

THE DERIVED SETS OF A LINEAR SET

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For an arbitrary set E on the x -axis and an ordinal number b , let E^b denote the derived set of order b of E (the definition of the derived sets of a set, together with a discussion of many of their properties, was given by Cantor [2, pp. 98, 139-246]; for an excellent concise treatment of the material which is used here, see Baire [1, Chpt. III, especially §§ 32, 41, 42]). Since the set E^Ω , where Ω denotes the least nondenumerable ordinal number, is perfect, $E^b = E^\Omega$ for all $b > \Omega$. If a point x in E does not lie in E^Ω , the least ordinal b for which x does not lie in E^b is of the first kind; for if x lies in every set E^a ($a < b$) and b is an ordinal of the second kind, then, since E^b is the intersection of the closed sets E^a ($a < b$), the point x lies also in E^b . It follows that for every x in $E - E^\Omega$ there exists a greatest ordinal b for which x lies in E^b . This suggests the following definition of an ordinal-valued function $p(x, E)$. For an arbitrary fixed set E and each point x , let

$$\begin{aligned} p(x, E) &= \Omega \text{ if } x \text{ lies in } E^\Omega, \\ p(x, E) &= 0 \text{ if } x \text{ does not lie in } E^1, \\ p(x, E) &= b \text{ if } x \text{ lies in } E^b \text{ but not in } E^{b+1}. \end{aligned}$$

It is the purpose of this note to characterize, among all the ordinal-valued functions $p(x)$, those functions for which there exists a point set E such that $p(x, E) \equiv p(x)$.

THEOREM. *If $p(x)$ is an ordinal-valued function defined on the x -axis, a necessary and sufficient condition for the existence of a point set E with $p(x, E) = p(x)$ is that $p(x)$ have the following properties:*

- (1) *the set where $p(x) = \Omega$ is perfect, and the set where $p(x) > \Omega$ is empty;*
- (2) *for each b ($0 < b < \Omega$), the set where $p(x) = b$ is isolated;*
- (3) *for each point x_0 , the inequality $p(x) \leq p(x_0)$ holds throughout some neighborhood of x_0 ;*
- (4) *if $p(x_0) < \Omega$ and $a < p(x_0)$, then $p(x)$ takes the value a in every neighborhood of x_0 .*

The necessity of the condition is not new. Indeed, if there exists a set E such that $p(x, E) = p(x)$, then (1) follows from the fact that E^Ω is perfect, and (2) and (3) follow from the fact that every limit point of E^b belongs to E^{b+1} ($b \geq 1$). Finally, if x_0 lies in an open interval I in which $p(x) < \Omega$, and if $p(x)$ does not take the value a in I , then $E^a = E^{a+1}$ in I ; since $I \cap E^\Omega$ is empty, this implies that $I \cap E^a$ is also empty, and therefore $p(x) < a$ throughout I .

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To prove the sufficiency of the condition, suppose that $p(x)$ is an ordinal function with the properties (1) to (4). It will be convenient to use the symbol E for the set where $p(x) = b$.

By (2), the set $M(1)$ is either empty or it consists of finitely or denumerably many isolated points x_k . Let $\{A_k\}$ be a family of disjoint open intervals ($A_k \subset A_k$); for each k , let $\{x_{ki}\}$ be a sequence of points in A_k ($x_{ki} \rightarrow x_k$); and let Ω be the set consisting of all the points x_{ki} . The set

$$E = X \cup M(\Omega)$$

has the desired property.

That $p(x, E) = p(x)$ in $M(\Omega)$ follows from (1). By (3), the set $M(0)$ is empty since it contains no limit points of the isolated set X , the relation $p(x, E) = p(x)$ holds in $M(0)$. It remains to deal with the sets $M(b)$ ($0 < b < \Omega$).

By (2), each point of $M(1)$ is an isolated limit point of the set X ; therefore $p(x, E) = 1$ in $M(1)$. Suppose now that $p(x, E) = p(x)$ in $M(a)$, for all a less than b , and let x_0 be a point in $M(b)$. By (2) and (3), some neighborhood of x_0 contains no points x (other than x_0) where $p(x) > b$; therefore some neighborhood of x_0 contains no points of E^{b+1} , and $p(x_0, E) \leq b$. On the other hand, (4) implies that the neighborhood of x_0 contains points of each set M^a ($a < b$), hence points of the corresponding sets E^a . Therefore x_0 lies in E^b , that is, $p(x_0, E) \geq b$; the proof is complete.

In closing, it should be remarked that the properties (1) to (4) are independent. If $p(x) = 0$ everywhere except at one point where $p(x) = \Omega$, then the function has all the properties except (1). If $p(x) = 1$ everywhere on a Cantor set, and $p(x) = 0$ elsewhere, then $p(x)$ has all the properties except (2). If $p(x) = 1/n$ ($n = 1, 2, \dots$) and $p(x) = 0$ elsewhere, then $p(x)$ has all the properties except (3). Finally, the function which takes the value 2 at one point and the value 0 elsewhere has all the properties except (4).

REFERENCES

1. R. Baire, *Leçons sur les fonctions discontinues*, Paris, 1905.
2. G. Cantor, *Gesammelte Abhandlungen*, Berlin, 1932.

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