

# SINGULARITY OBSTRUCTIONS TO IMMERSIONS

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## 1. INTRODUCTION

Let  $M^m, N^n$  be connected  $C^\infty$  manifolds of dimension  $m$  and  $n$ , respectively, with  $m < n$  and  $M^m$  closed (compact, without boundary). Let  $f: M^m \rightarrow N^n$  be a continuous mapping. Our initial purpose here is to introduce three sets of (cohomological) homotopy invariants for  $f$  and show they are obstructions to the process of deforming  $f$  to a smooth ( $C^\infty$ ) immersion  $g: M^m \rightarrow N^n$ . By Smale-Hirsch theory [5] any obstruction to such a deformation must involve finding a vector bundle embedding  $\phi: TM \rightarrow TN$  covering  $f$ , and in fact the vanishing of these invariants for an immersion is derived as a consequence of a more general proposition concerning the existence of bundle epimorphisms  $\psi: \xi^n \rightarrow \tau^p$ , ( $\xi^n \rightarrow M^m, \tau^p \rightarrow M^m$  real vector bundles over  $M^m$  of rank  $n, p$ , respectively,  $n > p$ ). Roughly speaking, our approach to this problem involves decomposing the vector bundle  $\text{Hom}(\xi, \tau) \rightarrow M^m$  into its "singularity subbundles"  $S_i(\xi, \tau)$ ,  $0 \leq i \leq p$ , and asking when a section

$$\sigma \in \Gamma^\infty(\text{Hom}(\xi, \tau))$$

may be homotoped so as to avoid all the  $S_i(\xi, \tau)$ ,  $i > 0$ . Using the (Poincare duals of the) fundamental homology classes associated to each  $S_i(\xi, \tau)$  [13], obstructions to such a deformation are defined.

In Sections 2.1 and 2.2 we give the necessary background information on the homological properties of the  $S_i(\xi, \tau)$  and prove the Proposition on bundle epimorphisms [Proposition 2.2.2]. The basic obstruction theorem is then given in (2.3) [Theorem 2.3.2].

In Section 3, we use the obstruction theorem together with a result due to R. Thom and I. Porteous [11] to study immersions of  $M^m$  into  $\mathbb{C}P^n$ , complex projective space of  $2n$  real dimensions. The starting point here is the fact, which follows from the well-known theorem of A. Haefliger ([3], Theorem 1, p. 109), that every  $f: M^m \rightarrow \mathbb{C}P^m$  deforms to a smooth immersion. The main result of this section [Theorem 3.1.2] then, deals with the question of when this result may be improved and when it is best possible.

Apart from its intrinsic interest, there is a second motivation for considering  $C^\infty$  immersions into  $\mathbb{C}P^n$ . Namely, in [6], A. Holme raised the question of computing the minimal dimension  $n(V^m)$  for which  $V^m$ , a non-singular projective  $m$ -variety (over  $\mathbb{C}$ ) may be embedded holomorphically in  $\mathbb{C}P^n$ . Since a negative result for  $C^\infty$  embeddings into  $\mathbb{C}P^n$  is necessarily one for holomorphic embeddings (for  $M^m$  complex) the results of (3.1) carry over to give information on this problem. Pursuing

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this question further, we conclude by utilizing the construction of Section 2 in order to produce complex "singularity obstructions" to deforming  $f: V^m \rightarrow \mathbb{C}P^n$  ( $V^m$  any closed, connected complex  $m$ -manifold) to a holomorphic immersion provided  $n \leq 2m-1$  [Theorem 3.2.2, Corollary 3.2.3].

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## 2. THE SINGULARITY SUBBUNDLES

(2.1) Let  $M^m$  be a closed, connected  $C^\infty$   $m$ -manifold. For  $\xi^n \rightarrow M^m$ ,  $\tau^p \rightarrow M^m$  real vector bundles over  $M^m$  of rank  $n$  and  $p$ , respectively, with  $n > p$ , let

$$\text{Hom}(\xi, \tau) \rightarrow M^m$$

denote the vector bundle over  $M^m$  of rank  $n \cdot p$  with

$$\text{Hom}(\xi, \tau)_x = \text{fiber of } \text{Hom}(\xi, \tau) \text{ over } x \in M^m = \{\mathbb{R}\text{-linear maps } \phi: \xi_x \rightarrow \tau_x\}.$$

Set  $S_i(\xi, \tau)$  equal to the submanifold (subbundle) of  $\text{Hom}(\xi, \tau)$  consisting of those elements with rank equal to  $p-i$ , for  $0 \leq i \leq p$ . Similarly, for  $\eta^n \rightarrow M^m$ ,  $\omega^p \rightarrow M^m$  complex vector bundles over  $M^m$ , one may form the complex vector bundle

$$\text{Hom}_c(\eta, \omega) \rightarrow M^m$$

and then decompose this bundle into its complex singularity subbundles  $S_i^c(\eta, \omega)$ . (For background on these first-order singularities, see [9], p. 372).

It is a consequence of the work of Borel-Haefliger ([13], p. 23-24; [4], p. 8-02) that for  $0 \leq i \leq p$ , the topological closure

$$\overline{S_i(\xi, \tau)} = S_i(\xi, \tau) \cup S_{i+1}(\xi, \tau) \cup \dots \cup S_p(\xi, \tau),$$

carries a fundamental class in  $H_t(\overline{S_i(\xi, \tau)}; \mathbb{Z}_2)$ , singular homology with closed supports, where  $t = \dim(S_i(\xi, \tau))$ . Also, if  $M^m$  is orientable, the complex space  $S_i^c(\eta, \omega)$  possesses such a class ( $\mathbb{Z}$  coefficients). Further, according to [12], if the bundle  $\text{Hom}(\xi, \tau) \rightarrow M^m$  is orientable,  $n-p = 2\alpha$ ,  $j = 2r$ , then  $S_j(\xi, \tau)$  has a fundamental class over  $\mathbb{Z}$ .

Let  $[S_i(\xi, \tau)]$  denote the image of the fundamental homology class of  $\overline{S_i(\xi, \tau)}$  in  $H_*(\text{Hom}(\xi, \tau); \mathbb{Z}_2)$  under the inclusion homomorphism. Also, denote by  $[S_i^c(\eta, \omega)]$  (resp.  $[S_{2r}(\xi, \tau)]$ ) the element of  $H_*(\text{Hom}_c(\eta, \omega); \mathbb{Z})$  (resp.  $H_*(\text{Hom}(\xi, \tau); \mathbb{Z})$ ) which is the image of the fundamental class of  $S_i^c(\eta, \omega)$  (resp.  $\overline{S_{2r}(\xi, \tau)}$ ). Finally, define  $\text{P.D.}[S_i(\xi, \tau)] \in H^*(\text{Hom}(\xi, \tau); \mathbb{Z}_2)$  to be the image of  $[S_i(\xi, \tau)]$  under Poincare Duality. (Since  $\text{Hom}(\xi, \tau)$  is a paracompact manifold, by [1], p. 20 and [14], Corollary 7, p. 341, one has that  $\text{P.D.}[S_i(\xi, \tau)]$  is an ordinary singular cohomology class).

Similarly define  $\underline{\text{P.D.}} [S_i^c(\eta, \omega)] \in H^*(\text{Hom}_c(\eta, \omega); \mathbb{Z})$  and

$$\underline{\text{P.D.}} [\overline{S_{2r}(\xi, \tau)}] \in H^*(\text{Hom}(\xi, \tau); \mathbb{Z})$$

when the corresponding fundamental homology classes exist.

(2.2) Let  $M^m$  be, as usual, a closed, connected  $C^\infty$   $m$ -manifold and

$$\Pi: \text{Hom}(\xi, \tau) \rightarrow M^m$$

the Hom bundle of rank  $n \cdot p$  as in (2.1). To simplify notation, we make the following definition:

*Definition 2.2.1.* (1) Let  $(\Pi^*)^{-1}: H^*(\text{Hom}(\xi, \tau); \mathbb{Z}_2) \rightarrow H^*(M^m; \mathbb{Z}_2)$  be the inverse of the cohomology isomorphism induced by the bundle projection  $\Pi$ . Define

$$\underline{b}_i(\xi, \tau) \in H^{i(n-p+i)}(M^m; \mathbb{Z}_2), \quad 0 \leq i \leq p,$$

by  $\underline{b}_i(\xi, \tau) = (\Pi^*)^{-1} \underline{\text{P.D.}} [S_i(\xi, \tau)]$ . (2) Make the further assumption that  $M^m$  is orientable and denote by  $\xi^c, \tau^c$  the complexification of the bundles  $\xi, \tau$  respectively. Then, for  $0 \leq i \leq p$ , define  $b_i^c(\xi, \tau) \in H^{2i(n-p+i)}(M^m; \mathbb{Z})$  by

$$b_i^c(\xi, \tau) = (\Pi_c^*)^{-1} \underline{\text{P.D.}} [S_i^c(\xi^c, \tau^c)].$$

(Here  $\Pi_c: \text{Hom}_c(\xi^c, \tau^c) \rightarrow M^m$  is the projection). (3) Suppose that both  $M^m$  and the bundle  $\Pi: \text{Hom}(\xi, \tau) \rightarrow M^m$  are orientable. Then for  $n-p = 2\alpha, j = 2r$ , define  $\underline{r}_j(\xi, \tau) \in H^{j(n-p+j)}(M^m; \mathbb{Z})$  to be  $(\Pi^*)^{-1} \underline{\text{P.D.}} [S_{2r}(\xi, \tau)]$ ,  $0 \leq j \leq p$ .

The meaning of these cohomological invariants is demonstrated by the following result, which will be the main tool in defining obstructions for smooth immersions in the next section.

**PROPOSITION 2.2.2.** *Let  $M^m, \text{Hom}(\xi, \tau) \rightarrow M^m$  be as above. Then*

(1) *The classes  $b_i(\xi, \tau), b_i^c(\xi, \tau)$  and  $r_j(\xi, \tau)$  are invariants of the isomorphism classes of  $\xi^n$  and  $\tau^p$ ; and*

(2) *Suppose there exists a bundle epimorphism  $\phi: \xi^n \rightarrow \tau^p$ ; i.e., a vector bundle mapping  $\phi$  such that for all  $x \in M^m, \phi_x: \xi_x \rightarrow \tau_x$  is of maximal rank. Then, for  $1 \leq i, j \leq p$ , the above cohomology classes vanish.*

*Remark.* This Proposition is a generalization of Theorem 4.2 of [2].

*Proof.* We will prove the proposition for the classes  $b_i(\xi, \tau)$  (the proof for the  $r_j(\xi, \tau)$  being identical) and indicate briefly the additional statements needed to extend the proof to the complexified classes  $b_i^c(\xi, \tau)$ . (1) It suffices to show that if  $\psi_1: \xi \rightarrow \xi', \psi_2: \tau \rightarrow \tau'$  are vector bundle isomorphisms then there exists an isomorphism  $\psi: \text{Hom}(\xi, \tau) \rightarrow \text{Hom}(\xi', \tau')$  and sections

$$\sigma \in \Gamma^\infty(\text{Hom}(\xi, \tau)), \quad \sigma' \in \Gamma^\infty(\text{Hom}(\xi', \tau'))$$

such that the following diagram commutes:

$$\begin{array}{ccc}
 \text{Hom}(\xi, \tau) & \xrightarrow{\psi} & \text{Hom}(\xi', \tau') \\
 \swarrow \sigma & & \searrow \sigma' \\
 & M^m &
 \end{array}$$

Indeed, if  $\sigma: M^m \rightarrow \text{Hom}(\xi, \tau)$  is any section one has that  $\sigma^* \equiv (\Pi^*)^{-1}$  on cohomology. Then the equality  $b_i(\xi, \tau) = b_i(\xi', \tau')$ ,  $0 \leq i \leq p$ , follows immediately noting that  $\psi$  of maximal rank on each fiber implies that  $\psi(S_i(\xi, \tau)) \equiv S_i(\xi', \tau')$ . To verify the existence of the above diagram, however, one need only observe that if

$$\sigma \in \Gamma^\infty(\text{Hom}(\xi, \tau)),$$

$\sigma' = \psi \circ \sigma$  is the desired section of  $\text{Hom}(\xi', \tau')$ , the isomorphism  $\psi$  being determined in the obvious manner by  $\psi_1$  and  $\psi_2$ .

The proof of (2) is based on the following lemma:

**LEMMA 1.** *Suppose that  $\sigma \in \Gamma^\infty(\text{Hom}(\xi, \tau))$  is transversal to each of the submanifolds  $S_i(\xi, \tau)$ . Set  $S_i(\sigma) = \sigma^{-1}(S_i(\xi, \tau))$ . Then for  $0 \leq i \leq p$ ,*

(1)  $S_i(\sigma)$  is a regular submanifold of  $M^m$  of codimension  $i(n-p+i)$ .

$$(2) \overline{S_i(\sigma)} = \bigcup_{j=0}^{p-i} S_{i+j}(\sigma) = \sigma^{-1}(\overline{S_i(\xi, \tau)}).$$

(3)  $\overline{S_i(\sigma)}$  possesses a fundamental homology class (over  $\mathbb{Z}_2$ ). Further, if  $[S_i(\sigma)]$  denotes the image of this class in  $H_*(M^m; \mathbb{Z}_2)$ , then

$$\begin{aligned}
 b_i(\xi, \tau) &= (\Pi^*)^{-1} \text{P.D. } [\overline{S_i(\xi, \tau)}] = \sigma^* \text{P.D. } [\overline{S_i(\xi, \tau)}] \\
 &= \text{P.D. } [S_i(\sigma)] \in H^{i(n-p+i)}(M^m; \mathbb{Z}_2).
 \end{aligned}$$

*Proof.* (1) follows from standard properties of  $S_i(\xi, \tau)$  and the transversality of  $\sigma$ . (2) This may be found in ([9], p. 373). (3) By (2) and ([4], p. 8-02), it suffices to show that  $\overline{S_i(\sigma)}$  is an ANR. Further, according to a theorem of S.T. Hu ([7], Theorem 7.1, p. 168) this is equivalent to showing that  $\overline{S_i(\sigma)}$  is locally contractible, which one accomplishes as follows: By (1), the manifold topology of  $\overline{S_i(\sigma)}$  agrees with the relative topology induced from the inclusion of  $\overline{S_i(\sigma)}$  into  $M^m$ . Thus if  $x \in \overline{S_i(\sigma)}$  and  $V$  is any open set in  $\overline{S_i(\sigma)}$  containing  $x$ ,  $V$  is of the form  $V' \cap \overline{S_i(\sigma)}$  where  $V'$  is open in  $M^m$ . Since  $M^m$  is locally contractible, there exists an open set  $U'$ ,  $x \in U' \subset V'$ , with  $U'$  contractible to  $x$  in  $V'$ ; i.e., there is a continuous map  $G': U' \times I \rightarrow V'$  with

$$G'_0 = \text{inclusion} \quad \text{and} \quad G'_1(U') = x.$$

Setting  $U = U' \cap \overline{S_i(\sigma)}$  and  $G = G' | U \times I$  then provides the desired contraction, proving the lemma.

Now, let  $\phi: \xi^n \rightarrow \tau^p$  be a bundle epimorphism. Define  $\sigma_\phi \in \Gamma^\infty(\text{Hom}(\xi, \tau))$  by  $\sigma_\phi(x) = \phi_x: \xi_x \rightarrow \tau_x$ . Then  $\text{Image}(\sigma_\phi) \cap S_i(\xi, \tau) = \emptyset$ ,  $1 \leq i \leq p$ , by the definition

of the  $S_i$ . As  $S_0(\xi, \tau)$  is open in  $\text{Hom}(\xi, \tau)$ , it follows that  $\sigma_\phi$  is transversal to each  $S_i(\xi, \tau)$ . Hence lemma 1-3 may be applied and one has

$$b_0(\xi, \tau) = \sigma_\phi^* \text{P.D.} [\overline{S_0(\xi, \tau)}] = \text{P.D.} [\overline{S_0(\sigma_\phi)}] = \text{P.D.} [M^m]_2 = 1 \in H^0(M; \mathbb{Z}_2).$$

Further, for  $i > 0$ ,  $b_i(\xi, \tau) = \sigma_\phi^* \text{P.D.} [\overline{S_i(\xi, \tau)}] = \text{P.D.} [\overline{S_i(\sigma_\phi)}] = \text{P.D.} [\emptyset] = 0$ , and so the proposition is proved for  $b_i(\xi, \tau)$ .

To complete the proof for the classes  $b_i^c(\xi, \tau)$ , let  $\overline{\sigma_\phi} \in \Gamma^\infty \text{Hom}_c(\xi^c, \tau^c)$  be the complexification of  $\sigma_\phi$ . That is, if  $\xi^c$  (resp.  $\tau^c$ ) is the complex bundle with total space  $\xi \oplus \xi$  (resp.  $\tau \oplus \tau$ ) and complex multiplication  $i \cdot (v, w) = (-w, v)$ , then  $\overline{\sigma_\phi}$  is given by  $\overline{\sigma_\phi}(v, w) = (\sigma_\phi(v), \sigma_\phi(w))$ . As it is trivial to show that for  $x \in M^m$ ,  $\text{real rank}(\sigma_\phi) = \text{complex rank}(\overline{\sigma_\phi})$ , the sets  $S_i(\sigma_\phi)$  and  $S_i^c(\overline{\sigma_\phi})$  will be identical in  $M^m$  and the proof for this case will follow exactly as in the real case.

(2.3) Let  $M^m$  be a closed, connected  $C^\infty$   $m$ -manifold and  $N^n$  a connected  $C^\infty$   $n$ -manifold, (not necessarily compact), with  $n > m$ .

*Definition 2.3.1.* (1) Let  $f: M^m \rightarrow N^n$  be a continuous mapping. Define

$$\underline{b}_i(f) \in H^{i(n-m+i)}(M^m; \mathbb{Z}_2), \quad 0 \leq i \leq m$$

by  $\underline{b}_i(f) = b_i(f^*TN, TM)$ . Set  $\underline{B}(f) = 1 \oplus \underline{b}_1(f) \oplus \cdots \oplus \underline{b}_m(f) \in H^*(M^m; \mathbb{Z}_2)$ . (2) Assume that  $M^m$  is orientable. Then one defines  $\underline{b}_i^c(f)$  to be

$$b_i^c(f^*TN^c, TM^c), \quad 0 \leq i \leq m,$$

and  $\underline{B}^c(f)$  to be  $1 \oplus \underline{b}_1^c(f) \oplus \cdots \oplus \underline{b}_m^c(f) \in H^*(M; \mathbb{Z})$ . (3) Assume that both  $M^m$  and  $N^n$  are orientable manifolds. Then for  $n-m = 2\alpha$ ,  $j = 2r$ ,  $0 \leq j \leq m$ , set

$$\underline{r}_j(f) = r_j(f^*TN, TM), \quad \text{and} \quad \underline{R}(f) = 1 \oplus \cdots \oplus \underline{r}_m(f) \in H^*(M^m; \mathbb{Z}).$$

Using Proposition 2.2.2 one may now interpret these classes as obstructions to deforming  $f$  to a  $C^\infty$  map  $g: M^m \rightarrow N^n$  such that the tangent map of  $g$ ,  $T(g)$ , avoids all the singularity subbundles of positive codimension.

**THEOREM 2.3.2.** *Let  $f: M^m \rightarrow N^n$  be a continuous mapping. Then (1) Each of the total cohomology classes  $\underline{B}(f)$ ,  $\underline{B}^c(f)$  and  $\underline{R}(f)$  is a homotopy invariant of  $f$ . (2) Suppose that  $f$  is homotopic to a smooth immersion  $g$ . Then*

$$\underline{B}(f) = 1 \in H^0(M^m; \mathbb{Z}_2);$$

and  $\underline{B}^c(f) = \underline{R}(f) = 1 \in H^0(M^m; \mathbb{Z})$ .

*Proof.* (1) is an immediate consequence of Proposition 2.2.2-1, since

$$f \simeq g \Rightarrow f^*TN \cong g^*TN.$$

To see (2), note that if  $g: M^m \rightarrow N^n$  is an immersion,  $T(g)$  defines a vector bundle embedding of  $TM$  into  $TN$  covering  $g$  or equivalently an isomorphism of  $TM$  onto a subbundle of  $g^*TN$ . There is thus defined an isomorphism

$$\phi_1: g^*TN \rightarrow TM \oplus \nu^{n-m},$$

where  $\nu^{n-m}$  is the subbundle of  $g^*TN$  orthogonal to  $T(g)(TM)$  via a Riemannian metric. Clearly the bundle mapping  $\phi: g^*TN \rightarrow TM$  given by  $\phi_x = (p_1)_x \circ (\phi_1)_x$ , where  $(p_1)_x$  is the linear projection  $TM_x \oplus \nu_x \rightarrow TM_x$ , is a bundle epimorphism. Hence Proposition 2.2.2-2 may be applied to yield the theorem.

### 3. APPLICATIONS

(3.1) Let  $M^m$  be, as usual, a closed, connected  $C^\infty$   $m$ -manifold. In (3.1), as an application of the techniques of Section 2, we restrict our attention to maps  $f: M^m \rightarrow \mathbb{C}P^n$  and study the problem of finding the minimal dimension  $n$  for which every such  $f$  deforms to a  $C^\infty$  immersion (resp.  $M^m$  immerses in  $\mathbb{C}P^n$ ). Our results are summarized in the following theorem:

**THEOREM 3.1.1.** *Let  $M^m$  be as above. Then*

(A) *If  $M^m$  is  $2k$ -connected with  $2 \leq 2k < m/2$ , every map  $f: M^m \rightarrow \mathbb{C}P^{m-k}$  is homotopic to a  $C^\infty$  embedding.*

(B) *There exists a map  $f: M^m \rightarrow \mathbb{C}P^{m-1}$  not homotopic to an immersion if either of the following two conditions holds:*

$$(1) \overline{W}_{m-1}(M^m) \neq 0; \text{ or}$$

$$(2) \overline{W}_{m-1}(M^m) = 0 \text{ and there is a class } u \in H^2(M; \mathbb{Z}) \text{ such that if } u' = \rho(u),$$

$$(\rho: H^2(M; \mathbb{Z}) \rightarrow H^2(M; \mathbb{Z}_2) \text{ reduction mod } 2),$$

then  $\sum_{k=1}^{\lfloor (m-1)/2 \rfloor} (u')^k \smile \binom{m}{k}_2 \overline{W}_{m-2k-1}(M^m)$  is non-zero. (Here  $\binom{m}{k}_2$  is the mod 2 binomial coefficient). Moreover, in case (1) if in addition either

$$H^2(M; \mathbb{Z}) = 0$$

or  $m = 2^q$ , then  $M^m$  does not immerse in  $\mathbb{C}P^{m-1}$ . (C) Suppose that  $M^m$  is 2-connected,  $m \geq 7$ , and so embeds in  $\mathbb{C}P^{m-1}$  by (A). Then if for some integer  $n_0$  with  $4 \leq (m+1)/2 \leq n_0 \leq (3m-4)/4$ , the class

$$(\overline{W}_{2n_0-m+2}^2 - \overline{W}_{2n_0-m+1} \smile \overline{W}_{2n_0-m+3})(M^m) \neq 0 \in H^{2(2n_0-m+2)}(M^m; \mathbb{Z}_2),$$

$M^m$  does not immerse in  $\mathbb{C}P^{n_0}$ .

Before supplying the proof of Theorem 3.1.1, we present examples to illustrate (B) and (C) above.

**Example 3.1.2.** (B)-(1). Set  $M^m = \mathbb{R}P^m$ ,  $m = 2^q$ . Then by ([8], p. 137),  $\overline{W}_{m-1}(\mathbb{R}P^m) \neq 0$ , and so  $\mathbb{R}P^{2^q}$  does not immerse in  $\mathbb{C}P^{2^q-1}$ . (B)-(2). Let  $M^3$  be a closed, connected orientable 3-manifold. Then since  $W(M) = 1$ , if some  $u \in H^2(M; \mathbb{Z})$  satisfies  $\rho(u) \neq 0 \in H^2(M; \mathbb{Z}_2)$ , there is an element of  $[M^3, \mathbb{C}P^2]$  which does not contain an immersion. (Note that since  $M^3$  is parallelizable, by [5], Theorem 5.7,

it is immersible in  $\mathbb{C}P^2$ ). This condition is satisfied, for example, by the generator of  $H^2(\mathbb{R}P^3; \mathbb{Z})$ . (C). To illustrate the final condition, set  $M^m = \mathbb{H}P^{2s}$ , even-dimensional quaternionic projective space.  $\mathbb{H}P^{2s}$  is a 3-connected  $8s$ -manifold. Further, by ([10], Theorem 8, p. 56),  $(\overline{W_4^2 - W_5} \cup \overline{W_3})(\mathbb{H}P^{2s})$  is the generator of  $H^8(\mathbb{H}P^{2s}; \mathbb{Z}_2)$  and so  $\mathbb{H}P^{2s}$  does not immerse in  $\mathbb{C}P^{4s+1}$ . When  $s = 1$ , since by part (A)  $\mathbb{H}P^2$  immerses in  $\mathbb{C}P^7$ , only the case  $n = 6$  is open.

*Proof of the theorem.* We begin with the following lemma:

**LEMMA 3.1.3.** *Let  $M^m$  be as above,  $n$  a positive integer with  $2n > m$ , and  $\alpha_n$  the canonical generator of  $H^2(\mathbb{C}P^n; \mathbb{Z}) \cong \mathbb{Z}$ . Then there is a 1-1 correspondence between  $H^2(M; \mathbb{Z})$  and the set of homotopy classes  $[M^m, \mathbb{C}P^n]$  given by  $u \mapsto [f]$  with  $f^*(\alpha_n) = u$ .*

*Proof.* The lemma is an immediate consequence of (1)  $\mathbb{C}P^\infty$  is a  $K(\mathbb{Z}, 2)$  and (2) the cellular approximation theorem.

*Notation.* For  $u \in H^2(M; \mathbb{Z})$  denote by  $\beta_u^n$  the element of  $[M^m, \mathbb{C}P^n]$  assigned to it by Lemma 3.1.3.

*Proof of (A).* As  $M^m$  is at least 2-connected,  $H^2(M; \mathbb{Z}) = 0$ . Thus by the lemma any two maps of  $M^m$  into  $\mathbb{C}P^n$ ,  $2n > m$ , are homotopic, and thus it suffices to exhibit a single embedding  $f: M^m \subset \mathbb{C}P^{m-k}$ . But by [3], the  $2k$ -connectivity of  $M^m$  implies that  $M^m$  embeds in  $\mathbb{R}^{2m-2k}$  and so composing this embedding with any diffeomorphism of  $\mathbb{R}^{2m-2k}$  onto a small coordinate chart in  $\mathbb{C}P^{m-k}$  yields (A). B)-(1): Let  $f: M^m \rightarrow \mathbb{C}P^{m-1}$  be a continuous map. Then according to Porteous ([11], Proposition 1.3, p. 298)

$$\begin{aligned} b_1(f) &= W_{2m-2-m+1}(f^*TCP^{m-1} - TM^m) \text{ (the first Thom polynomial)} \\ &= \sum_{i+j=m-1} f^*(W_i(\mathbb{C}P^{m-1})) \cup \overline{W_m}(M^m) \in H^{m-1}(M; \mathbb{Z}_2). \end{aligned}$$

Suppose that  $f \in \beta_0^{m-1}$ ; i.e.,  $f$  is homotopic to the constant map. Then

$$f^*(W(\mathbb{C}P^{m-1})) = 1 \in H^0(M; \mathbb{Z}_2)$$

and so  $b_1(f)$  reduces to  $\overline{W_{m-1}}(M^m)$ . Hence if  $\overline{W_{m-1}}(M^m) \neq 0$ , Theorem 2.3.2 implies that  $\beta_0^{m-1}$  does not contain an immersion. Further, if  $H^2(M; \mathbb{Z}) = 0$ , any map deforms to the constant map and so  $M^m$  does not immerse in  $\mathbb{C}P^{m-1}$ . Finally, if  $m = 2^q$ , the previously cited formula

$$W_{2i}(\mathbb{C}P^n) = \binom{n+1}{i}_2 (\alpha'_n)^i, \quad \alpha'_n = \rho(\alpha_n),$$

yields  $W(\mathbb{C}P^{2^q-1}) = 1$  and so, as before,  $\overline{W_{m-1}}(M^m)$  is the obstruction to deforming *any* map  $f: M^m \rightarrow \mathbb{C}P^{m-1}$  to an immersion. B)-(2): Let  $u \in H^2(M; \mathbb{Z})$  and  $f \in \beta_u^{m-1}$ . Since reduction mod 2 commutes with the homomorphism induced by a continuous map, one has that  $u' = f^*(\alpha'_n)$ . Since it is assumed that  $\overline{W_{m-1}}(M^m)$  vanishes, the first singularity obstruction

$$b_1(f) = \overline{W_{m-3}}(M^m) \cup f^*(W_2(\mathbb{C}P^{m-1})) + \overline{W_{m-5}}(M^m) \cup f^*(W_4(\mathbb{C}P^{m-1})) + \dots$$

which, by the above formula for  $W(\mathbb{C}P^n)$ , proves (B)-(2). (C): Let  $f: M^m \rightarrow \mathbb{C}P^{n_0}$  be any continuous map. Then for  $2(2n_0 - m + 2) \leq m$ ; i.e.,  $n_0 \leq (3/4)m - 1$ ,  $b_2(f)$  is defined and represents a possible nontrivial obstruction to deforming  $f$  to an immersion. However, since  $M^m$  is assumed 2-connected, one has as above that  $b_2(f)$  depends only on  $M^m$  and, in fact, is given by

$$(\overline{W_{2n_0-m+2}}^2 - \overline{W_{2n_0-m+1}} \cup \overline{W_{2n_0-m+3}})(M^m).$$

Thus (C) follows directly from Theorem 2.3.2 and the proof is complete.

(3.2) A. Holme has shown [6] that if  $V^m$  is a non-singular projective variety over  $\mathbb{C}$  embedded in  $\mathbb{C}P^N$  via  $i: V^m \rightarrow \mathbb{C}P^N$ , then the least integer  $n(V^m, i)$  such that  $V^m$  can be embedded in  $\mathbb{C}P^n$  via a linear projection of  $\mathbb{C}P^N \rightarrow \mathbb{C}P^n$ , may be computed effectively in terms of the degrees of the Chern classes of  $V^m$ . As such, it is reasonable to expect  $c(V^m)$  to also play a large role in determining  $n(V^m)$ , the least integer for which  $V^m$  embeds holomorphically in  $\mathbb{C}P^n$  (by any means). More generally, for  $V^m, W^n$  closed, connected complex manifolds and  $f: V^m \rightarrow W^n$  a continuous mapping, we will realize the Thom polynomials in the Chern classes of  $(f^*T_{\mathbb{C}}W - T_{\mathbb{C}}V)$  as obstructions to deforming  $f$  to a holomorphic immersion. Specializing to  $W^n = \mathbb{C}P^n$  will then give necessary conditions for

$$n(V^m) \leq 2m - 1.$$

*Definition 3.2.1.* Let  $f: V^m \rightarrow W^n$  be as above and let  $T_{\mathbb{C}}V$  (resp.  $T_{\mathbb{C}}W$ ) be the complex tangent bundle of  $V^m$  (resp.  $W^n$ ). By a slight abuse of notation, set

$$\underline{b}_i^{\mathbb{C}}(f) = \det(c_{n-m+i-s+t}(f^*T_{\mathbb{C}}W - T_{\mathbb{C}}V))_{s,t=1,\dots,i}, \quad \text{for } 0 \leq i \leq m,$$

and set  $\underline{B}^{\mathbb{C}}(f) = 1 \oplus \underline{b}_1^{\mathbb{C}}(f) \oplus \dots \oplus \underline{b}_m^{\mathbb{C}}(f) \in H^*(V; \mathbb{Z})$ .

The analogue of Theorem 2.3.2 is then

**THEOREM 3.2.2.** (1)  $\underline{B}^{\mathbb{C}}(f)$  is a homotopy invariant of  $f$ ; and (2) Suppose that  $f$  is homotopic to a holomorphic immersion  $g: V^m \rightarrow W^n$ . Then  $\underline{B}^{\mathbb{C}}(f) = 1 \in H^0(V; \mathbb{Z})$ .

*Proof.* The techniques used to prove Theorem 2.3.2 carry over to this situation immediately after using [11] to identify  $\underline{b}_i^{\mathbb{C}}(f)$  above with

$$(\Pi^*)^{-1} \text{P.D.} [\overline{S_i^{\mathbb{C}}(f^*T_{\mathbb{C}}W, T_{\mathbb{C}}V)}].$$

In particular, then, for  $W^n = \mathbb{C}P^n$  and  $\underline{b}_i^{\mathbb{C}}(f)$  this yields

**COROLLARY 3.2.3.** Let  $u \in H^2(V; \mathbb{Z})$  and let  $f: V^m \rightarrow \mathbb{C}P^n$  be an element of  $\beta_u^n$ . Then if  $\sum_{i+j=n-m+1} \binom{n+1}{i} u^i \cup \overline{c}_j(V^m) \neq 0$ ,  $f$  does not deform to a holomorphic immersion.



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