

# EXTREME POINTS OF THE UNIT BALL OF THE BLOCH SPACE $\mathcal{B}_0$

Joseph A. Cima and Warren R. Wogen

## 1. INTRODUCTION

Let  $\Delta$  denote the open unit disc in the complex plane  $\mathbb{C}$ , and let  $\Gamma$  denote the boundary of  $\Delta$ . If  $f$  is a function holomorphic in  $\Delta$ , define  $M(f)$  by

$$M(f) = \sup \{ |f'(z)|(1 - |z|^2) : z \in \Delta \}.$$

The *Bloch space*  $\mathcal{B}$  consists of those holomorphic functions  $f$  for which  $M(f)$  is finite. The norm  $\|f\| = |f(0)| + M(f)$  makes  $\mathcal{B}$  a Banach space. The set of  $f$  in  $\mathcal{B}$  for which  $\lim_{|z| \rightarrow 1} |f'(z)|(1 - |z|^2) = 0$  is a closed subspace of  $\mathcal{B}$ , denoted by  $\mathcal{B}_0$ . There are several characterizations of the functions in the Bloch space, and we refer the reader to [1], [2], [4], and [5]. The dual space of  $\mathcal{B}_0$  is linearly homeomorphic with a Banach space  $I$  of functions holomorphic on  $\Delta$  [1]. In fact,

$$I = \left\{ g : \int_0^1 \int_0^{2\pi} |g'(re^{i\theta})| r dr d\theta < \infty \right\}.$$

Further, the second dual of  $\mathcal{B}_0$  is isometrically isomorphic to  $\mathcal{B}$ . Alaoglu's Theorem and the Krein-Milman Theorem then imply that the unit ball of  $\mathcal{B}$  has extreme points. We show that the unit ball of  $\mathcal{B}_0$  also has extreme points. The principal result of this paper is a characterization of the extreme points of the unit ball of  $\mathcal{B}_0$ .

We list here a theorem which plays a fundamental role in later proofs.

**THEOREM A.** *Let  $G(x, y)$  be a convergent real power series such that  $G(0, 0) = 0$  and  $G(0, y) = \sum_{n=s}^{\infty} b_n y^n$ , where  $s \geq 1$  and  $b_s \neq 0$ . Then there are power series  $\Omega(x, y)$ ,  $A_i(x)$  ( $i = 0, 1, \dots, s-1$ ) such that*

$$G(x, y) = (y^s + A_{s-1}(x)y^{s-1} + \dots + A_0(x))\Omega(x, y),$$

and  $\Omega(0, 0) \neq 0$ .

Theorem A is a special case of the real analytic version of the Weierstrass Preparation Theorem (cf., e.g., [7, p. 145]). A  $C^\infty$  version of this result (the Malgrange-Mather Theorem) can be found in [6, p. 94].

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Received November 6, 1976. Revision received February 25, 1977.

Michigan Math. J. 25 (1978).

## 2. CHARACTERIZATION OF THE EXTREME POINTS

We begin by restricting our attention to functions  $f \in \mathcal{B}$  normalized by  $f(0) = 0$ . Thus let  $\tilde{\mathcal{B}} = \{f \in \mathcal{B} : f(0) = 0\}$ , and let  $\tilde{\mathcal{B}}_0 = \mathcal{B}_0 \cap \tilde{\mathcal{B}}$ . Then for  $f \in \tilde{\mathcal{B}}$ ,  $\|f\| = M(f)$ . We first determine the extreme points of the unit ball of  $\tilde{\mathcal{B}}_0$ , (denoted ball  $\tilde{\mathcal{B}}_0$ ), and then we will discuss the extreme points of ball  $\mathcal{B}_0$ .

For  $f \in \text{ball } \tilde{\mathcal{B}}$ , let  $L_f = \{z \in \Delta : |f'(z)|(1 - |z|^2) = 1\}$ .

**THEOREM 1.** *Let  $f$  be in ball  $\tilde{\mathcal{B}}$ . If there is an  $R < 1$  so that  $L_f \cap \{z : |z| \leq R\}$  is an infinite set, then  $f$  is an extreme point of ball  $\tilde{\mathcal{B}}$ .*

Suppose that  $g_1, g_2 \in \text{ball } \tilde{\mathcal{B}}$  and  $f = \frac{1}{2}(g_1 + g_2)$ . If  $z \in L_f \cap \{z : |z| \leq R\}$ , then

$$|f'(z)| = (1 - |z|^2)^{-1} \quad \text{and} \quad |g'_i(z)| \leq (1 - |z_i|^2)^{-1} \quad \text{for } i = 1, 2.$$

Thus  $|f'(z)| \geq \frac{1}{2}(|g'_1(z)| + |g'_2(z)|)$ . But  $f'(z) = \frac{1}{2}(g'_1(z) + g'_2(z))$ , so that  $f'$ ,  $g'_1$ , and  $g'_2$  agree on  $L_f \cap \{z : |z| \leq R\}$ . Thus  $f = g_1 = g_2$ , and  $f$  is an extreme point of ball  $\tilde{\mathcal{B}}$ .

**COROLLARY 1.** *If  $f$  is in ball  $\tilde{\mathcal{B}}_0$  and  $L_f$  is an infinite set, then  $f$  is an extreme point of ball  $\tilde{\mathcal{B}}_0$ .*

A routine computation shows that the function  $f(z) = \frac{1}{2} \log(1+z)(1-z)^{-1}$  is an extreme point of ball  $\tilde{\mathcal{B}}$ . In fact,  $L_f$  is the interval  $(-1, 1)$  on the real axis. Note that  $f$  is not in  $\tilde{\mathcal{B}}_0$ . In Section 3 we discuss the functions

$$f_n(z) = z^n / \|z^n\|, \quad n = 2, 3, \dots$$

These functions are extreme points in ball  $\tilde{\mathcal{B}}_0$ .

The following theorem is the converse of Corollary 1.

**THEOREM 2.** *Let  $f$  be in ball  $\tilde{\mathcal{B}}_0$ . If  $L_f$  is finite, then  $f$  is not an extreme point of ball  $\tilde{\mathcal{B}}_0$ .*

The proof of Theorem 2 is based on the following lemmas.

**LEMMA 0.** *If  $f : [-\delta, \delta] \rightarrow \mathbb{R}$  is real analytic at  $x = 0$  and if  $f$  has an isolated local minimum at  $x = 0$ ,  $f$  has Taylor series expansion of form*

$$f(x) = f(0) + \sum_{k=2j}^{\infty} a_k x^k,$$

where  $j \geq 1$  and  $a_{2j} > 0$ .

**LEMMA 1.** *Let  $G$  be a real valued function on  $\Delta$  of the form*

$$(1) \quad G(x, y) = y^2 + A_1(x)y + A_0(x),$$

where  $A_0$  and  $A_1$  are real analytic functions on  $|x| < 1$ . Suppose that  $G(0,0) = 0$  and that there is a  $\delta > 0$  so that  $G(x,y) > 0$  for  $0 < x^2 + y^2 < \delta$ . Then there is an integer  $n > 0$  and a  $\delta'$  with  $0 < \delta' \leq \delta$  so that  $0 < x^2 + y^2 < \delta'$  implies  $(x^2 + y^2)^n < G(x,y)$ .

*Proof.* Since  $G(0,0) = 0$ , we have  $A_0(0) = 0$ . Also, since  $G(0,y) = y^2 + A_1(0)y$ , we must have  $A_1(0) = 0$ . The function  $A_1$  has power series expansion of the form  $A_1(x) = ax^j + \sum_{k=j+1}^{\infty} a_k x^k$ , where  $j \geq 1$  and  $a \neq 0$ . We write  $G$  in the form

$$(2) \quad G(x,y) = \left( y + \frac{A_1(x)}{2} \right)^2 + \left( A_0(x) - \frac{A_1^2(x)}{4} \right).$$

Observe that for  $0 < x^2 + \frac{A_1^2(x)}{4} < \delta$ ,  $G\left(x, \frac{-A_1(x)}{2}\right) = A_0(x) - \frac{A_1^2(x)}{4} > 0$ . An application of Lemma 0 gives  $A_0(x) - \frac{A_1^2(x)}{4} = bx^{2n} + \sum_{k=2n+1}^{\infty} b_k x^k$ , where  $n \geq 1$  and  $b > 0$ . Thus there is a  $\delta'$  with  $0 < \delta' \leq \delta$  so that if  $0 < x^2 < \delta'$ , we have

$$(3) \quad \frac{b}{2} x^{2n} \leq A_0(x) - \frac{A_1^2(x)}{4}, \quad \text{and}$$

$$(4) \quad A_1^2(x) \leq 4a^2 x^2.$$

We first consider the set

$$A = \{(x,y) : y^2 \leq 4a^2 x^2 \text{ and } 0 < x^2 < \delta'\}.$$

Using (2) and (3), we obtain the inequality

$$G(x,y) \geq A_0(x) - \frac{A_1^2(x)}{4} \geq \frac{b}{2} x^{2n} \geq K_1 (x^2 + y^2)^n \quad \text{for } (x,y) \in A,$$

where  $K_1 = \frac{1}{2} b (2 + 4a^2)^{-n}$ . Now consider the set

$$\mathcal{B} = \{(x,y) : y^2 \geq 4a^2 x^2, y \neq 0, \text{ and } x^2 < \delta'\}.$$

Using (2) and (4), we obtain

$$G(x,y) \geq \left( y + \frac{A_1(x)}{2} \right)^2 \geq \frac{y^2}{4} \geq K_2 (x^2 + y^2)^n \quad \text{for } (x,y) \in \mathcal{B},$$

where  $K_2 = \frac{1}{4} (2 + (4a^2)^{-1})^{-1}$ . Hence there is a constant  $K$  such that

$$K(x^2 + y^2)^n < G(x, y) \quad \text{if } 0 < x^2 + y^2 < \delta'.$$

Further, we may suppose that  $K = 1$  by replacing  $n$  by  $n + 1$  and choosing a smaller  $\delta'$ .

LEMMA 2. *Suppose that  $f$  is in ball  $\mathcal{B}$ ,  $|f'(0)| = 1$ , and there is a  $\delta > 0$  such that  $|f'(z)|(1 - |z|^2) < 1$  for  $0 < |z| < \delta$ . Then there is a positive integer  $n$  and a  $\delta'$  with  $0 < \delta' \leq \delta$  such that  $(|f'(z)| + |z|^n)(1 - |z|^2) < 1$  for  $0 < |z| < \delta'$ .*

*Proof.* Without loss of generality, we may assume that  $f'(0) = 1$ , so that  $f'(z) = 1 + a_1 z + \sum_{k=2}^{\infty} a_k z^k$ . If  $a_1 \neq 0$ , we can choose  $\theta$  so that for  $r$  sufficiently small, we have  $|f'(re^{i\theta})| \geq 1 + \frac{|a_1|}{2} r$ . Thus  $|f'(re^{i\theta})|(1 - r^2) > 1$  for small  $r$ , a contradiction since  $\|f\| = 1$ . Hence  $a_1 = 0$ . If  $|a_2| > 1$ , we can again choose  $\theta$  so that for  $r$  sufficiently small we have  $|f'(re^{i\theta})| \geq 1 + \frac{1 + |a_2|}{2} r^2$ , which again contradicts  $\|f\| = 1$ . Thus  $|a_2| \leq 1$ .

By a rotation of the variable  $z$ , we may assume that  $0 \leq a_2 \leq 1$ . If  $a_2 < 1$ , we choose  $n = 3$ . There is a  $\delta' > 0$  so that

$$|z|^3 + \left| \sum_{k=3}^{\infty} a_k z^k \right| < (1 - a_2)|z|^2 \quad \text{if } |z| < \delta'.$$

Thus for  $|z| < \delta'$ , we have

$$|f'(z)| + |z|^3 < 1 + a_2 |z|^2 + \left| \sum_{k=3}^{\infty} a_k z^k \right| + |z|^3 < 1 + |z|^2 < (1 - |z|^2)^{-1}.$$

Thus the only case remaining is  $a_2 = 1$ . In this case we write  $z = x + iy$  and obtain the expansion

$$(5) \quad |f'(x + iy)|^2 = 1 + 2(x^2 - y^2) + O_3(x, y),$$

where  $O_3$  is a convergent power series having only terms of order 3 or higher. We know that for  $0 < |z| < \delta$ , we have,

$$(6) \quad |f'(x + iy)|^2 < (1 - (x^2 + y^2))^{-2} = \sum_{k=0}^{\infty} (k + 1)(x^2 + y^2)^k.$$

Let  $G(x, y) = (1 - (x^2 + y^2))^{-2} - |f'(x + iy)|^2$ . Then  $G$  is a real analytic function with  $G(0, 0) = 0$  and  $G(x, y) > 0$  if  $0 < x^2 + y^2 < \delta^2$ . It is easy to see from (5) and (6) that  $G$  has the form  $G(x, y) = 4y^2 + O_3(x, y)$ , where as before  $O_3$  is a convergent power series with terms of order 3 or higher. We now appeal to Theorem A. We may write  $G$  as a pseudopolynomial;

$$G(x, y) = (y^2 + A_1(x)y + A_0(x)) \Omega(x, y),$$

where  $A_0, A_1,$  and  $\Omega$  are real analytic, and  $\Omega(0,0) \neq 0$ . (Actually  $\Omega(0,0) = 4$ .) By Lemma 1, there is an  $n > 0$  and a  $\delta'_1 > 0$  so that if  $0 < x^2 + y^2 < \delta'_1$ , then  $(x^2 + y^2)^n < (y^2 + A_1(x)y + A_0(x))$ . Since  $\Omega(0,0) = 4$ , a possibly smaller choice of  $\delta'_1$  yields that if  $0 < x^2 + y^2 < \delta'_1$ , then  $(x^2 + y^2)^n < G(x,y)$ .

Let  $K = \sup \{2|f'(z)| + |z|^{n+1} : |z| < \delta\}$ . It is clear that we can choose  $\delta' > 0$  so that if  $0 < x^2 + y^2 < (\delta')^2$ , then  $K(x^2 + y^2)^{n+1} < G(x,y)$ . Thus if  $0 < |z| < \delta'$ , then

$$\begin{aligned} (|f'(z)| + |z|^{n+1})^2 &= |f'(z)|^2 + 2|f'(z)||z|^{n+1} + |z|^{2n+2} \\ &\leq |f'(z)|^2 + K|z|^{n+1} < (1 - |z|^2)^{-2}. \end{aligned}$$

The lemma is now proven.

Let  $f$  be in ball  $\mathcal{B}_0$ . An immediate consequence of Lemma 2 is that if  $L_f = \{0\}$ , then  $f$  is not an extreme point. Lemma 3 is a corollary of Lemma 2, and Lemma 3 will imply that if  $L_f = \{z_0\}$  for  $z_0 \in \Delta$ , then  $f$  is not an extreme point.

LEMMA 3. *Suppose that  $f$  is in ball  $\mathcal{B}_0$ , and that for some  $z_0 \in \Delta$  we have  $|f'(z_0)|(1 - |z_0|^2) = 1$ , and there is a  $\delta > 0$  so that*

$$|f'(z)|(1 - |z|^2) < 1 \quad \text{for } 0 < |z - z_0| < \delta.$$

*Then there is a positive integer  $n$  and a  $\delta'$  with  $0 < \delta' \leq \delta$  such that*

$$\left( |f'(z)| + \left| \frac{z - z_0}{1 - \bar{z}_0 z} \right|^n \right) (1 - |z|^2) < 1 \quad \text{for } 0 < |z| < \delta'.$$

*Proof.* Let  $\phi$  be the holomorphic automorphism of  $\Delta$  given by

$$z = \phi(w) = (w + z_0)(1 + \bar{z}_0 w)^{-1}.$$

Choose  $g \in \mathcal{B}_0$  with  $g'(w) = f'(\phi(w))\phi'(w)$ . Then  $g$  satisfies the conditions of Lemma 2.

Let  $K = \sup \{|\phi'(w)| : |w| < 1\}$ . The proof of Lemma 2 shows that there is a  $\delta'_1 > 0$  and an integer  $n$  such that

$$(|g'(w)| + K|w|^n)(1 - |w|^2) < 1 \quad \text{for } 0 < |w| < \delta'_1.$$

By the Schwarz-Pick Lemma,  $1 - |z|^2 = |\phi'(w)|(1 - |w|^2)$ . Choose  $\delta'$  so that  $|z - z_0| < \delta'$  implies  $|w| < \delta'_1$ . It follows easily that for  $0 < |z - z_0| < \delta'$ , we have

$$\left( |f'(z)| + \left| \frac{z - z_0}{1 - \bar{z}_0 z} \right|^n \right) (1 - |z|^2) < 1.$$

We now prove Theorem 2. Let  $f$  be in ball  $\mathcal{B}_0$  and let  $L_f = \{z_1, \dots, z_n\}$ . Let  $b_\delta(z_j)$  denote the closed ball with radius  $\delta$  and center  $z_j$ , and choose  $\delta$  small enough that the balls  $\{b_\delta(z_j)\}_{j=1}^k$  are disjoint and are contained in  $\Delta$ . A multiple application

of Lemma 3 yields a positive number  $\delta' \leq \delta$  and an integer  $n$  such that for  $i = 1, 2, \dots, k$ ,  $0 < |z - z_i| < \delta'$  implies  $|f'(z)| + \left| \frac{z - z_i}{1 - \bar{z}_i z} \right|^n < (1 - |z|^2)^{-1}$ . Let  $M = \sup \left\{ |f'(z)|(1 - |z|^2) : z \in \Delta \setminus \bigcup_{j=1}^k b_{\delta'}(z_j) \right\}$ . Choose  $g \in \tilde{\mathcal{B}}_0$  so that

$$g'(z) = (1 - M) \prod_{j=1}^k \left( \frac{z - z_j}{1 - \bar{z}_j z} \right)^n.$$

Then clearly for  $z \in b_{\delta'}(z_j)$ , we have

$$\begin{aligned} |f'(z) \pm g'(z)|(1 - |z|^2) &\leq (|f'(z)| + |g'(z)|)(1 - |z|^2) \\ &\leq \left( |f'(z)| + \left| \frac{z - z_j}{1 - \bar{z}_j z} \right|^n \right) (1 - |z|^2) < 1. \end{aligned}$$

Also, if  $z \in \Delta \setminus \bigcup_{j=1}^k b_{\delta'}(z_j)$  we have

$$\begin{aligned} |f'(z) \pm g'(z)|(1 - |z|^2) &\leq |f'(z)|(1 - |z|^2) + |g'(z)|(1 - |z|^2) \\ &< M + (1 - M) = 1. \end{aligned}$$

Thus  $f + g$  and  $f - g$  are in ball  $\tilde{\mathcal{B}}_0$ , and  $f = \frac{1}{2}(f + g) + \frac{1}{2}(f - g)$  is not an extreme point of ball  $\tilde{\mathcal{B}}_0$ .

Thus far we have considered extreme points of the unit ball of the normalized Bloch space  $\tilde{\mathcal{B}}_0$ . We can determine the extreme points of ball  $\mathcal{B}_0$  from the following proposition.

**PROPOSITION 1.** *Let  $X$  and  $Y$  be Banach spaces with norms  $\|\cdot\|_1$  and  $\|\cdot\|_2$  respectively. Let  $N : [0, \infty) \times [0, \infty) \rightarrow \mathbb{R}$  be a function so that  $(x, y) \rightarrow N(|x|, |y|)$  is a norm on  $\mathbb{R}^2$ . Define a norm  $\|\cdot\|$  on  $X \oplus Y$  by*

$$\|x \oplus y\| = N(\|x\|_1, \|y\|_2) \quad \text{for } x \in X, y \in Y.$$

*Then  $x \oplus y$  is an extreme point for ball  $(X \oplus Y)$  if and only if,*

- (i)  $x$  is an extreme point for the ball of radius  $\|x\|_1$  of  $X$ ,
- (ii)  $y$  is an extreme point of the ball of radius  $\|y\|_2$  of  $Y$ , and
- (iii)  $(\|x\|_1, \|y\|_2)$  is an extreme point of the unit ball of  $\mathbb{R}^2$  with norm  $N$ .

The proof of the proposition is routine (and probably known), and we omit it. We have the following immediate corollary.

**COROLLARY 2.** *Let  $f$  be in ball  $\mathcal{B}_0$ . Then  $f$  is an extreme point of ball  $\mathcal{B}_0$  if and only if either*

- (i)  $f$  is a constant function of modulus 1,
- (ii)  $f(0) = 0$  and  $f$  is an extreme point of ball  $\tilde{\mathcal{B}}_0$ .

*Proof.*  $\mathcal{B}_0 = \mathbb{C} < \tilde{\mathcal{B}}_0$ , where  $\mathbb{C}$  here denotes the constant functions. For  $f \in \text{ball } \mathcal{B}_0$ , the norm of  $f$  is given by  $\|f\| = |f(0)| + M(f)$ , so that norm  $N$  of Proposition 1 is the  $L^1$ -norm of  $\mathbb{R}^2$ . Thus  $(|f(0)|, M(f))$  is an extreme point for ball  $\mathbb{R}^2$  in  $L^1$ -norm if and only if  $f(0) = 0$  and  $\|f\| = 1$  or  $|f(0)| = 1$  and  $M(f) = 0$ . The Corollary now follows.

*Remarks.* 1. Consider the following equivalent norms on  $\mathcal{B}_0$ . For  $1 \leq p \leq \infty$  and  $f \in \mathcal{B}_0$ . Let  $\|f\|_p = (|f(0)|^p + (M(f))^p)^{1/p}$  for  $1 < p < \infty$ . Proposition 1 produces an essentially different class of extreme points from the extreme points in Corollary 2 for the  $p = 1$  case.

2. An analog of Corollary 2 is valid which characterizes the extreme points of ball  $\mathcal{B}$  in terms of the extreme points of ball  $\tilde{\mathcal{B}}$ .

### 3. FURTHER RESULTS, EXAMPLES, AND QUESTIONS

We begin this section by studying the sets of the form

$$L_f = \{z : |f'(z)|(1 - |z|^2) = 1\}$$

for  $f \in \text{ball } \tilde{\mathcal{B}}_0$ .

**THEOREM 3.** *If  $f$  is an extreme point of ball  $\tilde{\mathcal{B}}_0$ , then there are simple closed pairwise disjoint analytic curves  $\gamma_1, \gamma_2, \dots, \gamma_k$  with  $k \geq 1$  and points  $W_1, \dots, W_j$  with  $j \geq 0$  so that  $L_f = \left(\bigcup_{i=1}^k \gamma_i\right) \cup \{W_1, \dots, W_j\}$ . Thus in particular  $L_f$  is uncountable.*

*Proof.* Suppose  $z_0$  is an accumulation point of  $L_f$ . As in the proof of Lemma 3, we may replace  $f'$  by  $(f' \circ \phi)\phi'$  and hence assume that  $z_0 = 0$ . Thus we have  $\{z_n\} \subset L_f$  with  $z_n \rightarrow 0$ . As in the proof of Lemma 2, we can assume that the Taylor series for  $f'$  has form  $f'(z) = 1 + a_2 z^2 + \dots$ , where  $0 \leq a_2 \leq 1$ . If  $0 \leq a_2 < 1$ , then as in Lemma 2,  $|f'(z)|(1 - |z|^2)$  has an isolated local minimum at  $z = 0$ . Thus  $a_2 = 1$ . As before we form  $G(x, y) = (1 - (x^2 + y^2))^{-2} - |f'(x + iy)|^2$  and apply Theorem A to get

$$G(x, y) = (y^2 + A_1(x)y + A_0(x))\Omega(x, y), \quad \text{where } \Omega(0, 0) > 0.$$

Write  $z_n = x_n + iy_n$ . For  $n$  sufficiently large,  $H(x_n, y_n) \equiv y_n^2 + A_1(x_n)y_n + A_0(x_n) = 0$ , and  $H(x, y) \geq 0$  if  $x^2 + y^2$  is small. Thus  $A_0(x_n) - \frac{A_1^2(x_n)}{4} = 0$  for large  $n$ . Since  $x_n \rightarrow 0$ , and  $A_0$  and  $A_1$  are real analytic we find that either  $A_0(x) - \frac{A_1^2(x)}{4} \equiv 0$  for  $|x|$  sufficiently small or  $x_n = 0$  for all large  $n$ . In the first case we have

$$\left\{ (x, y) : x^2 + y^2 < \delta \quad \text{and} \quad y = -\frac{A_1(x)}{2} \right\} \subset L_f.$$

In the second case we have  $H(0, y_n) = y_n^2 = 0$  for large  $h$ , contradicting the fact that  $z_n \neq 0$ . The preceding argument shows that in a neighborhood of an accumulation point of  $L_f$ , we know that  $L_f$  is an analytic arc. This fact and the fact that  $L_f$  is a compact subset of  $\Delta$  imply the Theorem.

We do not know which sets described in the Theorem can arise as an  $L_f$  for some  $f$  in ball  $\mathcal{B}_0$ . However, we have the following result.

**THEOREM 4.** *For each  $w \in \Delta$ , there is a countable family of circles  $\Gamma_{w,n}$  in  $\Delta$  with the following properties. (a) Given  $\Gamma_{w,n}$ , there is a function  $f_{w,n}$  in ball  $\mathcal{B}_0$  so that  $L_{f_{w,n}} = \Gamma_{w,n}$ . Moreover,  $f_{w,n}$  is unique up to a multiplicative constant of modulus 1. (b) Conversely, if  $f$  is in ball  $\mathcal{B}_0$  and  $L_f$  contains a circle  $\Gamma$ , then  $\Gamma = \Gamma_{w,n}$  for some  $(w, n)$ .*

*Proof.* We first consider part (b) of the theorem. Suppose that  $f$  is in ball  $\mathcal{B}_0$  and that  $\{z : |z| = r_0\} \subset L_f$ . If we set  $g(z) = (1 - r_0^2)f'(r_0z)$ , then  $g$  is in the disc algebra with modulus identically equal to one in  $\Gamma$ . Hence there is a finite Blaschke product

$$B(z) = \lambda z^k \prod_{j=1}^J \left( \frac{z - \alpha_j}{1 - \bar{\alpha}_j z} \right), \quad |\lambda| = 1, \alpha_j \neq 0,$$

such that  $f'(z) = \frac{1}{1 - r_0^2} B\left(\frac{z}{r_0}\right)$ . The maximum of  $|f'(z)|(1 - |z|^2)$  occurs at  $|z| = r_0$ .

Hence

$$(7) \quad \frac{d}{dr} \left[ \frac{1 - r^2}{1 - r_0^2} \frac{r^k}{r_0^k} \prod_{j=1}^J \left| \frac{z/r_0 - \alpha_j}{1 - \bar{\alpha}_j z/r_0} \right| \right] \Bigg|_{r=r_0} = 0, \quad z = re^{i\theta}.$$

Let  $P(r, \theta - \phi)$  be the Poisson kernel evaluated at  $z = re^{i\theta}$  and observe that

$$(8) \quad \frac{d}{dr} \left| \frac{z/r_0 - \alpha_j}{1 - \bar{\alpha}_j z/r_0} \right|_{r=r_0} = \frac{1}{r_0} P(|\alpha_j|, \theta - \theta_j),$$

where  $\alpha_j = |\alpha_j| e^{i\theta_j}$ . If we carry out the differentiation in (7) and use (8), we see that

$$(9) \quad \frac{k - (k + 2)r_0^2}{1 - r_0^2} = \sum_{j=1}^J P(|\alpha_j|, \theta - \theta_j).$$

Suppose that  $J \neq 0$  and form the polynomial  $q(z) = \prod_{j=1}^J (z - \alpha_j)$ . Then

$$\begin{aligned} 0 &= \sum_{j=1}^J q(\alpha_j) = \int_{\Gamma} q(e^{i\theta}) \sum_{j=1}^J P(|\alpha_j|, \theta - \theta_j) d\theta \\ &= \int_{\Gamma} q(e^{i\theta}) \frac{k - (k + 2)r_0^2}{(1 - r_0^2)} d\theta = q(0) \frac{k - (k + 2)r_0^2}{1 - r_0^2} \end{aligned}$$



so that  $k - (k + 2)r_0^2 = 0$ . (9) now says that  $\sum_{j=1}^J P(|\alpha_j|, \theta - \theta_j) = 0$ , which is clearly false. Thus  $J = 0$  and  $B(z) = \lambda z^k$ . A straightforward calculation shows that  $\max\{|w|^n(1 - |w|^2) : |w| < 1\} = \left(\frac{n}{n+2}\right)^{n/2} \left(\frac{2}{n+2}\right)$ , and that this maximum occurs on  $|w| = \left(\frac{n}{n+2}\right)^{1/2}$ . Thus  $r_0 = \left(\frac{k}{k+2}\right)^{1/2}$ , and

$$\Gamma_{0,k} = \left\{ z : |z| = \left(\frac{k}{k+2}\right)^{1/2} \right\}.$$

Further  $f_{0,k}$  satisfies

$$f'_{0,k}(z) = \frac{\lambda z^k}{r_k^k(1 - r_k^2)}, \quad \text{where } r_k = \left(\frac{k}{k+2}\right)^{1/2} \text{ and } |\lambda| = 1.$$

Now suppose that  $f$  is in ball  $\mathcal{B}_0$  and that  $\Gamma$  is a circle centered at  $z_0$  contained in  $L_f$ . Let  $\phi$  be a holomorphic automorphism of  $\Delta$  which maps  $\Gamma$  to a circle centered at the origin, and let  $\psi = \phi^{-1}$ . Choose  $h$  in  $\mathcal{B}_0$  with  $h'(w) = f'(\psi(w))\psi'(w)$ . From the first part of the proof, we conclude that

$$h'(w) = \frac{\lambda w^k}{r_k^k(1 - r_k^2)} \quad \text{where } |\lambda| = 1 \text{ and } k > 0.$$

It follows that  $f'(z) = f'(\psi(w)) = \frac{\lambda w^k}{\psi'(w)} = \lambda(\phi(z))^k \phi'(z)$ , and that

$$\Gamma = \Gamma_{z_0,k} = \phi^{-1}(\Gamma'), \quad \text{where } \Gamma' = \Gamma_{0,k} = \left\{ w : |w| \leq \left(\frac{k}{k+2}\right)^{1/2} \right\}.$$

Thus the circles  $\Gamma_{w,n}$  in the statement of Theorem 3 are just images of the circles  $\Gamma_{0,n}$  under automorphisms of  $\Delta$ .

In some Banach spaces, the notion of "strong extreme point" has been of interest. For example the strong extreme points of ball  $H^\infty$  are the inner functions [3].

*Definition.* Let  $X$  be a Banach space and let  $x \in X$  with  $\|x\| = 1$ . Then  $X$  is a strong extreme point for ball  $X$  if for each  $\epsilon > 0$  there is a  $\delta > 0$  such that  $\max\{\|x + y\|, \|x - y\|\} \leq 1 + \delta$  implies  $\|y\| < \epsilon$ .

**PROPOSITION.** *The unit ball of  $\mathcal{B}_0$  has no strong extreme points.*

*Proof.* Let  $f$  be in  $\mathcal{B}_0$  with  $\|f\| = 1$ . Given  $\delta > 0$ , there is an  $r \in (0, 1)$  such that  $|f'(z)|(1 - |z|^2) < \delta$  if  $r \leq z < 1$ . Let  $g_k(z) = z^k/|z^k|$ . Then  $g_k \in$  ball  $\mathcal{B}_0$  and a routine calculation shows that  $\limsup_{k \rightarrow \infty} \sup_{|z| \leq r} |g'_k(z)| = 0$ . Thus there is a  $k_0$  so that  $|g'_{k_0}(z)|(1 - |z|^2) < \delta$  for  $|z| \leq r$ .

We conclude that  $\|f \pm g_{k_0}\| \leq \|f\| + \delta$ , and  $\|g_{k_0}\| = 1$ .

We end our paper with some questions.

*Question 1.* What is the closed convex hull of the extreme points of ball  $\tilde{\mathcal{B}}_0$ ?

*Question 2.* Are there extreme points  $f$  of ball  $\tilde{\mathcal{B}}_0$  such that  $L_f$  is not a circle? A weaker question is whether  $L_f$  must be connected.

*Question 3.* All of the extreme points of ball  $\tilde{\mathcal{B}}_0$  are extreme points of ball  $\tilde{\mathcal{B}}$ . However, ball  $\tilde{\mathcal{B}}$  has other extreme points. What are the extreme points of ball  $\tilde{\mathcal{B}}$ ? Can ball  $\tilde{\mathcal{B}}$  have an extreme point  $f$  such that  $L_f$  is empty?

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Department of Mathematics  
 University of North Carolina  
 Chapel Hill, North Carolina 27514