Geometric Hardy and Bergman Spaces

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0. Introduction

The theory of Hardy spaces of holomorphic functions was initiated in 1915 by G. H. Hardy, who considered functions on the unit disc. One possible generalization to several variables is the following. Given a bounded domain $D \subset \mathbb{C}^n$ that is star-shaped around zero and a measure μ on the Shilov boundary Σ of D, one defines a *Hardy space* of holomorphic functions on D by

$$H^{2}(D,\mu) := \left\{ f \in \mathcal{O}(D) \mid \|f\|^{2} := \sup_{0 < r < 1} \int_{\Sigma} |f(rx)|^{2} d\mu(x) < \infty \right\}.$$
(0.1)

Whereas this definition has been considered because it is natural for certain classes of examples, a general theory of Hardy spaces of holomorphic functions on bounded complex domains has been developed only for domains with topological C^2 -boundary (cf. e.g. [Kr]).

In 1937, S. Bochner for the first time considered analogous spaces for *tube domains* such as the upper half-plane. More precisely, if Ω is an open convex cone in a real vector space V, then one defines a Hardy space of holomorphic functions on the tube domain $T_{\Omega} := V + i\Omega \subset V_{\mathbb{C}}$ by

$$H^{2}(T_{\Omega}) := \left\{ f \in \mathcal{O}(T_{\Omega}) \mid \|f\|^{2} := \sup_{y \in \Omega} \int_{V} |f(x+iy)|^{2} dx < \infty \right\}, \quad (0.2)$$

where dx is a fixed Lebesgue measure on V (cf. [SW, Chap. III]). Generalizations of these spaces have been studied in particular by Stein and Koranyi and eventually led to the "real variable approach" to Hardy spaces (cf. [St]). Thus there was not so much interaction between the settings (0.1) and (0.2). Only much more recently, when people started to consider Hardy spaces of "curved" tube domains for reasons of representation theory, did the necessity (cf. Fact 4) arise to compare, among others, the bounded and the tubelike case.

Such a comparison was known for a long time in the simplest case: the *Cayley transform* $C(z) = i(1+z)(1-z)^{-1}$ carries the unit disc onto the upper half-plane, which is just the tube domain $\mathbb{R} + i\mathbb{R}^+$. Then one can determine which functions in the Hardy space on *D* are transformed into functions in the Hardy space on $\mathbb{R} + i\mathbb{R}^+$ (cf. [Ho, p. 128] and Fact 2). There is an important class of bounded domains, called *bounded symmetric domains of tube type*, for which there exists

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via a generalized Cayley transform an unbounded realization as a tube domain T_{Ω} over a *symmetric cone* Ω (cf. [FK2, Chap. X]). It should be noted here that these domains do not have C^2 -boundaries. Nevertheless, in this case there exist a number of classical and also more recent results on the Hardy spaces just defined that, however, have so far not been explained in a satisfactory way. In particular, we are interested in four facts for which new and geometric proofs are desired.

Fact 1: The unitary action of a "big" group. If *D* is the unit disc and μ the rotation invariant probability measure on the circle, then the group G = SU(1, 1) operates unitarily on $H^2(D, \mu)$ via

$$(g \cdot f)(z) = (\operatorname{Det} \mathrm{d}g^{-1}(z))^{1/2} f(g^{-1}z) \tag{0.3}$$

(the square root can be defined in a consistent way since SU(1, 1) is a double cover of the group Aut(D) = \mathbb{P} SU(1, 1)). By the same formula, the group Sl(2, \mathbb{R}) acts on the Hardy space $H^2(T_{\Omega})$ and, more generally, a double cover of the automorphism group of a tube-type domain acts unitarily on the corresponding Hardy space. However, this action is not obvious from the geometric data because the measure μ (resp., dx) on the boundary is far from being invariant under SU(1, 1) (resp., under Sl(2, \mathbb{R})).

Fact 2: The isomorphism of classical Hardy spaces. The Cayley transform *C* induces an isomorphism of Hardy spaces

$$H^{2}(D) \to H^{2}(T_{\Omega}), \quad f \mapsto (z \mapsto (\text{Det } dC^{-1}(z))^{1/2} f(C^{-1}z)).$$
 (0.4)

This is not at all obvious from the definitions. First, the spaces V + iy over which one integrates in the definition of $H^2(T_\Omega)$ are transformed via *C* into *horocycles* of *D*, which are not the same as the "concentric circles" $r\Sigma$ used in the definition of $H^2(D)$; second, the measures used on the Shilov boundary (resp., on its open dense part C(V)) are not the same. In fact, no geometric reason for this isomorphism is given in the literature; it is only deduced from the explicit knowledge of the reproducing kernels. Recall here that if Ω does not contain affine lines then the Hardy space $H^2(T_\Omega)$ is a nontrivial Hilbert space such that the point evaluations $f \mapsto f(z)$ are continuous. Thus there exists a vector $K_z \in H^2(T_\Omega)$ such that $f(z) = \langle f, K_z \rangle$ for all $f \in H^2(T_\Omega)$; the function $K(z, w) = K_w(z)$ is called the *reproducing kernel* of $H^2(T_\Omega)$ (cf. e.g. [FK2, Sec. IX.4]). Similar statements hold for the Hardy space $H^2(D)$.

Fact 3: Hardy spaces are "square roots" of Bergman spaces. The reproducing kernel of $H^2(D)$, called the *Cauchy kernel* and denoted by S(z, w), turns out to be a square root of the *Bergman kernel* B(z, w) of D: there is a constant $c \neq 0$ such that

$$cS(z, w)^2 = B(z, w).$$
 (0.5)

The Bergman kernel is by definition the reproducing kernel of the Bergman space

$$B^{2}(D) = \left\{ f \in \mathcal{O}(D) \mid \int_{D} |f(z)|^{2} dz < \infty \right\}, \tag{0.6}$$

where dz is Lebesgue measure on $V_{\mathbb{C}}$, restricted to D.

Similarly, the reproducing kernel of $H^2(T_{\Omega})$ is the square root of the Bergman kernel of T_{Ω} (this information allows us to prove that (0.4) is an isomorphism). Put in another way, the Hardy space turns out to belong to a parameter in the *analytic continuation of a family of weighted Bergman spaces* (cf. [FK2, Chap. XIII]). Once more this seems to be rather an accident, and no geometric interpretation of this fact is given.

Fact 4: Embedding of classical Hardy spaces into nonclassical Hardy spaces. Motivated by the so-called Gelfand–Gindikin program, the problem of embedding "classical" or "commutative" Hardy spaces into "nonclassical" or "noncommutative" Hardy spaces has attracted much interest during the last years (see [BH2; BÓ; C; KØ1; KØ2; ÓØ]). One wants to understand a class of Hardy spaces which live on open domains in curved complex symmetric spaces and which are of interest in the theory of unitary representations. Their reproducing kernels are very complicated, but—comparing them with the more classical and better-known Hardy spaces on bounded symmetric domains of tube type—in some cases it was possible to actually calculate the kernels (cf. [BH2]). When working on this problem, we realized that Facts 1–3 are usually taken for granted, though they remain mysterious in the normal framework of Hardy spaces. Once this observation was made, it became clear that a geometric explanation of Facts 1–3 is precisely the groundwork needed to understand what is really going on in the problem of embedding one class of Hardy spaces into another and in this way to extend the results of [BH2].

GEOMETRIC HARDY AND BERGMAN SPACES. The definition of "geometric Bergman spaces" is well known: the Bergman space of a complex manifold M is the space of holomorphic *n*-forms ω on M such that the real (2n)-form

 $i^{n^2}\omega\otimes\bar{\omega}$

is integrable over M. From this definition it is immediately clear that the group Aut(M) of holomorphic automorphisms of M acts unitarily on the geometric Bergman space. If we trivialize the geometric Bergman space with respect to a nowhere vanishing holomorphic *n*-form, we obtain a function space in which the group Aut(M) acts via a multiplier representation similar to (0.3). This should be compared to Fact 1.

Fact 3 indicates what kind of bundle we must take in order to realize the Hardy space as a space of sections: the bundle must be a "square root" of the bundle defining Bergman spaces, that is, a holomorphic line bundle **L** such that $\mathbf{L} \otimes \mathbf{L}$ is isomorphic to the canonical bundle $\mathbf{K}_M = \Lambda^n(T^*M)$ of M. Such bundles are called (holomorphic) *half-form bundles* (cf. [GS]). For the definition of Hardy spaces of sections, one needs much more structure than for the definition of Bergman spaces. To see what this structure might be, we observe that—in both types of Hardy spaces described here—the integration is carried out over a region of the boundary of a complex domain after translating the function by elements of a certain semigroup of (strict) *compressions* of the domain (i.e., a semigroup of diffeomorphisms carrying the closure of the domain into its interior). Thus, besides a half-form bundle over a domain we need a certain "boundary" of this domain and a semigroup over which the supremum in the definition of the Hardy norm will be

taken. This geometric information will be called "Hardy-space data" (Definition 1.3.3). Just as the definition of geometric Bergman spaces does not require a measure, the definition of geometric Hardy spaces will not require a measure on the boundary. This allows a "big group" to operate unitarily on the geometric Hardy space, explaining Fact 1. Also Fact 3 is explained in a natural way: whenever the "big group" acts transitively on the domain (as is the case for bounded symmetric domains), both the reproducing kernels of the geometric Bergman and Hardy space define invariant sections of **K** (resp., of **L**); since $\mathbf{L} \otimes \mathbf{L} \cong \mathbf{K}$, there must be a constant c with $cS \otimes S = K$. However, the main problem is now to show that $c \neq c$ 0: it is more difficult to prove that a geometric Hardy space is not reduced to zero than to prove this for Hardy spaces of functions. In the case of a bounded symmetric domain D we prove that $c \neq 0$ (Theorem 2.2.3) using a result of Clerc [Cl] stating that elements of the *compression semigroup* S(D) are also *contractions* of the Bergman metric of D. Once we know that the geometric Hardy space of D is not reduced to zero, we can explain Fact 2: the Hardy spaces $H^2(D)$ and $H^2(T_{\Omega})$ are essentially defined by taking suprema over certain subsemigroups of S(D); this supremum is smaller than the one over S(D) used in the definition of the geometric Hardy space, and therefore the geometric Hardy space can be realized as a subspace of $H^2(D)$ and $H^2(T_{\Omega})$. But this subspace contains enough elements in order to prove that we have, in fact, equality (Theorem 2.3.1 and Theorem 2.3.3).

Our setup applies to much more general Hardy spaces than the classical ones on tube domains. In particular, it includes the highly nontrivial examples described in [BH2; BÓ; C; HN; KØ2; ÓØ], which can be viewed either as living on curved complex symmetric spaces or else on complements of hypersurfaces in bounded domains (Section 3). These are our motivating examples, and they are all related to Fact 4.

The necessity of building a geometric theory of Hardy spaces in order to really understand these examples is explained in [BH2, Sec. 4]. Our theory will allow us to compare these spaces with the classical ones in an intrinsic way. We do not expand on these examples here in order to cleanly separate the difficulties of building a general geometric theory of Hardy spaces from the technicalities arising in the description of the curved examples. The details will be given elsewhere; here, we restrict ourselves to some remarks on which kinds of subtle problems must be dealt with in extending the known results about the embedding problem. Namely, before comparing Hardy spaces, one needs to compare holomorphic half-form bundles together with "equivariant" group or semigroup actions. Examples show that half-form bundles that are equivalent as vector bundles may very well carry several essentially different actions of a given group. This observation naturally leads to the problem of describing and classifying such objects.

1. Definition of Geometric Bergman and Hardy Spaces

1.1. Bergman Spaces of Holomorphic Sections

Before defining geometric Hardy spaces, it is useful to recall quickly the definition and basic properties of geometric Bergman spaces (cf. [KN, p. 163]). For any complex manifold M of dimension n, we denote by \mathbf{K}_M the canonical bundle $\bigwedge^n T^*M$ whose holomorphic sections are the holomorphic *n*-forms. The exterior product of a holomorphic *n*-form ω_1 with an antiholomorphic *n*-form $\bar{\omega}_2$ gives, up to a factor i^{n^2} , a real 2n-form. Because M (when viewed as a real manifold) is automatically orientable, this form can be integrated over M and the resulting number, if finite, is the inner product ($\omega_1 | \omega_2$). The *Bergman space* is then the space

$$\mathcal{B}^{2}(M) := \left\{ \omega \in \mathcal{O}(M, \mathbf{K}_{M}) \mid i^{n^{2}} \int_{M} \omega \wedge \bar{\omega} < \infty \right\}$$
(1.1.1)

of holomorphic *n*-forms ω for which the inner product $(\omega \mid \omega)$ is finite. It is known that this is a Hilbert space admitting a reproducing kernel that is a holomorphic (2n)-form on $M \times \overline{M}$ (cf. Section 2). From the definition of the Bergman space it is immediately clear that the group Aut(M) of holomorphic diffeomorphisms acts unitarily on $\mathcal{B}^2(M)$ by $(g, \omega) \mapsto (g^{-1})^* \omega$, where g^* is the usual pull-back of forms.

REMARK 1.1.1 (Trivialization of Line Bundles). A line bundle V over a complex manifold N is isomorphic to the trivial line bundle if and only if it admits a holomorphic nowhere vanishing section ν . In fact, the constant function 1 is a nowhere vanishing section of the trivial bundle, and conversely, given such a section ν , we define a bundle map

$$N \times \mathbb{C} \to \mathbf{V}, \quad (p, z) \mapsto z \nu_p$$

whose inverse is given by $\mathbf{V}_p \ni v \mapsto (p, z)$ with z defined by $v = zv_z$. The corresponding isomorphism

$$\mathcal{O}(N, \mathbf{V}) \to \mathcal{O}(N)$$

whose inverse is given by $f \mapsto fv$ will be called the *trivialization map associated to v*. Clearly, we can make similar remarks for real line bundles over real manifolds.

Applying this to the Bergman space, given a nowhere vanishing holomorphic n-form ν , we obtain an isomorphism of Hilbert spaces

$$\mathcal{B}^{2}(M) \to B^{2}(M,\nu) := \left\{ f \in \mathcal{O}(M) \mid \int_{M} |f(z)|^{2} i^{n^{2}}(\nu \wedge \bar{\nu})(z) < \infty \right\} \quad (1.1.2)$$

with inverse given by $f \mapsto fv$. The function space $B^2(M, v)$ is called a *trivialization* of $\mathcal{B}^2(M)$.

Note that the unitary action of Aut(M) in the trivialized picture is no longer canonical but depends on ν : for every $g \in Aut(M)$ there exists a function $j(g) = j_{\nu}(g)$ such that

$$g^*\nu = j(g) \cdot \nu.$$

Thus $g^*(fv) = g^*f \cdot g^*v = g^*f \cdot j(g) \cdot v$, implying that the action of g is transferred to

$$(g \cdot f)(z) = j(g^{-1}, z)f(g^{-1} \cdot z),$$

where $j(g, \cdot) := j(g)$.

REMARK 1.1.2 (Bundle-Valued Bergman Spaces). If M is a complex n-dimensional manifold and \mathbf{H} a holomorphic vector bundle over M, then by definition a *holomorphic n-form with values in* \mathbf{H} is a holomorphic section of the bundle

$$\mathbf{K}_{M} \otimes \mathbf{H} = \operatorname{Hom}(\bigwedge^{n} TM, \mathbf{H}); \qquad (1.1.3)$$

the space of such forms is denoted by $\Omega^n(M, \mathbf{H})$. In order to define Bergman spaces of holomorphic *n*-forms with values in **H**, we need the additional assumption that **H** is a *Hermitian* vector bundle. Then to $v, v' \in \mathbf{H}_z$ and $\alpha, \alpha' \in \bigwedge^n T_z^*M$ we associate a scalar-valued (n, n)-form

$$\langle \alpha \otimes v, \, \alpha' \otimes v' \rangle := i^{n^2} (v \mid v')_{\mathbf{H}_z} (\alpha \wedge \overline{\alpha'}). \tag{1.1.4}$$

In this way we obtain a sesquilinear map

$$\langle \cdot, \cdot \rangle \colon \Omega^n(M, \mathbf{H}) \times \Omega^n(M, \mathbf{H}) \to \mathcal{E}^{(n,n)}(M_{\mathbb{R}}),$$
 (1.1.5)

where $\mathcal{E}^{(n,n)}(M_{\mathbb{R}})$ denotes the differential forms of type (n, n) on M considered as an almost complex real manifold $M_{\mathbb{R}}$. With these definitions,

$$\mathcal{B}^{2}(M,\mathbf{H}) := \left\{ \omega \in \Omega^{n}(M,\mathbf{H}) \mid \int_{M_{\mathbb{R}}} \langle \omega, \omega \rangle < \infty \right\}$$
(1.1.6)

is called the *Bergman space* of *square integrable sections* (cf. [K, p. 639]). It turns out that $\mathcal{B}^2(M, \mathbf{H})$ is a Hilbert space with respect to the inner product

$$(\omega \mid \omega')_{\mathcal{B}} := \int_{M_{\mathbb{R}}} \langle \omega, \omega' \rangle.$$
(1.1.7)

If **H** is a trivial vector bundle with typical fiber \mathbf{H}_o , then the Hermitian metric is of the form $(v \mid v')_{\mathbf{H}_z} = (v \mid P(z)v')_{\mathbf{H}_o}$ with a Hermitian positive definite operator P(z). If we assume, moreover, that v is a nowhere vanishing *n*-form on *M*, then the map $f \mapsto v \otimes f$ defines an isomorphism of

$$B^{2}(M, \mathbf{H}_{o}, P)$$

$$:= \left\{ f \in \mathcal{O}(M, \mathbf{H}_{o}) \mid i^{n^{2}} \int_{M_{\mathbb{R}}} \|P(z)f(z)\|^{2} (\nu \wedge \bar{\nu})(z) < \infty \right\} \quad (1.1.8)$$

onto $\mathcal{B}^2(M, \mathbf{H})$. If **H** is a line bundle, then $z \mapsto P(z)$ is a scalar function with positive values and (1.1.8) coincides with the usual definition of *weighted Bergman* spaces with weight function *P*.

If g is a holomorphic diffeomorphism of the complex manifold M and if ω is a holomorphic *n*-form, then

$$\int_{M} (g^* \omega) \otimes \overline{(g^* \omega)} = \int_{g(M)} \omega \otimes \overline{\omega},$$

which means that g acts unitarily on the classical Bergman space. The statement is immediately generalized to the case of general bundle-valued Bergman spaces if we assume that g acts isometrically on the Hermitian bundle used in the construction.

A situation that will become relevant arises as follows. We assume that \mathcal{U} is a domain in a complex manifold M, **H** is a Hermitian vector bundle over \mathcal{M} , and Γ is a semigroup of biholomorphic maps on M that preserves \mathcal{U} and acts on the bundle **H** with isometric fiber maps.

PROPOSITION 1.1.3. The Bergman space $\mathcal{B}^2(\mathcal{U})$ is stable under the natural pullback $\omega \mapsto s^* \omega$ for $s \in \Gamma$, and this action is contractive.

Proof. In the scalar case, we have

$$\int_{\mathcal{U}} (s^* \omega) \otimes \overline{(s^* \omega)} = \int_{s(\mathcal{U})} \omega \otimes \overline{\omega} \leq \int_{\mathcal{U}} \omega \otimes \overline{\omega},$$

since *s* is a compression of \mathcal{U} . The argument carries over to the bundle-valued case with the obvious changes.

1.2. Spaces of Square Integrable Half-Forms

DEFINITION 1.2.1. A *half-form bundle* on a real *n*-dimensional manifold *N* is a complex line bundle **L** over *N* such that the square $\mathbf{L}^2 = \mathbf{L} \otimes \mathbf{L}$ is isomorphic to the complexified line bundle $(\bigwedge^n T^*N)_{\mathbb{C}}$. In other words, the transition functions $h_{\alpha\beta}: U'_{\alpha} \cap U'_{\beta} \to \mathbb{C}^{\times}$ of **L** satisfy

$$h_{\alpha\beta}^{2}(z) = \operatorname{Det}_{\mathbb{R}} \left(d(\psi_{\beta} \circ \psi_{\alpha}^{-1})(\psi_{\alpha}(z)) \right)$$
(1.2.1)

if $(U'_{\alpha}, \psi_{\alpha})_{\alpha \in A}$ is an atlas for *N*. A section of a half-form bundle is called a *half-form*.

EXAMPLE 1.2.2. Assume that *N* is orientable; thus there is a nowhere vanishing *n*-form ν on *N* and (w.r.t. an oriented atlas) the bundle $(\bigwedge^n T^*N)_{\mathbb{C}}$ is isomorphic to the trivial line bundle, which we denote by **1** (cf. Remark 1.1.1). Since $\mathbf{1} \otimes \mathbf{1} \cong \mathbf{1}$, it follows that **1** is also a half-form bundle on *N*. We cannot conclude, however, that in this situation all half-form bundles are trivial. For example, if *N* is the circle then the complexification of the Möbius band (considered as a real nontrivial line bundle) is a nontrivial half-form bundle.

REMARK 1.2.3. Not every manifold admits half-form bundles, and if they exist they are not always uniquely determined.

To make this precise, recall that the isomorphism classes of line bundles form an abelian group, called the Picard group, under tensoring (the trivial bundle being the identity). This group is isomorphic to $H^1(M, \mathcal{A}^{\times})$, where \mathcal{A} is the sheaf of invertible differentiable maps (C^{∞} or holomorphic, depending on whether Mis real or complex). Thus M admits a half-form bundle if and only if the isomorphism class of \mathbf{K}_M is a square in the Picard group. Consider the exact sequence

$$\{1\} \to \{\pm 1\} \to \mathcal{A}^{\times} \xrightarrow{z \mapsto z^2} \mathcal{A}^{\times} \to \{1\}$$

of sheaves of abelian groups. According to [Go, p. 174], we obtain a long exact sequence of group homomorphisms in cohomology

$$\cdots \to H^{1}(M, \{\pm 1\}) \xrightarrow{\iota} H^{1}(M, \mathcal{A}^{\times}) \xrightarrow{z \mapsto z^{2}} H^{1}(M, \mathcal{A}^{\times})$$
$$\xrightarrow{\delta} H^{2}(M, \{\pm 1\}) \to \cdots.$$

Thus the canonical bundle is a square if and only if $\delta(\mathbf{K}_M)$ is the identity in $H^2(M, \{\pm 1\})$. Moreover, if \mathbf{K}_M is a square, then the set of square roots is parameterized by the image of ι in $H^1(M, \{\pm 1\})$.

In particular, we find that if $H^{j}(M, \{\pm 1\})$ is trivial for j = 1, 2 then M admits a unique half-form bundle.

For two half-forms $\omega^{(1)}$ and $\omega^{(2)}$, the tensor product $\omega^{(1)} \otimes \overline{\omega^{(2)}}$ is a *density*; that is, the product is a section of the *density bundle* $|\bigwedge^n | T^*N$, which is the complex line bundle defined by the transition functions $g_{\alpha\beta} : U_{\alpha} \cap U_{\beta} \to \mathbb{C}^{\times}$ given as

$$g_{\alpha\beta}(z) = \left| \operatorname{Det}_{\mathbb{R}} \left(\mathrm{d}(\varphi_{\beta} \circ \varphi_{\alpha}^{-1})(\varphi_{\alpha}(z)) \right) \right|,$$

where $(U_{\alpha}, \varphi_{\alpha})_{\alpha \in A}$ is an atlas for *N*. The fiber of $|\bigwedge^n |T^*N$ at $x \in N$ can be viewed as the set of maps $\rho : (T_x N)^n \to \mathbb{C}$ such that

$$\rho(A\eta_1,\ldots,A\eta_n) = |\text{Det}(A)|\rho(\eta_1,\ldots,\eta_n)$$

for all $A \in \text{End}_{\mathbb{R}}(T_x N)$ (cf. [GS, p. 53]). We call a density ν *real* if $\nu(x)$: $(T_x N)^n \to \mathbb{C}$ takes only real values; we call ν *positive* if $\nu(x)$: $(T_x N)^n \to \mathbb{C}$ takes only nonnegative values for all $x \in N$.

Thus, if \mathbf{HF}_N is a half-form bundle on N, then

$$(\omega^{(1)}, \omega^{(2)}) \mapsto (\omega^{(1)} \mid \omega^{(2)})_{\mathbf{HF}_N} := \int_N \omega^{(1)} \otimes \overline{\omega^{(2)}}$$

defines an inner product on the space of continuous sections of \mathbf{HF}_N with compact support, whose completion we denote by $L^2(N, \mathbf{HF}_N)$.

EXAMPLE 1.2.4 (Trivialization). Assume that \mathbf{HF}_N has a nowhere vanishing section ω_o . Then $\nu := \omega_o \otimes \overline{\omega_o}$ is a nowhere vanishing positive density on *N* defining a measure *dn* on *N*, and

$$L^2(N, dn) \to L^2(N, \mathbf{HF}_N), \quad f \mapsto f\omega_d$$

is an isomorphism of Hilbert spaces (cf. Remark 1.2.1). Densities can be pulled back under smooth maps via

$$\varphi^* \nu(x)(\eta_1, \dots, \eta_n) = \nu(\varphi(x))(\mathrm{d}\varphi(x)\eta_1, \dots, \mathrm{d}\varphi(x)\eta_n).$$

Thus, for any diffeomorphism g of N there is a strictly positive function j(g) such that

$$g^*\nu = j(g) \cdot \nu.$$

Then

$$(g \cdot f)(x) = j(g^{-1})^{1/2} \cdot (f \circ g^{-1})$$

defines a unitary action of the group G of diffeomorphisms of N in $L^2(N, \mathbf{HF}_N)$. It corresponds to an action $\omega \mapsto g^* \omega$ of G on the space of sections of \mathbf{HF}_N such that $g^* \omega \otimes \overline{g^* \omega} = g^*(\omega \otimes \overline{\omega})$. In the special case where N = V is a vector space, the bundle $\bigwedge^n T^*V$ clearly is trivial and thus the trivial bundle is isomorphic to a half-form bundle. The forms ω_o and ν can both be chosen to be translation-invariant; we will use also the notation $(dx)^{1/2}$ for ν . Then

$$L^2(V, dx) \to L^2(V, \mathbf{HF}_V), \quad f \mapsto f(dx)^{1/2}$$

is the trivialization map. We have j(g)(x) = |Det(dg(x))|, and thus the action of *G* is given in the trivialized picture by

$$(g \cdot f)(x) = |\mathsf{d}g^{-1}(x)|^{-1/2} f(g^{-1} \cdot x).$$

In this case, the unitarity of the action can be seen as a direct consequence of the transformation formula for integrals.

REMARK 1.2.5. In general, given a half-form bundle **HF**, there is no natural pullback action of the group of all diffeomorphisms on **HF** that is compatible with the natural action on the bundle $\bigwedge^n T^*N$ in the sense that $g^*(\omega \otimes \rho) = g^*\omega \otimes g^*\rho$. However, one is not very far from this situation. One can prove the following.

- (1) A single diffeomorphism of *M* can always be lifted to a diffeomorphism of the half-form bundle that is compatible with the pull-back of holomorphic forms.
- (2) The lifting can be done simultaneously for all elements of a connected topological semigroup in such a way that one obtains a representation of a double cover of the semigroup.

1.3. Hardy Spaces of Holomorphic Half-Forms

DEFINITION 1.3.1. Let *M* be a complex manifold. A holomorphic line bundle $\mathbf{L} \to M$ is called a *holomorphic half-form bundle* if $\mathbf{L} \otimes \mathbf{L}$ is isomorphic to the canonical line bundle $\mathbf{K}_M = \bigwedge^n T^*M$. A *holomorphic half-form* is a holomorphic section of a holomorphic half-form bundle.

In order to define Hardy spaces, we must integrate holomorphic half-forms over real forms of M. For this we need the following proposition.

PROPOSITION 1.3.2. Let M be a complex manifold and **HF** a holomorphic halfform bundle on M. If $N \subseteq M$ is a real form (i.e., a totally real real-analytic submanifold with $\dim_{\mathbb{R}} N = \dim_{\mathbb{C}} M$), then $\mathbf{HF}|_{N}$ is a half-form bundle on the real manifold N and $(\mathbf{HF} \otimes \overline{\mathbf{HF}})|_{N}$ is a density bundle on the real manifold N.

Proof. We can find an atlas $(U_{\alpha}, \varphi_{\alpha})$ for M such that $\varphi_{\alpha}(N \cap U_{\alpha}) \subseteq \mathbb{R}^n$ for each of the coordinate functions $\varphi_{\alpha} : U_{\alpha} \to \mathbb{C}^n$. In fact, such charts are obtained by parameterizing a piece of N by a real-analytic map $f : U \to N$, where U is a neighborhood of 0 in \mathbb{R}^n , and then complexifying f to get a holomorphic map from a neighborhood of 0 in \mathbb{C}^n onto a piece of M. (In a local chart on M, all we do is write f as a power series in real variables (x_1, \ldots, x_n) and then make x complex; the power series will converge in some neighborhood of the origin.) Total

reality of N ensures that the extended f is locally biholomorphic, and its inverse is then a local chart on M that is compatible with N.

With respect to this atlas, $(U_{\alpha} \cap N, \varphi_{\alpha,N})_{\alpha \in A}$ with $\varphi_{\alpha,N} \colon N \cap U_{\alpha} \to \mathbb{R}^{n}$ is an atlas for N and

$$\varphi_{\alpha} \circ \varphi_{\beta}^{-1} \colon \varphi_{\beta}(U_{\alpha}) \cap \mathbb{R}^n \to \varphi_{\alpha}(U_{\alpha}) \cap \mathbb{R}^n.$$

In particular, for $x \in N \cap U_{\alpha} \cap U_{\beta}$ we find that

$$\operatorname{Det}_{\mathbb{R}}\left(\operatorname{d}(\varphi_{\alpha,N}\circ\varphi_{\beta,N}^{-1})(\varphi_{\beta}(x))\right) = \operatorname{Det}_{\mathbb{C}}\left(\operatorname{d}(\varphi_{\alpha}\circ\varphi_{\beta}^{-1})(\varphi_{\beta}(x))\right) = h_{\beta\alpha}(x)^{2}$$

for $x \in N \cap U_{\alpha} \cap U_{\beta}$. This also implies

$$h_{\alpha\beta}(x)\overline{h_{\alpha\beta}(x)} = \left| \operatorname{Det}_{\mathbb{R}} \left(\operatorname{d}(\varphi_{\alpha,N} \circ \varphi_{\beta,N}^{-1})(\varphi_{\beta}(x)) \right) \right|$$

and hence the claim.

Note that, if ω is a holomorphic half-form and N is as in the proposition, the restriction $\omega|_N$ is a half-form on the real manifold N and thus we can integrate $\omega_N \otimes \overline{\omega}_N$ over N. Hardy spaces are defined by a finiteness condition on such integrals. However, in order to obtain Hilbert spaces, one needs the more specific geometric situation where N plays the role of a boundary of the domain on which the holomorphic half-forms live.

DEFINITION 1.3.3. A quadruple $(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ is called *Hardy-space data* if

(1) \mathcal{U} is a domain in a complex manifold M,

(2) **HF** is a holomorphic half-form bundle over M,

(3) N is a real form of M such that N is contained in the boundary ∂U of U, and

(4) Γ is a semigroup of compressions of \mathcal{U} acting by local automorphisms of **HF**.

More precisely, $s \in \Gamma$ acts by a local holomorphic diffeomorphism

$$s: \mathcal{D}_s \mapsto s(\mathcal{D}_s),$$

where $\mathcal{D}_s \subset M$ is a domain containing \mathcal{U} . The assumption that *s* is a compression of \mathcal{U} means that $s(\mathcal{U}) \subset \mathcal{U}$. Moreover, we assume that, to each *s*, a bundle isomorphism

$$s^*: \mathbf{HF}\big|_{s(\mathcal{D}_s)} \to \mathbf{HF}\big|_{\mathcal{D}_s}$$

is given (thus we assume that $p \circ s^* = s \circ p$, where p is the projection onto the base space of **HF**) such that $s_2^* \circ s_1^* = (s_1s_2)^*$ and

$$s^*(v_y \otimes w_y) = s^*v_y \otimes s^*w_y$$

for all $v_y, w_y \in \mathbf{HF}_y$.

It is clear that the manifold M and the domains \mathcal{D}_s can be replaced by smaller neighborhoods of \mathcal{U} ; thus we omit M in our notation and are, in fact, interested in equivalence classes only when considering two elements s, s' as equivalent if they coincide on \mathcal{U} .

We denote by Γ^{o} the semigroup ideal

$$\Gamma^{o} = \{ s \in \Gamma \mid s(\bar{\mathcal{U}}) \subset \mathcal{U}^{o} \}.$$

Then, under the previous assumptions, $s \cdot N$ is a real form of \mathcal{U} for all $s \in \Gamma^o$. We are thus in the situation of Proposition 1.3.2, implying that for any holomorphic section ω of **HF** we have a density $\omega \otimes \overline{\omega}$ on $s \cdot N$.

DEFINITION 1.3.4. Given Hardy-space data (\mathcal{U} , N, **HF**, Γ), for any holomorphic section ω of **HF** we set

$$\|\omega\|_{\mathcal{H}}^{2} := \sup_{s \in \Gamma^{o}} \int_{s \cdot N} \omega |_{s \cdot N} \otimes \overline{\omega}|_{s \cdot N}$$

and define a Hardy space

$$\mathcal{H}^{2}(\mathcal{U}) := \mathcal{H}^{2}(\mathcal{U}, N, \mathbf{HF}, \Gamma) := \{ \omega \in \mathcal{O}(\mathcal{U}, \mathbf{HF}) \mid \|\omega\|_{\mathcal{H}}^{2} < \infty \}.$$

Of course, this definition is void unless Γ^o is non-empty. To see that there are plenty of nontrivial examples, just note that for any homogeneous complex manifold M = G/H and any open subsemigroup *S* in *G*, the *S*-orbits in *M* are all open and can play the role of \mathcal{U} . For a detailed description of a number of examples satisfying also Assumption 1.4.1 (so that Γ^o even contains approximate identities) see [HN, Chap. 8], which deals specifically with compression semigroups. To construct actions of compression semigroups on a half-form bundle, one can use standard methods involving double coverings. That coverings cannot be avoided in general can be seen from the examples treated in [BH2] and [KØ2].

One can also define general bundle-valued Hardy spaces in the same spirit as we passed from the Bergman space of scalar-valued forms to bundle-valued forms. However, in order to keep the notation manageable, we stick to the case of scalar-valued half-forms. At the moment, we do not even know whether the Hardy space is a vector space.

PROPOSITION 1.3.5.

- (i) The Hardy space H²(U), together with || · ||²_H, is a normed complex vector space.
- (ii) The semigroup Γ acts naturally by contractions on the Hardy space.
- (iii) If Γ is a monoid (i.e., a multiplicative semigroup with a neutral element 1) and if the element 1 acts as the identity on \mathcal{U} and HF, then the group $G = \Gamma \cap \Gamma^{-1}$ of units acts naturally by isometries on the Hardy space and hence also on its norm completion.

Proof. (i) Using property (4) of the Hardy-space data, we calculate that

$$\int_{s \cdot N} \omega \big|_{s \cdot N} \otimes \bar{\omega} \big|_{s \cdot N} = \int_{s \cdot N} (\omega \otimes \bar{\omega}) \big|_{s \cdot N} = \int_{N} s^* \omega \otimes s^* \bar{\omega} = \|(s^* \omega \big|_N)\|_{L^2(N, \mathbf{HF}_N)}^2;$$

thus,

 $\|\omega\|_{\mathcal{H}}^{2} = \sup_{s \in \Gamma^{o}} \|(s^{*}\omega)|_{N}\|_{L^{2}(N,\mathbf{HF}_{N})}.$

Using the triangle inequality in $L^2(N, \mathbf{HF}_N)$, it follows that if $\|\omega\|_{\mathcal{H}} < \infty$ and $\|\nu\|_{\mathcal{H}} < \infty$ then also $\|\omega + \nu\|_{\mathcal{H}} < \infty$. The claim follows.

(ii) Using the calculation from part (i), we have

$$\begin{split} |s^*\omega\|_{\mathcal{H}}^2 &= \sup_{g\in\Gamma^o} \|(g^*s^*\omega)\big|_N \|_{L^2(N,\mathbf{HF}_N)} = \sup_{g\in s\Gamma^o} \|(g^*\omega)\big|_N \|_{L^2(N,\mathbf{HF}_N)} \\ &\leq \sup_{g\in\Gamma^o} \|(g^*\omega)\big|_N \|_{L^2(N,\mathbf{HF}_N)} \\ &\leq \|\omega\|_{\mathcal{H}}^2. \end{split}$$

(iii) This is immediate with (ii).

Note that it is by no means clear at this point that the Hardy space $\mathcal{H}^2(\mathcal{U})$ is an inner product space. In other words, we do not have the means to show that the norm $\|\cdot\|_{\mathcal{H}}^2$ satisfies the parallelogram identity

$$2\|\omega\|_{\mathcal{H}}^{2} + 2\|\omega'\|_{\mathcal{H}}^{2} = \|\omega + \omega'\|_{\mathcal{H}}^{2} + \|\omega - \omega'\|_{\mathcal{H}}^{2}.$$

1.4. The Boundary Value Map

From the theory of Hardy spaces of functions, one expects an isometric boundary value map $b: \mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma) \to L^2(N, \mathbf{HF}_N)$. We formulate an assumption on the geometry of \mathcal{U} , N, and Γ under which this is indeed the case, as follows.

Assumption 1.4.1 (Polar Decomposition). In addition to the data given by Definition 1.3.3, assume that *G* preserves *N* and that Γ is a locally compact semigroup for which the group $G = \Gamma \cap \Gamma^{-1}$ is a Lie group such that Γ admits a *polar decomposition*

$$\Gamma = G \exp(iC)$$

with an Ad(*G*)-invariant regular (i.e., convex, open, and pointed) cone *C* in the Lie algebra \mathfrak{g} of *G*. This means that each element $s \in \Gamma$ can be uniquely written as s = gp, where *g* belongs to *G* and *p* belongs to a 1-parameter subsemigroup $t \mapsto \gamma(t)$ of Γ for which the vector field $X(x) := i \frac{d}{dt} \Big|_{t=0} \gamma(t) x$ is of the form $x \mapsto \frac{d}{dt} \Big|_{t=0} \exp(tY) \cdot x$ for *Y* in *C*. Here multiplication by *i* on the tangent space of *M* is given by the almost complex structure of *M*.

THEOREM 1.4.2. Let $(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ be Hardy-space data satisfying Assumption 1.4.1. Then there is an isometric boundary value map given by

$$b: \mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma) \to L^2(N, \mathbf{HF}_N), \quad \omega \mapsto \lim_{t \to 0} ((\exp(itX)^* \omega) \big|_N)$$

that is independent of the element $X \in int C$.

Proof. This can be proved in the same way as the corresponding statement for Hardy spaces of functions on Ol'shanskiĭ semigroups (cf. e.g. [HN, pp. 277–279]. Let us briefly recall the main points. We write $\Gamma = G \exp(iC)$ and let $X \in int(iC)$. For a fixed $\omega \in \mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma)$, we consider the map

$$F: \{z \in \mathbb{C} \mid \operatorname{Re} z > 0\} \to L^2(N, \operatorname{HF}_N), \quad z \mapsto (\exp(zX)^* \omega) \Big|_N.$$

In this situation, a lemma of Paley–Wiener type due to Ol'shanskiĭ (cf. [HN, Lemma 9.11]) implies that $b_X(\omega) := \lim_{z\to 0} F(z)$ exists in $L^2(N, \mathbf{HF}_N)$. Now it is proved by standard arguments that b_X is an isometry that does not depend on X (cf. [HN, pp. 278–279]).

We note the following consequences of Theorem 1.4.2 for later use.

COROLLARY 1.4.3. Let $(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ be Hardy-space data satisfying Assumption 1.4.1. Then:

- (i) the Hardy space $\mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ is an inner product space; and
- (ii) the space of sections of HF that are holomorphic in some neighborhood of U is dense in H²(U, N, HF, Γ).

Recall the basic concepts of the holomorphic representation theory for involutive semigroups from [N2]. An *involutive semigroup* is a pair (Γ, \sharp) , where Γ is a semigroup and \sharp : $\Gamma \to \Gamma$ is an involutive anti-automorphism. A *Hermitian semigroup representation* of a semigroup Γ with involution \sharp on a pre-Hilbert space \mathcal{H}^0 is a semigroup homomorphism $\pi : \Gamma \to B_0(\mathcal{H}^0)$ preserving the involutions (i.e., $\pi(s^{\sharp}) = \pi(s)^*$). Here $B_0(\mathcal{H}^0)$ is the vector space of linear operators $A : \mathcal{H}^0 \to \mathcal{H}^0$ for which a formal adjoint exists. This is an involutive semigroup, so the foregoing definition makes sense. We call π *bounded* if $\pi(s)$ is a bounded operator for all $s \in \Gamma$. If Γ is a topological semigroup with a continuous involution, then a bounded representation π on a Hilbert space \mathcal{H} is called *continuous* if $\pi : \Gamma \to B(\mathcal{H})$ is continuous with respect to the weak operator topology on the algebra $B(\mathcal{H})$ of bounded operators on \mathcal{H} .

If, in addition, Γ is a complex manifold and \sharp is anti-holomorphic, then (Γ, \sharp) is called a *complex involutive semigroup* and a bounded representation $\pi : \Gamma \rightarrow B(\mathcal{H})$ is called *holomorphic* if it is holomorphic as a map when $B(\mathcal{H})$ is endowed with its natural Banach space structure.

REMARK 1.4.4. Note that the existence of a polar decomposition for Γ automatically shows that we have an involutive self-map $s \mapsto s^{\sharp}$ of Γ via $(g \exp X)^{\sharp} = (\exp X)g^{-1}$. In fact, we calculate

$$(s^{\sharp})^{\sharp} = \exp(\operatorname{Ad}(g)X)g = g(\exp X)g^{-1}g = g\exp X.$$

But the equality $(s_1s_2)^{\sharp} = s_2^{\sharp}s_1^{\sharp}$ cannot automatically be deduced from this definition unless Γ is abelian. We shall soon encounter various examples of semigroups with polar decomposition such that the associated involutive self-map is actually an involution defined as follows: there is a "complex conjugation" τ of M with respect to the real form N, and then the equation $s^{\sharp} = \tau \circ s^{-1} \circ \tau$ holds on some neighborhood of \mathcal{U} .

THEOREM 1.4.5. Suppose that Assumption 1.4.1 holds. In addition, we assume that (Γ, \sharp) is a complex involutive semigroup such that

$$(g \exp X)^{\sharp} = (\exp X)g^{-1} = g^{-1}\exp(\operatorname{Ad}(g)X).$$

Then the representation of Γ from Proposition 1.3.5 on the completion of $\mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ is a holomorphic Hermitian representation.

Proof. We set $\pi(s)\omega = s^*\omega$ for $\omega \in \mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ and use Proposition 1.3.5 to extend $\pi(s)$ to a contraction on the completion \mathcal{H} of $\mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma)$. In this way we obtain, again by Proposition 1.3.5, a bounded representation $\pi : \Gamma \rightarrow B(\mathcal{H})$. Once more from Proposition 1.3.5 we see that the restriction of π to $G = \Gamma \cap \Gamma^{-1}$ is a unitary representation.

Using charts and a suitable partition of unity, we can view the integrals that give the norms of the half-forms involved as ordinary integrals of functions. Since Γ acts by contractions, we can use Lebesgue's theorem of dominated convergence to see that π is holomorphic (cf. [HN, Lemma 9.7]).

It remains to demonstrate that $\pi(s^{\sharp}) = \pi(s)^*$. The unitarity of $\pi|_G$ implies that it suffices to show that $\pi(\exp X)$ is self-adjoint for $X \in iC$. Consider the derived representation $d\pi$ of \mathfrak{g} on \mathcal{H} . Since $iX \in \mathfrak{g}$, we know that $d\pi(X)$ is a self-adjoint operator on \mathcal{H} , which is the infinitesimal generator of the unitary 1parameter group $\pi(\exp(itX))$. Let *P* be the spectral measure of $d\pi(X)$ and set $A = P(]-\infty, 0] d\pi(X)$.

Now, for $\omega \in \mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma)$, consider the function

$$z \mapsto F_{\omega}(z) = \pi(\exp(zX))\omega = (\exp zX)^*\omega$$

defined on $\mathbb{C}_+ = \{z \in \mathbb{C} \mid \text{Re } z \ge 0\}$. Then $F_{\omega}(z + it) = \pi(\exp itX)F_{\omega}(z)$, and Ol'shanskiĭ's Paley–Wiener lemma [HN, Lemma 9.11] shows that there exists a $\xi \in \mathcal{H}$ such that $F_{\omega}(z) = \exp(zA)\xi$ for $z \in \mathbb{C}_+$. Since $F_{\omega}(0) = \omega$ we see that $\xi = \omega$, which implies

$$\pi(\exp(zX))\omega = \exp(zA)\omega.$$

By continuity, we now find $\pi(\exp(zX)) = \exp(zA)$. Since *A* is self-adjoint, the claim follows from the case z = 1.

REMARK 1.4.6. There is an analog of Theorem 1.4.5 for Bergman spaces: suppose that (Γ, \sharp) satisfies the assumptions of Theorem 1.4.5; then the representation of Γ on $\mathcal{B}^2(\mathcal{U})$ from Proposition 1.1.3 is a holomorphic Hermitian representation. In fact, we can obtain this result by copying the proof of Theorem 1.4.5 with $\mathcal{B}^2(\mathcal{U})$ instead of $\mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma)$. It even gets a little simpler, since $\mathcal{B}^2(\mathcal{U})$ already is complete.

1.5. Completeness of Hardy Spaces

In general, the normed vector space from Definition 1.3.4 will not be complete. We introduce a sufficient condition on the Hardy-space data that will allow us to prove completeness in various cases.

DEFINITION 1.5.1. Hardy-space data ($\mathcal{U}, N, \mathbf{HF}, \Gamma$) are called *complete* if Assumption 1.4.1 is satisfied and the inclusion map

$$\mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma) \hookrightarrow \mathcal{O}(\mathcal{U}, \mathbf{HF})$$

is continuous, where $\mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ carries the norm topology and $\mathcal{O}(\mathcal{U}, \mathbf{HF})$ the topology of compact open convergence.

In the following proposition we use the concept of a reproducing kernel for a Hilbert space of sections as explained in the appendix.

PROPOSITION 1.5.2. Let $(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ be complete Hardy-space data. Then $\mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ is a Hilbert space that admits a reproducing kernel.

Proof. In view of Theorem 1.4.2, this can be proved just as the corresponding result in [HN, Thm. 9.31, especially p. 280]. \Box

In general, it is not easy to check the completeness of Hardy-space data. In fact, we don't have a general criterion that covers all the known cases of complete data.

PROPOSITION 1.5.3. Consider the Hardy-space data $(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ and assume that:

- (a) they satisfy Assumption 1.4.1 (polar decomposition); and
- (b) the map $\Gamma^o \times N \to \mathcal{U}$, $(s, n) \mapsto s \cdot n$ is a submersion.

Then $(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ is a set of complete Hardy-space data.

Proof. Since the question is of local nature, we may assume that the bundle **HF** admits a trivialization on some neighborhood of \mathcal{U} . So let us assume that ν is a global nowhere vanishing section of **HF** on some neighborhood of \mathcal{U} . Identifying holomorphic sections ω of **HF** with holomorphic functions f via $\omega = f\nu$, the semigroup Γ acts by

$$(s^*f)(z) = j(s, z)f(s \cdot z)$$

with j(s, z) defined by $s^* v = j(s, \cdot)v$ (cf. Remark 1.1.1). Let $d\mu$ be the measure defined by the density $v \otimes \overline{v}$ on *N*. Then the trivialized picture of the Hardy space \mathcal{H}^2 is

$$H^{2} = \left\{ f \in \mathcal{O}(\mathcal{U}) \mid \sup_{s \in \Gamma^{o}} \int_{N} |f(s \cdot u)|^{2} |j(s, u)|^{2} d\mu(u) < \infty \right\}.$$

Now we follow the proof for the case of Hardy spaces on Ol'shanskiĭ semigroups (cf. [HN, pp. 279–280] or [Ne1, Lemma 1.3]). The main point is to prove that, for any compact subset $K \subset U$, we can find a constant c_K depending only on K such that

$$\sup_{z\in K}|f(z)|\leq c_K\|f\|_{H^2}$$

for all $f \in H^2$. For the proof of this estimate, assumption (b) is essential, since it permits us to introduce local coordinates adapted to the problem and thus to show that f satisfies a local Bergman-type condition. The absolute value of the cocycle factor j(s, z) can locally be estimated from above and from below and does not affect this way of reasoning.

We note here that assumption (b) of Proposition 1.5.3 is not necessary. In fact, it is not satisfied for the data leading to the classical Hardy spaces $H^2(D)$ with D

a bounded symmetric domain of complex dimension *n* greater than 1 (cf. Section 2.3). In that case, Γ is 1-dimensional; therefore, ΓN is (n + 1)-dimensional and hence not open in the real (2n)-dimensional *D*.

REMARK 1.5.4. If one strengthens the assumptions on Γ by saying that Γ is a complex Ol'shanskiĭ semigroup (cf. [Ne1]), then the proof of [Ne1, Prop. 2.4] can be adapted to yield the following result: If the Hardy-space data $(\mathcal{U}, N, \mathbf{HF}, \Gamma)$ are complete, then the subspace $b(\mathcal{H}^2(\mathcal{U}, N, \mathbf{HF}, \Gamma)) \subset L^2(N, \mathbf{HF}_N)$ is the largest subspace $F \subset L^2(N, \mathbf{HF}_N)$ such that all the self-adjoint operators $iX, X \in C$, are negative on F.

2. Bergman and Hardy Spaces on Tube-Type Domains

2.1. Bergman Spaces on Bounded Symmetric Domains

In the following we assume that D = G/K is a Hermitian symmetric space. Then D has a canonical realization as a circled bounded symmetric domain in a complex vector space $V_{\mathbb{C}} = \mathbb{C}^n$ (cf. [L] or [Sa]). The vector space $V_{\mathbb{C}}$ is, in turn, realized as an open dense subset in the compact dual $(V_{\mathbb{C}})^c$ of D. The embedding $D \subset V_{\mathbb{C}} \subset (V_{\mathbb{C}})^c$ is called the *Borel embedding*. The space $(V_{\mathbb{C}})^c$ is a complex manifold and can be written as the quotient $(V_{\mathbb{C}})^c = G_{\mathbb{C}}/Q$ of complex Lie groups. Then Q is a maximal parabolic subgroup of $G_{\mathbb{C}}$ containing the translation group $t_{V_{\mathbb{C}}}$.

There exist unbounded realizations of the Hermitian symmetric space G/K as Siegel domains of the second kind. In case G/K is of tube type, this expression reduces to a Siegel domain of the first kind, which is (by definition) a tube domain $T_{\Omega} = V + i\Omega$ over a homogeneous self-dual cone Ω in a Euclidean vector space V. This space carries the structure of a Euclidean Jordan algebra with unit element e, and the Cayley transform C relating these two realizations via $C(D) = T_{\Omega}$ can be written in terms of this algebra as $C(z) = i(e + z)(e - z)^{-1}$ (cf. [FK2, p. 190]).

One defines a Bergman space of holomorphic functions on the bounded symmetric domain D by

$$B^{2}(D) := \left\{ f \in \mathcal{O}(D) \mid \int_{D} |f(z)|^{2} dz < \infty \right\}.$$

If we denote by dz also the translation-invariant holomorphic top-degree form on $V_{\mathbb{C}}$, restricted to D, then

$$B^2(D) \to \mathcal{B}^2(D), \quad f \mapsto f(dz)$$
 (2.1.1)

is an isomorphism of Hilbert spaces. One deduces that $\mathcal{B}^2(D)$ is nontrivial because $B^2(D)$ contains all holomorphic polynomials. It follows that the Bergman kernel *K* is nonzero. The following explicit formula for the Bergman kernel is well known. In order to show that it can be proved by *geometric* methods, we sketch a short proof. One defines a polynomial on $V_{\mathbb{C}}$ by

$$B(x, y) := \mathrm{id}_{V_{\mathbb{C}}} - 2x\Box y + P(x)P(y),$$

where $(x \Box y)z = T(x, y, z)$ and P(x)z = T(x, z, x) are defined via the Jordan triple product T(x, y, z) associated to the bounded symmetric domain D (cf. [L] or [Sa], where the notation $\{xyz\}$ for T(x, y, z) is used).

THEOREM 2.1.1. The reproducing kernel of $B^2(D)$ is (up to a nonzero constant factor) given by

$$k(z, w) = \text{Det } B(z, \bar{w})^{-1},$$

and the reproducing kernel of $\mathcal{B}^2(D)$ is given by $K(z, w) = k(z, w)dz \boxtimes \overline{dw}$.

Proof. The group Aut(D) acts unitarily on the geometric Bergman space. Therefore, its reproducing kernel is *G*-invariant (Theorem A.2.1). Since *G* acts transitively on *D*, the kernel K(z, z) is uniquely determined by its value at a base point, and by holomorphy K(z, w) is then also determined. In other words, the reproducing kernel is determined up to a factor by its invariance property. In the trivialized picture, the invariance translates into the covariance property

$$k(g \cdot z, g \cdot z) = \operatorname{Det}(\operatorname{d} g(z))^{-2} k(z, z).$$

It can be proved by geometric methods that the function $z \mapsto \text{Det } B(z, \bar{z})^{-1}$ has precisely this covariance property (cf. [L]; in [B, Sec. 1.4], a more direct proof is given that does not need the trivialization). Since the Bergman kernel is not zero, we conclude that there is a scalar $\lambda \neq 0$ with $k(z, w) = \lambda \text{Det}(B(z, \bar{w}))^{-1}$.

Moreover, since the group G acts transitively on D by holomorphic diffeomorphisms, a theorem of Kobayashi (cf. [K] or [BH1, Thm. 2.5]) implies that $\mathcal{B}^2(D)$ is an irreducible unitary G-module. Next one defines a family of *weighted Bergman* spaces of holomorphic functions by

$$B_m^2(D) := \left\{ f \in \mathcal{O}(D) \mid \int_D |f(z)|^2 \operatorname{Det} B(z, \bar{z})^{m-1} dz < \infty \right\}$$

(cf. [FK2, Chap. XIII]). This is the trivialized picture of the bundle-valued Bergman space $\mathcal{B}^2(D, \mathbf{K}_D^{m-1})$ with values in the line bundle \mathbf{K}_D^{m-1} , with the Hermitian metric given by the (m - 1)th power of the Bergman kernel function. Since this metric is *G*-invariant, we have again a unitary and irreducible *G*-representation on this space.

2.2. The Geometric Hardy Space of a Tube-Type Domain

We shall define Hardy-space data associated to a bounded symmetric domain D of tube type. On $M = V_{\mathbb{C}}$ we choose the natural trivial half-form bundle **HF** and denote its translation-invariant section by $(dz)^{1/2}$. (Let *n* be the real dimension and let *r* be the rank of *V*. If *V* is simple and n/2r is an integer, then one can define the half-form bundle **HF** on all of $(V_{\mathbb{C}})^c$ via an induced representation; if it is a half-integer, this is no longer possible.) The space *N* will be given by the *Shilov* boundary, which is described in terms of the complex Jordan algebra $V_{\mathbb{C}}$ by

$$\Sigma = \{ z \in V_{\mathbb{C}} \mid z^{-1} = \overline{z} \};$$

this shows that Σ is indeed a real form of $V_{\mathbb{C}}$ (if D is not of tube type, then the Shilov boundary is not a real form of $V_{\mathbb{C}}$). As semigroup Γ we take the double covering $\tilde{S}_2(D)$ of the *compression semigroup*

$$S(D) = \{ s \in G_{\mathbb{C}} \mid s(D) \subset D \}.$$

(For the definition of this double cover and the double cover \tilde{G}_2 of G, see [BH2, Rem. 2.2.3] or [KØ2].)

LEMMA 2.2.1. The data $(D, \Sigma, \mathbf{HF}, \tilde{S}_2(D))$ define Hardy-space data on D.

Proof. Using the trivialization $f \mapsto f(dz)^{1/2}$, the group \tilde{G}_2 acts on the space of holomorphic sections of $\mathbf{HF}|_D$ via

$$(g \cdot f)(z) = ((\operatorname{Det} dg^{-1})(z))^{1/2} f(g^{-1} \cdot z).$$
(2.2.1)

The definition of the group \tilde{G}_2 assures us that this is indeed a representation. The map $z \mapsto (\text{Det } dg^{-1}(z))^{-1}$ is a holomorphic polynomial on $V_{\mathbb{C}}$ that vanishes precisely at the points $z \in V_{\mathbb{C}}$ with $g^{-1}(z) \notin V_{\mathbb{C}}$ (cf. [B] or [L]). In particular, it does not vanish on the open neighborhood $U_1 = g^{-1}(V_{\mathbb{C}}) \cap V_{\mathbb{C}}$ of D. Choose a connected simply connected open neighborhood U of D inside U_1 . Then the holomorphic function $z \mapsto (\text{Det } dg^{-1}(z))^{1/2}$ has a unique extension to a holomorphic function on U, and therefore (2.2.1) defines an action of g by a local automorphism of **HF** in the sense of Definition 1.3.3(4). All arguments go through for \tilde{G}_2 replaced by the semigroup $\tilde{S}_2(D)$. Thus, assumption (4) of the Hardy-space data (Definition 1.3.3) is verified.

DEFINITION 2.2.2. The geometric Hardy space given by the Hardy-space data from Lemma 2.2.1 is denoted by $\mathcal{H}^2(D, \tilde{S}_2(D))$ or just by $\mathcal{H}^2(D)$.

THEOREM 2.2.3.

- (i) The Hardy space H²(D) is complete, has a reproducing kernel S, and admits a boundary value map.
- (ii) There is a constant $\lambda \in \mathbb{C}$ ($\lambda \neq 0$) such that

$$S \otimes S = \lambda K$$
,

where K is the Bergman kernel of D. In particular, $\mathcal{H}^2(D)$ is not reduced to zero.

Proof. (i) We verify the assumptions of Theorem 1.4.2 and Proposition 1.5.3. It is well known that G(D) preserves Σ , and (according to a result of G. Ol'shanskiĭ) the semigroup S(D) admits a polar decomposition $S(D) = G \exp(iC)$, where $C = C_{\text{max}}$ is in fact a maximal invariant regular Ad(G)-invariant cone in g. Thus, Assumption 1.4.1 is verified. In order to prove completeness of the Hardy-space data, it remains to show that $\Gamma^o \times \Sigma \rightarrow D$ is a submersion. But since any element of Γ^o maps Σ into D and since G (which is contained in Γ) acts transitively on D, this is clear.

(ii) We use the same arguments as in the proof of Theorem 2.1.1. The group \tilde{G}_2 acts unitarily both on \mathcal{H}^2 and on \mathcal{B}^2 ; thus, according to Theorem 2.2.1, the kernels *S* and *K* are \tilde{G}_2 -invariant. The invariance of *S* implies that also $S \otimes S$ is an invariant kernel; it is a section of (**HF** \otimes **HF**) \boxtimes (**HF** \otimes **HF**) = **K**_D \boxtimes **K**_D and is uniquely determined by its restriction to the diagonal. This restriction is an invariant section of **K**_D. Since \tilde{G}_2 acts transitively on *D*, there is (up to a factor $\lambda \in \mathbb{C}$) only one invariant section of the line bundle **K**_D: $S \otimes S = \lambda K$.

It remains to prove that $\lambda \neq 0$, that is, that $\mathcal{H}^2(D)$ is not reduced to zero. Here we must use some specific information on the geometric situation. We proceed in seven steps as follows.

1. We trivialize $\mathcal{H}^2(D)$ via $(dz)^{1/2}$. If f is a holomorphic function on D, we let

$$||f||^{2} := ||f||^{2}_{H^{2}(D)} := \sup_{s \in \tilde{S}_{2}(D)^{o}} \int_{\Sigma} |f(s \cdot u)|^{2} |\operatorname{Det} \mathrm{d}s(u)| \, d\sigma(u),$$

where $d\sigma$ is the (normalized) *K*-invariant measure on Σ . Then we define a Hardy space of holomorphic functions

$$H^{2}(D, \tilde{S}_{2}(D)) := \{ f \in \mathcal{O}(D) \mid ||f||^{2} < \infty \}.$$
(2.2.2)

We claim that

$$H^2(D, \tilde{S}_2(D)) \to \mathcal{H}^2(D), \quad f \mapsto f(dz)^{1/2}$$

is a Hilbert space isomorphism. In fact, the group *K* is a subgroup of the unitary group of $V_{\mathbb{C}}$. Therefore the density $|dz| = (dz)^{1/2} \otimes (dz)^{1/2}$ is *K*-invariant, and so is the restriction of this density to Σ . It thus defines a *K*-invariant measure on Σ . Because such a measure is unique up to a constant factor, it must be proportional to $d\sigma$. Now formula (2.2.1) shows that the definitions of both Hardy spaces correspond to each other under the trivialization.

2. Let f be a function that is holomorphic on some neighborhood of D. We will prove that then

$$\|f\|_{H^{2}(D)} \leq \int_{\Sigma} |f(u)|^{2} d\sigma(u) = \|f\|_{L^{2}(\Sigma)}$$
(2.2.3)

(actually, we will have equality). Since f is continuous on the compact space Σ , this integral is finite, and it follows that $f \in H^2(D, \tilde{S}_2(D))$. This proves that $H^2(D, \tilde{S}_2(D))$ contains, for example, all holomorphic polyomials and thus is not reduced to zero.

Since $S(D) = G \exp(iC)$ and G preserves the Hardy-space norm, it is enough to take the supremum in the definition of the Hardy space over $\exp(iC)$. But then, letting

$$F_t := \left\| (\exp(tX)_*)(\omega) \right\|_{L^2(\Sigma, \mathbf{HF})}^2 = \int_{\Sigma} |f(u)|^2 |\operatorname{Det} \operatorname{d}(\exp(tX))(u)| \, d\sigma(u)$$

for any $X \in iC$ (where $\omega = f(dz)^{1/2}$), it is enough to prove that F_t is bounded from above by $||f||_{L^2(\Sigma)}$ for all $t \in \mathbb{R}^+$.

3. The function $t \mapsto F_t$, as a composition of a holomorphic map and a norm function, is subharmonic on a half-plane. It is constant in imaginary direction, and therefore its restriction to \mathbb{R}^+ is convex. We will prove that

$$\lim_{t \to \infty} F_t = 0. \tag{2.2.4}$$

Together with the convexity this implies that $t \mapsto F_t$ is decreasing on \mathbb{R}^+ . Then its supremum is obtained by taking the limit for $t \to 0$, proving that this supremum is $||f||_{L^2(\Sigma)}$.

4. Since $\exp(X)$ is a strict compression of D (i.e., $\exp(X) \cdot \overline{D} \subset D$), by compactness there exists a real number r < 1 with $\exp(X) \cdot \overline{D} \subset rD$. Let d(x, y) be the distance of x and y with respect to the Bergman metric on D. Then, on $r\overline{D}$, the Bergman metric and the Euclidean metric of $V_{\mathbb{C}}$ are equivalent in the sense that there exist constants $c_0 < 1$ and $c_1 > 1$ such that, for all $x, y \in r\overline{D}$, the inequality

$$c_0 d(x, y) < |x - y| < c_1 d(x, y)$$
 (2.2.5)

holds. This follows from the fact that the Bergman metric is given by

$$h_z(u, v) = \langle B(z, \overline{z})^{-1}u, v \rangle$$

where $\langle u, v \rangle$ is the Euclidean scalar product on $V_{\mathbb{C}}$ (cf. [L, Thm. 2.10]).

5. By a result of Clerc ([Cl]; note that its proof requires only standard facts on the geometry of *D*), the map $\exp(X)$ is a strict contraction of the Bergman distance on *D*; that is, there exists a constant k < 1 with $d(\exp(X) \cdot x, \exp(X) \cdot y) \le kd(x, y)$ for all $x, y \in D$. Taking powers of $\exp(X)$, we can find s > 0 and a < 1 such that

$$d(\exp(sX) \cdot x, \exp(sX) \cdot y) \le a \frac{c_0}{c_1} d(x, y)$$
(2.2.6)

for all $x, y \in D$.

6. For $g = \exp(sX)$ we use (2.2.5) and (2.2.6) to estimate that, for all $x, y \in r\overline{D}$,

$$|g \cdot x - g \cdot y| < c_1 d(g \cdot x, g \cdot y) < a c_0 d(x, y) < a |x - y|.$$

That is, *g* is a strict contraction for the Euclidean metric on $r\overline{D}$. (Using Banach's fixed point theorem, we can now conclude that *g* has a fixed point in $r\overline{D}$, but we don't need this just yet.) It follows that, for all $z \in r\overline{D}$ and $N \in \mathbb{N}$,

$$|\operatorname{Det} \mathrm{d}g^N(z)| \le a^{nN},$$

where $n := \dim V$.

7. Using the chain rule, for all $N \in \mathbb{N}$ and $u \in \Sigma$ we have

$$d(\exp(NsX)\exp(X))(u) = (d\exp(NsX))(\exp(X) \cdot u) \circ d(\exp(X))(u).$$

Now we take determinants and put $M := \sup_{u \in \Sigma} |\text{Det}(d \exp(X))(u)|$. Note that $\exp(X) \cdot u \in rD$. We get

$$|\text{Det}(d(\exp(NsX)\exp(X))(u)| < Ma^{nN})$$

From this we obtain

$$F_{Ns+1} = \int_{\Sigma} |f(u)|^2 |\operatorname{Det} d(\exp(Ns+1)X)(u)| \, d\sigma(u) \le Ma^{nN} \int_{\Sigma} |f(u)|^2 \, d\sigma(u).$$

Since $||f||_{L^2(\Sigma)} < \infty$ by boundedness of f on \overline{D} , this tends to zero as N tends to infinity. Together with the convexity of $t \mapsto F_t$, this implies that $\lim_{t\to\infty} F_t = 0$; as explained in steps 2 and 3, the claim follows.

REMARK 2.2.4. (i) The proof of Theorem 2.2.3 shows that the dynamical system $\exp(\mathbb{R}^+X)$ behaves very much like the dynamical system $\mathbb{R}^+ \operatorname{id}_{V_{\mathbb{C}}}$: it has precisely one fixed point in *D* that is an attractor for all points in some neighborhood of *D*. This makes the proof work. The behavior of 1-parameter semigroups of compressions that are not strict is, in general, much more complicated.

(ii) The proof works for any $f \in O(D)$ such that f has almost everywhere a pointwise limit on Σ defining a square integrable function there. It would be interesting to know whether this condition already describes the Hardy space (i.e., is the boundary value map pointwise defined almost everywhere?).

2.3. Classical versus Geometric Hardy Spaces

Theorem 2.2.3 gives a satisfactory explanation of Facts 1 and 3 (Section 0) for the *geometric* Hardy space. Next we will discuss the relation of the geometric Hardy space with the classical Hardy spaces (Fact 2). Toward this end, we define a semigroup

$$\mathbb{C}^{<} = \{ z \mapsto tz \mid t \in \mathbb{C}^{*}, |t| < 1 \} \subset S(D).$$

It is clear that $(D, \Sigma, \mathbf{HF}, \mathbb{C}^{<})$ are Hardy-space data on *D*. The corresponding Hardy space of half-forms is denoted by $\mathcal{H}^{2}(D, \mathbb{C}^{<})$.

THEOREM 2.3.1. We have an equality of normed vector spaces,

$$\mathcal{H}^2(D, \tilde{S}_2(D)) = \mathcal{H}^2(D, \mathbb{C}^<).$$

In particular, $\mathcal{H}^2(D, \mathbb{C}^{<})$ is a complete Hilbert space admitting a reproducing kernel.

Proof. The inclusion " \subseteq " follows from the fact that the supremum in the definition of $\mathcal{H}^2(D, \mathbb{C}^<)$ is taken over a subsemigroup of $\tilde{S}_2(D)$ (strictly speaking, we should use the pre-image of $\mathbb{C}^<$ under the covering $\tilde{S}_2(D) \to S(D)$, but this doesn't change the Hardy norm). Moreover, this inclusion is isometric. First we check that $\mathcal{H}^2(D, \mathbb{C}^<)$ satisfies Assumption 1.4.1 and thus admits an isometric boundary value map (Theorem 1.4.2). Since the boundary value map is independent of $X \in int(C)$, we choose -X to be the Euler vector field (i.e., X(p) = -p) and have, for all $\omega \in \mathcal{H}^2(D, \mathbb{C}^<)$,

$$\|\omega\|_{\mathcal{H}^{2}(D,\mathbb{C}^{<})} = \lim_{t \to 0} \|(\exp tX)^{*}\omega)\|_{L^{2}(\Sigma)} = \|\omega\|_{\mathcal{H}^{2}(D,\tilde{S}_{2}(D))}$$

For the proof of the inclusion " \supseteq " we use the proof of Theorem 2.2.3. There we saw that the subspace \mathcal{H}_o of sections of **HF** that are holomorphic on some neighborhood of D is contained in $\mathcal{H}^2(D, \tilde{S}_2(D))$. On the other hand, according

to Corollary 1.4.3, \mathcal{H}_o is dense in $\mathcal{H}^2(D, \mathbb{C}^{<})$. Thus $\mathcal{H}^2(D, \tilde{S}_2(D))$ is dense in $\mathcal{H}^2(D, \mathbb{C}^{<})$, and since this subspace is complete, we actually have equality. \Box

Note that the preceding theorem together with Theorem 2.2.3 shows that $H^2(D)$ is a reproducing kernel space and so yields a proof for the formula of the reproducing kernel that is independent of other known proofs. Thus, Facts 1 and 3 are explained for $H^2(D)$.

For the Hardy space $H^2(T_{\Omega})$ of the tube T_{Ω} , the situation is slightly more difficult. It is easily verified that Hardy-space data are given by $(T_{\Omega}, V, \mathbf{HF}, t_{V+i\Omega})$, where

$$t_{V+i\Omega} := \{ z \mapsto z + v + iu \mid v \in V, \ u \in \Omega \}$$

is a semigroup of strict compressions of the domain $T_{\Omega} \subset V_{\mathbb{C}}$ and **HF** is the trivial half-form bundle induced from $V_{\mathbb{C}}$. Moreover, it is clear that $H^2(T_{\Omega})$ is the trivialized picture of the geometric Hardy space associated to these data.

The reason for the problems is that the semigroup $t_{V+i\Omega}$ is *not* a semigroup of strict compressions of the bounded domain $D = C(T_{\Omega})$ equivalent to T_{Ω} . In fact, the points of the Shilov boundary lying "at infinity" (i.e., in $\Sigma \setminus C(V)$) are not mapped into the interior of D under $Ct_{V+i\Omega}C^{-1}$. In other words, $Ct_{V+i\Omega}C^{-1}$ is a semigroup belonging to the boundary of S(D).

PROPOSITION 2.3.2. The contractive semigroup representation

$$\tilde{S}_2(D)^o \to B(\mathcal{H}^2(D))$$

has a unique continuous extension to a contractive semigroup representation

$$\tilde{S}_2(D) \to B(\mathcal{H}^2(D)).$$

The extension agrees with the representation of $\tilde{S}_2(D)$ on the holomorphic sections of $\mathbf{HF}|_D$ given by the formula (2.2.1).

Proof. We know from Theorem 1.4.5 that the representation of $\tilde{S}_2(D)^o$ on $\mathcal{H}^2(D)$ is a holomorphic Hermitian representation by contractions. Since $\tilde{S}_2(D)^o$ contains an approximate identity, we can use [N2, Thm. IV.1.27] to show that a continuous extension to $\tilde{S}_2(D)$ exists. This extension is unique and automatically contractive.

To show also the last claim, we recall that convergence with respect to the Hardy norm implies convergence with respect to the compact open topology, so that the density of $\tilde{S}_2(D)^o$ in $\tilde{S}_2(D)$ and the continuity of the representation (2.2.1) imply the claim.

For $\omega \in \mathcal{H}^2(D)$ we write $\|\omega\|_{\Sigma}\|_{L^2(\Sigma)}$ for the norm of the boundary value $b\omega$. Then the fact that the extended semigroup representation from the preceding proposition is contractive implies that

$$\sup_{s\in\tilde{S}_{2}(D)}\left\|s^{*}\omega\right\|_{\Sigma}\|_{L^{2}(\Sigma)}\leq\|\omega\|_{\mathcal{H}^{2}(D)};$$

on the other hand, by definition of the Hardy space we have the converse inequality, and thus equality holds. In other words, the Hardy space $\mathcal{H}^2(D)$ can also be defined by taking the supremum over the whole contraction semigroup and not only over its interior.

THEOREM 2.3.3. We have an equality of Hilbert spaces,

$$\mathcal{H}^2(D, \tilde{S}_2(D)) = \mathcal{H}^2(D, C(V), \mathbf{HF}, Ct_{V+i\Omega}C^{-1}).$$

Proof. " \subseteq ": Note that C(V) is open dense in Σ . Therefore,

$$\sup_{s\in t_{V+i\Omega}}\|s^*\omega\|_{L^2(C(V))}=\sup_{s\in t_{V+i\Omega}}\|s^*\omega\|_{L^2(\Sigma)}\leq \sup_{s\in \tilde{S}_2(D)}\|s^*\omega\|_{L^2(\Sigma)}.$$

As we remarked before stating the theorem, the last term defines the Hardy-space norm of $\mathcal{H}^2(D, \tilde{S}_2(D))$, and the desired inclusion follows.

" \supseteq ": This follows by the same arguments as in the proof of Theorem 2.3.1. \Box

REMARK 2.3.4 (Other Hardy Spaces). One may ask which of the preceding results carry over to the non-tube-type case of bounded symmetric domains. In this case, the Shilov boundary is not a totally real submanifold of M (it contains subspaces of the form \mathbb{C}^k with k > 0). One can nevertheless define Hardy spaces in this case also, and analogs of Facts 1–3 hold: the Bergman kernel is not a square, but it is still a power of the Cauchy kernel (cf. [FK1; Ko; KoS]). This suggests that our approach may be generalized by considering more general types of boundaries and higher roots of the canonical bundle. (We thank Adam Korányi for pointing this out to us.)

Another generalization of Hardy spaces are the spaces $H_m^2(T_\Omega)$ defined in [FK2, p. 270], which carry a unitary *G*-action. In these cases, the Shilov boundary is replaced by other *G*-orbits *N* in ∂T_Ω . However, if $N \neq \Sigma$ then *N* contains holomorphic arc components; that is, it is no longer a totally real submanifold. Thus one could try to interpret these spaces as "Hardy spaces with values in Bergman spaces".

3. Bergman and Hardy Spaces on Generalized Tube Domains

3.1. Bergman Spaces

Let Ξ be an open domain in a complex homogeneous space $M_{\mathbb{C}} = G_{\mathbb{C}}/H_{\mathbb{C}}$, where $G_{\mathbb{C}}$ is a complex Lie group and $H_{\mathbb{C}}$ a complex closed subgroup. Then the geometric Bergman space $\mathcal{B}^2(\Xi)$ is canonically defined. On the other hand, in harmonic analysis one considers Bergman spaces of functions defined under the assumption that there exists a $G_{\mathbb{C}}$ -invariant measure μ on $M_{\mathbb{C}}$. The Bergman space associated to such a measure is

$$B^{2}(\Xi,\mu) := \left\{ f \in \mathcal{O}(\Xi) \mid \int_{\Xi} |f(z)|^{2} d\mu(z) < \infty \right\}$$

(cf. e.g. [HK; Krö; P]). The point one must observe here is that the measure μ is in general not defined by a volume form, and therefore $B^2(\Xi, \mu)$ is in general not

just the trivialized picture of $\mathcal{B}^2(\Xi)$. However, there is an important class of examples for which one comes close to that situation (cf. [W, Apx.]). Suppose $G_{\mathbb{C}}$ is reductive, so that its modular function $|\text{Det} \circ \text{Ad}|^{-1}$ is the constant function 1. Then the existence of the invariant measure implies that the image of the complex representation

$$\delta \colon H_{\mathbb{C}} \to \mathbb{C}^*, \quad h \mapsto \operatorname{Det}(\mathrm{d}_o h)$$

(determinant of the differential at the base point o = eH) is contained in the unit circle. It is therefore constant on each connected component of $H_{\mathbb{C}}$ and, in particular, is trivial on the identity component. If $H_{\mathbb{C}}$ has only finitely many components, then $\delta(H_{\mathbb{C}})$ is a finite subgroup of the unit circle and hence the kernel H_1 of δ is a normal subgroup of finite index *m* in $H_{\mathbb{C}}$. Thus, the map

$$p\colon M_{\mathbb{C}} := G_{\mathbb{C}}/H_1 \to M_{\mathbb{C}}, \quad gH \mapsto gH_1$$

is an *m*-fold covering.

Suppose that $M_{\mathbb{C}}$ is actually a complexification of a *real* homogeneous space M; that is, suppose there are closed real forms G and H of $G_{\mathbb{C}}$ and $H_{\mathbb{C}}$ such that M = G/H. Then the restriction of δ to H is real-valued. This means that the only possible values for $\delta(h)$ are ± 1 . If now each component of $H_{\mathbb{C}}$ intersects H, we conclude that $\tilde{M}_{\mathbb{C}}$ is at most a double cover of $M_{\mathbb{C}}$.

The space $\tilde{M}_{\mathbb{C}}$ clearly admits a nontrivial holomorphic $G_{\mathbb{C}}$ -invariant *n*-form ν that defines a $G_{\mathbb{C}}$ -invariant measure $\tilde{\mu}$ on $\tilde{M}_{\mathbb{C}}$. Let $\tilde{\Xi} := p^{-1}(\Xi)$. Now $B^2(\tilde{\Xi}, \tilde{\mu})$ is the usual trivialization of $\mathcal{B}^2(\tilde{\Xi})$ with respect to ν . Up to a constant factor, the pull-backs

$$p^*: \mathcal{B}^2(\Xi) \to \mathcal{B}^2(\tilde{\Xi}) \text{ and } p^*: B^2(\Xi, \mu) \to B^2(\tilde{\Xi}, \tilde{\mu})$$

are isometric embeddings whose images are the respective spaces of "even" elements—that is, those that are invariant under the group of deck transformations.

For m > 1 the form ν is "odd" (i.e., *not* preserved under deck transformations), so the trivialization map $f \mapsto f\tilde{\mu}$ does not respect the spaces of "even" elements.

3.2. Hardy Spaces

The foregoing remarks still apply, but dealing with half-form bundles leads to additional and more subtle complications. First, as usual for Hardy spaces, we need a more specific geometric setup. Here the framework of *compactly causal symmetric spaces* is natural (cf. [HÓ; HÓØ; O]). To such a space M = G/H one associates a domain $\Xi = G \exp(iW) \cdot o$ in its complexification $M_{\mathbb{C}} = G_{\mathbb{C}}/H_{\mathbb{C}}$. Here *W* is a certain open convex Ad(*G*)-invariant cone in the Lie algebra \mathfrak{g} of *G*. Then $\Gamma_W := G \exp(iW) \subset G_{\mathbb{C}}$ is a complex semigroup. Now one defines a *Hardy space of holomorphic functions* by

$$H^{2}(\Xi) := \left\{ f \in \mathcal{O}(\Xi) \mid \sup_{s \in \Gamma_{W}} \int_{M} |f(sx)|^{2} d\rho(x) < \infty \right\},$$

where ρ is a *G*-invariant measure on *M* (cf. [HÓØ]). When trying to relate this space to a geometric Hardy space, we are faced with two problems as follows.

(i) *Existence*: We do not know whether holomorphic half-form bundles exist on Ξ . This can be remedied using the double cover $\tilde{M}_{\mathbb{C}}$ introduced in the preceding section. The canonical bundle $\mathbf{K}_{\tilde{M}_{\mathbb{C}}}$ is trivial and admits a nontrivial invariant section, and thus there is a holomorphic half-form bundle \mathbf{HF}_0 with the same properties. It is then easy to see that $(\tilde{\Xi}, \tilde{M}, \mathbf{HF}_0, \Gamma_W)$ defines (complete) Hardy-space data on Ξ and that the trivialization map

$$H^2(\tilde{\Xi}) \to \mathcal{H}^2(\tilde{\Xi}, \tilde{M}, \mathbf{HF}_0, \Gamma_W)$$

is an isometric bijection. As for Bergman spaces, the pull-back $p^* \colon H^2(\Xi) \to H^2(\tilde{\Xi})$ is (up to a factor) an isometric embedding.

(ii) Uniqueness: We do not know how many essentially different Hardy-space data on Ξ exist. This is a subtle question: already in the case where the trivial bundle over Ξ is a holomorphic half-form bundle, it may very well be that two inequivalent actions of the same semigroup Γ exist. Once again this can be remedied by introducing a double covering of Ξ (as is done by Koufany and Ørsted [KØ] for the group case $M = \operatorname{Sp}(n, \mathbb{R})$ for *n* even and more generally by Betten and Ólafsson [BÓ] for the cases which, in the language of [BH2], correspond to the case " $\frac{1}{4}$ non-admissible, but $\frac{1}{2}$ admissible"). The nature of this covering is very different from the covering needed for problem (i). In fact, in the worst case it may be necessary to combine both coverings, leading to a covering of order 4 (as already introduced in [BÓ]; this corresponds to the case " $\frac{1}{4}$ and $\frac{1}{2}$ non-admissible" from [BH2]). The questions related to this problem are fairly involved and will be taken up elsewhere.

3.3. Further Problems

Besides the geometric and group-theoretic problem just mentioned, the major problem in the theory of geometric Hardy spaces is to explain the analogs of Facts 1–3 (Section 0) for Hardy spaces on Ξ . More precisely, is there an analog of our Theorem 2.2.3 for Hardy spaces on Ξ ? In some important cases the answer is "yes"—this is just the invariant formulation of the main result from [BH2, Thm. 4]. However, as mentioned in [BH2, Sec. 4], the proof of this result is not yet geometric, and the general problem of the relation between Bergman and Hardy spaces remains open.

Appendix. Reproducing Kernels and Semigroup Actions

A.1. Reproducing Kernels on Vector Bundles

We have seen that geometric Bergman spaces and some geometric Hardy spaces have the property that point evaluations are continuous. This means that they have a *reproducing kernel*, which (in the geometric setting we use) is a section of a vector bundle. Therefore, we quickly recall how the standard theory of reproducing kernels can be adapted to a vector bundle setting (see [BH2] for details).

Let *M* be a topological space and p: $\mathbf{V} \to M$ a complex vector bundle. We assume that the fibers \mathbf{V}_z over $z \in M$ are finite-dimensional and denote the complex

antilinear dual bundle by $q: \mathbf{V}^* \to M$. This means that the fiber \mathbf{V}_z^* of \mathbf{V}^* consists of the complex antilinear functionals on \mathbf{V}_z . The corresponding evaluation map will be denoted by $\langle \cdot, \cdot \rangle_z : \mathbf{V}_z^* \times \mathbf{V}_z \to \mathbb{C}$. We will use the canonical identification $\mathbf{V}_z \leftrightarrow (\mathbf{V}_z^*)^*$, $v \mapsto \hat{v}$, given by $\hat{v}(\xi) = \overline{\xi}(v)$, and its global analog $\mathbf{V} \cong \mathbf{V}^{**}$ without further remark. If we reverse the complex structure on the fibers of \mathbf{V} , we write $\overline{\mathbf{V}}$ instead of \mathbf{V} ; then $\overline{\mathbf{V}}$ is still a complex vector bundle. If \mathbf{V} is given by a collection of transition functions $g_{\alpha\beta}: U_\alpha \cap U_\beta \to \mathrm{Gl}(\mathbb{C}^k)$, then $\overline{\mathbf{V}}$ is given by the transition functions $\overline{g_{\alpha\beta}}$.

We write $C(M, \mathbf{V})$ for the continuous sections of \mathbf{V} . There is a natural *complex conjugation map* $C(M, \mathbf{V}) \rightarrow C(M, \bar{\mathbf{V}}), f \mapsto \bar{f}$. It is defined by the ordinary complex conjugation in the local trivializations. With this complex conjugation, the identification $\mathbf{V}_z \otimes \bar{\mathbf{V}}_w \cong \text{Hom}_{\mathbb{C}}(\mathbf{V}_w^*, \mathbf{V}_z)$ can be written as

$$(f_1(z) \otimes f_2(w))(\eta) = \langle \eta, f_2(w) \rangle_w f_1(z) \quad \text{for } \eta \in \mathbf{V}_w^*.$$
(A.1.1)

The point evaluations $f \mapsto f(z)$ will be denoted $ev_z \colon C(M, \mathbf{V}) \to \mathbf{V}_z$.

If *M* is a manifold and **V** a smooth vector bundle, we write $C^{\infty}(M, \mathbf{V})$ for the smooth sections. Moreover, if *M* is a complex manifold and **V** is a holomorphic vector bundle, then we denote the holomorphic sections of **V** by $\mathcal{O}(M, \mathbf{V})$. In this case we denote the manifold *M*, when equipped with the opposite complex structure, by \overline{M} . Given $f \in \mathcal{O}(M, \mathbf{V})$, one finds that \overline{f} is an antiholomorphic section of $\overline{\mathbf{V}}$ or, in other words, an element of $\mathcal{O}(\overline{M}, \overline{\mathbf{V}})$.

DEFINITION A.1.1. (i) A complex vector subspace $\mathfrak{H} \subseteq C(M, \mathbf{V})$ is called a *Hilbert space of sections* if it carries a Hilbert space structure for which the point evaluations $ev_z \colon \mathfrak{H} \to \mathbf{V}_z, f \mapsto f(z)$ are continuous.

(ii) A section $K \in C(M \times M, \mathbf{V} \boxtimes \bar{\mathbf{V}})$ is called a *positive definite kernel* if, for every finite sequence $\xi_1, \ldots, \xi_n \in \mathbf{V}^*$, the expression

$$\sum_{j,k=1}^n \langle \xi_k, K(\mathbf{q}(\xi_k),\mathbf{q}(\xi_j))\xi_j \rangle_{\mathbf{q}(\xi_k)}$$

is real and nonnegative.

The basic result is now as follows.

THEOREM A.1.2. Let M be a topological space and $p: \mathbf{V} \to M$ a complex vector bundle. Suppose that $K \in C(M \times M, \mathbf{V} \boxtimes \overline{\mathbf{V}})$. Then the following statements are equivalent:

- (1) *K* is a positive definite kernel for **V**;
- (2) there exists a Hilbert space $\mathfrak{H}_K \subseteq C(M, \mathbf{V})$ such that $\operatorname{ev}_z|_{\mathfrak{H}_K} : \mathfrak{H}_K \to \mathbf{V}_z$ is continuous and $K(z, w) = \operatorname{ev}_z \circ \operatorname{ev}_w^* \in \operatorname{Hom}_{\mathbb{C}}(\mathbf{V}_w^*, \mathbf{V}_z)$ for all $z, w \in M$.

The *reproducing property* of the kernel *K* in this context is

$$(K_{\xi} \mid f)_{\mathfrak{H}_{K}} = \langle \xi, f \circ \mathbf{q}(\xi) \rangle_{\mathbf{q}(\xi)}, \tag{A.1.2}$$

where $K_{\xi} = ev_{z}^{*}(\xi) \in \mathfrak{H}_{K} \subseteq C(M, \mathbf{V})$ for $\xi \in \mathbf{V}_{z}^{*}$. The Hilbert space \mathfrak{H}_{K} is called the *reproducing kernel Hilbert space* associated to the kernel *K*, and *K* is called the

reproducing kernel of \mathfrak{H}_K . Theorem A.1.2 shows that any positive definite kernel can be viewed as the reproducing kernel of a Hilbert space of sections. Hence we shall call such a kernel simply a reproducing kernel. The argument given in [N2, Lemma I.5] shows that, for any reproducing kernel Hilbert space $\mathfrak{H} \subseteq C(M, \mathbf{V})$ with reproducing kernel $K(z, w) = \operatorname{ev}_z \circ \operatorname{ev}_w^*$, we have $\mathfrak{H} = \mathfrak{H}_K$.

Suppose that *M* is a complex manifold and $\mathbf{V} \to M$ a holomorphic vector bundle. If a reproducing kernel $K: M \times M \to \mathbf{V} \boxtimes \bar{\mathbf{V}}$ is holomorphic in the first variable, then the space \mathfrak{H}_K consists of holomorphic sections of \mathbf{V} and *K* is holomorphic when viewed as a map $K: M \times \bar{M} \to \mathbf{V} \boxtimes \bar{\mathbf{V}}$. Thus, a Hilbert space of holomorphic sections is given by a positive definite kernel in $\mathcal{O}(M \times \bar{M}, \mathbf{V} \boxtimes \bar{\mathbf{V}})$.

A.2. Semigroup Actions and Invariance Properties

In addition to the notation and assumptions introduced so far, we suppose that *S* is a semigroup acting from the left on \mathbf{V}^* by *vector bundle morphisms*. This means that *S* also acts on *M* from the left by continuous maps, and we have:

- (i) $q(s \cdot \xi) = s \cdot q(\xi)$ for all $\xi \in \mathbf{V}^*$ and $s \in S$; (ii) $s_z \colon \mathbf{V}_z^* \to \mathbf{V}_{s,z}^*, \xi \mapsto s \cdot \xi$ is \mathbb{C} -linear.
- Then the dual maps $s_z^*: \mathbf{V}_{s,z} \to \mathbf{V}_z$, defined by $\langle s_z(\xi), v \rangle_{s,z} = \langle \xi, s_z^*(v) \rangle_z$, yield a

Then the dual maps $s_z^-: \mathbf{v}_{s,z} \to \mathbf{v}_z$, defined by $\langle s_z(\xi), v \rangle_{s,z} = \langle \xi, s_z^+(v) \rangle_z$, yield a right S-action on $C(M, \mathbf{V})$ via

$$(f \cdot s)(z) := (s_z)^* \circ f(s \cdot z) \tag{A.2.1}$$

for $z \in M$, $f \in C(M, \mathbf{V})$, and $s \in S$.

THEOREM A.2.1. Let M be a topological space and $p: \mathbf{V} \to M$ a complex vector bundle. Suppose that $\mathfrak{H}_K \subseteq C(M, \mathbf{V})$ is a reproducing kernel space, and let (S, *) be an involutive semigroup acting from the left on \mathbf{V}^* by vector bundle morphisms. Then the following statements are equivalent:

- (1) $(s_z)^* \circ K(s \cdot z, w) = K(z, s^{\sharp} \cdot w) \circ (s^{\sharp})_w$ for all $z, w \in M$ and $s \in S$;
- (2) \mathfrak{H}_{K}^{0} is invariant under the right action $f \mapsto f \cdot s$ of S on $C(M, \mathbf{V})$, and this action defines a Hermitian representation of S on \mathfrak{H}_{K}^{0} .

Proof. See [BH2, Thm. 2.1], which is the adaptation to the vector bundle case of well-known results in the function case (cf. e.g. [N2]).

If, under the hypotheses of Theorem A.2.1, the positive definite kernel *K* satisfies the equivalent conditions (1) and (2), then we call *K* an *S*-invariant kernel and denote the representation of *S* on \mathfrak{H}_{K}^{0} by π_{K} . Note that if, in the situation of the theorem, the semigroup is a group and the involution is the group inversion, then π_{K} is a unitary representation of *S*; then condition (1) takes the form

$$K(g \cdot z, g \cdot w) = ((g_z)^*)^{-1} \circ K(z, w) \circ (g_w)^{-1}$$

for $g \in G$. This means that *K* is a *G*-invariant section of the bundle $\mathbf{V} \boxtimes \overline{\mathbf{V}}$ over $M \times M$ in the usual sense.

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