

# A RECURSIVE FUNCTION, DEFINED ON A COMPACT INTERVAL AND HAVING A CONTINUOUS DERIVATIVE THAT IS NOT RECURSIVE

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We shall construct such a function  $f$  by placing -shaped bumps at each number of the form  $2^{-n}$ , where  $n$  belongs to a recursively enumerable, nonrecursive set  $\mathcal{A}$ , and by leaving the neighborhood of all other numbers  $2^{-n}$  flat. For  $n \in \mathcal{A}$ , the slope of the graph at  $2^{-n}$  can be effectively bounded from below, given  $n$ . Thus, if we could compute  $f'(2^{-n})$  recursively, we could decide whether  $n \in \mathcal{A}$ , contradicting the nonrecursiveness of  $\mathcal{A}$ .

We first define the function  $f$  nonconstructively, and then prove that it is actually recursive.

Let

$$\theta(x) \equiv \begin{cases} x(x^2 - 1)^2 & \text{for } -1 \leq x \leq 1, \\ 0 & \text{for } |x| > 1. \end{cases}$$

Then  $\theta(x)$  has the required form on  $[-1, 1]$ , and

$$\theta(-1) = \theta(0) = \theta(1) = 0, \quad \theta'(-1) = \theta'(1) = 0, \quad \theta'(0) = 1.$$

The function  $\theta$  takes its minimum value  $-\lambda$  at  $x = -1/\sqrt{5}$  and its maximum  $+\lambda$  at  $x = +1/\sqrt{5}$ . We call  $\theta$  on  $[-1, +1]$  a *bump* of length 2 and height  $\lambda$ . Now we define bumps  $\theta_{\alpha\beta}$  of length  $2\alpha$  and height  $\beta$ .

The function  $\theta_{\alpha\beta}(x) \equiv (\beta/\lambda) \theta(x/\alpha)$  satisfies the conditions

$$\theta_{\alpha\beta}(-\alpha) = \theta_{\alpha\beta}(0) = \theta_{\alpha\beta}(\alpha) = 0, \quad \theta'_{\alpha\beta}(-\alpha) = \theta'_{\alpha\beta}(\alpha) = 0, \quad \theta'_{\alpha\beta}(0) = \theta/\lambda\alpha,$$

$$-\beta \leq \theta_{\alpha\beta}(x) \leq \beta \quad (-\alpha \leq x \leq \alpha).$$

For each  $n \in \mathcal{A}$ , we shall put a bump  $\theta_{\alpha_n \beta_n}$  around  $2^{-n}$ ; that is, we define  $f(x)$  as follows:

If  $n \in \mathcal{A}$  and  $\delta \in [-\alpha_n, +\alpha_n]$ , then  $f(2^{-n} + \delta) \equiv \theta_{\alpha_n \beta_n}(\delta)$ . Otherwise,  $f(x) \equiv 0$ .

The parameters  $\alpha_n, \beta_n$  for  $n \in \mathcal{A}$  will be defined by

$$\alpha_n \equiv 2^{-k-2n-2}, \quad \beta_n \equiv 2^{-k-n-2},$$

where  $n = h(k)$  and  $h$  is a function enumerating  $\mathcal{A}$  without repetitions.

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To see that the function  $f$  is well-defined, we need only prove that no two bumps overlap. For  $n > 0$ , the half-lengths of the bumps around the two points  $2^{-n}$  and  $2^{1-n}$  are at most  $2^{-2n-2}$  and  $2^{-2n}$ , and the sum of the two half-lengths is less than the distance  $2^{-n}$  between the two points. Since the graph of  $f$  consists of alternate bumps and horizontal line-segments, and since the bumps have horizontal half-tangents at their end-points,  $f$  has a continuous derivative except possibly at  $x = 0$ .

Suppose  $x < 2^{-n}$ . Then, if  $x$  is not on a bump,  $f(x) = 0$ , while if  $x$  is on the bump surrounding  $2^{-m}$  ( $m \geq n$ ),  $f(x) \leq \alpha_m = 2^{-k-2n-2}$  and

$$f(x)/x \leq f(x)/2^{-m-1} < 2^{-m} \leq 2^{-n}.$$

Thus  $f(x)/x \rightarrow 0$  as  $x \rightarrow 0$ ; that is,  $f'(0) = 0$ . Since  $|\theta'| \leq 1$  on  $[0, 1]$ ,  $|f'(x)| \leq \beta_n/\alpha_n$  on the bump around  $2^{-n}$ ; since  $\beta_n/\alpha_n \rightarrow 0$  as  $n \rightarrow \infty$ , it follows that  $f'(x) \rightarrow 0$  as  $x \rightarrow 0$ , and therefore  $f'$  is continuous at  $x = 0$ . However,  $f'$  cannot be recursive; for if  $n \in \mathcal{A}$ , then  $f'(2^{-n}) = \theta'_{\alpha_n, \beta_n}(0) = \beta_n/\lambda\alpha_n = 2^{-n}/\lambda$ , while if  $n \notin \mathcal{A}$ ,  $f'(2^{-n}) = 0$ . Since these alternatives can be decided, this would yield a decision-procedure for  $\mathcal{A}$ .

It remains only to prove that  $f$  is recursive on  $[0, 1]$ . Let then a number  $x \in [0, 1]$  be given, and let it be required to compute  $f(x)$  to within  $2^{-n}$ . Let

$$g_M(x) \equiv \sum_{k=0}^M \theta_{\alpha_{h(k)}, \beta_{h(k)}}(x - 2^{-h(k)});$$

then it will suffice to prove that (1)  $|g_M(x) - f(x)| < 2^{-M}$  and (2)  $g_M(x)$  is recursive.

*Re* (1). By the definition of  $f$ ,

$$f(x) = \sum_{k=0}^{\infty} \theta_{\alpha_{h(k)}, \beta_{h(k)}}(x - 2^{-h(k)}),$$

where at most one term of the sum is not zero. Therefore  $g_n(x) - f(x)$  is zero or consists of a single term

$$\theta_{\alpha_{h(k)}, \beta_{h(k)}}(x - 2^{-h(k)}) = \frac{\beta_{h(k)}}{\lambda} \theta\left(\frac{x - 2^{-h(k)}}{\alpha_{h(k)}}\right).$$

But  $|\theta_{\alpha_{h(k)}, \beta_{h(k)}}| \leq \beta_{h(k)} = 2^{-k-h(k)-2} < 2^{-k} < 2^{-M}$ , q. e. d.

*Re* (2). It is enough to show that  $\theta(x)$  is a recursive function of  $x$ . Let  $x$  be given. To compute  $\theta(x)$ , we first determine whether  $x < 0$  or  $x > -1$ . If  $x < 0$ , we can compute  $\theta(x)$ , because  $\theta(x) = \min(0, x(x^2 - 1)^2)$ . If  $x > -1$ , determine whether  $x > 0$  or  $x < 1$ . If  $x > 0$ , then  $\theta(x) = \max(0, x(x^2 - 1)^2)$ ; if finally  $-1 < x < 1$ , then  $\theta(x) = x(x^2 - 1)^2$ . This completes the proof.

*Added in proof.* My friend and colleague Milton Parnes has observed that the same method yields an indefinitely differentiable, recursive function (of course, not analytic!) on  $[0, 1]$ , none of whose derivatives is recursive.