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# Strong Normalization Theorem for a Constructive Arithmetic with Definition by Transfinite Recursion and Bar Induction

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**Abstract** We prove the strong normalization theorem for the natural deduction system for the constructive arithmetic **TRDB** (the system with **D**efinition by **T**ransfinite **R**ecursion and **B**ar induction), which was introduced by Yasugi and Hayashi. We also establish the consistency of this system, applying the strong normalization theorem.

*1 Introduction* The main result of this paper is the strong normalization theorem for the natural deduction system for the constructive arithmetic **TRDB**. This system is a renewal version of the system **ASOD** (Analytic System especially designed for **O**rdinal **D**iagrams) which was introduced by Yasugi in [3]. In **ASOD**, Yasugi succeeded in constructing an accessibility proof of ordinal diagrams (see [3] and [4]). Yasugi and Hayashi [5] also have studied functional interpretations of proofs formalized in **TRDB** (see [3], [5], and [6]). For such studies, the normalizability of a proof formalized in **TRDB** is important.

The proof of the main result is based on [5] and on the proof of the strong normalization theorem for **HA** by Troelstra in [2]. For example, using *degrees* defined similarly to those in [5], we define *reducibility sets* similarly to the *strong validity predicate* in [2]. However, since a reducibility set in this paper consists of deductions whose consequences are *closed* formulas, there arises new difficulty in dealing with the reducibility of a deduction. The difficulty arises essentially from the inference rule: definition by transfinite recursion. We think that, in order to settle the difficulty, it is necessary to study a relation between the reducibility of a deduction  $\Pi$ (whose consequence is a closed formula) and that of a deduction  $\Pi'$  obtained from  $\Pi$  by substituting closed terms for free variables which are not eigenvariables. (See Lemma 3.19, Lemma 3.22 and Remark 3.21.) These lemmas are most crucial in our

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proof of the main result. Our proof would be applied to prove the strong normalization theorem for other systems with definition by transfinite recursion (and/or bar induction).

This paper consists of three sections: in Section 2, we define **TRDB** and degrees which give an order on **TRDB**-formulas; in Section 3, we prove the strong normalization theorem of reductions (of **TRDB**-deductions) defined in the same section; in Section 4, applying the strong normalization theorem, we establish the consistency of **TRDB** and prove the existence property and the disjunction property of **TRDB**.

**Notation 1.1** In Section 2, we define **TRDB** as the system formalized by the natural deduction system.

- Lower case alphabets x, ..., a, ..., t, ... denote terms. In particular, a, b, c, x, y and z denote variables. Upper case alphabets A, ..., A[t], ... denote formulas. Greek alphabets Π, Σ, Δ, ... denote (natural) deductions. t, ... x, ... denote finite sets of terms.
- 2. Given a deduction  $\Pi$ ,  $Cnsq(\Pi)$  denotes the consequence of  $\Pi$ . [A] denotes a live assumption of a deduction. We do not write explicitly the label of an assumption.
- 3. We define *subdeductions* of a deduction as follows: if  $\Pi$  is a deduction of the form

$$\frac{\begin{array}{cccc} \vdots & \Pi_1 & & \vdots & \Pi_n \\ A_1 & \cdots & A_n \\ \hline & A & & R \end{array},$$

then the set consisting of  $\Pi$  and all subdeductions of  $\Pi_i$  (i = 1, ..., n) forms the set of all subdeductions of  $\Pi$ .

- Let Π be a deduction with Cnsq(Π) = A, and let Σ be a deduction having a live assumption of the form [A]. Then Σ[Π/A] denotes the deduction obtained from Σ by substituting Π for the live assumption [A].
- 5. We assume that variables in a deduction are denoted by different alphabets from each other so far as is possible.  $\Pi_{[\vec{t}/\vec{x}]}$  denotes the deduction obtained from a deduction  $\Pi$  by substituting a term  $t_i$  for a free variable  $x_i$  in the consequence of  $\Pi$  (i = 1, ..., n), where  $\vec{x}$  denotes  $x_1, ..., x_n$  and  $\vec{t}$  denotes  $t_1, ..., t_n$ .  $\Pi^{[\vec{s}/\vec{y}]}$  denotes the deduction obtained from  $\Pi$  by substituting a term  $s_i$  for a free variable  $y_i$  in a live assumption of  $\Pi$  (i = 1, ..., m), where  $\vec{y}$  denotes  $y_1, ..., y_m$  and  $\vec{s}$  denotes  $s_1, ..., s_m$ . For example, if  $\Pi$  is a deduction of the form

$$\frac{[\forall x(0=1+x)]}{0=1+z} \forall -E [(Sy>0)] \\ \frac{(0=1+z) \land (Sy>0)}{0=1+z} \land -E \quad ,$$

then

$$\Pi_{[n/z]} = \frac{\begin{bmatrix} \forall x(0=1+x) \end{bmatrix}}{0=1+n} \forall E \quad [(Sy>0)] \\ \frac{(0=1+n) \land (Sy>0)}{0=1+n} \land E \land E$$

$$\Pi^{[m/y]} = \frac{\frac{[\forall x(0=1+x)]}{0=1+z} \forall -E [(Sm>0)]}{\frac{(0=1+z) \land (Sm>0)}{0=1+z} \land -E} \land -I .$$

(We mostly follow [2], but there are some terminologies which are used in different context from those in [2]. Such terminologies are explicitly defined in this paper.)

**2 TRDB** In this section, we define the system **TRDB**, which is defined in [5] and [6]. This system, in particular the language of this, seems to be highly specialized. The reason is that **TRDB** is defined so that one can use the system directly for formalizing accessibility proofs and can construct functional interpretations of formalized accessibility proofs (see [3], [5], and [6]). However, in this paper, these special properties of **TRDB** are not important except the two inference rules: definition by transfinite recursion and bar induction. Therefore, the reader, who is interested not in accessibility proofs but in the strong normalization theorem for constructive arithmetics, may consider **TRDB** as **HA** with definition by transfinite recursion and bar induction. (However, the reader should notice the special logical symbol  $\rho$ , which is introduced only for technical reasons. See Remark 2.2 (5).)

In what follows, a word 'integer' means 'non-negative integer'.

**Definition 2.1** Preceding to the definition of **TRDB**, we specify a primitive recursive well-ordered set  $I (= (I, <_I))$ . We identify the domain set I with the set of all integers.

Symbols

- 1. Countably many *n*-ary variables, where *n* is an integer
- 2. Function constants for primitive recursive functions in function parameters
- 3. A designated unary function constant c
- 4. Predicate constants for primitive recursive predicates in function parameters
- 5. A special predicate constant H
- 6. Logical symbols  $\land, \lor, \supset, \forall$  and  $\exists$
- 7. A special logical symbol  $\rho$

Terms

- 1. Variables and function constants
- 2. If f is an *n*-ary term and if  $t_1, t_2, ..., t_n$  are 0-ary terms, then  $f(t_1, t_2, ..., t_n)$  is a 0-ary term. We often call 0-ary terms *number terms*.
- 3. If *t* is a number term and if  $x_1, x_2, ..., x_n$  are number variables, then  $\lambda x_1 \lambda x_2 \dots \lambda x_n .t$  is an *n*-ary term, where  $\lambda$  is the lambda abstraction.

Formulas

- 1. If p is an n-ary predicate constant and  $t_1, \ldots, t_n$  are appropriate terms, then  $p(t_1, \ldots, t_n)$  is an atomic formula. In particular, if s and t are number terms, then H(s, t) is an atomic formula.
- 2. If A and B are formulas, then  $A \wedge B$ ,  $A \vee B$ ,  $A \supset B$ , and  $\forall xA$  are formulas, where x is a variable.

- 3. If A is a formula, then  $\exists x A$  is a formula, where the variable x in A is 0-ary and it does not occur in any H in A.
- 4. Let = denote a 2-ary predicate constant expressing the equality of integers; let 0 and 1 denote 0-ary function constants expressing the integers 0 and 1 respectively; and let  $<_I$  denote a 2-ary predicate constant expressing the order of *I*. Then  $\rho((j <_I i \supset H(j, s)) \land ((j <_I i \supset 0 = 1) \supset 0 = 1))$  is a formula, where *i*, *j*, and *s* are arbitrary number terms. We abbreviate this formula by  $\rho(j <_I i; H(j, s))$ .

Axioms and inference rules

- TRDB contains inference rules of constructive logics formulated in natural deductions as usual: introduction rules ∧-*I*, ∨-*I*, ⊃-*I*, ∀-*I*, ∃-*I*; elimination rules ∧-*E*, ∨-*E*, ⊃-*E*, ∀-*E*, ∃-*E*, ⊥-*E*. ('⊥' means 0 = 1, see Definition 3.3 (3) in the next section.)
- 2. **TRDB** contains axioms and inference rules on constants of **PRA**<sup>2</sup> (primitive recursive arithmetic with function variables). (See [2] and Girard [1].) We give these axioms and inference rules as follows.
  - 2.1. For any number terms t and t',

$$t = t, \qquad \frac{\frac{1}{t} = t' \quad P[t]}{P[t']},$$

where P[t] denotes an atomic formula.

2.2. For any number terms t, t', and  $t_i$  (i = 1, ..., n),

$$\frac{\overset{\vdots}{0} = St}{0 = 1}, \qquad \frac{St = St'}{t = t'}, \qquad I_i^n(t_1, \dots, t_i, \dots, t_n) = t_i,$$

where *S* denotes a function constant expressing the successor function, and  $I_i^n$  ( $i \le n$ ) denotes a function constant expressing the *n*-place projection function.

2.3. Let  $PRF_n$  denote the set of all *n*-ary primitive recursive functions in function parameters. Let *F* be the element of  $PRF_{n+1}$  obtained from a  $G \in PRF_m$  and a  $K_i \in PRF_n$  (i = 1, ..., m) by functional composition:

$$F(x_1,\ldots,x_n)=G(K_1(x_1,\ldots,x_n),\ldots,K_m(x_1,\ldots,x_n)).$$

If f, g, and  $k_i$  denote function constants expressing F, G, and  $K_i$  respectively, then for any number terms  $t_i$  (i = 1, ..., n),  $u_j$  (j = 1, ..., m), and v,

$$\underbrace{g(u_1,\ldots,u_m)=v}_{f(t_1,\ldots,t_n)=u_1} \underbrace{k_m(t_1,\ldots,t_n)=u_m}_{f(t_1,\ldots,t_n)=v}$$

2.4. Let *F* be the element of  $PRF_{n+1}$  obtained from a  $K \in PRF_n$  and a  $G \in PRF_{n+2}$  by primitive recursion:

$$F(x_1,\ldots,x_n,0)=K(x_1,\ldots,x_n),$$

$$F(x_1, ..., x_n, Sx) = G(x_1, ..., x_n, x, F(x_1, ..., x_n, x)).$$

If *f*, *k*, and *g* denote function constants expressing *F*, *K*, and *G* respectively, then for any number terms  $t_i$  (i = 1, ..., n), *t*, *u*, and *v*,

$$\frac{\vdots}{f(t_1,\ldots,t_n)=u}, \qquad \frac{f(t_1,\ldots,t_n,t)=u}{f(t_1,\ldots,t_n,t)=v}$$

2.5. If *f* denotes a function constant expressing a characteristic function of an *n*-ary primitive recursive predicate in function parameters expressed by a predicate constant *p*, then for any number terms  $t_i$  (i = 1, ..., n),

$$\frac{f(t_1,\ldots,t_n)=0}{p(t_1,\ldots,t_n)} , \qquad \frac{p(t_1,\ldots,t_n)}{f(t_1,\ldots,t_n)=0}$$

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- 3. Well-foundedness of the set I
- 4. Monotone and elementary bar induction: proceeding to the definition of this inference rule, we give definitions as the following paragraphs.

Let R[a] be a formula, where *a* is a 0-ary variable, and let R[a] contain neither any quantifier, any *H*, nor any variable except *a*. Such a formula is said to be *elementary*.

We consider a bijective function  $\Phi$  from finite sequences of integers onto integers. It is well known that  $\Phi$  can be defined primitive recursively. We fix such a bijection  $\Phi$  which is defined primitive recursively.

Let R[a] be an elementary formula. Then R[a] is said to be *monotone* if R[a] satisfies the following conditions (i) and (ii).

- (i) For any infinite sequence f, there exists an integer n such that  $R[f \lceil n]$  holds, where  $f \lceil n$  denotes a number term expressing the integer assigned to the finite sequence  $\langle f(0), f(1), \ldots, f(n-1) \rangle$  by  $\Phi$ .
- (ii) For any infinite sequence *f* and for any integer *n*, *R*[*f* ⌈*n*] implies *R*[*f* ⌈*m*] for any *m* > *n*.

Let \* denote a 2-ary primitive recursive function constant satisfying the following: a \* b expresses the integer assigned to  $\langle a_1, \ldots, a_n, b \rangle$  by  $\Phi$ , where  $\langle a_1, \ldots, a_n \rangle$  is the finite sequence to which  $\Phi$  assigns *a*. We give an inference rule called a *BI-rule* as follows:

$$\frac{\underbrace{\forall z(R[z] \supset A[z]) \quad \forall z(\forall x A[z * x] \supset A[z])}_{A[t]} BI$$

where A[a] is an arbitrary formula, R[a] is an arbitrary monotone formula and t is an arbitrary number term.

5. Definition by transfinite recursion TRD(G, I): Preceding to the definition of this inference rule, we fix a formula G[a, b, H[a]], where *a* and *b* are 0-ary free variables, so that *G* satisfies the following conditions.

- (i) No free variable occurs in *G* except *a* or *b*.
- (ii) No *H* occurs in *G* except in a subformula of the form  $\rho(j <_I a; H(j, s))$ , where *j* and *s* are some number terms.
- (iii) No scope of an  $\exists$ -quantifier in *G* contains an *H*.

Here G[a, b, H[a]] is understood to denote a formula substituted for an abstract formula H[a] of the form

$${x, y}\rho((x <_I a; H(x, y))).$$

We give inference rules called *H*-rules as follows:

$$\frac{\underbrace{G[i, t, H[i]]}_{H(i, t)}}{H(i, t)} H-I, \qquad \frac{\underbrace{H(i, t)}_{G[i, t, H[i]]}}{H-E},$$

where *i* and *t* are arbitrary number terms.

6.  $\rho$ -elimination:

$$\frac{ \stackrel{\vdots}{\underset{(j < I}{i \supset H(j,s))}} }{(j < I i \supset H(j,s)) \land ((j < I i \supset 0 = 1) \supset 0 = 1)} \rho \cdot E,$$

where i, j, and s are arbitrary number terms.

Note that  $\rho$ -introduction is not defined as an inference rule in **TRDB**.

# Remark 2.2

- 1. **TRDB** is specified with a certain formula G and a certain primitive recursive ordered set I. So, **TRDB** should be written as **TRDB**(G, I) to be precise.
- 2. Let **TRDB**<sup>-</sup> be the system obtained from **TRDB** by removing the inference rule TRG(G, I) and the axiom for the well-foundedness of *I*. Since the bar induction defined in Definition 2.1 (4) implies the mathematical induction, every formula provable in **HA** is provable in **TRDB**<sup>-</sup>.
- 3. In this paper, we confine ourselves to the case where the order type of I is smaller than  $\varepsilon_0$ . So we can remove the axiom for the well-foundedness of I, since it is provable in **HA**.
- 4. When a term *t* is substituted for a variable *x* in a formula, *t* must have the same arity as that of *x*. In what follows, we assume that the above condition is satisfied whenever one considers such a substitution.
- 5.  $\rho(j <_I i; H(j, s))$  and  $\rho$ -*E* entail the following restriction: *no* deduction  $\Pi$  contains an inference rule

$$\begin{array}{c} \vdots \\ j <_I i \supset H(j,s) \\ \hline (j <_I i \supset H(j,s)) \land ((j <_I i \supset 0 = 1) \supset 0 = 1) \\ \vdots \\ \end{array} \land -I ,$$

where the conclusion of the  $\wedge$ -*I* occurs in a formula G[i, t, H[i]] contained in  $\Pi$ . The reason why  $\rho(j <_I i; H(j, s))$  and  $\rho$ -*E* are introduced in the definition

of **TRDB** is only that **TRDB** should satisfy the above restriction. In fact, except the restriction, there is no essential difference between **TRDB** and that without  $\rho(j <_I i; H(j, s))$  and  $\rho$ -*E*. The restriction essentially has an effect only on Proposition 2.4 (2).

We shall define a degree of a formula of **TRDB**. The definition is taken after the degree of a type-form in [5].

## **Definition 2.3**

1. For a primitive recursive well-ordered set  $I = (I, <_I)$  which we assume in defining **TRDB**, we define  $I^* = (I^*, <^*)$  as follows:

$$I^{\sim} = \{i^{\sim}; i \in I\}; I^* = I \cup I^{\sim} \cup \{\infty\}; i <^* i^{\sim} <^* j <^* \infty \text{ when } i <_I j.$$

Moreover, we define  $I_* = (I_*, <_*)$  so that  $I_* = \omega^{I^*}$ , where we identify  $I^*$  with the ordinal type of itself.

- 2. Let *A* be an *H*-formula, that is, a formula which contains the predicate constant *H*. Let  $\overline{H}$  denote an occurrence of the predicate constant *H* in *A*. Then we define  $r(\overline{H}; A) (\in I^*)$  as the following conditions.
  - (i) Suppose that  $\overline{H}$  is an occurrence in a subformula of A which is of the form  $\rho(j <_I i; H(j, s))$ .
    - (i-1) If *i* is closed, then  $r(\overline{H}; A) = i$ ;
    - (i-2) If *i* contains a variable, then  $r(\overline{H}; A) = \infty$ .
  - (ii) Suppose that  $\overline{H}$  occurs in a subformula of the form H(j, s) and that  $\overline{H}$  does not satisfy (i).
    - (ii-1) If *j* is closed, then  $r(\overline{H}; A) = j^{\sim}$ ;
    - (ii-2) If *j* contains a variable, then  $r(\overline{H}; A) = \infty$ .
- 3. Let  $A_0$  be a formula, and let  $\overline{A}$  be an occurrence of a subformula in  $A_0$ . Then we define the degree of  $\overline{A}$  in  $A_0$  denoted by  $d(\overline{A}; A_0) (\in I_*)$  as follows:
  - (i) if  $\overline{A}$  is an atomic formula except an *H*-formula,  $d(\overline{A}; A_0) = 1$ ;
  - (ii)  $d(\overline{B \wedge C}; A_0) = \max(d(\overline{B}; A_0), d(\overline{C}; A_0)) + 1$ , where  $\overline{B}$  and  $\overline{C}$  are occurrences in  $\overline{B \wedge C}$ ;
  - (iii)  $d(\overline{B \vee C}; A_0) = \max(d(\overline{B}; A_0), d(\overline{C}; A_0)) + 1$ , where  $\overline{B}$  and  $\overline{C}$  are occurrences in  $\overline{B \vee C}$ ;
  - (iv)  $d(\overline{B \supset C}; A_0) = \max(d(\overline{B}; A_0), d(\overline{C}; A_0)) + 1$ , where  $\overline{B}$  and  $\overline{C}$  are occurrences in  $\overline{B \supset C}$ ;
  - (v)  $d(\overline{\forall x B[x]}; A_0) = d(\overline{B[x]}; A_0) + 1$ , where  $\overline{B[x]}$  is an occurrence in  $\overline{\forall x B[x]}$ ;
  - (vi)  $d(\overline{\exists x B[x]}; A_0) = d(\overline{B[x]}; A_0) + 1$ , where  $\overline{B[x]}$  is an occurrence in  $\overline{\exists x B[x]}$ ; (vii)  $d(\overline{\rho(i < i i; H(i, s))}; A_0) =$

$$d((j <_I i \supset H(j, s)) \land ((j <_I i \supset 0 = 1) \supset 0 = 1); A_0) + 1,$$

where  $\overline{(j <_I i \supset H(j, s))} \land ((j <_I i \supset 0 = 1) \supset 0 = 1)$  is an occurrence in  $\overline{\rho(j <_I i; H(j, s))};$ 

(viii)  $d(\overline{H(j,s)}; A_0) = \omega^{r(\overline{H};A_0)}$ , where  $\overline{H}$  is an occurrence in  $\overline{H(j,s)}$ . Put  $d(A_0) = d(\overline{A_0}; A_0)$ , and call this the *degree* of  $A_0$ .

# **Proposition 2.4**

- 1. Let G[i, t, H[i]] be the formula which determines the axiom TRD(G, I). If i is closed, then  $d(G[i, t, H[i]]) <_* d(H(i, t))$ .
- 2. Let A be a closed formula derived from a formula B by an introduction rule. Then  $d(B) <_* d(A)$ .

# Proof:

- 1. By Definitions 2.1 and 2.3,  $d(H(i, t)) = \omega^{i^{\sim}}$  and  $d(G[i, t, H[i]]) = \omega^{i} \cdot m + n$  for some integers *m* and *n*. Thus  $d(G[i, t, H[i]]) <_{*} d(H(i, t))$  holds by Definition 2.3.
- 2. (i) Suppose that A (= B ∧ C) is derived from closed formulas B and C by a ∧-I. By Definition 2.3 (2), r(H; B) = r(H; A) for any H in B, and r(H; C) = r(H; A) for any H in C. Therefore, by Definition 2.3 (3), d(B) and d(C) are smaller than d(A).

(ii) All other cases can be proved the same way as (i), using the above (1).  $\Box$ 

*3 Strong normalization theorem for* **TRDB** In this section, we define reductions of **TRDB**-deductions, and we show that every deduction is strongly normalizable for these reductions.

**Definition 3.1** A deduction  $\Pi$  is said to be *elementary* if  $\Pi$  has neither any live assumption, any  $\forall$ -rule, any  $\exists$ -rule, any *H*-rule, any  $\rho$ -*E*, any *BI*-rule, nor any free variable.

**Remark 3.2** For any elementary formula *R* which is closed and true, there exists an elementary deduction whose consequence is *R*. For any elementary formula *R* which is closed and true, we fix an elementary deduction  $\Theta_R$  whose consequence is *R*.

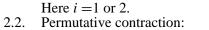
**Definition 3.3** For any deduction  $\Pi$ , we define the contraction of  $\Pi$  in the following (1)–(6). We let ' $\Pi \longrightarrow \Sigma$ ' mean that  $\Pi$  is contracted to  $\Sigma$ .

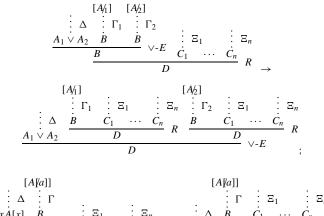
1. If  $\Pi$  is an axiom or a live assumption, then  $\Pi$  is not contracted.

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2. If  $\Pi$  has a logical inference rule as the last inference rule, then we follow Definition 4.1.3. in [2], that is, we give the contraction of  $\Pi$  as follows.

2.1. Proper contraction:





Here *R* is an elimination rule; *B* is the major premise of *R*; n = 0, 1 or 2.

2.3. Immediate simplification:

Here  $\Gamma_i$  and  $\Gamma$  have no live assumption which is discharged by the last inference rule of  $\Pi$ . Such an elimination is said to be *redundant*.

3. If  $\Pi$  has a  $\bot$ -*E* as the last inference rule, then  $\Pi$  is contracted as follows:

If  $\Pi$  has an *H*-*E* as the last inference rule, then we give the contraction of  $\Pi$  as 4. follows:

$$\begin{array}{c} \vdots \ \Delta \\ \hline G[i, t, H[i]] \\ \hline H(i, t) \\ \hline G[i, t, H[i]] \end{array} \begin{array}{c} H-I \\ H-E \\ \hline \end{array} \rightarrow G[i, t, H[i]] \end{array}$$

5. If  $\Pi$  has a *BI*-rule as the last inference rule and if  $\Pi$  is of the form

$$\frac{\begin{array}{c} \vdots \ \Delta \\ \forall z(R[z] \supset A[z]) \\ \hline A[t] \end{array}}{\forall z(\forall x A[z * x] \supset A[z])} BI,$$

where *t* is closed, then  $\Pi$  satisfies the following properties (5.1) and (5.2).

## 5.1. If R[t] is true, then

where  $\Theta_{R[t]}$  is the deduction fixed as in Remark 3.2.

5.2. If R[t] is false, then

$$\Pi \rightarrow \frac{\begin{array}{c} \vdots \ \Gamma \\ \forall z(\forall x A[z * x] \supset A[z]) \\ \forall x A[t * x] \supset A[t] \end{array}}{A[t]} \begin{array}{c} \vdots \ \Delta \\ \forall z(R[z] \supset A[z]) \\ \forall z(R[z] \supset A[z]) \\ \forall z(\forall x A[z * x] \supset A[z]) \\ \forall z(\forall x A[z * x] \supset A[z]) \\ \forall z A[t * x] \\ \neg -E. \end{array} \end{array} B I$$

6. Otherwise, any deduction cannot be contracted.

## **Definition 3.4**

- Suppose that Γ is a subdeduction of a deduction Π, Δ is a deduction with Γ → Δ, and that Σ is the deduction obtained from Π by replacing Γ by Δ. Then we say that Π is (*one-step-*) *reduced* to Σ. The last inference rule of the above deduction Γ is called the *reduction point* of Π → Σ, where Π → Σ means that Π is one-step-reduced to Σ.
- 2. If there exists a finite sequence of deductions such that  $\Pi = \Pi_0 \rightsquigarrow \cdots \rightsquigarrow \Pi_n = \Sigma$ , then we say that  $\Pi$  is reduced to  $\Sigma$ .  $\Pi \rightsquigarrow \gg \Sigma$  means that  $\Pi$  is reduced to  $\Sigma$  or  $\Pi = \Sigma$ . If  $\{\Pi_n\}_{n < M}$  ( $0 < M \le \omega$ ) is a sequence such that  $\Pi_0 = \Pi$  and  $\forall n \ (n + 1 < M \Longrightarrow \Pi_n \rightsquigarrow \Pi_{n+1})$ , then we call this sequence a *reduction sequence* of  $\Pi$ .

**Definition 3.5** A deduction  $\Pi$  is said to be *strongly normalizable* if every reduction sequence of  $\Pi$  is finite.  $\Pi \in SN$  means that  $\Pi$  is strongly normalizable. If there exists a deduction  $\Sigma$  such that  $\Pi \rightsquigarrow \Sigma$  and if there is no deduction to which  $\Sigma$  is reduced, then we call  $\Sigma$  a *normal form* of  $\Pi$ .

We will subsequently prove the strong normalizability in TRDB.

**Theorem 3.6** Any deduction in **TRDB** is strongly normalizable.

Preceding the proof, we give definitions and results. Using induction on the structure of a deduction, we establish the following definition.

**Definition 3.7** For any deduction  $\Pi$ ,  $\Pi$  is said to be *closed* if  $\Pi$  satisfies the following conditions.

- 1. If Π consists only of a live assumption [*A*] or an axiom *A*, then *A* is a closed formula.
- 2. If  $\Pi$  is of the form

$$\frac{\sum_{i=1}^{N} \Sigma}{\forall x A[x]} \forall -I ,$$

then A[t] is closed for any closed term *t*.

[R]

3. If  $\Pi$  is of the form

$$\begin{bmatrix} B[b] \\ \vdots \Sigma & \vdots \Delta \\ \exists x B[x] & A \\ A & \exists -E \\ A \end{bmatrix}$$

then  $\Sigma$  is closed and  $\dot{A}$  is closed for any closed term t.

4. If  $\Pi$  is not of the form in (1)–(3) and if  $\Pi$  is of the form

$$\frac{\stackrel{.}{\underset{A_1}{\overset{.}{\overset{.}}}}\Pi_1 \qquad \stackrel{.}{\underset{A_n}{\overset{.}{\overset{.}}}}\Pi_n}{A} R ,$$

then A is a closed formula and  $\Pi_i$  is a closed deduction for any  $i \leq n$ .

**Definition 3.8** Let  $\Pi$  be a deduction, and let *a* be a free variable contained in  $\Pi$ . If *a* is not an eigenvariable for any inference rule in  $\Pi$ , then *a* is said to be *strictly free* in  $\Pi$ .

# Lemma 3.9

- 1. If  $\Pi$  is a closed deduction, then there is not any strictly free variable in  $\Pi$ , in particular,  $Cnsq(\Pi)$  and all live assumptions of  $\Pi$  are closed formulas.
- 2. For any deduction  $\Pi$ , there exists a closed deduction  $\overline{\Pi}$  obtained from  $\Pi$  by substituting suitable closed terms for all strictly free variables in  $\Pi$ . We call  $\overline{\Pi}$  a closure of  $\Pi$ .
- 3. If  $\Pi$  and  $\Sigma$  are closed deductions, and if  $\Pi$  has a live assumption which is of the form  $[Cnsq(\Sigma)]$ , then  $\Pi[\Sigma/Cnsq(\Sigma)]$  is a closed deduction, where  $\Pi[\Sigma/Cnsq(\Sigma)]$  is the deduction obtained from  $\Pi$  by substituting  $\Sigma$  for the live assumption  $[Cnsq(\Sigma)]$ .
- 4. If  $\Pi$  is closed and  $\Pi \rightsquigarrow \Sigma$ , then  $\Sigma$  is closed.

*Proof:* Using induction on the structure of  $\Pi$ , this lemma can be proved easily.  $\Box$ 

## Lemma 3.10

- 1. Let  $\Pi$  and  $\Sigma$  be deductions with  $\Pi \rightsquigarrow \Sigma$ . Then any strictly free variable in  $\Pi$  is not an eigenvariable for any inference rule in  $\Sigma$ .
- Let Π and Σ be deductions with Π → Σ, let a be a strictly free variable in Π, let Π[t/a] be the deduction obtained from Π by substituting a term t for a, and let Σ\* be the deduction to which Π[t/a] is reduced by the same reduction as Π → Σ. Then Σ\* is the deduction obtained from Σ by substituting t for a.
- 3. Let  $\Pi$  be a deduction, let a be a strictly free variable in  $\Pi$ , and let  $\Pi[t/a]$  be the deduction obtained from  $\Pi$  by substituting a term t for a. If  $\Pi[t/a] \in SN$ , then  $\Pi \in SN$ .

*Proof:* (1) is trivial. (2) can be proved easily by induction on the structure of  $\Pi$ . Let  $\{\Pi_i\}_{i < M}$  be a reduction sequence of  $\Pi$ . By (2) in this lemma, there exists a reduction sequence  $\{\Pi_i[t/a]\}_{i < M}$  where  $\Pi_i[t/a]$  is the deduction obtained from  $\Pi_i$  by substituting the term *t* for the free variable *a*. So, *M* is finite.

**Lemma 3.11** If every closed deduction is strongly normalizable, then so is every deduction.

*Proof:* Let  $\Pi$  be a deduction. We prove  $\Pi \in SN$  by induction on the number k of strictly free variables in  $\Pi$ .

- (i) If k = 0, then  $\Pi \in SN$  since  $\Pi$  is a closed deduction by Lemma 3.9 (2).
- (ii) Suppose k > 0. Let  $\Pi[t/a]$  be the deduction obtained from  $\Pi$  by substituting a closed term *t* for a strictly free variable *a* in  $\Pi$ . By the induction hypothesis,  $\Pi[t/a] \in SN$ . Therefore,  $\Pi \in SN$  by Lemma 3.10 (3).

**Definition 3.12** (Troelstra [2]) Let  $\{S_i\}_{i \le n}$  be a sequence of (occurrences of) formulas in a deduction  $\Pi$ . This sequence is called a *segment* if it satisfies the following conditions.

- (i)  $S_1$  is not the conclusion of an  $\exists -E$  or a  $\lor -E$ .
- (ii) If *i* < *n*, then S<sub>i</sub> is the minor premise of an ∃-*E* or a ∨-*E* whose conclusion is S<sub>i+1</sub>.
- (iii)  $S_n$  is not the minor premise of an  $\exists -E$  or a  $\lor -E$ .

If there is a segment  $\{S_i\}_{i \le n}$  such that  $S_n = Cnsq(\Pi)$ , we call this an *end segment* of  $\Pi$ . If a deduction  $\Pi$  does not end with an *I*-rule,  $\Pi$  is said to be *neutral*.

We define a *reducibility* for a deduction, referring to Definition 4.1.9. in [2] and Definition 5.2. in [5].

**Definition 3.13** For any closed formula *A*, we define a *reducibility set* Red(A) which is a set of deductions whose consequence is *A*. The definition of  $\Pi \in Red(A)$  is primarily given by transfinite induction on the degree of *A*; for deductions  $\Pi$  with  $A = Cnsq(\Pi)$  of fixed complexity, the definition of  $\Pi \in Red(A)$  takes the form of a generalized inductive definition.

1. Suppose that  $\Pi$  is of the form

$$\frac{\begin{array}{ccc} \vdots \ \Pi_1 \\ A_1 \\ \hline \end{array} \\ \hline \begin{array}{ccc} A \\ \hline \end{array} \\ \hline \begin{array}{ccc} R \\ -I \end{array} ,$$

where *R*-*I* is an introduction rule. Then  $\Pi \in Red(A)$  if  $\Pi$  satisfies the following conditions (1.1)–(1.4).

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- 1.1. If R is  $\land$ ,  $\lor$ , or H, then  $\Pi_1 \in Red(A_1), \ldots, \Pi_n \in Red(A_n)$ .
- 1.2. If  $\Pi$  is of the form

$$\begin{bmatrix} B \\ \vdots \\ \Pi_1 \\ \hline C \\ B \supset C \end{bmatrix} \supset -I ,$$

then  $\Pi_1[\Sigma/B] \in Red(C)$  for any deduction  $\Sigma$  with  $\Sigma \in Red(B)$ .

1.3. If  $\Pi$  is of the form

$$\frac{\vdots}{B[b]}_{\forall xB[x]} \forall -I$$

then  $\Pi_{1[t/b]} \in Red(B[t])$  for any closed term *t*.

1.4. If  $\Pi$  is of the form

$$\begin{array}{c}
\vdots & \Pi_1 \\
\underline{B[t]} \\
\overline{\exists x B[x]} & \exists I \\
\end{array}$$

then  $\Pi_{1[\overline{t}/t]} \in Red(B[\overline{t}])$  for any closure  $\overline{t}$  of t.

- 2. Suppose that  $\Pi$  is neutral. Then  $\Pi \in Red(A)$  if  $\Pi$  satisfies the following conditions (2.1)-(2.3).
  - 2.1. For any deduction  $\Sigma$  with  $\Pi \rightsquigarrow \Sigma$ ,  $\Sigma \in Red(A)$ .
  - 2.2. If  $\Pi$  is of the form

$$\begin{array}{cccc} [A_1'] & [A_2'] \\ \vdots \ \Gamma & \vdots \ \Delta_1 & \vdots \ \Delta_2 \\ \hline A_1 \lor A_2 & A & A \\ \hline A & \lor -E \ , \end{array}$$

then  $\Pi$  satisfies the following:  $(\lor 1) \Gamma \in SN$ ;  $(\lor 2) \Delta_1, \Delta_2 \in Red(A)$ ;  $(\lor 3)$  for any  $\Gamma_1$  with  $\Gamma \rightsquigarrow \to \Gamma_1$  and for any  $\Gamma_2$  which is a subdeduction immediately above an end segment of  $\Gamma_1$  with  $Cnsq(\Gamma_2) = A_i, \Delta_i[\Gamma_2/A_i] \in Red(A)$ .

2.3. If  $\Pi$  is of the form

$$\begin{bmatrix} B[b] \\ \vdots \ \Gamma & \vdots \ \Delta \\ \exists x B[x] & A \\ \hline A \end{bmatrix} \exists -E$$

then  $\Pi$  satisfies the following: ( $\exists 1$ )  $\Gamma \in SN$ ; ( $\exists 2$ )  $\Delta \in Red(A)$ ; ( $\exists 3$ ) for any  $\Gamma_1$  with  $\Gamma \longrightarrow \Gamma_1$  and for any  $\Gamma_2$  which is a subdeduction immediately above an end segment of  $\Gamma_1$  with  $Cnsq(\Gamma_2) = B[t], \Delta^{[t/b]}[\Gamma_2/B[t]] \in Red(A)$ .

For a deduction  $\Pi$ ,  $\Pi \in Red$  means that  $\Pi \in Red(A)$  for some closed formula A.  $\Pi$  is said to be *reducible* if  $\Pi \in Red$ .

**Proposition 3.14** The reducibility set Red is well defined.

*Proof:* It can be proved by transfinite induction over the definition of *Red*, using Proposition 2.4.  $\Box$ 

**Lemma 3.15** For any deductions  $\Pi$  and  $\Sigma$ , the following properties hold.

 $(CR \ 1) \quad \Pi \in Red \ implies \ \Pi \in SN.$ 

(CR 2) If  $\Pi \in Red$  and  $\Pi \rightsquigarrow \Sigma$ , then  $\Sigma \in Red$ .

*Proof:* The proof goes similarly to that of Lemma 4.1.12. and to that of Theorem 4.1.13. in [2]. We prove this lemma by transfinite induction over the definition of *Red*.

*Case 1 (CR 1):* Suppose that  $\Pi \in Red$ .

1. If  $\Pi$  is of the form

$$\begin{array}{c}
\vdots \ \Pi_1 \\
\frac{A[a]}{\forall x A[x]} \ \forall -I
\end{array}$$

then  $\Pi_{1[t/a]} \in Red(A[t])$  for any closed term *t*. Since  $d(A[t]) <_* d(\forall A[x])$ ,  $\Pi_{1[t/a]} \in SN$  by the induction hypothesis. By Lemma 3.10 (3),  $\Pi_1 \in SN$ . Therefore,  $\Pi \in SN$  because the last inference of  $\Pi$  is an introduction.

- 2. If  $\Pi$  has an introduction rule except  $\forall$ -*I*, then the proof goes similarly to that of (1).
- 3. If  $\Pi$  is neutral, then  $\forall \Sigma(\Pi \rightsquigarrow \Sigma \Longrightarrow \Sigma \in Red)$ . Then it holds that  $\forall \Sigma(\Pi \rightsquigarrow \Sigma \Longrightarrow \Sigma \in SN)$  by the induction hypothesis. So  $\Pi \in SN$ .

*Case 2 (CR 2):* Suppose that  $\Pi \rightsquigarrow \Sigma$  and  $\Pi \in Red$ .

1. If  $\Pi$  is of the form

$$\frac{A[a]}{\forall x A[x]} \forall -I$$

then  $\Sigma$  is of the form

$$\frac{\stackrel{:}{:} \Sigma_1}{\frac{A[a]}{\forall x A[x]}} \forall -I ,$$

where  $\Pi_1 \rightsquigarrow \Sigma_1$ . By the definition of the reducibility,  $\Pi_{1[t/a]} \in Red$  for any closed term *t*. By the induction hypothesis,  $\Sigma_{1[t/a]} \in Red$  for any closed term *t*. Therefore  $\Sigma \in Red$  by the definition.

- 2. If  $\Pi$  has an introduction rule except  $\forall$ -*I*, then the proof goes similarly to that of (1).
- 3. If  $\Pi$  is neutral, then this result holds trivially.

If  $\Pi \in SN$ , we can construct a well-founded tree  $T_{\Pi}$  consisting of reduction sequences of  $\Pi$ . For any node *t* in *T*, the number of branches of *t* is finite, and hence, as is well known, *T* is a finite tree. So, for any deduction  $\Pi$  with  $\Pi \in SN$  we let  $\nu(\Pi)$  denote the number of nodes in  $T_{\Pi}$ .

**Lemma 3.16** Let  $\Pi$  be a deduction of the form

$$\frac{\stackrel{.}{\underset{A}{\overset{.}{\overset{.}}}}\Pi_{1}}{A} \xrightarrow{\overset{.}{\overset{.}}{\overset{.}}} \Pi_{n}$$

where A is a closed formula and R is not an introduction rule nor a BI-rule. Then  $\Pi$  is reducible if the following conditions are satisfied.

(*i*)  $\Pi_1, \ldots, \Pi_n \in SN$ .

- (*ii*) If *R* is either  $a \land -E$ ,  $an \supset -E$ ,  $a \lor -E$ ,  $a \bot -E$  or an *H*-*E*, then  $\Pi_1, \ldots, \Pi_n \in Red$ .
- (iii) If R is a  $\lor$ -E, then  $\Pi$  satisfies Definition 3.13 (2.2).
- (iv) If R is an  $\exists$ -E, then  $\Pi$  satisfies Definition 3.13 (2.3).

*Proof:* The proof goes similarly to that of Lemma 4.1.16. in [2]. To a deduction  $\Pi$  satisfying the above conditions, we assign an *induction value* ( $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ ) as follows:

- (a)  $\alpha$  is the degree o  $Cnsq(\Pi)$ ;
- (b)  $\beta = \nu(\Pi_1)$  if *R* is an elimination rule;  $\beta = 0$  otherwise;
- (c)  $\gamma$  is the number of inference rules of  $\Pi_1$  if *R* is an elimination rule;  $\gamma = 0$  otherwise;
- (d)  $\delta$  is the sum of  $\nu(\Pi_1), \ldots, \nu(\Pi_n)$ .

Let  $\prec$  be the lexicographical order on the induction values. We prove the lemma by induction on the order  $\prec$ . By the conditions (iii) and (iv), it suffices to show that

$$\forall \Sigma(\Pi \rightsquigarrow \Sigma \Longrightarrow \Sigma \in Red(A)).$$

We deal only with the case where R is an H-E. All other cases can be proved in the same way as in the proof of Lemma 4.1.16. in [2].

1. Suppose that  $\Pi$  is of the form

$$\frac{\prod_{i=1}^{n} \Pi_{i}}{\frac{H(i,t)}{G[i,t,H[i]]}} H-E ,$$

and that  $\Sigma$  is of the form

$$\frac{\vdots \Sigma_1}{\frac{H(i,t)}{G[i,t,H[i]]}} H-E ,$$

where  $\Pi_1 \rightsquigarrow \Sigma_1$ . Since  $\Pi_1 \in Red$ ,  $\Sigma_1 \in Red$  by (CR 2) in Lemma 3.15. Let  $\varepsilon$  (= ( $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ )) be the induction value of  $\Pi$ , and let  $\varepsilon'$  (= ( $\alpha'$ ,  $\beta'$ ,  $\gamma'$ ,  $\delta'$ )) be the induction value of  $\Sigma$ . Then  $\varepsilon' \prec \varepsilon$  since  $\alpha = \alpha'$  and  $\beta' < \beta$ . Therefore, by the induction hypothesis,  $\Sigma \in Red$ .

2. Suppose that the following scheme holds,

$$\Pi = \frac{\begin{array}{c} \vdots \\ H(i,t) \\ \hline G[i,t,H[i]] \end{array}}{H - E} = \frac{\begin{array}{c} \vdots \\ G[i,t,H[i]] \\ \hline H(i,t) \\ \hline G[i,t,H[i]] \end{array}}{H - I} \\ H - E$$

and that  $\Sigma = \Gamma$ . Since  $\Pi_1 \in Red$ ,  $\Sigma \in Red$  by Definition 3.13 (1.1).

**Lemma 3.17** *Every elementary deduction is reducible.* 

*Proof:* It suffices to show the following proposition  $\mathcal{P}$ .

*P*: Let Π be a closed deduction with live assumptions  $[A_1], ..., [A_n]$ , which has neither any ∀-rule, any ∃-rule, any *H*-rule, any *ρ*-*E*, nor any *BI*-rule, and let  $\Pi_i$  (i = 1, ..., n) be a reducible deduction with  $Cnsq(\Pi_i) = A_i$ . Then  $\Pi[\Pi_1/A_1, ..., \Pi_n/A_n]$  is reducible, where  $\Pi[\Pi_1/A_1, ..., \Pi_n/A_n]$  is the deduction obtained from Π by substituting  $\Pi_1, ..., \Pi_n$  for  $[A_1], ..., [A_n]$ .

Using induction on the structure of  $\Pi$  and Lemma 3.16, we can show  $\mathcal{P}$  easily.  $\Box$ 

**Lemma 3.18** Let  $\Pi$  be a deduction of the form

$$\frac{\begin{array}{c} \vdots \ \Pi_1 \\ \forall z(R[z] \supset A[z]) \\ \hline A[t] \end{array} \begin{array}{c} \exists \ \Pi_2 \\ \forall z(\forall x A[z * x] \supset A[z]) \\ \hline A[t] \end{array} BI,$$

where A[t] is a closed formula. Then  $\Pi$  is reducible if  $\Pi_1$  and  $\Pi_2$  are reducible.

*Proof:* We fix formulas R[a] and A[a], where R[a] is a monotone formula (see Definition 2.1 (4)) and A[a] does not contain any free variable except *a*. Let  $\mathcal{A}[s]$  denote the following unary predicate, where *s* ranges over finite sequences of integers.

 $\mathcal{A}[s]$ : For any closed term *t* expressing the integer assigned to *s* by the bijection  $\Phi$  fixed in Definition 2.1 (4), for any reducible deduction  $\Sigma$  with  $Cnsq(\Sigma) = \forall z(R[z] \supset A[z])$  and for any reducible deduction  $\Delta$  with  $Cnsq(\Delta) = \forall z(\forall xA[z*x] \supset A[z])$ , a deduction  $\Pi_t$  of the form

$$\frac{ \begin{array}{c} \vdots \Sigma & \vdots \Delta \\ \forall z(R[z] \supset A[z]) & \forall z(\forall x A[z * x] \supset A[z]) \\ \hline A[t] & BI \end{array}}{A[t]}$$

is reducible.

Since  $\forall s \mathcal{A}[s]$  implies our result, we show  $\forall s \mathcal{A}[s]$ . Let  $\mathcal{R}[s]$  be a unary predicate, where *s* ranges over finite sequences of integers, such that  $\mathcal{R}[s]$  is equivalent to R[t]for any finite sequence of integers *s* and for any closed term *t* expressing the integer assigned to *s* by  $\Phi$ . By using (informal) bar induction on *s*, in order to show  $\forall s \mathcal{A}[s]$ , it suffices to establish the following properties.

Hyp 1:
$$\forall f \exists n \mathcal{R}[f[n].$$
Hyp 2: $\forall f \forall n (\mathcal{R}[f[n] \Longrightarrow \forall m > l \mathcal{R}[f[m]).$ Hyp 3: $\forall s (\mathcal{R}[s] \Longrightarrow \mathcal{A}[s]).$ Hyp 4: $\forall s (\forall n \mathcal{A}[s \overline{*n}] \Longrightarrow \mathcal{A}[s]).$ 

Here,  $s \neq n$  denotes the finite sequence  $\langle s_1, \ldots, s_m, n \rangle$  for any finite sequence  $s (= \langle s_1, \ldots, s_m \rangle)$  and any integer *n*. Since Hyp 1 and Hyp 2 are obvious from the condition of the monotone formula R[a], we show Hyp 3 and Hyp 4.

*Case 1 (Hyp 3):* Suppose that  $\mathcal{R}[s]$  is true. Then R[t] is true for any closed term t corresponding to s. We prove that  $\Pi_t \in Red$  by induction on  $v(\Sigma) + v(\Delta)$ .

3.1. Suppose  $\nu(\Sigma) + \nu(\Delta) = 0$ . For any deduction  $\Gamma$  with  $\Pi_t \rightsquigarrow \Gamma$ ,  $\Gamma$  is of the form

$$\frac{ \stackrel{:}{\underset{z}{\vdash} \Sigma}{\underbrace{\forall z(R[z] \supset A[z])}}{\frac{R[t] \supset A[t]}{A[t]} \forall -E} \stackrel{:}{\underset{z}{\vdash} \Theta_{R[t]}}{\underbrace{R[t]}{\to} -E} .$$

Since  $\Theta_{R[t]}$  is an elementary deduction (see Definition 3.3 (5.1)),  $\Theta_{R[t]}$  is reducible by Lemma 3.17. So, by Lemma 3.16,  $\Gamma \in Red$ . Therefore,  $\Pi_t \in Red$  by Definition 3.13.

3.2. Suppose  $\nu(\Sigma) + \nu(\Delta) > 0$ . For any deduction  $\Gamma$  with  $\Pi_t \rightsquigarrow \Gamma$ ,  $\Gamma$  is either in (3.1) or of the form

$$\frac{ \stackrel{\stackrel{\cdot}{:} \Sigma'}{\Sigma'} \stackrel{\stackrel{\cdot}{:} \Delta'}{A[z] \supset A[z])} \frac{\forall z (\forall x A[z * x] \supset A[z])}{A[t]} BI ,$$

where  $(\Sigma \rightsquigarrow \Sigma' \text{ and } \Delta = \Delta')$  or  $(\Sigma = \Sigma' \text{ and } \Delta \rightsquigarrow \Delta')$ . If  $\Gamma$  is of the form in (3.1), the proof goes the same way as in (3.1). Otherwise,  $\Gamma \in Red$  follows from the induction hypothesis on  $\nu(\Sigma) + \nu(\Delta)$ . Therefore,  $\Pi_t \in Red$ . By (3.1) and (3.2) in this lemma, we have shown that  $\mathcal{A}[s]$ .

*Case 2 (Hyp 4):* Suppose  $\forall n \mathcal{A}[s \neq n]$ . Let *t* be a closed term corresponding to *s*. We prove that  $\Pi_t \in Red$  by induction on  $v(\Sigma) + v(\Delta)$ .

4.1. Suppose  $\nu(\Sigma) + \nu(\Delta) = 0$ . Let  $\Gamma$  be a deduction with  $\Pi \rightsquigarrow \Gamma$ .  $\Gamma$  is either of the same form as in (3.1) or is of the form

$$\Gamma = \frac{ \begin{array}{c} \vdots \ \Delta \\ \forall z (\forall x A[z * x] \supset A[z]) \\ \hline \forall x A[t * x] \supset A[t] \\ \hline A[t] \end{array}}{ \begin{array}{c} \forall z A[t * x] \supset A[t] \\ \hline \forall x A[t * x] \supset A[t] \\ \hline A[t] \end{array}} \begin{array}{c} \forall - I \\ \hline \forall x A[t * x] \\ \hline \neg - E \end{array}$$

If  $\Gamma$  is of the same form as in (3.1), the proof goes the same way as in (3.1). Otherwise, by the hypothesis  $\forall n \mathcal{A}[s \neq n]$ ,  $(\Pi_t)_{[t'/t]} \in Red$  for any integer *n* and for any closed term *t'* corresponding to  $s \neq n$ . By Definition 3.13 (1.3), and by Lemma 3.16,  $\Gamma \in Red$ . Therefore,  $\Pi_t \in Red$ .

4.2.  $\nu(\Sigma) + \nu(\Delta) > 0$ . The proof goes the same way as in (3.2). By (4.1) and (4.2) in this lemma, we can show  $\mathcal{A}[s]$ .

**Lemma 3.19** Let  $\Pi$  be a deduction whose consequence is closed, and let a be a strictly free variable in  $\Pi$ . If  $\Pi[t/a] \in \text{Red}$  for any closed term t, then  $\Pi \in \text{Red}$ . Here,  $\Pi[t/a]$  is the deduction obtained from  $\Pi$  by substituting t for a.

*Proof:* We consider a deduction  $\Pi$  which satisfies the following condition (i) or (ii): (i)  $\Pi \in Red$ ; (ii)  $Cnsq(\Pi)$  is closed and there exists a strictly free variable *a* in  $\Pi$  such that  $\Pi[t/a] \in Red$  for any closed term *t*. Such a deduction  $\Pi$  is said to be *prereducible*. To a prereducible deduction  $\Pi$ , we assign an induction value  $\varepsilon(\Pi) = (\alpha, \beta, \gamma)$  as follows:

- 1.  $\alpha$  is the degree of  $Cnsq(\Pi)$ ;
- 2.  $\beta = \nu(\Pi);$
- 3.  $\gamma$  is the number of inference rules of  $\Pi$ .

Note that since  $\Pi[t/a] \in SN$  by (CR 1),  $\Pi \in SN$  by Lemma 3.10 (3). Let  $\prec$  be the lexicographical order of the induction values. We prove that every prereducible deduction is reducible by induction on the order  $\prec$ .

- 1. Suppose that  $\Pi$  is not neutral.
  - 1.1 Suppose that  $\Pi$  is of the form

$$\begin{bmatrix} B \\ \vdots \\ \Sigma \\ \hline C \\ B \supset C \\ \end{bmatrix} \supset -I$$

Since  $\Pi[t/a] \in Red$ ,  $\Sigma[t/a][\Gamma/B] \in Red$  for any closed term *t* and for any  $\Gamma \in Red(B)$ . Since  $\varepsilon(\Sigma[\Gamma/B]) \prec \varepsilon(\Pi)$ ,  $\Sigma[\Gamma/B] \in Red$  by the induction hypothesis. So,  $\Pi \in Red$ .

1.2 Suppose that  $\Pi$  is of the form

$$\frac{\sum_{i=1}^{N} B[s]}{\exists x B[x]} \exists I$$

- 1.2.1 If *s* does not contain *a* as a free variable, then  $(\Sigma[t/a])_{[\overline{s}/s]} = (\Sigma_{[\overline{s}/s]})$ [t/a] and  $(\Sigma[t/a])_{[\overline{s}/s]} \in Red$  for any closed term *t* and for any closure  $\overline{s}$  of *s*. Since  $\varepsilon(\Sigma_{[\overline{s}/s]}) \prec \varepsilon(\Pi)$ , and by the induction hypothesis,  $\Sigma_{[\overline{s}/s]} \in Red$  for any closure  $\overline{s}$  of *s*. So,  $\Pi \in Red$ .
- 1.2.2 Suppose that s contains a as a free variable in s. For the term s (= s[a]), let s̄[a] denote a term obtained from s by substituting closed terms for all free variables except a. If s[t] denotes (s[a])[t/a] and s̄[t] denotes (s̄[a])[t/a], then (Σ[t/a])<sub>[s̄[t]/s[t]]</sub> ∈ Red for any closed term t and for any s̄[a], since Π[t/a] ∈ Red for any closed term t. In this case, (Σ[t/a])<sub>[s̄[t]/s[t]]</sub> = (Σ<sub>[s̄[a]/s]</sub>)<sub>[t/a]</sub> = Σ<sub>[s̄[t]/s]</sub>. Therefore, Σ<sub>[s̄/s]</sub> ∈ Red for any closure s̄ of s. So, Π ∈ Red.
- 1.3 The other cases where  $\Pi$  is not neutral can be proved in the same way as in (1.1) and (1.2) in this lemma.
- 2. Suppose that  $\Pi$  is neutral.
  - 2.1 We show  $\forall \Sigma(\Pi \rightsquigarrow \Sigma \Longrightarrow \Sigma \in Red)$ . Let  $\Sigma$  be a deduction with  $\Pi \rightsquigarrow \Sigma$ . If *a* is a strictly free variable in  $\Pi$ , then *a* is not an eigenvariable in  $\Sigma$  by Lemma 3.10 (1). For any closed term *t*,  $\Sigma[t/a]$  can be obtained from  $\Pi[t/a]$  by the same reduction as  $\Pi \rightsquigarrow \Sigma$ . So, by (CR 2),  $\Sigma[t/a] \in Red$  for any closed term *t*. Since  $\varepsilon(\Sigma) \prec \varepsilon(\Pi)$ ,  $\Sigma \in Red$  by the induction hypothesis.
  - 2.2 Suppose that  $\Pi$  is of the form

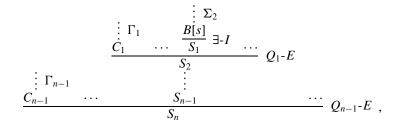
$$\begin{bmatrix}
B[b]\\
\vdots \Sigma & \vdots \Delta\\
\exists x B[x] & A\\
\hline A & \exists -E
\end{bmatrix}$$

We show the following: ( $\exists 1$ )  $\Sigma \in SN$ ; ( $\exists 2$ )  $\Delta \in Red(A)$ ; ( $\exists 3$ ) for any  $\Sigma_1$  such that  $\Sigma \rightsquigarrow \Sigma_1$  and for any  $\Sigma_2$  which is a subdeduction immediately above an end segment  $\{S_i\}_{i \leq n}$  of  $\Sigma_1$  with  $Cnsq(\Sigma_2) = B[s]$ ,  $\Delta^{[s/b]}[\Sigma_2/B[s]] \in Red(A)$ .

*Proof of*  $(\exists 1)$ : Since  $\Pi \in SN$ ,  $\Sigma \in SN$ .

*Proof of*  $(\exists 2)$ : Since  $\varepsilon(\Delta) \prec \varepsilon(\Pi)$ ,  $\Delta \in Red$  by the induction hypothesis.

*Proof of*  $(\exists 3)$ : We fix  $\Sigma_1$ ,  $\Sigma_2$  and  $\{S_i\}_{i \le n}$ , and let  $\Sigma_1$  be of the form



where  $n \ge 1$  and  $Q_i$ -E is either a  $\lor$ -E or an  $\exists$ -E for any  $1 \le i < n$ . Note that  $S_i$  has the same form as  $\exists x B[x]$  for any  $i \le n$ . Let  $\Gamma$  be a deduction obtained from  $\Pi$  by replacing  $\Sigma$  by  $\Sigma_1$ , and let  $\Gamma^*$  be a deduction obtained from  $\Gamma$  by (n - 1 times) permutative contractions along  $\{S_1, \ldots, S_n\}$ . Then

$$\Pi \leadsto \Gamma \dashrightarrow \Gamma^*,$$

and  $\Gamma^*$  is of the form

If *a* is a strictly free variable in  $\Pi$ , then *a* is not an eigenvariable in  $\Gamma^*$  by Lemma 3.10 (1), and hence, *a* is not an eigenvariable in  $\Delta^{[s/b]}[\Sigma_2/B[s]]$ . Let *t* be a closed term. Since  $\Pi[t/a] \in Red$ ,  $\Gamma^*[t/a] \in Red$  by (CR 2). So,  $\Delta^{[s/b]}[\Sigma_2/B[s]][t/a] \in Red$  by (CR 2) and Definition 3.13 (2.3). Therefore, since  $\varepsilon(\Delta^{[s/b]}[\Sigma_2/B[s]]) \prec \varepsilon(\Gamma^*) \preceq \varepsilon(\Gamma) \preceq \varepsilon(\Pi)$ ,  $\Delta^{[s/b]}[\Sigma_2/B[s]] \in Red$  by the induction hypothesis.

2.3 If  $\Pi$  has a  $\lor$ -*E* as the last inference rule, then the proof goes similarly to that of (2.2) in this lemma.

**Lemma 3.20** Let  $\Pi$  be a deduction whose consequence is closed, and let  $\vec{a}$  (=  $a_1, \ldots, a_n$ ) be strictly free variables in  $\Pi$ . Then  $\Pi \in \text{Red}$  whenever  $\Pi[\vec{t}/\vec{a}] \in \text{Red}$  for any closed terms  $\vec{t}$  (=  $t_1, \ldots, t_n$ ), where  $\Pi[\vec{t}/\vec{a}]$  denotes the deduction obtained from  $\Pi$  by substituting  $t_i$  for  $a_i$  ( $i = 1, \ldots, n$ ).

*Proof:* Using induction on *n*, we can easily show this lemma from Lemma 3.19.  $\Box$ 

**Remark 3.21** Let  $\Pi$  be a deduction whose consequence is closed, and let  $\vec{x}$  be the set of all strictly free variables in  $\Pi$ . By Lemma 3.20, in order to show  $\Pi \in Red$ , it suffices to find a subset  $\vec{a}$  of  $\vec{x}$  such that  $\Pi[\vec{t}/\vec{a}] \in Red$  for any closed terms  $\vec{t}$ . Applying this property, we show the following lemma.

**Lemma 3.22** Let  $\Pi$  be a closed deduction which has live assumptions  $[A_1], \ldots, [A_n]$ . If  $\Pi_i$   $(i = 1, \ldots, n)$  is a deduction such that  $Cnsq(\Pi_i) = A_i$  and  $\Pi_i \in Red$ , then  $\Pi[\Pi_1/A_1, \ldots, \Pi_n/A_n]$  is reducible.

*Proof:* We prove this lemma by induction on the structure of  $\Pi$ .

- 1. If  $\Pi$  consists only of a live assumption [A] or an axiom A, then it is immediate.
- 2. If  $\Pi$  is not neutral, then it follows immediately from Definition 3.13 (1).
- 3. Suppose that  $\Pi$  is neutral and that  $\Pi$  has a rule *R* as the last inference rule.
  - 3.1. If *R* is a *BI*-rule, then it follows immediately from Lemma 3.18.
  - 3.2. Suppose that  $\Pi$  is of the form

$$\begin{bmatrix} B[b] \\ \vdots \Sigma & \vdots \Delta \\ \exists x B[x] & A \\ \hline A & \exists -E \end{bmatrix}$$

Let  $\Sigma^* = \Sigma[\Pi_1/A_1, \ldots, \Pi_n/A_n]$ , and let  $\Delta^* = \Delta[\Pi_1/A_1, \ldots, \Pi_n/A_n]$ . By Lemma 3.16, in order to show  $\Pi[\Pi_1/A_1, \ldots, \Pi_n/A_n] \in Red$ , it suffices to show the following: ( $\exists 1$ )  $\Sigma^* \in SN$ ; ( $\exists 2$ )  $\Delta^* \in Red(A)$ ; ( $\exists 3$ ) for any  $\Sigma_1$  such that  $\Sigma^* \rightsquigarrow \Sigma_1$  and for any  $\Sigma_2$  which is a subdeduction immediately above an end segment of  $\Sigma_1$  with  $Cnsq(\Sigma_2) = B[s]$ ,  $\Delta^{*[s/b]}[\Sigma_2/B[s]] \in Red(A)$ .

*Proof of*  $(\exists I)$ : By the induction hypothesis,  $\Sigma^* \in Red$ . Therefore,  $\Sigma^* \in SN$  by (CR 1).

*Proof of* ( $\exists 2$ ): Let [B[b]] be the live assumption of  $\Delta$  discharged by the last inference rule  $\exists$ -*E* of  $\Pi$ . Since *b* is the eigenvariable of the last inference rule  $\exists$ -*E* of  $\Pi$ , *b* is not an eigenvariable in  $\Delta$  or  $\Delta^*$ . By Definition 3.13,  $\Delta^{[t/b]}$  is a closed deduction for any closed term *t*, where  $\Delta^{[t/b]}$  is the deduction obtained from  $\Delta$  by substituting *t* for the free variable *b* in the live assumption [B[b]]. Therefore, by the induction hypothesis,  $\Delta^{[t/b]}$  satisfies this lemma. So,  $\Delta^{[t/b]}[\Pi_1/A_1, \ldots, \Pi_n/A_n] \in Red$  for any closed term *t*. Since  $\Delta^{[t/b]}[\Pi_1/A_1, \ldots, \Pi_n/A_n] = \Delta^{*[t/b]}$ ,  $\Delta^{*[t/b]} \in$ *Red* for any closed term *t*. Therefore, by Lemma 3.20,  $\Delta^* \in Red$ .

*Proof of* ( $\exists$ *3*): By (CR 2), and by Definition 3.13 (2.3),  $\Sigma_{2[\overline{s}/s]} \in Red$  for any closure  $\overline{s}$  of s, whereas for the closed term  $\overline{s}$ ,  $\Delta^{[\overline{s}/b]}$  is the closed deduction. Therefore, by the induction hypothesis,  $\Delta^{[\overline{s}/b]}$  satisfies this lemma. So,  $\Delta^{*[\overline{s}/b]}[\Sigma_{2[\overline{s}/s]}/B[\overline{s}]] \in Red$  for any closure  $\overline{s}$  of s. Since any free variable in the term s is not an eigenvariable in  $\Delta^{*[s/b]}[\Sigma_2/B[s]]$ , by Lemma 3.20,  $\Delta^{*[s/b]}[\Sigma_2/B[s]] \in Red$ .

- 3.3. If *R* is a  $\lor$ -*E*, then the proof goes similarly to that of (3.2).
- 3.4. If *R* is the other rule, then it follows immediately from Lemma 3.16.  $\Box$

*Proof of the strong normalization theorem:* By Lemma 3.22, every closed deduction is reducible. So every closed deduction is strongly normalizable by (CR 1). By Lemma 3.11, every deduction is strongly normalizable.  $\Box$ 

**Remark 3.23** In [5], Yasugi and Hayashi introduced the term-system **TRM** (the system of **TeRM**). **TRM** consists of parametric types called *type-forms* and terms called *term-forms*, which are used to carry out a certain abstraction of computation to proofs formalized in **TRDB**. The authors of [5] also proved the strong normalization theorem of type-forms and term-forms in **TRM**. In order to prove the strong normalization theorem for **TRM**, the authors of [5] needed a kind of restriction:  $\mathcal{R}$ -strategy and  $\rho$ -strategy for reductions of type-forms;  $\mathcal{B}$ -strategy and  $\sigma$ -strategy for reductions of term-forms. However, the proof in this paper needs neither.

It is known that the reductions in Definition 3.3 do not satisfy the Church-Rosser property. In fact, the immediate signification of  $\lor$ -E can make a deduction reduce in two ways (see [2]). We can, however, avoid this shortcoming by applying a suitable restriction, for instance, removing immediate signification of  $\lor$ -E. If we confine ourselves to such a case, the Church-Rosser property holds in **TRDB**.

**Lemma 3.24** Let  $\Pi$  be any deduction, and let  $\Sigma$  and  $\Delta$  satisfy  $\Pi \longrightarrow \Sigma$  and  $\Pi \longrightarrow \Delta$ . Then there exists a deduction  $\Gamma$  such that  $\Sigma \longrightarrow \Gamma$  and  $\Delta \longrightarrow \Gamma$ .

*Proof:* The proof goes the same way as the well-known method.

From this lemma, we immediately obtain the following theorem.

**Theorem 3.25** For any deduction  $\Pi$  in **TRDB**,  $\Pi$  is uniquely normalized to a deduction.

**4** Consistency of **TRDB** In this section, we establish the consistency of **TRDB**, using the strong normalization theorem, Theorem 3.6, and *paths* used to establish the consistency of **HA** in [2]. We also prove the existence property and the disjunction property of **TRDB**, using the strong normalization theorem and the paths.

#### **Definition 4.1** (Troelstra [2])

- 1. For a deduction  $\Pi$ , a finite sequence  $\{A_i\}_{i \le n}$  consisting of (occurrences of) formulas in  $\Pi$  is called a *path* of  $\Pi$  if it satisfies the following conditions.
  - (i)  $A_1$  is either a live assumption, an axiom, an assumption discharged by an  $\supset$ -*I*, or the conclusion of a *BI*-rule.
  - (ii) For any i < n,  $A_i$  is neither  $Cnsq(\Pi)$ , the minor premise of any  $\supset$ -E, any premise of any BI-rule, the major premise of any  $\lor$ -E which is redundant, nor the major premise of any  $\exists$ -E which is redundant.
  - (iii) For any i < n, if  $A_i$  is not the major premise of an  $\lor$ -E or an  $\exists$ -E, then  $A_{i+1}$  is a formula that occurs immediately below  $A_i$  in  $\Pi$ .

- (iv) For any i < n, if  $A_i$  is the major premise of a  $\lor$ -E or an  $\exists$ -E, then  $A_{i+1}$  is one of the assumptions discharged by the elimination.
- (v)  $A_n$  is either  $Cnsq(\Pi)$ , the minor premise of an  $\supset$ -E, one of the premises of a *BI*-rule, the major premise of a  $\lor$ -E which is redundant, or the major premise of an  $\exists$ -E which is redundant.
- 2. A path of  $\Pi$  whose end formula is  $Cnsq(\Pi)$  is called an *end path* of  $\Pi$ .

For a path  $\{A_i\}_{i \le n}$ , if there exists an  $i (\le n)$  such that  $A_i$  is either the conclusion or a premise of an inference R, then we say that  $\{A_i\}_{i \le n}$  contains R.

For any deduction  $\Pi$ , we let  $\overline{\Pi}_N$  denote a normal form of a closure of  $\Pi$ , and let  $r_{\mathcal{B}}(\Pi)$  denote the number of end paths of  $\Pi$  whose initial formulas are conclusions of *BI*-rules.

**Lemma 4.2** Let  $\Pi$  be a deduction whose consequence is an atomic formula except an *H*-formula. Then  $r_{\mathcal{B}}(\overline{\Pi}_N) = 0$ .

*Proof:* Suppose that  $\overline{\Pi}_N$  has an end path  $\{A_i\}_{i \le n}$  such that  $A_1$  is the conclusion of a *BI*-rule. Then there exists a *BI*-rule  $\mathcal{B}$  such that  $\overline{\Pi}_N$  is of the following form

and that  $A_1$  is the conclusion A[t] of  $\mathcal{B}$ . If t is a closed term, then  $\overline{\Pi}_N$  is not a normal form since  $\mathcal{B}$  is a reduction point of  $\overline{\Pi}_N$ . So, t contains a free variable. By Lemma 3.9 (4),  $\overline{\Pi}_N$  is a closed deduction. So, t contains an eigenvariable for a  $\forall$ -I or an  $\exists$ -Eby Lemma 3.9 (1). Since A[t] occurs below  $\mathcal{B}$ , t does not contain any eigenvariable for  $\exists$ -E. So, t must contain an eigenvariable for a  $\forall$ -I. However,  $\{A_i\}_{i \leq n}$  does not contain any introduction rule, because  $\Sigma$  is a normal form and  $Cnsq(\overline{\Pi}_N)$  is an atomic formula except an H-formula. This yields a contradiction.

**Lemma 4.3** Let  $\Pi$  be a deduction. For any end path  $\{A_i\}_{i \le n}$  of  $\Pi_N$  which does not contain any  $\forall$ -*I* rule,  $A_1$  is not the conclusion of a *BI*-rule.

*Proof:* The proof goes the same way as Lemma 4.2.

**Definition 4.4** Let *A* be an atomic formula except an *H*-formula. Then *A* is said to be *absurd* if *A* satisfies the following:

**TRDB** – 
$$(BI + TRD(G, I)) \vdash A \supset 0 = 1.$$

**Theorem 4.5 TRDB** *is consistent.* 

*Proof:* Let  $\Pi$  be a deduction whose consequence is 0 = 1. By Lemma 4.2, no end path of  $\overline{\Pi}_N$  contains a *BI*-rule or an introduction rule. Therefore, there exists an end path whose initial formula  $A_1$  satisfies the following conditions.

 $\square$ 

- (i)  $A_1$  is not any assumption discharged by a  $\supset$ -*I*.
- (ii)  $A_1$  contains an *H*-formula or an absurd formula, that is,  $A_1$  is not an axiom formula.

So,  $\overline{\Pi}_N$  has at least one live assumption, and hence,  $\Pi$  has at least one live assumption.

Theorem 4.6 (The existence property and the disjunction property of TRDB)

- 1. If a closed formula  $\exists x A[x]$  is provable in **TRDB**, then there exists a closed term t such that A[t] is provable in **TRDB**.
- 2. If a closed formula  $A \lor B$  is provable in **TRDB**, then A or B is provable in **TRDB**.

*Proof:* (1) Let  $\Pi$  be a deduction which has a closed formula  $\exists x A[x]$  as the consequence, and let  $\Pi$  have no live assumption. Then  $\overline{\Pi}_N$  also has  $\exists x A[x]$  as the consequence and also has no live assumption. We show that  $\overline{\Pi}_N$  has an introduction rule as the last inference rule.

- (i) Since the consequence of Π<sub>N</sub> is not an atomic formula, Π<sub>N</sub> is not an axiom. Since the conclusion of every inference rule defined in Definition 2.1 (2.1) (2.5) is an atomic formula, the last inference rule of Π<sub>N</sub> is either a logical inference rule, a ⊥-*E*, a *BI*-rule, an *H*-*I*, an *H*-*E* or ρ-*E*.
- (ii) The consequence of  $\overline{\Pi}_N$  is a closed formula, the last inference rule is not a *BI*-rule.
- (iii) Suppose that  $\overline{\Pi}_N$  has an elimination rule except a  $\lor$ -*E* or an  $\exists$ -*E*, as the last inference rule. Then every end path contains no introduction rule. So, by Lemma 4.3,  $r_{\mathcal{B}}(\overline{\Pi}_N) = 0$ . So, for any end path  $\{A_i\}_{i \le n}$ ,  $A_1$  is an axiom formula. However, in this case,  $A_1$  is an absurd formula or an *H*-formula whenever  $A_1$  is an atomic formula. This yields a contradiction.
- (iv) Suppose that  $\overline{\Pi}_N$  has a  $\vee$ -*E* or an  $\exists$ -*E* rule as the last inference rule. Then the subdeduction  $\Sigma$  of  $\overline{\Pi}_N$ , whose consequence is the major premise of the last inference rule of  $\overline{\Pi}_N$ , has neither any  $\vee$ -*E* nor any  $\exists$ -*E* as the last inference rule. Note that  $\Sigma$  is a closed deduction and a normal form, whose consequence is a closed formula of the form  $\exists y B[y]$  or  $B \vee C$ . By (i)–(iii),  $\Sigma$  has an introduction rule as the last inference rule. Since  $\overline{\Pi}_N$  is a normal form, it yields a contradiction.

By (i) – (iv),  $\overline{\Pi}_N$  has an introduction rule as the last inference rule. Since the outermost logical symbol of the consequence of  $\overline{\Pi}_N$  is an  $\exists$ -quantifier, the last inference rule is an  $\exists$ -*I*. Moreover, since  $\overline{\Pi}_N$  is a closed deduction, there exists a closed term *t* such that A[t] is provable with the subdeduction obtained from  $\overline{\Pi}_N$  by removing the last inference rule.

(2) The proof goes the same way as (1).

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