorphism. In general, we will write  $a$  for the class of  $a$  mod  $f$ . Denote by  $i_K(a)$  the element of  $G(K/\mathcal{Q})$  which is induced by  $i(a)$ . Then, the map

$$a \mapsto i_K(a)$$

induces a homomorphism of  $Z_f^\times$  onto  $G(K/\mathcal{Q})$ . We denote by  $H$  the kernel of this homomorphism. Since  $K$  is real, all elements of  $K$  are invariant by  $\zeta_f \mapsto \zeta_f^{-1}$ . Hence,  $-1$  is contained in  $H$ . We take a subset  $A$  of  $H$  such that  $A \cup \{-a; a \in A\} = H$ , and  $A \cap \{-a; a \in A\} = \emptyset$ . Let  $s$

be an element of  $Z_f^\times$  such that  $S=i_K(s)$  generates  $G(K/Q)$ , and put

$$\eta = \prod_{a \in A} \frac{\zeta_{2f}^a - \zeta_{2f}^{-a}}{\zeta_{2f}^{sa} - \zeta_{2f}^{-sa}} = \prod_{a \in A} \frac{\sin \frac{a\pi}{f}}{\sin \frac{sa\pi}{f}}.$$

Then,  $\eta$  is a unit of  $K$ , which is called a *cyclotomic unit* of  $K$ . We have

$$\eta^{s^\nu} = \prod_{a \in A} \frac{\zeta_{2f}^{s^\nu a} - \zeta_{2f}^{-s^\nu a}}{\zeta_{2f}^{s^{\nu+1}a} - \zeta_{2f}^{-s^{\nu+1}a}} = \prod_{a \in A} \frac{\sin \frac{s^\nu a\pi}{f}}{\sin \frac{s^{\nu+1}a\pi}{f}}. \tag{1}$$

$(\nu=0, 1, \dots, p-1)$

For  $\alpha \in K^*$ , we define

$$\sigma(\alpha) = \begin{cases} 0, & \text{if } \alpha > 0, \\ 1, & \text{if } \alpha < 0. \end{cases}$$

When  $\xi_0, \xi_1, \dots, \xi_{p-1}$  are  $p$  units of  $K$ , then we define

$$\Sigma(\xi_0, \xi_1, \dots, \xi_{p-1}) = \Sigma(\xi_\nu) \equiv |\sigma(\xi_\nu^{s^\mu})| \pmod{2},$$

$(\nu, \mu=0, 1, \dots, p-1)$

We have  $\Sigma(\xi_\nu) \not\equiv 0 \pmod{2}$ , if and only if the signatures of  $\xi_0, \xi_1, \dots, \xi_{p-1}$  are ‘independent’.

Let  $\varepsilon_1, \dots, \varepsilon_{p-1}$  be fundamental units of  $K$ , and  $\varepsilon_0 = -1$ . Then, we have

$$\Sigma(-1, \eta^s, \dots, \eta^{s^{p-1}}) \equiv h \Sigma \pmod{2}, \tag{2}$$

where  $\Sigma = \Sigma(\varepsilon_\nu)$ .

**§2. Proof.**

The theorems to be proved are the following:

**Theorem 1.** *Let  $K$  be a cyclic extension of odd prime degree  $p$  over  $Q$ , and suppose that  $2$  is a primitive root mod  $p$ , then the class number  $h$  of  $K$  is even, if and only if  $|\eta|$  is totally positive.*

**Theorem 2.** *Let  $K$  be a cyclic extension of odd prime degree over  $Q$ , and suppose that the discriminant of  $K$  is a power of prime, then  $|\eta|$  is not totally positive.*

**Remark.** Let  $K$  be a cyclic extension of odd prime degree  $p$  over  $Q$ . Then there exists an integral ideal  $\alpha$  of  $Q_p$  such that  $h=N\alpha$ , where  $N$  denotes the absolute norm from  $Q_p$  (cf. [2]). Hence, for a prime number  $l$ , the  $l$  order of  $h$  is divisible by the order of  $l \pmod{p}$ . Thus,  $2^{p-1}$  divides  $h$ , if  $2$  is a primitive root mod  $p$ , and if  $h$  is even.

**Proof of Theorem 1.** Put

$$\bar{\eta} = \begin{cases} -\eta, & \text{if } N\eta = +1, \\ \eta, & \text{if } N\eta = -1. \end{cases}$$

Since the multiplicative group generated by  $-1, \bar{\eta}^s, \dots, \bar{\eta}^{s^{p-1}}$  coincides with the multiplicative group generated by  $\bar{\eta}, \bar{\eta}^s, \dots, \bar{\eta}^{s^{p-1}}$ , we have

$$\Sigma(-1, \eta^s, \dots, \eta^{sp-1}) \equiv \Sigma(-1, \bar{\eta}^s, \dots, \bar{\eta}^{sp-1}) \equiv \Sigma(\bar{\eta}, \bar{\eta}^s, \dots, \bar{\eta}^{sp-1}) \pmod{2}.$$

Hence, from (2), we have

$$\Sigma(\bar{\eta}^{s\nu}) \equiv h\Sigma \pmod{2}. \tag{3}$$

Put  $c_\nu = \sigma(\bar{\eta}^{s\nu})$ , then we have

$$\Sigma(\bar{\eta}^{s\nu}) \equiv \prod_{i=0}^{p-1} (c_0 + c_1 \zeta_p^i + \dots + c_{p-1} \zeta_p^{i(p-1)}) \pmod{2}.$$

As 2 is a primitive root mod  $p$ , 2 inerts in  $\mathcal{Q}_p$ , i.e., the cyclotomic polynomial  $X^{p-1} + X^{p-2} + \dots + X + 1$  is irreducible (mod 2). Hence, we have

$$c_0 + c_1 \zeta_p^i + \dots + c_{p-1} \zeta_p^{i(p-1)} \equiv 0 \pmod{2} \quad \text{for } i \neq 0,$$

if and only if  $c_0 = c_1 = \dots = c_{p-1}$ . On the other hand,  $\sum_{\nu=0}^{p-1} c_\nu \equiv 1 \pmod{2}$ , since  $N\bar{\eta} = -1$ . Thus, we see that  $|\eta|$  is totally positive, if and only if  $\Sigma(\bar{\eta}^{s\nu}) \equiv 0 \pmod{2}$ .

If  $|\eta|$  is not totally positive, then we have  $h \equiv 1 \pmod{2}$  by (3).

Suppose that  $|\eta|$  is totally positive, i.e.,  $\Sigma(\bar{\eta}^{s\nu}) \equiv 0 \pmod{2}$ . If  $\Sigma \equiv 1 \pmod{2}$ , then  $h \equiv 0$  by (3). If  $\Sigma \equiv 0 \pmod{2}$ , then the signatures of units are not independent. Then, a result of Armitage and Fröhlich ([1]) tells us that  $h$  is even.

**Proof of Theorem 2.** Note that 2 does not ramify in  $K$ , if  $K$  is cyclic of odd prime degree. Hence,  $f$  is odd. We can assume without loss of generality that  $s$  and  $a (\in A)$  are odd, and that  $0 < a < f$ . Then,  $N\eta = -1$ , if (and only if)  $f$  is a power of prime (cf. [3], S29). Put

$$g_{s\nu} = \prod_{a \in A} \sin \frac{s^\nu a \pi}{f}, \quad \nu = 0, 1, 2, \dots, p.$$

Note that  $g = g_{s0}$  is positive, and  $g_{sp} = -g$ , by (1) and by  $N\eta = -1$ . Hence,

$$\begin{aligned} &|\eta| \text{ is totally positive} \\ \iff &\eta^{s\nu} = g_{s\nu} / g_{s\nu+1} \text{ is negative for } \nu = 0, 1, \dots, p-1, \\ \iff &g, g_{s^2}, \dots, g_{s^{p-1}} \text{ are positive, and } g_s, g_{s^3}, \dots, g_{s^p} (= -g) \text{ are negative.} \end{aligned}$$

As  $i_K(s)$  generates  $G(K/\mathcal{Q})$ ,  $i_K(s^2)$  also generates  $G(K/\mathcal{Q})$  and  $s^2$  must be odd. Suppose that  $|\eta|$  is totally positive, and put  $t = s^2$ , then, for another cyclotomic unit  $\eta' = g/g_t$ , we have  $N\eta' = 1$ , which gives a contradiction.

### References

[1] Armitage-Fröhlich: Classnumbers and unit signatures. *Mathematika*, **14**, 94-98 (1967).

- [2] Brumer, A.: On the group of units of an absolutely cyclic number field of prime degree. *J. Math. Soc. Japan*, **21**, 357–358 (1969).
- [3] Hasse, H.: Über die Klassenzahl abelscher Zahlkörper, Kapitel II. Berlin (1952).