

## CERTAIN REAL HYPERSURFACES OF A COMPLEX SPACE FORM II

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### 0. Introduction

We denote by  $M_n(c)$  a complete and simply connected complex  $n$ -dimensional Kählerian manifold of constant holomorphic sectional curvature  $4c$ , which is called a *complex space form*. Such an  $M_n(c)$  is bi-holomorphically isometric to a complex projective space  $P_n\mathbb{C}$ , a complex Euclidean space  $\mathbb{C}^n$  or a complex hyperbolic space  $H_n\mathbb{C}$ , according as  $c > 0$ ,  $c = 0$  or  $c < 0$ .

In this paper, we consider a real hypersurface  $M$  in  $M_n(c)$ . Typical examples of  $M$  in  $P_n\mathbb{C}$  are the six model spaces of type  $A_1, A_2, B, C, D$  and  $E$  (cf. Theorem A in §1), and the ones of  $M$  in  $H_n\mathbb{C}$  are the four model spaces of type  $A_0, A_1, A_2$  and  $B$  (cf. Theorem B in §1), which are all given as orbits under certain Lie subgroups of the group consisting of all isometries of  $P_n\mathbb{C}$  or  $H_n\mathbb{C}$ . Denote by  $(\phi, \xi, \eta, g)$  the *almost contact metric structure* of  $M$  induced from the almost complex structure of  $M_n(c)$ , by  $A$  the shape operator and by  $S$  the Ricci tensor of  $M$ . Many differential geometers have studied  $M$  from various points of view. For example, Berndt [1] and Takagi [13] investigated the homogeneity of  $M$ . Kimura [6] proved that if all principal curvatures of  $M$  in  $P_n\mathbb{C}$  are constant and  $\xi$  is principal vector of  $A$ , then  $M$  is congruent to one of model spaces. Moreover, Yano and Kon [15] studied  $M$  in  $P_n\mathbb{C}$  satisfying the condition  $A\phi + \phi A = k\phi$  for a constant  $k \neq 0$  and Ki and Suh [3]

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*Key words and phrases.* complex space form, shape operator, principal structure vector, Ricci tensor.

This paper was partially supported by YAKSOO Research Foundation

investigated  $M$  in  $P_n\mathbb{C}$  satisfying the condition  $S\phi + \phi S = k\phi$  for a constant  $k$ . Recently, Takagi and the author of the present paper [5] studied  $M$  in  $M_n(c)$ ,  $c \neq 0$  satisfying the condition that  $A^2\phi + \phi A^2$ ,  $A\phi A$  or  $A^2\phi + aA\phi A + \phi A^2$  is equal to  $k\phi$  for constants  $a$  and  $k$ .

In the present paper, we shall classify a real hypersurface  $M$  in  $M_n(c)$  satisfying the condition that  $S\phi + \phi S$  or  $S\phi S$  is equal to  $k\phi$  for a constant  $k$ .

## 1. Preliminaries

We begin with recalling the basic properties of real hypersurfaces of a complex space form. Let  $N$  be a unit normal vector field on a neighborhood of a point  $p$  in  $M$  and  $J$  the almost complex structure of  $M_n(c)$ . For a local vector field  $X$  on a neighborhood of  $p$ , the images of  $X$  and  $N$  under the transformation  $J$  can be represented as

$$JX = \phi X + \eta(X)N, \quad JN = -\xi,$$

where  $\phi$  defines a skew-symmetric transformation on the tangent bundle  $TM$  of  $M$ , while  $\eta$  and  $\xi$  denote a 1-form and a vector field on the neighborhood of  $p$ , respectively. Moreover, it is seen that  $g(\xi, X) = \eta(X)$ , where  $g$  denotes the induced Riemannian metric on  $M$ . By the properties of the almost complex structure  $J$ , the set  $(\phi, \xi, \eta, g)$  of tensors satisfies

$$(1.1) \quad \phi^2 = -I + \eta \otimes \xi, \quad \phi\xi = 0, \quad \eta(\phi X) = 0, \quad \eta(\xi) = 1,$$

where  $I$  denotes the identity transformation. Accordingly, this set  $(\phi, \xi, \eta, g)$  defines the *almost contact metric structure* on  $M$ . Furthermore, the covariant derivatives of the structure tensors are given by

$$(1.2) \quad (\nabla_X \phi)Y = \eta(Y)AX - g(AX, Y)\xi,$$

$$(1.3) \quad \nabla_X \xi = \phi AX,$$

where  $\nabla$  is the Riemannian connection of  $g$ . Since the ambient space is of constant holomorphic sectional curvature  $4c$ , the equations of Gauss and Codazzi are respectively given as follows :

$$(1.4) \quad R(X, Y)Z = c\{g(Y, Z)X - g(X, Z)Y + g(\phi Y, Z)\phi X \\ - g(\phi X, Z)\phi Y - 2g(\phi X, Y)\phi Z\} \\ + g(AY, Z)AX - g(AX, Z)AY,$$

$$(1.5) \quad (\nabla_X A)Y - (\nabla_Y A)X = c\{\eta(X)\phi Y - \eta(Y)\phi X - 2g(\phi X, Y)\xi\},$$

where  $R$  denotes the Riemannian curvature tensor of  $M$ . The Ricci tensor  $S'$  of  $M$  is the tensor of type  $(0, 2)$  given by  $S'(X, Y) = \text{tr}\{Z \rightarrow R(Z, X)Y\}$ . But it may be also regarded as a tensor of type  $(1, 1)$  and denoted by  $S : TM \rightarrow TM$ ; it satisfies  $S'(X, Y) = g(SX, Y)$ . From the Gauss equation and (1.1), the Ricci tensor  $S$  is given by

$$(1.6) \quad S = c\{(2n + 1)I - 3\eta \otimes \xi\} + hA - A^2,$$

where  $h$  is the trace of  $A$ . A real hypersurface  $M$  of  $M_n(c)$  is said to be *pseudo-Einstein* if the Ricci tensor  $S$  satisfies

$$SX = aX + b\eta(X)\xi$$

for some smooth functions  $a$  and  $b$  on  $M$ .

Now we quote the following in order to prove our results.

**Theorem A** ([13]). *Let  $M$  be a homogeneous real hypersurface of  $P_n\mathbb{C}$ . Then  $M$  is a tube of radius  $r$  over one of the following Kähler submanifolds:*

- (A<sub>1</sub>) a hyperplane  $P_{n-1}\mathbb{C}$ , where  $0 < r < \frac{\pi}{2}$ ,
- (A<sub>2</sub>) a totally geodesic  $P_k\mathbb{C}$  ( $1 \leq k \leq n - 2$ ), where  $0 < r < \frac{\pi}{2}$ ,
- (B) a complex quadratic  $Q_{n-1}$ , where  $0 < r < \frac{\pi}{4}$ ,
- (C)  $P_1\mathbb{C} \times P_{(n-1)/2}\mathbb{C}$ , where  $0 < r < \frac{\pi}{4}$  and  $n(\geq 5)$  is odd,
- (D) a complex Grassmann  $G_{2,5}\mathbb{C}$ , where  $0 < r < \frac{\pi}{4}$  and  $n = 9$ ,
- (E) a Hermitian symmetric space  $SO(10)/U(5)$ ,  
where  $0 < r < \frac{\pi}{4}$  and  $n = 15$ .

**Theorem B** ([1]). *Let  $M$  be a real hypersurface of  $H_n\mathbb{C}$ . Then  $M$  has constant principal curvatures and  $\xi$  is principal if and only if  $M$  is locally congruent to one of the following:*

- (A<sub>0</sub>) *a horosphere in  $H_n\mathbb{C}$ ,*
- (A<sub>1</sub>) *a geodesic hypersphere  $H_0\mathbb{C}$  or a tube over a hyperplane  $H_{n-1}\mathbb{C}$ ,*
- (A<sub>2</sub>) *a tube over a totally geodesic  $H_k\mathbb{C}$  ( $1 \leq k \leq n - 2$ ),*
- (B) *a tube over a totally real hyperbolic space  $H_n\mathbb{R}$ .*

**Theorem C** ([10], [11]). *Let  $M$  be a real hypersurface of  $M_n(c)$ . Then  $M$  satisfies  $A\phi = \phi A$  if and only if  $M$  is locally congruent to one of type  $A_1$  and  $A_2$  when  $c > 0$ , and of type  $A_0, A_1$  and  $A_2$  when  $c < 0$ .*

**Theorem D** ([2], [7], [10]). *Let  $M$  be a real hypersurface of  $M_n(c)$  whose Ricci tensor is pseudo-Einstein. Then  $M$  is locally congruent to one of type  $A_1, A_2$  and  $B$  when  $c > 0$ , and of type  $A_0$  and  $A_1$  when  $c < 0$ .*

**Proposition A** ([3], [9]). *Let  $M$  be a real hypersurface of  $M_n(c)$ ,  $c \neq 0$ . If  $\xi$  is principal, then the corresponding principal curvature  $\alpha$  is locally constant.*

Here we consider the case where the structure vector  $\xi$  is *principal*, namely,  $A\xi = \alpha\xi$ . It follows from (1.5) that

$$(1.7) \quad 2A\phi A = 2c\phi + \alpha(A\phi + \phi A)$$

and hence, if  $AX = \lambda X$  for any vector field  $X$  orthogonal to  $\xi$ , then we get

$$(1.8) \quad (2\lambda - \alpha)A\phi X = (\alpha\lambda + 2c)\phi X.$$

Accordingly, it turns out that in the case where  $\alpha^2 + c \neq 0$ ,  $\phi X$  is also principal vector with principal curvature  $\mu = (\alpha\lambda + 2c)/(2\lambda - \alpha)$ , that is, we obtain

$$(1.9) \quad \begin{aligned} A\phi X &= \mu\phi X, \\ 2\lambda - \alpha &\neq 0, \quad \mu = (\alpha\lambda + 2c)/(2\lambda - \alpha). \end{aligned}$$

## 2. Real hypersurfaces satisfying $S\phi + \phi S = k\phi$

We denote by  $M_n(c)$  a complex space form with the metric of constant holomorphic sectional curvature  $4c$  and  $M$  a real hypersurface in  $M_n(c)$ ,  $c \neq 0$ . In this section, we are concerned with  $M$  satisfying the following condition:

$$(2.1) \quad S\phi + \phi S = k_1\phi \quad (k_1 = \text{constant}).$$

From (1.6) we obtain the condition (2.1) is equivalent to

$$(2.2) \quad A^2\phi + \phi A^2 - h(A\phi + \phi A) = k\phi, \quad k = 2c(2n + 1) - k_1.$$

Then we first prove the following.

**Lemma 2.1.** *Let  $M$  be a real hypersurface in  $M_n(c)$ ,  $c \neq 0$ . If it satisfies  $S\phi + \phi S = k\phi$  for a function  $k$  and  $A\xi$  is principal such that  $\eta(A^3\xi) \neq \text{tr} A$ , then  $\xi$  is principal.*

*Proof.* The condition (2.2) yields  $\phi A^2\xi - h\phi A\xi = 0$ . From our assumption there is the function  $\lambda = \eta(A^3\xi)$  on  $M$  such that  $A^2\xi = \lambda A\xi$ . Then we have  $(\lambda - h)A\xi \in \ker \phi$ , that is,  $(\lambda - h)A\xi = \mu\xi$  for a function  $\mu$  on  $M$ . Since  $\lambda \neq h$ , we see that  $\xi$  is principal.  $\square$

**Remark 1.** In general, “ $\xi$  is principal” implies “ $A\xi$  is principal”. But the converse is not true.

**Remark 2.** Let  $M$  be a real hypersurface in  $M_n(c)$ ,  $c \neq 0$ . If  $M$  satisfies the condition  $A^{2m-1}\phi + \phi A^{2m-1} = k\phi$  for  $1 \leq m \leq n$ , then we can easily verify the fact that  $\xi$  is principal. In fact, let  $\lambda_1, \dots, \lambda_d$  are the distinct principal curvatures. Then, since  $\phi A^{2m-1}\xi = 0$ , we get  $\xi \in V_{\lambda_i}$  for some  $i$  ( $1 \leq i \leq d$ ) and hence we obtain  $\xi$  is principal.

However, if  $M$  satisfies the condition  $A^{2m}\phi + \phi A^{2m} = k\phi$  for  $1 \leq m \leq n$ , then we have  $\phi A^{2m}\xi = 0$ , which means  $\xi \in V_{\lambda_i} \oplus V_{-\lambda_i}$  for some  $i$  ( $1 \leq i \leq d$ ).

**Remark 3.** Yano and Kon [15] in  $P_n\mathbb{C}$  and Suh [12] in  $H_n\mathbb{C}$  showed that  $M$  satisfying the condition  $A\phi + \phi A = k\phi$  for a constant  $k \neq 0$  is locally congruent to one of type  $A_1$  and  $B$ , and of type  $A_0, A_1$  and  $B$ ,

respectively. Recently, Takagi and the author of the present paper [5] proved that  $M$  in  $M_n(c)$ ,  $c \neq 0$  satisfying the following two conditions: (i)  $A\phi A$ ,  $A^2\phi + \phi A^2$  or  $A^2\phi + aA\phi A + \phi A^2$  is equal to  $k\phi$  for constants  $a$  and  $k$  and (ii)  $\xi$  is principal is locally congruent to one of type  $A_1, A_2$  with  $r = \pi/4$  and  $B$  when  $c > 0$ , and of type  $A_0, A_1$  and  $B$  when  $c < 0$ .

Now we need the following.

**Lemma 2.2**([3]). *Let  $M$  be a connected complete real hypersurface in  $P_n\mathbb{C}$  and assume that  $\xi$  is principal. If it satisfies (2.1), then  $M$  is locally congruent to type  $A_1$ , type  $B$  or some hypersurface of type  $A_2$ .*

According to Lemmas 2.1 and 2.2 the following is immediate.

**Theorem 2.3.** *Let  $M$  be a real hypersurface in  $P_n\mathbb{C}$ . Assume that  $A\xi$  is principal such that  $\eta(A^3\xi) \neq \text{tr}A$ . Then it satisfies  $S\phi + \phi S = k\phi$  for a constant  $k$  if and only if  $M$  is locally congruent to type  $A_1$ , type  $B$  or some hypersurface of type  $A_2$ .*

For a real hypersurface of  $H_n\mathbb{C}$  we have the following.

**Theorem 2.4.** *Let  $M$  be a real hypersurface in  $H_n\mathbb{C}$ . Assume that  $A\xi$  is principal such that  $\eta(A^3\xi) \neq \text{tr}A$ . Then it satisfies  $S\phi + \phi S = k\phi$  for a constant  $k$  if and only if  $M$  is locally congruent to one of the following:*

- (A<sub>0</sub>) a horosphere in  $H_n\mathbb{C}$ ,
- (A<sub>1</sub>) a geodesic hypersphere  $H_0\mathbb{C}$  or a tube over a hyperplane  $H_{n-1}\mathbb{C}$ ,
- (B) a tube over a totally real hyperbolic space  $H_n\mathbb{R}$ .

*Proof.* We may set  $c = -1$ . Owing to (1.9) and Lemma 2.1, our condition (2.2) reduces

$$(2.3) \quad (\lambda^2 + \mu^2) - h(\lambda + \mu) = k, \quad k = -2(2n + 1) - k_1,$$

where  $AX = \lambda X$  and  $A\phi X = \mu\phi X$  for any vector field  $X$  orthogonal to  $\xi$ . From Proposition A and Lemma 2.1 we can consider the following two cases: (I)  $\alpha^2 - 4 \neq 0$  and (II)  $\alpha^2 - 4 = 0$ .

Case (I): Since  $2\lambda - \alpha \neq 0$ , we see from (1.9) that  $\phi X$  is also a principal (unit) vector orthogonal to  $\xi$  with the corresponding principal curvature  $\mu = (\alpha\lambda - 2)/(2\lambda - \alpha)$ . Then (2.3) gives us

$$(2.4) \quad \begin{aligned} &4\lambda^4 - 4(\alpha + h)\lambda^3 + 2(\alpha^2 + h\alpha - 2k)\lambda^2 \\ &+ 4(h - \alpha + k\alpha)\lambda + 4 - 2h\alpha - k\alpha^2 = 0. \end{aligned}$$

This, together with our assumption and Proposition A, tells us that  $M$  has at most five distinct constant principal curvatures. Thus according to Theorem B,  $M$  is a homogeneous one. Then taking account of Berndt's classification theorem [1], we obtain that  $M$  is congruent to one of type  $A_0, A_1, A_2$  and  $B$ . Thus we must check whether or not these four model spaces satisfy the condition (2.2) one by one. Since  $\alpha^2 \neq 4$ , it is enough to check (2.2) for the type  $A_1, A_2$  and  $B$ .

First of all, let  $M$  be the type  $B$ . Then from the table in [1], we get  $\alpha = 2 \tanh(2r)$ ,  $\lambda = \tanh(r)$  and  $\mu = \coth(r)$ , which implies

$$\lambda + \mu = \frac{4}{\alpha} \quad \text{and} \quad \lambda\mu = 1.$$

Combining this with (2.3), we find  $k = (4/\alpha)^2 - h(4/\alpha) - 2$ . If we substitute this into (2.4), then we have

$$\begin{aligned} &4\alpha^2\lambda^4 - (4\alpha^3 + 4\alpha^2h)\lambda^3 + 2(\alpha^4 + \alpha^3h + 4\alpha^2 + 8\alpha h - 32)\lambda^2 \\ &- 4(3\alpha^3 + 3\alpha^2h - 16\alpha)\lambda + 2\alpha^4 + 2\alpha^3h - 12\alpha^2 = 0. \end{aligned}$$

Then this equation can be decomposed into

$$(\alpha\lambda^2 - 4\lambda + \alpha)(2\alpha\lambda^2 - 2(\alpha^2 + \alpha h - 4)\lambda + \alpha^3 + \alpha^2h - 6\alpha) = 0.$$

Since the roots  $\tanh(r)$  and  $\coth(r)$  of the type  $B$  satisfy the quadratic equation  $\alpha\lambda^2 - 4\lambda + \alpha = 0$ , we see that the type  $B$  satisfies (2.2).

Next, let  $M$  be one of type  $A_1$  and  $A_2$ . Then owing to Theorem C, our condition (2.2) is equivalent to

$$(2.5) \quad A^2\phi - hA\phi = \frac{k}{2}\phi, \quad k = -2(2n + 1) - k_1.$$

If  $M$  is the type  $A_2$ , then  $M$  has three distinct constant principal curvatures  $\alpha = 2 \coth(2r)$ ,  $\lambda = \tanh(r)$  and  $\mu = \coth(r)$ , where  $0 < \lambda < 1$ . Thus we have

$$\coth^2(r) - \tanh^2(r) - h(\coth(r) - \tanh(r)) = 0,$$

which implies  $\tanh(r) + \coth(r) = h$  because of  $\tanh(r) \neq \coth(r)$ , that is,  $\alpha = h$ . Substituting this into (2.5) we get  $k = -2$  and hence we have  $k_1 = -4n$ . Then (2.1) implies  $S\phi + \phi S = -4n\phi$ . Combining this with (1.6) and Theorem C, it follows  $S\phi = \phi S = -2n\phi$ . Then  $S = -2nI + b\eta \otimes \xi$  for some function  $b$  on  $M$ . Thus we obtain the type  $A_2$  satisfying (2.1) is pseudo-Einstein. But it is contrary to Theorem D. Therefore the type  $A_2$  can not occur. If  $M$  is the type  $A_1$ , then  $M$  has two distinct constant principal curvatures  $\alpha = 2 \coth(2r)$  and  $\lambda = \tanh(r)$  if  $0 < \lambda < 1$  or  $\lambda = \coth(r)$  if  $\lambda > 1$ . Thus (2.5) yields  $k = -2(1 + 2(n-1)\tanh^2(r))$  or  $k = -2(1 + 2(n-1)\coth^2(r))$ . Therefore for such constant  $k$  the type  $A_1$  satisfies (2.5).

Case (II): First, we consider the subcase where  $\alpha = 2$ . Then (1.8) gives forth to

$$(\lambda - 1)A\phi X = (\lambda - 1)\phi X.$$

Let us take an open set  $M_0 = \{x \in M | \lambda(x) \neq 1\}$ . Then  $A\phi X = \phi X$  on  $M_0$ , which implies  $\mu = 1$  on  $M_0$ . Combining this with (2.3), we get  $\lambda^2 - h\lambda + (1 - h - k) = 0$  on  $M_0$ , which means  $\lambda$  is constant on  $M_0$ . On the other hand, we have  $\lambda = 1$  on  $M - M_0$ . Thus, the continuity of principal curvatures yields the fact that if the set  $M - M_0$  is not empty, then  $\lambda = 1$  on  $M$ . Hence  $M$  is the type  $A_0$ . For the case where  $M_0$  coincides with the whole  $M$ , we find  $2\lambda - \alpha \neq 0$  and this case was discussed in the Case (I).

Conversely, let  $M$  be the type  $A_0$ . Then  $M$  has two distinct constant principal curvatures  $\alpha = 2$  and  $\lambda = 1$ . Substituting these into (2.5), we get  $k = 2(1 - h) = 2(1 - 2n)$ . Thus for such constant  $k$ , the type  $A_0$  satisfies (2.5), namely, (2.2).

Next, let  $\alpha = -2$ . Then, by the same method as the above we have  $M$  is the type  $A_0$ .  $\square$

According to lemma 2.1 and Theorem 2.4 the following is immediate.



**Theorem 2.5.** *Let  $M$  be a real hypersurface in  $H_n\mathbb{C}$ . Assume that  $\xi$  is principal. Then it satisfies  $S\phi + \phi S = k\phi$  for a constant  $k$  if and only if  $M$  is locally congruent to one of the following:*

- (A<sub>0</sub>) *a horosphere in  $H_n\mathbb{C}$ ,*
- (A<sub>1</sub>) *a geodesic hypersphere  $H_0\mathbb{C}$  or a tube over a hyperplane  $H_{n-1}\mathbb{C}$ ,*
- (B) *a tube over a totally real hyperbolic space  $H_n\mathbb{R}$ .*

### 3. Real hypersurfaces satisfying $S\phi S = k\phi$

Let  $M$  be a real hypersurface in a complex space form  $M_n(c)$ ,  $c \neq 0$ . In this section, we will consider  $M$  satisfying the following condition:

$$(3.1) \quad S\phi S = k_1\phi \quad (k_1 = \text{constant}).$$

From (1.6) it follows that the condition (3.1) is equivalent to

$$(3.2) \quad \begin{aligned} c(2n+1)h(A\phi + \phi A) - c(2n+1)(A^2\phi + \phi A^2) \\ + h^2 A\phi A - h(A^2\phi A + A\phi A^2) + A^2\phi A^2 = k\phi, \\ k = k_1 - c^2(2n+1)^2. \end{aligned}$$

Then we first have the following.

**Theorem 3.1.** *Let  $M$  be a real hypersurface in  $P_n\mathbb{C}$ ,  $n \geq 3$ . Then it satisfies  $S\phi S = k\phi$  for a constant  $k$  and  $\xi$  is principal if and only if  $M$  is locally congruent to one of the following:*

- (A<sub>1</sub>) *a tube of radius  $r$  over a hyperplane  $P_{n-1}\mathbb{C}$ , where  $0 < r < \frac{\pi}{2}$ ,*
- (B) *a tube of radius  $r$  over a complex quadratic  $Q_{n-1}$ ,  
where  $0 < r < \frac{\pi}{4}$ .*

*Proof.* Assume that  $\xi$  is principal. Let  $X$  be a principal (unit) vector orthogonal to  $\xi$  with the corresponding principal curvature  $\lambda$ . Then we see from (1.9) that  $\phi X$  is also a principal curvature (unit) vector

orthogonal to  $\xi$  with the corresponding principal curvature  $\mu = (\alpha\lambda + 2)/(2\lambda - \alpha)$ , where we have set  $c = 1$ . Thus our condition (3.2) means

$$(3.3) \quad \lambda^2 \mu^2 - (2n + 1)(\lambda^2 + \mu^2) - h\lambda\mu(\lambda + \mu) + h(2n + 1)(\lambda + \mu) + h^2 \lambda \mu = k, \quad k = k_1 - (2n + 1)^2.$$

Then we get

$$(3.4) \quad (\alpha^2 - 2\alpha h - 8n - 4)\lambda^4 + 2(4\alpha + \alpha h^2 + 4\alpha n + 4hn)\lambda^3 - (\alpha^2(2 + h^2 + 4n) + 4\alpha h(1 + n) + 4(k - h^2 - 1))\lambda^2 + 2(\alpha(2k - h^2 - 4n - 2) + 4hn)\lambda - \alpha^2 k - 2\alpha h(2n + 1) - 8n - 4 = 0.$$

Owing to Proposition A, (3.4) tells us that  $M$  has at most five distinct constant principal curvatures. Thus, according to a theorem due to Kimura [6]  $M$  is homogeneous one. By virtue of the classification theorem in [13],  $M$  is one of type  $A_1, A_2, B, C, D$  and  $E$ . Hence, in order to prove our theorem we must check the condition (3.2) one by one for the above six model spaces.

First, let  $M$  be one of type  $C, D$  and  $E$ . Then from the table in [13], it follows that

$$\lambda + \mu = -\frac{4}{\alpha} \quad \text{and} \quad \lambda\mu = -1,$$

where  $\lambda = \cot(r - \pi/4)$ ,  $\mu = -\tan(r - \pi/4)$  (resp.  $\lambda = \cot r$ ,  $\mu = -\tan r$ ) and  $\alpha = 2 \cot 2r$ . Thus taking account of this and (3.3) we find  $k = -(2n + 1)h(4/\alpha) - (2n + 1)(2\alpha^2 + 16)/\alpha^2 - h^2 - h(4/\alpha) + 1$ . The substitution of this into (3.4) gives rise to

$$(3.5) \quad (\alpha^4 - 2\alpha^3 h - 8\alpha^2 n - 4\alpha^2)\lambda^4 + 2(\alpha^3(h^2 + 4n + 4))\lambda^3 + 4\alpha^2 hn)\lambda^3 - (\alpha^4(h^2 + 4n + 2) + 4\alpha^3 h(n + 1) - 8\alpha^2(h^2 + 2n + 1) - 32\alpha h(n + 1) - 128n - 64)\lambda^2 - (2\alpha^3(3h^2 + 12n + 4) + 8\alpha^2 h(3n + 4) + 64\alpha(2n + 1))\lambda + \alpha^4(h^2 + 4n + 1) + 2\alpha^3 h(2n + 3) + 12\alpha^2(2n + 1) = 0.$$

Then (3.5) can be decomposed into

(3.6)

$$\begin{aligned} & (\alpha\lambda^2 + 4\lambda - \alpha)((\alpha^3 - 2\alpha^2h - 4\alpha - 8\alpha n)\lambda^2 \\ & + (2\alpha^2(h^2 + 4n + 2) + 8\alpha h(n + 1) + 32n + 16)\lambda \\ & - \alpha^3(4n + h^2 + 1) - 2\alpha^2h(2n + 3) - 12\alpha(2n + 1)) = 0. \end{aligned}$$

Since  $\cot(r - \pi/4)$  and  $-\tan(r - \pi/4)$  satisfy the quadratic equation  $\alpha\lambda^2 + 4\lambda - \alpha = 0$ , another roots  $\cot r$  and  $-\tan r$  of the types  $C, D$  and  $E$  must satisfy

$$\begin{aligned} & ((\alpha^3 - 2\alpha^2h - 4\alpha - 8\alpha n)\lambda^2 + (2\alpha^2(h^2 + 4n + 2) + 8\alpha h(n + 1) + 32n \\ & + 16)\lambda - \alpha^3(4n + h^2 + 1) - 2\alpha^2h(2n + 3) - 12\alpha(2n + 1)) = 0. \end{aligned}$$

However, since  $\cot r$  and  $-\tan r$  are the roots of the quadratic equation  $\lambda^2 - \alpha\lambda - 1 = 0$ , comparing these two quadratic equations, we have

$$\begin{aligned} & \alpha^3 - 2h\alpha^2 - 4(2n + 1)\alpha - 1 = 0, \\ & 2(h^2 + 4n + 2)\alpha^2 + (8h(n + 1) + 1)\alpha + 16(2n + 1) = 0, \\ & (4n + h^2 + 1)\alpha^3 + 2h(2n + 3)\alpha^2 + 12(2n + 1)\alpha - 1 = 0. \end{aligned}$$

Taking account of  $\alpha$  and  $h$  of these types  $C, D$  and  $E$ , we have a contradiction. Hence the type  $C, D$  and  $E$  can not occur.

Next, let  $M$  be the type  $B$ . From the table in [13], we see that  $\lambda + \mu = -4/\alpha$  and  $\lambda\mu = -1$ , where  $\lambda = \cot(r - \pi/4)$ ,  $\mu = -\tan(r - \pi/4)$  and  $\alpha = 2 \cot 2r$ . Then taking account of (3.6) we see that the type  $B$  satisfies the condition (3.2).

Last, let  $M$  be one of type  $A_1$  and  $A_2$ . Then owing to Theorem C, (3.2) equals to

$$\begin{aligned} (3.7) \quad & \lambda^4 - 2h\lambda^3 + (h^2 - 2(2n + 1))\lambda^2 + 2(2n + 1)h\lambda = k, \\ & k = k_1 - (2n + 1)^2. \end{aligned}$$

If  $M$  is the type  $A_2$ , then  $M$  has three distinct principal curvatures  $\alpha = 2 \cot 2r$ ,  $\lambda = -\tan r$  and  $\mu = \cot r$ . Thus we have

$$\begin{aligned} & 2h(2n + 1)(\cot r + \tan r) + (h^2 - 2(2n + 1))(\cot^2 r \\ & - \tan^2 r) - 2h(\cot^3 r + \tan^3 r) + \cot^4 r - \tan^4 r = 0, \end{aligned}$$

which yields

$$(h - \cot r + \tan r)(\cot r + \tan r)(\cot^2 r + \tan^2 r - h(\cot r - \tan r) - 4n - 2) = 0.$$

Then we get  $\alpha = h$  or  $\alpha^2 - \alpha h - 4n = 0$  because of  $\cot r + \tan r \neq 0$ . First, let  $\alpha = h$ . Substituting this into (3.7) we get  $k = -2(2n + 1)$  and hence we have  $k_1 = 4n^2 - 1$ . Then (3.1) implies  $S\phi S = (4n^2 - 1)\phi$ . Combining this with (1.6) and Theorem C, it follows  $S\phi = \phi S = \pm\sqrt{4n^2 - 1}\phi$ . Then  $S = \pm\sqrt{4n^2 - 1}I + b\eta \otimes \xi$  for some function  $b$  on  $M$ , that is,  $M$  is pseudo-Einstein. But, owing to well-known theorem (cf. [2], [7], [15]) of pseudo-Einstein real hypersurfaces in  $P_n\mathbb{C}$ , we see that this is not the case. Next, let  $\alpha^2 - h\alpha - 4n = 0$ . Then we get  $\alpha(\alpha - h) = 4n$ . Since  $M$  is type  $A_2$ , we have  $h = \alpha + 2(p - 1)\cot r - 2(q - 1)\tan r$ . Substituting this into the above equation, we obtain  $(p - 1)\cot^2 r + (q - 1)\tan^2 r = -2(n + 1) + p + q$ . This implies  $p + q \geq 2(n + 1)$  and hence it is contrary to the fact that  $4 \leq p + q \leq n + 1$ . Therefore this is not the case, too. Therefore, the type  $A_2$  does not occur.

If  $M$  is the type  $A_1$ , then  $M$  has two distinct principal curvatures  $\alpha = 2 \cot 2r$  and  $\lambda = \cot r$ . Thus from (3.7) it follows that for constant  $k$  such that  $k = \cot^4 r - 2h \cot^3 r + (h^2 - 2(2n + 1)) \cot^2 r + 2(2n + 1)h \cot r$ , the type  $A_1$  satisfies (3.2).  $\square$

For a real hypersurface of  $H_n\mathbb{C}$  we have the following.

**Theorem 3.2.** *Let  $M$  be a real hypersurface in  $H_n\mathbb{C}$ ,  $n \geq 2$ . Then it satisfies  $S\phi S = k\phi$  for a constant  $k$  and  $\xi$  is principal if and only if  $M$  is locally congruent to one of the following:*

- (A<sub>0</sub>) a horosphere in  $H_n\mathbb{C}$ ,
- (A<sub>1</sub>) a geodesic hypersphere  $H_0\mathbb{C}$  or a tube over a hyperplane  $H_{n-1}\mathbb{C}$ ,
- (B) a tube over a totally real hyperbolic space  $H_n\mathbb{R}$ .

*Proof.* Assume that  $\xi$  is principal. Let  $X$  be a principal (unit) vector orthogonal to  $\xi$  with the corresponding principal curvature  $\lambda$ . From Proposition A and (1.9) we can consider the following two cases: (I)  $\alpha^2 - 4 \neq 0$  and (II)  $\alpha^2 - 4 = 0$ .

Case (I): Since  $2\lambda - \alpha \neq 0$ , we see from (1.9) that  $\phi X$  is also a principal (unit) vector orthogonal to  $\xi$  with the corresponding principal curvature  $\lambda = (\alpha\lambda - 2)/(2\lambda - \alpha)$ , where we have set  $c = -1$ . Thus our condition (3.2) means

$$(3.8) \quad \lambda^2 \mu^2 + (2n + 1)(\lambda^2 + \mu^2) - h\lambda\mu(\lambda + \mu) - h(2n + 1)(\lambda + \mu) + h^2 \lambda \mu = k, \quad k = k_1 - (2n + 1)^2.$$

Then we get

$$(3.9) \quad (\alpha^2 - 2\alpha h + 8n + 4)\lambda^4 + 2(\alpha h^2 - 4\alpha - 4\alpha n - 4hn)\lambda^3 + (\alpha^2(2 - h^2 + 4n) + 4\alpha h(1 + n) - 4(k + h^2 - 1))\lambda^2 + 2(\alpha(2k + h^2 - 4n - 2) + 4hn)\lambda - \alpha^2 k - 2\alpha h(2n + 1) + 8n + 4 = 0.$$

Owing to Proposition A, (3.9) tells us that  $M$  has at most five distinct constant principal curvatures. Thus, according to a theorem due to Berndt [1]  $M$  is homogeneous one, that is,  $M$  is congruent to one of type  $A_0, A_1, A_2$  and  $B$ . Thus by the same argument as the above theorem we must check the condition (3.2) one by one for these four model spaces. Since  $\alpha^2 \neq 4$ , it is enough to check (3.2) for the type  $A_1, A_2$  and  $B$ .

First of all, let  $M$  be the type  $B$ . Then from the table in [1], we get  $\alpha = 2 \tanh(2r)$ ,  $\lambda = \tanh(r)$  and  $\mu = \coth(r)$ , which implies

$$\lambda + \mu = \frac{4}{\alpha} \quad \text{and} \quad \lambda\mu = 1.$$

Combining this with (3.8), we obtain  $k = -(2n + 1)h(4/\alpha) + (2n + 1)(16 - 2\alpha^2)/\alpha^2 + h^2 - h(4/\alpha) + 1$ . The substitution of this into (3.9) gives rise to

$$(3.10) \quad (\alpha^4 - 2\alpha^3 h + 8\alpha^2 n + 4\alpha^2)\lambda^4 + 2(\alpha^3(h^2 - 4n - 4) - 4\alpha^2 hn)\lambda^3 + (\alpha^4(4n - h^2 + 2) + 4\alpha^3 h(n + 1) + 8\alpha^2(2n + 1 - h^2) + 32\alpha h(n + 1) - 64(2n + 1))\lambda^2 + (2\alpha^3(3h^2 - 12n - 4) - 8\alpha^2 h(3n + 4) + 64\alpha(2n + 1))\lambda + \alpha^4(4n + 1 - h^2) + 2\alpha^3 h(2n + 3) - 12\alpha^2(2n + 1) = 0.$$

Then (3.10) can be decomposed into

$$\begin{aligned} & (\alpha\lambda^2 - 4\lambda + \alpha)((\alpha^3 - 2\alpha^2h + 4\alpha(2n + 1))\lambda^2 \\ & + (2\alpha^2(h^2 - 4n - 2) - 8\alpha h(n + 1) + 32n + 16)\lambda \\ & + \alpha^3(n + 1 - h^2) + 2\alpha^2h(2n + 3) - 12\alpha(2n + 1)) = 0. \end{aligned}$$

Since the roots  $\tanh(r)$  and  $\coth(r)$  of the type  $B$  satisfy the quadratic equation  $\alpha\lambda^2 - 4\lambda + \alpha = 0$ , we see that the type  $B$  satisfies (3.2).

Next, let  $M$  be one of type  $A_1$  and  $A_2$ . Then owing to Theorem C (3.8) is equivalent to

$$\begin{aligned} (3.11) \quad & \lambda^4 - 2h\lambda^3 + (h^2 + 2(2n + 1))\lambda^2 - 2(2n + 1)h\lambda = k, \\ & k = k_1 - (2n + 1)^2. \end{aligned}$$

If  $M$  is the type  $A_2$ , then  $M$  has three distinct constant principal curvatures  $\alpha = 2\coth(2r)$ ,  $\lambda = \tanh(r)$  and  $\mu = \coth(r)$ , where  $0 < \lambda < 1$ . Thus by means of (3.11) we have

$$\begin{aligned} & \tanh^4(r) - \coth^4(r) - 2h(\tanh^3(r) - \coth^3(r)) + (h^2 + 2(2n + 1)) \\ & (\tanh^2(r) - \coth^2(r)) - 2(2n + 1)h(\tanh(r) - \coth(r)) = 0, \end{aligned}$$

which yields

$$\begin{aligned} & (h - \coth(r) - \tanh(r))(\tanh(r) - \coth(r))(\coth^2(r) \\ & + \tanh^2(r) - h(\coth(r) + \tanh(r)) + 4n + 2) = 0. \end{aligned}$$

Then we get  $\alpha = h$  or  $\alpha^2 - h\alpha + 4n = 0$  because of  $\coth(r) - \tanh(r) \neq 0$ . First, let  $\alpha = h$ . Substituting this into (3.11) we get  $k = 2(2n + 1)$  and hence we have  $k_1 = (2n + 1)(2n + 3)$ . Then (3.1) implies  $S\phi S = (2n + 1)(2n + 3)\phi$ . Combining this with (1.6) and Theorem C, it follows  $S\phi = \phi S = \pm\sqrt{(2n + 1)(2n + 3)}\phi$ . Then  $S = \pm\sqrt{(2n + 1)(2n + 3)}I + b\eta \otimes \xi$  for some function  $b$  on  $M$ , that is,  $M$  is pseudo-Einstein. However, owing to Theorem D, we see that this is not the case. Next, let  $\alpha^2 - h\alpha + 4n = 0$ . Then we get  $\alpha(\alpha - h) = -4n$ . Since we may say  $\alpha \neq h$ , we have  $\alpha = 4n/(h - \alpha)$ . On the other hand, type  $A_2$  satisfies the

quadratic equation  $\alpha\lambda^2 - 4\lambda + \alpha = 0$ . Combining these two equations we get  $\tanh^2(r) = \{p - (n + 1)\} / \{(n + 1) - q\}$  or  $\coth^2(r) = \{q - (n + 1)\} / \{(n + 1) - p\}$ . This is contrary to the fact that  $4 \leq p + q \leq n + 1$ . Therefore this is not the case, too. Consequently, the type  $A_2$  can not occur. If  $M$  is the type  $A_1$ , then  $M$  has two distinct constant principal curvatures  $\alpha = 2 \coth(2r)$  and  $\lambda = \tanh(r)$  if  $0 < \lambda < 1$  or  $\lambda = \coth(r)$  if  $\lambda > 1$ . Then from (3.11), it follows that for constant  $k$  such that  $k = \tanh^4(r) - 2h \tanh^3(r) + (h^2 + 2(2n + 1)) \tanh^2(r) - 2(2n + 1)h \tanh(r)$  or  $k = \coth^4(r) - 2h \coth^3(r) + (h^2 + 2(2n + 1)) \coth^2(r) - 2(2n + 1)h \coth(r)$ , the type  $A_1$  satisfies (3.8).

Case (II): First, we consider the subcase where  $\alpha = 2$ . Then (1.8) gives forth to

$$(\lambda - 1)A\phi X = (\lambda - 1)\phi X.$$

Let us take an open set  $M_0 = \{x \in M | \lambda(x) \neq 1\}$ . Then  $A\phi X = \phi X$  on  $M_0$ , which implies  $\mu = 1$ . Combining this with (3.8), we get  $(2(n + 1) - h)\lambda^2 + (h^2 - 2h(n + 1))\lambda + (2n + 1)(1 - h) - k = 0$  on  $M_0$ , which means  $\lambda$  is constant on  $M_0$ . On the other hand, we have  $\lambda = 1$  on  $M - M_0$ . Thus, the continuity of principal curvatures yields the fact that if the set  $M - M_0$  is not empty, then  $\lambda = 1$  on  $M$ . Hence  $M$  is the type  $A_0$ . For the case where  $M_0$  coincides with the whole  $M$ , we find  $2\lambda - \alpha \neq 0$  and this case was discussed in the Case (I).

Conversely, let  $M$  be the type  $A_0$ . Then  $M$  has two distinct constant principal curvatures  $\alpha = 2$  and  $\lambda = 1$ . Substituting these into (3.11), we obtain  $k = h^2 - 4(n + 1)h + 4n + 3 = 3 - 4n - 4n^2$ . Thus for such constant  $k$  the type  $A_0$  satisfies (3.11), namely, (3.2).

Next, let  $\alpha = -2$ . Then, by the same method as the above we have  $M$  is the type  $A_0$ .  $\square$

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Received February 21, 1997