

107. A Characterization of Chebyshev Spaces

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(Communicated by Kôzaku YOSIDA, M. J. A., Dec. 12, 1986)

§ 1. Introduction. Let M be a finite dimensional linear subspace of $C[a, b]$, the space of real valued continuous functions defined on a finite closed interval $[a, b]$. Then, for a function $f \in C[a, b]$, we are concerned with the approximation problem :

$$\text{find } \tilde{f} \in M \text{ to minimize } \|f - \tilde{f}\|,$$

where $\|\cdot\|$ denotes the uniform norm. The function $\tilde{f} \in M$ is said to be a best approximation to f from M if \tilde{f} is a solution to the above problem. For an n -dimensional subspace M , we put the following two subsets of $C[a, b]$: $U_M = \{f \mid f \text{ possesses a unique best approximation}\}$ and $A_M = \{g \mid \text{the error function } e = g - \tilde{g} \text{ has an alternating set of } (n+1) \text{ points in } [a, b] \text{ for any best approximation } \tilde{g} \text{ to } g; \text{ i.e., there exist } (n+1) \text{ distinct points } a \leq x_1 < \cdots < x_{n+1} \leq b \text{ such that } |e(x_i)| = \|e\|, i = 1, 2, \dots, n+1 \text{ and } e(x_i) \cdot e(x_{i+1}) \leq 0, i = 1, \dots, n\}$.

As is well known, if M is a Chebyshev space (respectively weak Chebyshev space), that is, every nonzero function in M has no more than $n-1$ zeros (respectively changes of sign) on $[a, b]$, then they are of great use in this problem. Hence various properties and characterizations of these spaces have been obtained. Young [5] showed that if M is a Chebyshev space then U_M is equal to $C[a, b]$. Further, by the result of Haar [1], a necessary and sufficient condition that M is a Chebyshev space is that U_M coincides with $C[a, b]$.

As a characterization of a weak Chebyshev space, Jones and Karlovitz [2] proved that M is a weak Chebyshev space if and only if U_M is included in A_M . In this paper, as the above result, we shall give a characterization of a Chebyshev space M by using an inclusion relation between U_M and A_M .

§ 2. Definitions and lemmas. In this section, we prepare several lemmas necessary for the proof of the main theorem. First we begin with some definitions.

Definition 1. For a function $f \in C[a, b]$, two zeros x_1, x_2 of f are said to be *separated* if there is an $x_0, x_1 < x_0 < x_2$, such that $f(x_0) \neq 0$.

For an n -dimensional subspace M of $C[a, b]$, we define the followings.

Definition 2. (i) We call a point $x_0 \in [a, b]$ *vanishing* with respect to M if $g(x_0) = 0$ for any $g \in M$. In case that no confusion arises, the term "with respect to M " will be omitted.

(ii) M is called *vanishing* if there exists at least one vanishing point in $[a, b]$. Otherwise, it is called *nonvanishing*.

Definition 3. M is said to have (*)-property if a function $g \in M - \{0\}$ vanishes identically on a nondegenerate subinterval of $[a, b]$.

Let G be an n -dimensional weak Chebyshev space of $C[a, b]$. Then we can show the following three lemmas which are of independent interest.

Lemma A (Stockenberg [4]). (i) *If there is a $g \in G$ with n separated, nonvanishing zeros $a \leq x_1 < \dots < x_n \leq b$, then $g(x) = 0$ for all $x \in [a, x_1] \cup [x_n, b]$.*

(ii) *No $g \in G$ has more than n separated, nonvanishing zeros.*

Lemma B. *Suppose that G does not have (*)-property. Suppose also that G contains a strictly positive function and contains two functions $r, s \in G$ such that*

$$\det \begin{pmatrix} r(a) & r(b) \\ s(a) & s(b) \end{pmatrix} \neq 0.$$

Then G is a Chebyshev space.

We denote by $G|_{[c, d]}$ the space obtained by restricting G to a subinterval $[c, d]$ of $[a, b]$.

Lemma C (Sommer [3]). *If $a \leq c < d \leq b$, then the space $G|_{[c, d]}$ is a weak Chebyshev space of $C[c, d]$ with dimension less or equal to n .*

Remark 1. From Theorem 1 and Theorem 4 in Stockenberg [4], Lemma B follows immediately.

§ 3. Main theorem. Let M be an n -dimensional linear subspace of $C[a, b]$. We give the result due to Jones and Karlovitz [2] again.

Theorem A. *M is a weak Chebyshev space if and only if $A_M \supset U_M$.*

Now we can establish the following

Theorem. *M is a Chebyshev space if and only if $A_M = U_M \cup L$, where L denotes the set of all real-valued linear functions on $[a, b]$.*

Proof. In one direction, this is trivial. Hence it is sufficient to verify that M is a Chebyshev space under the assumption that $A_M = U_M \cup L$.

First we show that M is a weak Chebyshev space containing a strictly positive function. By Theorem A, it is clear that M is weak Chebyshev. Provided that M does not contain a strictly positive function, then one of the best approximations to the constant function $1 \in L$ from M is 0. But this contradicts the assumption. Hence, in case that $n = 1$, M is Chebyshev. In the rest of the proof, we assume $n \geq 2$.

Next we show that M does not have (*)-property. Suppose that there exists a function $f \in M - \{0\}$ vanishing identically on a nondegenerate subinterval $[c, d]$ of $[a, b]$, where $a \leq c < d \leq b$. Then it follows from the fact that M contains a strictly positive function and Lemma C that $M_1 = M|_{[c, d]}$ obtained by restricting M to a subinterval $[c, d]$ is a nonvanishing weak Chebyshev space such that $\dim M|_{[c, d]} < n$. In case that M_1 has (*)-property, we can also consider a nonvanishing weak Chebyshev space $M_1|_{[\alpha, \beta]}$ obtained by the same way with respect to M_1 , where $c \leq \alpha < \beta \leq d$ and $\dim M_1|_{[\alpha, \beta]} < \dim M_1$. Since M contains a strictly positive function, by continuing the above procedure at most $n - 1$ times, we consequently obtain a nonvanishing

weak Chebyshev space $M|_{[c, \delta]}$ without (*)-property, where $c \leq \gamma < \delta \leq d$ and $m = \dim M|_{[\gamma, \delta]} < n$. Now we consider a function f_0 , which is satisfied with the following conditions :

(i) $f_0(x) = 0$ for $x \in [a, \gamma] \cup [\delta, b]$.

(ii) There are $2(n+m+2)$ points $\gamma < z_1 < \dots < z_{2(n+m+2)} < \delta$ of (γ, δ) such that $|f_0(z_i)| = \|f_0\| > 0$, $i = 1, 2, \dots, 2(n+m+2)$ and $f_0(z_i) \cdot f_0(z_{i+1}) < 0$ for $i = 1, 2, \dots, 2n+2m+3$. Since M is assumed to have (*)-property, there is such a function $h^* \in M - \{0\}$ that $\|h^*\| < \|f_0\|$ and $h^*(x) = 0$ for $x \in [c, d]$. Then each function $\lambda \cdot h^*$, $0 \leq \lambda \leq 1$, is a best approximation to f_0 from M because M is weak Chebyshev and the error function $f_0 - \lambda \cdot h^*$ has an alternating set of $(n+1)$ -points in $[a, b]$. On the other hand, since $M|_{[\gamma, \delta]}$ is a nonvanishing weak Chebyshev space without (*)-property, by Lemma A, we can see that each function $f \in M|_{[\gamma, \delta]} - \{0\}$ has at most m zeros in $[\gamma, \delta]$. Providing that there exists a best approximation to f_0 from M which has an alternating set of at most n -points in $[\gamma, \delta]$, then it has at least $(m+1)$ zeros in $[\gamma, \delta]$. This leads to a contradiction. Eventually, by these facts, we conclude that f_0 is contained in A_M but not in $U_M \cup L$, which is the contrary to the assumption.

Finally we show that M contains two functions $r, s \in M$ such that

$$\det \begin{pmatrix} r(a) & r(b) \\ s(a) & s(b) \end{pmatrix} \neq 0.$$

Let r be a strictly positive function whose existence is guaranteed in the first half of this proof. As a function s , we choose a best approximation to the linear function $l(x) = -x + 1/2$. Noting that $l - s$ has an alternating set of at least 3-points in $[a, b]$, it holds that $s(a) \cdot s(b) < 0$, because s can not be a best approximation to l in the other cases. Thus we have

$$\det \begin{pmatrix} r(a) & r(b) \\ s(a) & s(b) \end{pmatrix} \neq 0.$$

Consequently, from Lemma B, it follows that M is a Chebyshev space.

Corollary. *Let G be an n -dimensional nonvanishing weak Chebyshev space of $C[a, b]$. Then G has (*)-property if and only if $A_G \supsetneq U_G$.*

Proof. First suppose that G has (*)-property. By using the proof of Theorem, we easily observe that $A_G \supsetneq U_G$.

Next suppose that any nonzero function in G has at most n zeros, because, by Lemma A, this is equivalent to the fact that G does not have (*)-property. For any function $g \in A_G$, let r, s be best approximations to g from G . Since the function $(r+s)/2$ is also a best approximation to g , $g - (r+s)/2$ has an alternating set of $(n+1)$ points $\{z_i\}_{i=1}^{n+1}$ in $[a, b]$. Hence, for these points $\{z_i\}_{i=1}^{n+1}$, we have

$$\begin{aligned} \|g - (r+s)/2\| &= |g(z_i) - (r(z_i) + s(z_i))/2| \\ &\leq (1/2) \cdot \{ |g(z_i) - r(z_i)| + |g(z_i) - s(z_i)| \} \\ &\qquad\qquad\qquad i = 1, 2, \dots, n+1, \end{aligned}$$

which means that

$$g(z_i) - r(z_i) = g(z_i) - s(z_i) \quad i = 1, 2, \dots, n+1.$$

Thus $r-s$ has at least $(n+1)$ zeros, which leads to the fact that r is identical with s on $[a, b]$. Hence we have $A_G = U_G$. It completes the proof.

Remark 2. (1) An important example fitting the condition in Corollary is given by a polynomial spline function space with fixed knots, and some examples which are not Chebyshev spaces without (*)-property are shown in Stockenberg [4].

(2) The assertion in Corollary does not always hold under the assumption having finite vanishing points instead of no vanishing points with respect to the space G . For instance, on $C[0, \pi]$, let us consider the space $G = \{\lambda \cdot \sin x \mid \lambda \in R\}$. Clearly G is a weak Chebyshev space which does not have (*)-property but 2 vanishing points in $[0, \pi]$. Then the best approximation to the linear function $f(x) = -2x + \pi$ is not unique and any best approximation to it has an alternating set of 2 points, 0 and π . Thus we obtain $A_G \not\supseteq U_G$. Moreover, generalizing this example, we can easily show that $A_G \not\supseteq U_G$ for every n -dimensional weak Chebyshev space G with more than $(n+1)$ vanishing points, which consists of continuously differentiable functions.

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