

Convexity and the Schwarz–Christoffel Mapping

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1. Introduction

In 1952 the author wrote an article [2] on close-to-convex functions. The present paper shows how additional conclusions about univalent functions can be obtained from the results and methods in [2]. We also provide a new proof of the main result of [2] with the aid of the support angle function of Study.

For a discussion of close-to-convex functions one is referred to [1], especially pages 46–51. We recall that a function $f(z)$ analytic in the unit disc Δ is called *close-to-convex* if $\operatorname{Re}(f'(z)/\phi'(z)) > 0$ for some convex function $\phi(z)$ in Δ . Every close-to-convex function is necessarily univalent.

In [2], the following theorem is proved:

THEOREM A. *Let $f(z)$ be locally univalent in Δ . Then f is close-to-convex in Δ if and only if*

$$\int_{\theta_1}^{\theta_2} \operatorname{Re} \left\{ 1 + z \frac{f''(z)}{f'(z)} \right\} d\theta > -\pi, \quad z = re^{i\theta}, \quad (1)$$

for each r , $0 < r < 1$, and each pair of real numbers θ_1, θ_2 with $\theta_1 < \theta_2$.

From Theorem 3 of [2], one deduces the following theorem.

THEOREM B. *If $f(z) \neq \text{constant}$ is analytic in Δ and continuous on $\bar{\Delta}$ and $u = \operatorname{Re}[f(z)]$ is monotone nondecreasing as z moves around $\partial\Delta$ from z_0 to $z_1 \neq z_0$ in the positive direction and monotone nonincreasing as z moves around $\partial\Delta$ from z_1 to z_0 in the positive direction, then f is close-to-convex in Δ .*

2. The Support Angle Function

A basic tool will be the support angle function (Stützwinkelfunktion) introduced by Study [4, p. 89]. If f is analytic in Δ and locally univalent, then for the disc $|z| \leq \rho < 1$ ($0 < \rho < 1$), a support angle function is

$$S_{f,\rho}(\theta) = p_f(\rho, \theta) + \theta, \quad -\infty < \theta < \infty, \quad (2)$$

where $p_f(r, \theta) = \arg f'(re^{i\theta})$ is chosen to be continuous in Δ ; hence $p_f(r, \theta)$ has period 2π in θ . If the branch of $\arg f'$ is changed, then $S_{f, \rho}(\theta)$ is changed by addition of a constant. In general, we allow any function differing from $S_{f, \rho}(\theta)$ by a constant as a support angle function for f on the disc $|z| \leq \rho$.

If $p_f(r, \theta)$ is bounded on Δ , then it has radial limits as $r \rightarrow 1^-$ for almost all θ :

$$\lim_{r \rightarrow 1^-} p_f(r, \theta) = p_f(\theta) \quad \text{for } \theta \in E_f, \quad (3)$$

where $p_f(\theta)$ has period 2π and $E_f \cap [0, 2\pi]$ has linear measure 2π . We define each function

$$S_f(\theta) = p_f(\theta) + \theta + \text{const}, \quad \theta \in E_f, \quad (4)$$

as a support angle function for f on Δ (denoted $\Theta(\theta)$ by Study). (If f is analytic at $e^{i\theta}$, then $S_f(\theta) = p_f(\theta) + \theta + \pi/2$ gives the angle of inclination to the u -axis in the w -plane, $w = u + iv$, of the tangent to $f(\partial\Delta)$ at $f(e^{i\theta})$.) By the periodicity of $p_f(\theta)$,

$$S_f(\theta + 2\pi) - S_f(\theta) = 2\pi. \quad (5)$$

If $S_f(\theta)$ is defined for all θ and is of bounded variation on $[0, 2\pi]$, then f has an integral representation:

$$f(z) = A \int_0^z \exp \left[-\frac{1}{\pi} \int_0^{2\pi} \log \left(1 - \frac{z}{e^{i\theta}} \right) dS_f(\theta) \right] dz + B,$$

where A and B are constants [4, p. 103]. This is effectively the same as the representation found by Paatero for the functions of bounded boundary rotation [1, p. 270].

In general for f close-to-convex, $S_f(\theta)$ need not be of bounded variation on $[0, 2\pi]$. For, if v is an arbitrary bounded harmonic function in Δ with $|v(z)| < \pi/2$ in Δ , and φ is a convex function in Δ , then f can be chosen so that $\arg f' = v + \arg \varphi'$ in Δ and $S_f = v(e^{i\theta}) + S_\varphi(\theta)$ a.e. ($v(e^{i\theta}) = \lim_{r \rightarrow 1^-} v(re^{i\theta})$ as $r \rightarrow 1^-$). Hence f is close-to-convex, but S_f is not generally of bounded variation.

As pointed out in [2], the criterion (1) for close-to-convexity is equivalent to the condition

$$S_{f, \rho}(\theta_2) - S_{f, \rho}(\theta_1) > -\pi, \quad 0 < \rho < 1, \quad \theta_1 < \theta_2. \quad (6)$$

A passage to the limit, $\rho \rightarrow 1^-$, leads to a boundary form of the criterion. We formulate this as part of a general theorem which includes Theorem 2 of [2] [1, p. 48].

THEOREM 1. *Let f be locally univalent in Δ and let a branch of $\arg f'(z)$ be chosen in Δ . Then the following conditions are equivalent:*

- (a) f is close-to-convex in Δ ;
- (b) condition (6) holds;
- (c) $\arg f'$ is bounded in Δ and

$$S_f(\theta_2) - S_f(\theta_1) \geq -\pi, \quad \theta_1 < \theta_2, \quad \theta_1, \theta_2 \in E_f. \quad (7)$$

Proof. The implication (a) \Rightarrow (b) is proved as in [1, pp. 48–49]. For the implication (b) \Rightarrow (c) we need a lemma.

LEMMA 1. *Let $u(z)$ be harmonic in Δ and let $u(z_1) - u(z_2)$ be bounded for z_1, z_2 in Δ and $|z_1| = |z_2|$. Then $u(z)$ is bounded in Δ .*

Proof. Let $w(z) = u(z) + iv(z)$ be analytic in Δ and let $F(z) = \exp(w(z))$, so that $|F| = e^u$. By the hypothesis, $|F(z_1)/F(z_2)|$ is bounded for z_1, z_2 in Δ , $|z_1| = |z_2|$. Now the maximum modulus function $M(r)$ for F is nondecreasing. If F is not bounded, then $M(r) \rightarrow \infty$ as $r \rightarrow 1^-$ and hence, by the boundedness of $|F(z_1)/F(z_2)|$, $|F(z)| \rightarrow \infty$ uniformly as $r \rightarrow 1^-$, so that $1/F(z) \rightarrow 0$ uniformly as $r \rightarrow 1^-$. This is impossible. Hence F is bounded and hence u is bounded above; similarly, $-u$ is bounded above and therefore u is bounded. \square

We now prove (b) \Rightarrow (c). From (6) we deduce that $|u(z_1) - u(z_2)| < 3\pi$ for $|z_1| = |z_2|$, so that, by Lemma 1, $u = \arg f'$ is bounded in Δ . Hence $S_f(\theta)$ exists as above. Passage to the limit gives (7).

For the final step (c) \Rightarrow (a) we follow the ideas in [1, pp. 48–51] with some modifications. For completeness we give the main steps.

LEMMA 2. *Let E be a nonempty subset of the real line such that $\theta \in E \Rightarrow \theta \pm 2\pi \in E$. Let $t(\theta)$ be a real function defined on E such that*

$$t(\theta + 2\pi) - t(\theta) = 2\pi$$

and

$$t(\theta_2) - t(\theta_1) > -\pi, \quad \theta_1 < \theta_2, \quad \theta_1, \theta_2 \in E.$$

Then there exists a nondecreasing function $s(\theta)$, $\theta \in E$, such that

$$s(\theta + 2\pi) - s(\theta) = 2\pi \quad \text{and} \quad |s(\theta) - t(\theta)| \leq \pi/2, \quad \theta \in E.$$

Here E is arbitrary except for its invariance under translation, and no continuity is involved (cf. [2, p. 174]). The proof is the same as in [1, p. 48], with

$$s(\theta) = \sup_{\theta' \leq \theta} t(\theta') - \pi/2 \quad (\theta, \theta' \in E).$$

We apply Lemma 2 to $t(\theta) = S_f(\theta) = p_f(\theta) + \theta$ ($\theta \in E_f$) and obtain $s(\theta)$, nondecreasing, on E_f . Since E_f is dense in the reals, $s(\theta)$ can be extended to all θ , $-\infty < \theta < \infty$, to remain nondecreasing. For $z \in \Delta$ we let

$$h(z) = \frac{i}{2\pi} \int_0^{2\pi} \frac{e^{i\alpha} + z}{e^{i\alpha} - z} (s(\alpha) - \alpha) d\alpha,$$

$$\phi(z) = \int_0^z \exp(h(t)) dt,$$

and verify that $S_\phi(\theta) = s(\theta)$ a.e. Hence

$$|S_f(\theta) - S_\phi(\theta)| \leq \pi/2 \text{ a.e.,}$$

so that

$$|p_f(\theta) - p_\phi(\theta)| \leq \pi/2 \text{ a.e.}$$

By the maximum principle, this implies that $|\arg f'(z) - \arg \phi'(z)| \leq \pi/2$ in Δ , so that f is close-to-convex. (Equality for one z in the last inequality implies that f is itself convex.) □

3. Schwarz-Christoffel Mappings

These form a class of mappings in Δ . All have the form

$$w = f(z) = A \int_0^z \prod_{j=1}^n (t - z_j)^{-\mu_j} dt + B \text{ in } \Delta, \tag{8}$$

where $n \geq 3$, $A \neq 0$, and B and the z_j are complex constants. The μ_j are real constants, and definite analytic branches of the functions $(t - z_j)^{-\mu_j}$ in Δ are chosen. Further we assume that for $j = 1, \dots, n$, $z_j = e^{i\theta_j}$ and $0 < |\mu_j| < 1$, $(\theta_1 < \theta_2 < \dots < \theta_n < \theta_1 + 2\pi, \mu_1 + \dots + \mu_n = 2)$. It is convenient to extend the definitions of θ_j, z_j , and μ_j to all $j \in \mathbf{Z}$ by requiring

$$\theta_{j+n} = \theta_j + 2\pi, \quad \mu_{j+1} = \mu_j, \quad z_{j+n} = z_j \tag{9}$$

for all $j \in \mathbf{Z}$. Under these hypotheses it is known that f has a continuous extension to $\bar{\Delta}$ (also denoted by f), so that $w_j = f(z_j)$ is defined for all j , with $w_{j+n} = w_j$ for all j ; further, it is known that f maps each arc $z = e^{i\theta}$, $\theta_{j-1} \leq \theta \leq \theta_j$ on a line segment $w_{j-1}w_j$, with $\arg(w_j - w_{j-1}) = \alpha_j \pi$. Here α_j can be chosen for all j to satisfy

$$\alpha_{j+1} - \alpha_j = \mu_j, \quad \alpha_{j+n} - \alpha_j = 2, \tag{10}$$

and α_j is uniquely determined by these conditions, once α_1 has been chosen. The mapping f thus takes the circular path $z = e^{i\tau}$, $0 \leq \tau \leq 2\pi$, onto a polygonal path Γ , with "exterior angles" $\mu_j \pi$.

REMARK. If we allow $0 \leq |\mu_j| < 1$, then the above conditions still yield a Schwarz-Christoffel mapping. At least three of the μ_j must be nonzero, since $\mu_1 + \dots + \mu_n = 2$, so that Γ has at least three normal vertices. The vertices with $\mu_j = 0$ are illusory vertices.

Each mapping (8) has a support angle function $S_f(\theta)$ defined for all θ , $-\infty < \theta < \infty$. We can choose $p_f(\theta) = \arg f'(e^{i\theta})$ for $\theta \neq \theta_j$, all j , so that

$$S_f(\theta) = p_f(\theta) + \theta + \pi/2 = \alpha_j \pi \quad \text{for } \theta_{j-1} < \theta < \theta_j$$

and hence find, for θ so restricted, that

$$\alpha_j \pi = \arg A - \sum \mu_j \arg(e^{i\theta} - z_j) + \theta + \pi/2. \tag{11}$$

Thus $S_f(\theta)$ is a step function with jump μ_j at θ_j . If $0 < \mu_j < 1$ for all j , then $S_f(\theta)$ is nondecreasing and f is a convex function in Δ , as is well known.

THEOREM 2. *Under the above hypotheses, f is close-to-convex in Δ if and only if for $0 \leq p \leq m \leq 2n$ and $m - p < n$,*

$$\mu_p + \cdots + \mu_m \geq -1. \tag{12}$$

Furthermore, when f is close-to-convex in Δ , there is a convex Schwarz–Christoffel mapping φ on Δ such that $\operatorname{Re}(f'/\varphi') > 0$.

Proof. Condition (12) is equivalent to

$$\alpha_{m+1}\pi - \alpha_p\pi \geq -\pi. \tag{13}$$

If this condition holds for $0 \leq p \leq m \leq 2n$, $m - p < n$, then it holds for $-\infty < p \leq m < \infty$, as follows from the second condition in (10). Further, the condition is equivalent to the condition

$$S_f(\theta'') - S_f(\theta') \geq -\pi \quad \text{for } \theta'' > \theta',$$

provided $\theta' \neq \theta_j$ and $\theta'' \neq \theta_j$ for all j . It now follows from Theorem 1 that (12) holds if and only if f is close-to-convex.

The construction of the convex function φ in the proof of Theorem 1 uses

$$s(\theta) = \sup_{\theta' \leq \theta} [p_f(\theta') + \theta'] - \pi/2. \tag{14}$$

From (14) it follows that $s(\theta)$ is also a step function, with jumps $\gamma_j \geq 0$ at the θ_j . These facts alone show that φ is also a Schwarz–Christoffel mapping. Pursuing the construction further, one finds that $\gamma_j = 0$ when $\mu_j < 0$ and $0 \leq \gamma_j \leq \mu_j < 1$ for $\mu_j > 0$, with $\gamma_1 + \cdots + \gamma_n = 2$, and that

$$S_\varphi(\theta) = p_\varphi(\theta) + \theta = s(\theta). \tag{15}$$

□

4. Discussion

The theorem just proved in Section 3 gives rise to a number of questions and comments.

(a) The conclusion is that f is close to a convex Schwarz–Christoffel mapping. Accordingly one can introduce a new class “C-C-S-C” of univalent functions f in Δ such that $\operatorname{Re}(f'/\varphi') > 0$ for a convex Schwarz–Christoffel mapping φ . Are these *all* the close-to-convex functions in Δ ? If not, how can one further describe the class? How are these questions affected if one includes degenerate Schwarz–Christoffel mappings (8) with $\mu_j = \pm 1$ allowed?

(b) Theorem 2 describes a subclass of the class of univalent Schwarz–Christoffel mappings. This subclass is described solely by a condition on the exterior angles $\mu_j\pi$. Is this the largest subclass which can be so described? For each set $\mu = \{\mu_1, \dots, \mu_n\}$ not satisfying (12), is there a Schwarz–Christoffel mapping which is univalent? To study this question, it may be helpful to consider the area functional

$$A_f = \int_{\Delta} \int |f'|^2 r \, dr \, d\theta \tag{16}$$

in the class of functions (8) with given μ (and, say, $A = 1$, $B = 0$). Here A_f is finite and thus $f' \in L_2(\Delta)$ with $\|f'\| = A_f^{1/2}$. Thus the extreme points for A_f

have a simple geometric meaning. The question can also be considered as one of pure Euclidean geometry: Given a closed polygonal path in the plane with exterior angles $\mu_1\pi, \dots, \mu_n\pi$, with all $|\mu_j| < 1$ and $\sum \mu_j = 2$, can one always modify the path without changing the angles so that it becomes a simple closed path? Perhaps the modification could be done by a homotopy within the class of polygonal paths.

(c) Equations (14) and (15) allow one to find φ explicitly from a given Schwarz-Christoffel mapping f : (14) gives $s(\theta)$ in detail as a step function, and hence gives the $\gamma_j \geq 0$. The z_j are unchanged, so that φ can be taken as

$$\varphi(z) = A_0 \int_0^z \prod_{j=1}^n (t - z_j)^{-\gamma_j} dt, \quad A_0 = e^{i\alpha},$$

and only α has to be found. For this we remark that in an interval (θ_{j-1}, θ_j) for which $\gamma_j > 0$,

$$\arg \varphi'(e^{i\theta}) + \theta = \arg f'(e^{i\theta}) + \theta - \pi/2 = \alpha_j \pi - \pi,$$

from which we find, as in (11), that

$$\alpha = \sum_{j=1}^n (\gamma_j - \mu_j) \arg(e^{i\theta} - z_j) + \arg A - \pi/2, \quad (17)$$

where θ can be chosen arbitrarily in the interval described.

(d) Application of the criteria for close-to-convexity leads to $\operatorname{Re}(f'/\phi') > 0$ in Δ and hence to another univalent function F : namely, a primitive F of f'/ϕ' . For $\operatorname{Re}(F') > 0$ in Δ implies that F is univalent [1, p. 47]. This process fails to be invariant, in a certain sense: Let ψ be convex in Δ , say $\psi(\Delta) = D$, and let f be close-to-convex in D : $\operatorname{Re}(f'/\phi') > 0$ for a convex function ϕ in D . Then again, f is univalent in D , as is F such that $F' = f'/\phi'$. But $(f \circ \psi)' / (\phi \circ \psi)' \neq (F \circ \psi)'$ in general. One can take advantage of this lack of invariance to obtain additional univalent functions. For example, if f and ϕ are Schwarz-Christoffel mappings in Δ , with $\operatorname{Re}(f'/\phi') > 0$ as above, then $F' = f'/\phi'$ defines a univalent mapping F in Δ which is *not* a Schwarz-Christoffel mapping. But if one maps Δ onto the upper half-plane H , then f becomes a univalent Schwarz-Christoffel mapping f_1 in H and ϕ becomes a convex Schwarz-Christoffel mapping ϕ_1 in H . However, $F'_1 = f'_1/\phi'_1$ in H defines a univalent Schwarz-Christoffel mapping in H , which is generally degenerate in that some exponents may be greater than or equal to 1 in absolute value; thus F_1 maps H onto a domain bounded by rays, line segments, and whole straight lines. (The condition $\sum \mu_j = 2$ is essential for a Schwarz-Christoffel mapping in Δ . The Schwarz-Christoffel mappings in H have the same form as (8) but $\sum \mu_j = 2$ is not essential, since $z = \infty$ plays a special role.)

One can go further, using φ'/f' instead of f'/ϕ' and using linear combinations of such functions with positive coefficients.

(e) Condition (12) cannot be violated for $n = 3, 4, 5$; that is, for $n = 3, 4, 5$ each Schwarz–Christoffel mapping is univalent. For $n = 6$, violation is possible only if $\mu_j + \mu_{j+1} < -1$ for some j , and one can give specific examples (say with $\mu_1 = \mu_2 = -0.8$, $\mu_3 = \mu_4 = \mu_5 = \mu_6 = 0.9$), some having f univalent and some not.

5. Closest Convex Function

One can regard $\sup_{\Delta} |\arg f' - \arg \varphi'|$ as a measure of how close f and φ are. This leads to the following definitions (see [3]).

DEFINITIONS. Let f be locally univalent in Δ . Then f is close-to-convex of order β , $\beta > 0$, if

$$|\arg f' - \arg \varphi'| < \beta\pi/2 \text{ in } \Delta \tag{18}$$

for some convex function φ in Δ (and appropriate choice of the argument functions). The *reentrancy* of f is

$$\text{Ree}(f) = \inf\{\beta \mid f \text{ is close-to-convex of order } \beta \text{ in } \Delta\}. \tag{19}$$

If (18) holds for no β then $\text{Ree}(f) = \infty$.

By the first definition, if f is close-to-convex of order β , then it is close-to-convex of order β' for every $\beta' > \beta$. The term “reentrancy” is chosen because, for a mapping onto polygonal domains, it is the “reentrant angles” that cause the mapping to deviate from convexity.

THEOREM 3. Let f be locally univalent in Δ and let a branch of $\arg f'$ be chosen in Δ . Then the following conditions are equivalent:

- (a) f is close-to-convex of order $\beta > 0$ in Δ ;
- (b) $\arg f'$ is bounded in Δ and

$$S_{f,\rho}(\theta_2) - S_{f,\rho}(\theta_1) > -\beta\pi, \quad 0 < \rho < 1, \quad \theta_1 < \theta_2;$$

- (c) $\arg f'$ is bounded in Δ and

$$S_f(\theta_2) - S_f(\theta_1) \geq -\beta\pi, \quad \theta_1, \theta_2 \text{ in } E_f. \tag{20}$$

COROLLARY. Under the hypotheses of Theorem 3, let $\beta_0 = \text{Ree}(f) < \infty$. Then

$$\beta_0 = \frac{1}{\pi} \sup\{S_f(\theta_1) - S_f(\theta_2) \mid \theta_1 < \theta_2, \theta_1, \theta_2 \in E_f\}. \tag{21}$$

Further, f is close-to-convex of order β_0 if $\beta_0 > 0$; if $\beta_0 = 0$, f is convex. If $\beta_0 \leq 1$ then f is close-to-convex and hence univalent.

REMARK. In general, there is no unique convex φ satisfying (18) for $\beta = \beta_0$, nor is $\arg \varphi'$ unique. This can be seen from simple examples using Schwarz–

Christoffel mappings. Thus we cannot refer to “the convex function closest to f .”

The proof of Theorem 3 is like that of Theorem 1, with π replaced by $\beta\pi$ at appropriate places. It is of interest to observe that the construction of the convex function $\varphi = \varphi_\beta$ satisfying (18) leads to the relation

$$\varphi_\beta(z) = \varphi_0(z) \exp(-i\beta\pi/2),$$

where $\varphi_0(z)$ corresponds to the limiting case $\beta = 0$. Thus, by varying β we are simply rotating the convex function.

EXAMPLE. The following Schwarz–Christoffel mapping is considered by Study [4, pp. 76–77]:

$$w = \int_0^z (1+t^5)^{2/5} (1-t^5)^{-4/5} dt. \quad (22)$$

Here $n = 10$ and the μ_j are alternately $-2/5$ and $4/5$. The mapping is univalent and the image is as shown in Figure 1. This can be justified (up to a rotation) on symmetry grounds alone. For a Schwarz–Christoffel mapping (8), one sees easily as in Section 3 that (21) becomes

$$\beta_0 = \max(-\mu_p - \cdots - \mu_m), \quad 0 \leq p \leq m \leq 2n, \quad m - p < n. \quad (21')$$

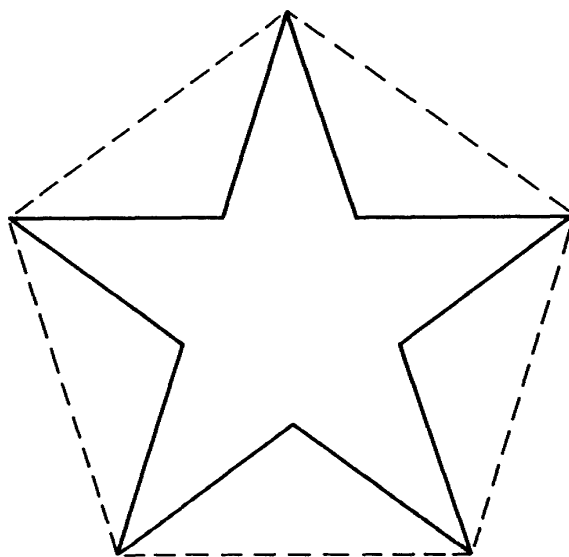


Figure 1 Example (22)

Thus, for the mapping (22), $\beta_0 = 4/5$ (which also proves the univalence). One finds that, for proper numbering, a Schwarz–Christoffel mapping φ constructed as before to satisfy (18) with $\beta = 4/5$ has $\gamma_1 = \gamma_3 = \cdots = \gamma_9 = 2/5$ and $\gamma_2 = \cdots = \gamma_{10} = 0$, and that, for proper choice of the real positive constant A , φ maps $\bar{\Delta}$ onto the pentagonal region shown in Figure 1, the convex hull of $f(\bar{\Delta})$.

REMARKS. For each $\beta_0 \geq 0$ there exist mappings f of reentrancy β_0 ; in fact, such f can be chosen as univalent Schwarz-Christoffel mappings, with the aid of (21'). The ideas of this section are related to those in Section 5 of [2]. For functions of bounded boundary rotation, Theorem 3 is equivalent to Theorem A of [3].

6. Mapping onto a Domain Convex in One Direction

The plane domains considered here have the property that, for some line L , every line parallel to L meets the domain in a connected set; we say that the domain is convex in the direction of L . We are concerned only with the case of a domain G with polygonal boundary Γ in the w -plane, $w = u + iv$. If L is the v -axis, then the domain G is as suggested in Figure 2. Thus Γ consists of

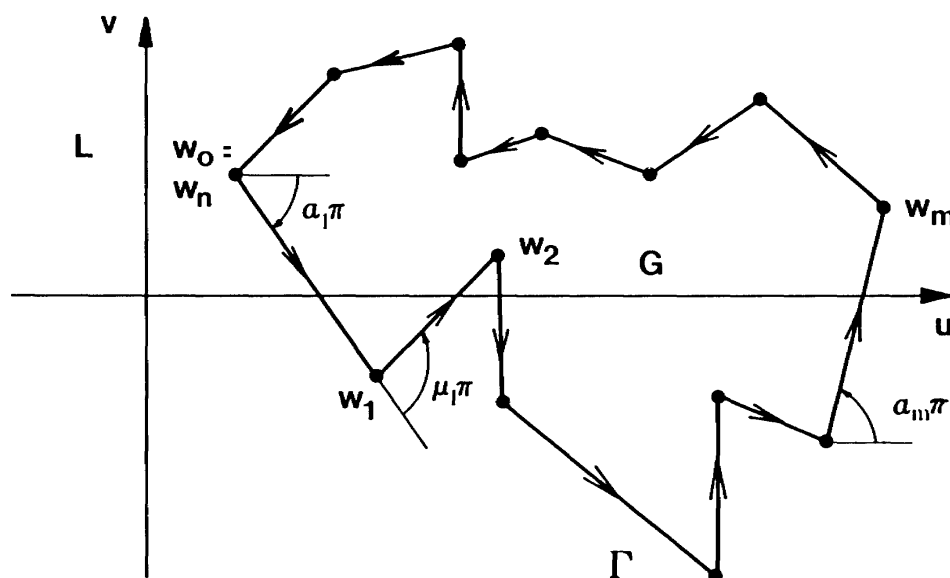


Figure 2

two broken lines $w_0 w_1 \cdots w_m$ and $w_m w_{m+1} \cdots w_n$, as in Figure 2, one consisting of directed segments $w_{j-1} w_j$ with angle of inclination $\alpha_j \pi$ between $-\pi/2$ and $\pi/2$ (inclusive), and the other of similar segments with angle of inclination between $\pi/2$ and $3\pi/2$ (inclusive). The two broken lines meet only at their endpoints and the first lies below the second, in the obvious sense.

THEOREM 4. *Let f be defined by (8), where the hypotheses of Section 3 are satisfied: that is, $n \geq 3$, $A \neq 0$, B and $z_j = \exp(i\theta_j)$ are complex constants, the μ_j are real constants and $0 < |\mu_j| < 1$ ($\mu_1 + \cdots + \mu_n = 2$, $\theta_1 < \theta_2 < \cdots < \theta_n < \theta_1 + 2\pi$). Then f is a close-to-convex univalent mapping of Δ onto a domain G convex in one direction if and only if the z_j can be numbered to satisfy the hypotheses of Section 3 in such a way that, for some integer m ($0 < m < n$), the n closed intervals*

$$[a_j - q_j, b_j - q_j], \quad j = 0, 1, \dots, n-1, \quad (23)$$

have a nonempty intersection, where

$$q_0 = 0, \quad q_j = \mu_1 + \dots + \mu_j, \quad j = 1, \dots, n-1; \quad (24)$$

$$\begin{cases} a_j = -\frac{1}{2} \text{ and } b_j = \frac{1}{2} \text{ for } j = 0, 1, \dots, m-1, \\ a_j = \frac{1}{2} \text{ and } b_j = \frac{3}{2} \text{ for } j = m, \dots, n-1. \end{cases} \quad (25)$$

Proof. Sufficiency: Let δ be a common point of the n intervals (23). We can restrict attention to the case in which $B=0$ and A is chosen so that $\arg(w_1 - w_0) = \delta\pi = \alpha_1\pi$. Thus, by the hypothesis,

$$a_j\pi - q_j\pi \leq \delta\pi \leq b_j\pi - q_j\pi, \quad j = 0, 1, \dots, n-1. \quad (26)$$

From (10) and (24),

$$\alpha_{j+1} = \alpha_1 + q_j = \delta + q_j, \quad j = 0, 1, \dots, n-1,$$

so that by (23) and (24),

$$\begin{cases} -\pi/2 \leq \alpha_j\pi \leq \pi/2, & j = 1, \dots, m, \\ \pi/2 \leq \alpha_j\pi \leq 3\pi/2, & j = m+1, \dots, n \end{cases} \quad (27)$$

(see Figure 2). By these inequalities, $u(e^{it}) = \operatorname{Re}(f(e^{it}))$ is nondecreasing as θ increases from θ_0 to θ_m and nonincreasing as θ increases from θ_m to $\theta_n = \theta_0 + 2\pi$. Since f is continuous in $\bar{\Delta}$ and not identically constant, Theorem B applies and f is close-to-convex, hence univalent. By (27), the boundary Γ of $G = f(\Delta)$ is formed of two broken lines as above, so that G is convex in the direction of the v -axis.

Necessity: Let f be given by (8) and be univalent in Δ , and map Δ onto a domain G convex in one direction. After rotation by an angle $\eta\pi$, G becomes a domain convex in the direction of the v -axis, as in Figure 2. This rotation changes the angles $\alpha_j\pi$ to $(\alpha_j + \eta)\pi$. Thus

$$\begin{aligned} -\pi/2 &\leq (\alpha_j + \eta)\pi \leq \pi/2, & j = 1, \dots, m; \\ \pi/2 &\leq (\alpha_j + \eta)\pi \leq 3\pi/2, & j = m+1, \dots, n. \end{aligned}$$

Hence η lies in all n intervals

$$[a_j - \alpha_j, b_j - \alpha_j] \quad \text{for } j = 0, \dots, n-1,$$

so that $\delta = \eta + \alpha_1$ lies in all intervals

$$[a_j - (\alpha_j - \alpha_1), b_j - (\alpha_j - \alpha_1)] \quad \text{for } j = 0, \dots, n-1$$

or in all intervals (23). □

REMARK. The proof shows that, in general, L is obtained by rotating the v -axis by $-\eta\pi = (\alpha_1 - \delta)\pi$.

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