

## ON $R$ -ALGEBRAS WHICH ARE $R$ FINITELY GENERATED

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Let  $K$  be a field and  $R$  a ring with  $1$ . We know several conditions under which an  $R$ -algebra is a finitely generated  $R$ -module. In [6] Rosenberg and Zelinsky obtained, for a  $K$ -algebra  $A$ , those conditions in a case where  $A \otimes_{\mathcal{K}} A^* / N(A \otimes_{\mathcal{K}} A^*)$  is Artinian, where  $A^*$  is an anti-isomorphic algebra of  $A$  and  $N(^*)$  is the radical of  $^*$ .

In §1 we shall study a similar problem in a case where  $A \otimes_{\mathcal{K}} A^*$  is Noetherian and obtain, for an algebraic algebra  $A$  over  $K$  such that  $A/N(A)$  is a semi-simple ring with minimum condition, that  $[A:K] < \infty$  if and only if  $A \otimes_{\mathcal{K}} A^*$  is right Noetherian.

In §2 we consider a primitive  $K$ -algebra with minimal one sided ideals. We give a condition that the associated division ring is of a finite  $K$ -dimension.

Finally we consider a separable  $R$ -algebra  $A$  which is a submodule in a free  $R$ -module. If  $R$  is Noetherian, then we show that  $A$  is  $R$ -finitely generated as  $R$ -module.

### 1. Algebras of finite type

In this paper we always assume that  $K$  means a field and  $R$  a commutative ring with  $1$ .

Let  $A_2 \supseteq A_1$  be  $R$ -algebras. Then we have a natural homomorphism  $\Phi: A_1 \otimes_R A_1^* \rightarrow A_2 \otimes_R A_2^*$ . We denote also the image of  $\Phi$  by  $A_1 \otimes_R A_1^*$  if there are no confusions. Furthermore, we have a natural right  $A_i \otimes_R A_i^*$ -homomorphism  $\varphi_i: A_i \otimes_R A_i^* \rightarrow A_i$  by setting  $(a \otimes b^*) = ba$ . We denote its kernel by  $J_i$ .

The following lemma is based on a suggestion of M. Auslander.

**Lemma 1.** *Let  $A_3$  be an  $R$ -algebra and  $A_2 \supseteq A_1$  proper  $R$ -subalgebras contained in the center of  $A_3$ . We assume that  $A_{i+1}$  is  $A_i$ -projective for  $i=1, 2$ . Then  $J_3 \supseteq J_2 A_3 \supseteq J_1 A_3$ , where  $A_3^e = A_3 \otimes_R A_3^*$ .*

Proof. We consider a natural  $A_3^e$ -homomorphism  $\alpha_2: A_3 \otimes_R A_3^* \rightarrow A_3 \otimes_{A_2} A_3^*$ . If  $\alpha_2(J_3) = (0)$ , then we obtain easily  $A_3 \otimes_{A_2} A_3^* = A_3$ . Let  $\mathfrak{p}$  be a prime ideal of  $A_2$ . Then  $A_{3\mathfrak{p}} \otimes_{A_{1\mathfrak{p}}} A_{3\mathfrak{p}}^*$ . Since  $A_{3\mathfrak{p}}$  is  $A_{2\mathfrak{p}}$ -projective,  $A_{3\mathfrak{p}}$  is a free  $A_{2\mathfrak{p}}$ -module by [5], Theorem 2. Hence  $A_{3\mathfrak{p}} = A_{2\mathfrak{p}}$  for every prime ideal  $\mathfrak{p}$ , which is a contradiction. On the other hand  $\alpha_2(J_2 A_3^e) = (0)$ . Therefore,  $J_2 A_3^e \subseteq J_3$ . Next we consider a commutative diagram :

$$\begin{array}{ccccc}
 A_2 \otimes_R A_2 & \xrightarrow{\beta} & A_2 \otimes_{A_1} A_2 & \xrightarrow{\beta'} & A_3 \otimes_{A_1} A_3^* \\
 & \searrow \Phi & & \nearrow \alpha_1 & \\
 & & & & A_3 \otimes_R A_3^*
 \end{array}$$

From the above argument we know that  $\beta(J_2) = (0)$ . Since  $A_2, A_3$  are  $A_1$ -projective,  $\beta'$  is monomorphic. Therefore,  $\alpha_1(J_2) = \alpha_1 \Phi(J_2) = \beta' \beta(J_2) = (0)$ . On the other hand  $\alpha_1(J_1) = (0)$ . Hence we have  $J_2 A_3^e \subseteq J_1 A_3^e$ .

**Corollary 1.** *Let  $A$  be an  $R$ -projective  $R$ -algebra. We assume that  $A \otimes_R A^*$  is right Noetherian (resp. Artinian). Then a length of ascending (resp. descending) chain of  $R$ -projective,  $R$ -separable algebras in the center of  $A$  is finite, (cf. [7], Theorem 2).*

Proof. From a fact for a separable  $R$ -algebra  $C$  that  $R$ -projective  $C$ -module is  $C$ -projective, we have the corollary.

**Corollary 2.** *Let  $A$  be an extension field of  $K$ . Then  $A$  is a finite type, i.e.  $A$  is generated by a finite number of elements if and only if  $A \otimes_K A$  is Noetherian, (cf. [1], p. 99).*

Proof. If  $A$  is a finite type, then  $A$  is an algebraic extension of a rational function field  $K(x_1, x_2, \dots, x_t)$ . It is clear that  $K(x) \otimes_K K(x)$  is Noetherian. Since  $A \otimes_K A$  is a finitely generated  $K(x)^e$ -module,  $A^e$  is Noetherian. The converse is clear from Lemma 1.

REMARK 1. Lemma 1 is valid in a case where  $A$ 's are division rings. Because, we may take  $A_2 \otimes_{A_1^*} A_2^*$  in a place of  $A_2 \otimes_{A_1} A_2$  and so on.

**Lemma 2.** *Let  $A$  be a right Noetherian, algebraic algebra over a field  $K$ . Then the radical of  $A$  is nilpotent.*

Proof. By the assumption and [4], p. 212, Proposition 3, the radical

$N$  is nil. Furthermore, since  $A$  is Noetherian,  $N$  is nilpotent by [4], p. 199, Theorem 1.

**Proposition 1.** *Let  $A$  be a commutative algebraic algebra over a field  $K$ . Then the following conditions are equivalent.*

- a)  $[A:K] < \infty$ ,
- b)  $A \otimes_K A$  is Noetherian,
- c)  $A \otimes_K F$  is Noetherian for any algebraic extension field  $F$  of  $K$ .

*Proof.* First, we assume  $A^e$  is Noetherian. Since  $A^e$  is algebraic over  $K$ , its radical  $N(A^e)$  is nilpotent by Lemma 2. Similarly we know that  $N=N(A)$  is nilpotent. Hence, if we show  $[A/N:K] < \infty$ , then by the standard argument we obtain  $[A:K] < \infty$  (cf. the proof of [3], Theorem 1). Therefore, we may assume that  $A$  is a semi-simple ring in a sense of Jacobson. From [4], p. 210 we know that  $A$  is an  $I$ -ring, namely every non-nilpotent ideal contains an idempotent element. Hence, since  $A$  is a commutative Noetherian semi-simple ring, every ideal is generated by an idempotent element. Therefore,  $A$  is a semi-simple ring with minimum conditions. Hence, we may assume that  $A$  is a field. Then  $[A:K] < \infty$  by Corollary 2. By the similar argument as above, we obtain  $[A:K] < \infty$  if  $A$  satisfies c).

**Theorem 1.** *Let  $A$  be an algebraic algebra over a field  $K$ . We assume  $A/N$  is a semi-simple ring with minimum conditions, where  $N$  is the radical of  $A$ . Then we have the following equivalent conditions:*

- a)  $[A:K] < \infty$ ,
- b)  $A \otimes_K A^*$  is right Noetherian,
- c)  $A \otimes_K F$  is right Noetherian for every algebraic extension field  $F$  of  $K$ .

*Proof.* In both cases b) and c) we know that  $N$  is nilpotent by Lemma 2. Hence, we may assume that  $A$  is a division algebra over  $K$ . Let  $L$  be a maximal subfield of  $A$  and  $Z$  the center of  $A$ . Let  $A = \sum \oplus Lu_i$  and  $A^* = \sum \oplus L^*v_i$ . Since  $A \otimes_K A^* = \sum \oplus L \otimes L^*(u_i \otimes v_j)$  is right Noetherian, so is  $L \otimes_K L^*$ . Hence  $[L:K] < \infty$  by Proposition 1. If we consider  $A$  as a left  $A$ - and right  $L$ -module,  $A$  is a right  $A^* \otimes_K L$ -module. Since  $A^* \otimes_K L$  is a simple ring with minimum conditions and  $A$  is a simple faithful  $A^* \otimes_K L$ -module,  $A$  has a finite right base over  $A^* \otimes_K L$ -endomorphism division ring of  $A$ , which is equal to  $V_A(L) = \{a \in A \mid al = la \text{ for all } l \in L\}$ . Since  $L$  is a maximal subfield of  $A$ ,  $V_A(L) = L$ . Therefore,  $[A:K] < \infty$ .

**Corollary 3.** *Let  $A$  be an algebra over a field  $K$ .  $L_1$  is an algebraic closure of  $K$  and  $L_2=K(x)$  a rational function field over  $K$ . Then  $[A:K] < \infty$  if and only if  $A \otimes_K L_i$  ( $i=1, 2$ ) is right Artinian, ([3], Theorem 1).*

Proof. By the same reason as in the proof of Proposition 1, we may assume that  $A$  is a division ring if  $A \otimes_K L_i$  is right Artinian. Furthermore, it is clear that  $A$  is algebraic over  $K$ . Hence  $[A:K] < \infty$  by Theorem 1.

**Proposition 2.** *Let  $A$  be a division algebra over a field  $K$ . If  $A \otimes_K A^*/N(A \otimes_K A^*)$  is right Noetherian, then the center  $Z$  of  $A$  is of a finite transcendental degree over  $K$  and  $A$  is a finite type over  $Z$ , (cf. [7], Theorem 2).*

Proof. By the proof of [2], Lemma 4, we have  $N(A^e) = \alpha A^e$ , where  $\alpha$  is an ideal contained in the radical  $N(Z^e)$  of  $Z^e$ . Since there is a lattice isomorphism between two-sided ideals of  $A^e$  and  $Z^e$  by [4], p. 114, Theorem 1,  $Z^e/N(Z^e)$  is Noetherian. We shall show that the transcendental degree of  $A$  over  $K$  is finite. We consider again an exact sequence as in Lemma 1.  $0 \rightarrow J_i \rightarrow L_i \otimes_K L_i \rightarrow L_i \rightarrow 0$ , where  $L_i = K(x_1, \dots, x_i)$  and the  $x$ 's are indeterminants in  $Z$  over  $K$ . Then we shall show that  $J_i Z^e + N(Z^e) \neq J_{i+1} Z^e + N(Z^e)$ . Otherwise, for any element  $j$  in  $J_{i+1}(Z^e)$ , we have  $j = y + r$ ,  $y \in J_i Z^e$ ,  $r \in N(Z^e)$ . Since  $N(Z^e)$  is nil ([1], p. 85, Proposition 4),  $j^n \in J_i Z^e$  for some integer  $n$ . Therefore,  $(x_{i+1} \otimes 1 - 1 \otimes x_{i+1})^{n'} = x_{i+1}^{n'} \otimes 1 - n'(x_{i+1}^{n'-1} \otimes x_{i+1}) + \dots + (-1)^{n'}(1 \otimes x_{i+1}^{n'})$  is contained in  $J_i Z^e$ . On the other hand,  $J_i Z^e = \sum \oplus u_\alpha J_i(L_{i+1} \otimes_K L_{i+1})$ , where  $\{u_\alpha\}$  is a basis of  $Z^e$  over  $L_{i+1} \otimes_K L_{i+1}$  and we assume  $u_1 = 1 \otimes 1$ . Extending  $x_{i+1}^k \otimes x_{i+1}^l$ ,  $k, l = 0, 1, \dots$  to a basis  $\{x, v\}$  of  $L_{i+1} \otimes_K L_{i+1}$  over  $L_i \otimes_K L_i$ ,  $J_i Z^e = \sum \oplus (x_{i+1}^k \otimes x_{i+1}^l) J_i \oplus \sum \oplus v J_i \oplus \sum_{\alpha=1} \oplus u_\alpha J_i(L_{i+1} \otimes_K L_{i+1})$ . Hence  $J_i$  must contain 1, which is a contradiction. Therefore, the transcendental degree of  $Z$  over  $K$  is finite. From the assumption, it is clear that  $A \otimes_Z A^*$  is right Noetherian. Hence by Lemma 1,  $A$  is a finite type over  $Z$ .

REMARK 2. The following example shows that  $A$  is not a finite type even if  $A$  is algebraic commutative field over  $K$  and  $A^e/N(A^e)$  is Artinian.

Let  $A = \bigcup_n K(x^{1/p^n})$ , where  $K$  is a field of characteristic  $p \neq 0$  and  $x$  is an indeterminate over  $K$ . Then it is clear the  $N(A^e) = J_A$  and  $A^e/N(A^e) = A$ .

2. Primitive algebras

Let  $A$  be a simple algebra over  $K$  with minimum conditions. Then it is clear that  $[A : K] < \infty$  if and only if  $N(A^e)$  is nilpotent and  $A^e/N(A^e)$  is Artinian. We shall generalize this property as follows :

**Theorem 2.** *Let  $A$  be a primitive  $K$ -algebra with minimal one-sided ideals and  $\Delta$  its associated division ring, (see [4]). Then  $[\Delta : K] < \infty$  if and only if the radical  $N(A^e)$  of  $A^e$  is nilpotent and  $N(A^e)$  is the intersection of a finite number of primitive rings with one-sided ideals.*

Proof. We assume  $[\Delta : K] < \infty$ . Let  $I$  and  $r$  be minimal left and right ideals in  $A$ , respectively. Then  $r \otimes_K I^* = \sum_{\alpha} \oplus (x_{\alpha} \otimes y_{\alpha}) \Delta^e$  is a faithful  $A \otimes_K A^*$ -module, and  $A \otimes_K A^*$  is a dense ring in the  $\Delta^e$ -endomorphism ring  $M_I(\Delta^e)$  of  $r \otimes_K I^*$  by [4], p. 113, Theorem 1. By the assumption, the radical  $N(\Delta^e)$  of  $\Delta^e$  is nilpotent. We consider a factor module of  $r \otimes_K I^*$  by its radical :  $\overline{r \otimes_K I^*} = r \otimes_K I^* / N(r \otimes_K I^*) = \sum \oplus (x_{\alpha} \otimes y_{\alpha}) \Delta^e / N(\Delta^e)$ . By a well known theorem, the radical  $N(M_I(\Delta^e))$  of  $M_I(\Delta^e)$  is contained in  $M_I(N(\Delta^e))$ , and since  $N(\Delta^e)$  is nilpotent,  $M_I(N(\Delta^e))$  is equal to  $N(M_I(\Delta^e))$ . We can easily show that  $M_I(\Delta^e) / N(M_I(\Delta^e)) = M_I((A_1)_{n_1}) \oplus \dots \oplus M_I((A_r)_{n_r})$ , where the  $A$ 's are division algebras over  $K$ . Furthermore, it is clear that  $\overline{r \otimes_K I^*}$  is a faithful  $M_I(\Delta^e) / N(M_I(\Delta^e))$ -module. On the other hand, we have  $\overline{r \otimes_K I^*} = \sum_i \sum_{\omega} \sum_{j=1}^{n_i} \oplus (x_{\alpha} \otimes y_{\alpha}) b_{i,j}$ , where the  $b$ 's are irreducible left ideals in  $(A^*)_{n_i}$ . Put  $L_i = \sum_{\omega} \oplus (x_{\alpha} \otimes y_{\alpha}) b_{i,1}$ , then  $\sum_i \oplus L_i$  is a faithful  $M_I(\Delta^e) / N(M_I(\Delta^e))$ -module. By the above argument, the  $L$ 's are also  $A^e$ -irreducible modules. Hence  $N(M_I(\Delta^e))$  contains  $N(A^e)$ . Since  $N(M_I(\Delta^e))$  is nilpotent, we have  $N(A^e) = N(M_I(\Delta^e)) \cap A^e$ . Therefore,  $\sum_i \oplus L_i$  is also a faithful  $\bar{A}^e = A^e / N(A^e)$ -module. Furthermore,  $N(r \otimes_K I^*) = (r \otimes_K I^*) N(M_I(\Delta^e)) \subseteq A^e \cap N(M_I(\Delta^e)) = N(A^e)$ , and since we can represent  $r$  and  $I$  by  $eA$  and  $Ae'$ , where  $e, e'$  are primitive idempotents in  $A$ , then  $(r \otimes_K I^*) \cap N(A^e) = (e \otimes e'^*) A^e \cap N(A^e) = (e \otimes e'^*) N(A^e) = (r \otimes_K I^*) N(A^e) \subseteq N(r \otimes_K I)$ . Hence, we have a monomorphism of  $\overline{r \otimes_K I^*}$  into  $\bar{A}^e$ . Therefore,  $\bar{A}^e$  has a faithful complete reducible module  $\sum \oplus L_i$ . Let  $\alpha_i$  be the annihilator ideal of  $L_i$  in  $\bar{A}^e$ . Then  $\bar{A}^e / \alpha_i$  contains  $L_i + \alpha_i / \alpha_i$ . Since  $L_i$  is irreducible and  $\bar{A}^e$  is semi-simple,  $L_i + \alpha_i / \alpha_i \approx L_i$ . Hence  $\bar{A}^e / \alpha_i$  is a primitive ring with minimal one-sided ideals. Furthermore, we have  $\bigcap \alpha_i = (0)$ , which proves the first half of the theorem. Let  $e$  be an idempotent. They by [4], p. 48, Proposition 1,  $N((e \otimes e^*) (A \otimes_K A^*) (e \otimes e^*))$

$= (e \otimes e^*) N(A \otimes_{\kappa} A^*) (e \otimes e^*)$ , and hence,  $N(\Delta^e)$  is nilpotent, where  $eAe = \Delta$ . Let  $\mathfrak{p}_i$ 's be a primitive ideals with the property as in the theorem. Then by [2], Lemma 1,  $(e \otimes e^*) \mathfrak{p}_i (e \otimes e^*)$  are primitive ideals in  $(e \otimes e^*) A \otimes_{\kappa} A^* (e \otimes e^*)$  with the same property as above. Furthermore, if  $\bigcap_i \mathfrak{p}_i = N(A^e)$ , then  $\bigcap_i (e \otimes e^*) \mathfrak{p}_i (e \otimes e^*) = N(\Delta^e)$ . Let  $Z$  be the center of  $\Delta$ . Then by [4], p. 114, Theorem 1, there is a lattice isomorphism between two-sided ideals of  $\Delta^e$  and those of  $Z^e$ . Put  $q_i = (e \otimes e^*) \mathfrak{p}_i (e \otimes e^*)$ . Then there exist ideals  $\mathfrak{b}$  and  $\mathfrak{c}$  in  $Z^e$  which correspond to  $q_i$  and an ideal  $\mathfrak{s}$  in  $\Delta^e$  such that  $\mathfrak{s} \supseteq q_i$  and  $\mathfrak{s}/q_i$  is the socle of  $\Delta^e/q_i$ . We shall show that  $\bar{Z}^e = Z^e/\mathfrak{b}$  is a field. Since  $\bar{\mathfrak{c}}$  is a unique minimal ideal in  $\bar{Z}^e$ ,  $\bar{\mathfrak{c}}$  is contained in  $N(\bar{Z}^e)$  if  $\bar{\mathfrak{c}} \neq \bar{Z}^e$ .  $\bar{\mathfrak{s}} = \bar{\mathfrak{s}}^2$ ,  $\bar{\mathfrak{c}} = \bar{\mathfrak{c}}^2$ . Hence  $\bar{\mathfrak{c}}$  is generated by idempotent element, which is a contradiction. Therefore,  $\bar{Z}^e$  is a field. Hence,  $\Delta^e/q_i$  is a simple ring. Since  $\Delta^e/q_i$  has the socle,  $\Delta^e/q_i$  satisfies the minimum conditions.  $\bigcap_i q_i = N(\Delta^e)$  implies that  $\Delta^e/N(\Delta^e)$  is a semi-simple ring with minimum condition. Therefore,  $[\Delta : K] < \infty$  by [7], Theorem 7.

### 3. Separable algebras

Let  $R$  be a Noetherian ring and  $\alpha$  an ideal in  $R$ . For any finitely generated  $R$ -module  $E$  and its submodule  $F$ , there exists an integer  $r$  such that  $\alpha^n E \cap F = \alpha^{n-r} (\alpha^r E \cap F)$  for all  $n > r$  by the Artin-Rees theorem. Thus we shall call an  $R$ -module  $E$  "an Artin-Rees module with respect to  $\alpha$  (briefly  $A$ - $R$  module)", if for any finitely generated  $R$ -submodule  $F$  in  $E$ , there exists an integer  $r$  such that  $F \alpha^n \cap F \subseteq F \alpha^{n-r}$  for  $n > r$ .

By definition we have the following lemmas :

**Lemma 3.** *If  $E$  is an  $A$ - $R$  module, then any submodule of  $E$  and any quotient module of  $E$  with respect to a finitely generated submodule of  $E$  are  $A$ - $R$  modules.*

**Lemma 4.** *Every submodule of a free  $R$ -module is an  $A$ - $R$  module.*

**Lemma 5.** *If  $\alpha$  is contained in the radical of  $R$  and  $E$  is an  $A$ - $R$  module, then  $\bigcap_n E \alpha^n = (0)$ .*

Proof. Let  $x$  be in  $\bigcap_n E \alpha^n$ . Then  $xR = xR \cap E \alpha^n \subseteq xR \alpha^{n-r}$ , and hence  $xR = (0)$ .

**Proposition 3.** *Let  $\alpha$  be an ideal contained in the radical of  $R$ . For any  $A$ - $R$  module  $E$ , if  $E/E\alpha$  is finitely generated then so is  $E$ .*

Proof. If  $E/E\alpha$  is finitely generated, then we have a finitely generated  $R$ -submodule  $F$  such that  $E = E\alpha + F$ . Let  $\bar{E} = E/F$ , then  $\bar{E}$  is an  $A$ - $R$  module by Lemma 3. Hence  $(0) = \bigcap \bar{E}\alpha^n = \bar{E}$  by Lemma 5.

**Corollary 4.** *Let  $R$  and  $\alpha$  be as above. If  $R$  is not complete with respect to  $\{\alpha^n\}$ , then the completion  $\hat{R}$  of  $R$  is not contained in a free  $R$ -module, (cf. [1], p. 95).*

Proof. If  $\hat{R}$  is contained in a free  $R$ -module then  $\hat{R}$  is an  $A$ - $R$  module by Lemma 4. Furthermore,  $\hat{R}/\hat{R}\alpha \approx R/\alpha$ , and hence  $\hat{R}$  is a finitely generated  $R$ -module by the proposition. Then since  $\hat{R} = R + \hat{R}\alpha$ ,  $\hat{R} = R$  by Nakayama's Lemma, which is a contradiction.

**Lemma 6.** *Let  $S$  be a multiplicative system consisting of non-zero-divisors in  $R$  (not necessarily Noetherian) and  $E$  a submodule of a free  $R$ -module. If  $E_s = E \otimes_R R_s$  is finitely generated  $R_s$ -module, then  $E$  is contained in an finitely generated  $R$ -module.*

It is clear.

**Theorem 3.** *Let  $R$  be a Noetherian ring and let  $A$  be a separable  $R$ -algebra such that  $A$  is contained in a free  $R$ -module. Then  $A$  is a finitely generated  $R$ -module.*

Proof. Let  $S$  be the set of all non zero-divisors in  $R$ . Then  $A_s$  is separable  $R_s$ -algebra and is contained in a free  $R_s$ -module. Hence, we may assume by Lemma 6 that  $R$  is semi-local. Let  $\mathfrak{p}$  be a maximal ideal in  $R$ . Then  $A/A\mathfrak{p}$  is a separable algebra over  $R/\mathfrak{p}$ , (it may be zero). Hence,  $A/A\mathfrak{p}$  is a finitely generated  $R/\mathfrak{p}$ -module by [6], Theorem 1. On the other hand,  $A \otimes R_{\mathfrak{p}} / (A \otimes R_{\mathfrak{p}}\mathfrak{p}) = A/A\mathfrak{p}$  and  $A \otimes R_{\mathfrak{p}}$  is an  $A$ - $R$  module by Lemma 4. Therefore,  $A \otimes R_{\mathfrak{p}}$  is finitely generated  $R_{\mathfrak{p}}$ -module, and hence  $A$  is a finitely generated  $R$ -module by the simple argument.

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