

# Embeddings of $SL(2, 27)$ in Complex Exceptional Algebraic Groups

ROBERT L. GRIESS, JR., & A. J. E. RYBA

## 1. Introduction

We classify embeddings of  $SL(2, 27)$  in  $E_8(\mathbb{C})$ : there are twelve equivalence classes, and all embeddings factor through a natural  $2E_7(\mathbb{C})$  subgroup. This result contributes to the program, initiated in the early 1980s, to study embeddings of finite groups into an exceptional complex algebraic group—that is, one of  $G_2(\mathbb{C})$ ,  $F_4(\mathbb{C})$ ,  $E_6(\mathbb{C})$ ,  $E_7(\mathbb{C})$ ,  $E_8(\mathbb{C})$ . In fact, this result on  $SL(2, 27)$  removes the final obstruction to achieving *the classification of all QE-pairs*, that is, pairs  $(S, G)$ , where  $S$  is a finite quasisimple group and  $G$  is a complex exceptional algebraic group such that there exists an embedding of  $S$  in  $G$ . The classification of QE-pairs is discussed in [GR4], which updates the survey [GR2].

The methods we use to construct and analyze embeddings represent some innovations. We mention (1) a new strategy in searching for invariant Lie algebras, given a representation of a finite group, and (2) a computational problem of searching for tensor squares of elements in a given linear subspace of a tensor square of a vector space; this leads to the concept of relative eigenvalues and relative eigenvectors.

Earlier computer constructions of a particular finite subgroup in an exceptional group of Lie type have followed one of two strategies: either giving generating elements of the finite group as words in explicit generators of the algebraic group, or determining an invariant Lie algebra on a module for the finite group. The idea of our new approach is to start with a natural invariant symplectic Lie algebra for  $SL(2, 27)$  and then find an invariant subalgebra of type  $E_7$ . Our search for the invariant subalgebras is exhaustive; hence it determines conjugacy classes of embeddings.

It is known that the simple group  $PSL(2, 27)$  embeds into  $F_4(\mathbb{C})$  [CoW] and hence into the algebraic groups  $3E_6(\mathbb{C})$ ,  $2E_7(\mathbb{C})$ , and  $E_8(\mathbb{C})$  (see [GR4, Table QE] or [GR2, Table PE]). Our goal in this article is to exhibit and classify embeddings of the covering group  $SL(2, 27)$  into  $E_8(\mathbb{C})$ . We first note that such an embedding could arise only from a Lie primitive embedding of  $SL(2, 27)$  into  $2E_7(\mathbb{C})$ . (A finite subgroup of a connected algebraic group is *Lie primitive* if there is no infinite intermediate Zariski closed subgroup; according to [CoW], there is

---

Received January 15, 2001. Revision received June 12, 2001.

The first author acknowledges financial support from NSA Grant no. USDOD-MDA904-00-1-0011 and the University of Michigan Department of Mathematics.

no embedding into  $E_6$  or  $3E_6$  and there are no small representations that could yield an embedding into  $A_8$ ,  $D_8$ ,  $C_7$ , or  $B_7$ .)

We recall the definition of the *Ad-order* of an element  $g$  in a connected algebraic group: it is the smallest integer  $n > 0$  such that  $g^n$  is in the center.

We use the term *EFO theory* (“elements of finite order”) to indicate the standard theory of classification of finite order semisimple elements of a connected quasisimple algebraic group, analysis of the spectra on highest weight modules, and so on. This is a body of standard results that is surveyed in [G; GR2; GR4]. For a systematic search of elements of a given order in a connected algebraic group of adjoint type, we mention the computationally useful procedure of labeling the extended Dynkin diagram (see [K]).

Here is our main result.

**THEOREM 1.1.** *There are exactly twelve conjugacy classes of embeddings of  $\mathrm{SL}(2, 27)$  into  $2E_7(\mathbb{C})$ . If  $M$  is the 133-dimensional adjoint module or the 56-dimensional irreducible module for  $2E_7(\mathbb{C})$ , then the embeddings are associated to exactly six characters of  $\mathrm{SL}(2, 27)$  for the representation on  $M$ . These characters form a set of algebraic conjugates, and to each is associated two of the twelve embeddings. Each embedding gives a faithful action of  $\mathrm{SL}(2, 27)$  on the 56-dimensional irreducible module for  $2E_7(\mathbb{C})$  and a faithful action of  $\mathrm{PSL}(2, 27)$  on the 133-dimensional irreducible module for  $2E_7(\mathbb{C})$ .*

## 2. The Story of $\mathrm{SL}(2, 27)$ in $2E_7(\mathbb{C})$

We shall work extensively with irreducible characters of  $\mathrm{SL}(2, 27)$ ; we name each character by its degree, with an alphabetic subscript to distinguish the different characters of a given degree. We use lowercase subscripts to denote irreducible characters of the simple group  $\mathrm{PSL}(2, 27)$  and uppercase subscripts to denote characters of *faithful* irreducible representations of  $\mathrm{SL}(2, 27)$ . The alphabetic position of the subscript corresponds to the position of a character as displayed in the *Atlas of Finite Groups* [CCNPW]. Thus,  $28_A$  denotes the first faithful 28-dimensional character of  $\mathrm{SL}(2, 27)$  and  $26_c$  denotes the third 26-dimensional irreducible character of  $\mathrm{PSL}(2, 27)$  in *Atlas* order. The unique 27-dimensional irreducible is therefore written  $27_a$ .

**LEMMA 2.1.** *Let  $\chi_{133}$  and  $\chi_{56}$  denote the irreducible characters of  $2E_7(\mathbb{C})$  with degrees 133 and 56, respectively. Then, associated to embeddings of  $\mathrm{SL}(2, 27)$  in  $2E_7(\mathbb{C})$  are exactly six pairs of restrictions of  $\chi_{133}$  and  $\chi_{56}$  to  $\mathrm{SL}(2, 27)$ . One such pair is  $26_a + 26_b + 26_c + 27_a + 28_a$  and  $28_D + 28_E$ , and the other five pairs are obtained from these by applying algebraic conjugacy to 13th roots of unity. (These irrationalities occur here in the degree-28 irreducibles.)*

*Proof.* By algebraic conjugacy, it suffices to assume that there is an embedding and then show that it has a pair of restrictions equal to one of the six pairs.

An element of order 4 (say,  $f$ ) in  $SL(2, 27)$  must correspond to an element of  $2E_7(\mathbb{C})$  that maps to an involution of  $E_7(\mathbb{C})$  (i.e., has Ad-order 2). From [CoG] we deduce that  $\chi_{133}(f) \in \{-7, 25\}$ . However,  $\chi_{133}$  contains no copies of the trivial character (since  $SL(2, 27)$  is Lie primitive in  $E_7(\mathbb{C})$ ) and therefore  $\chi_{133}(f) \leq \deg(\chi_{133})/13 < 25$  (since  $\psi(f)/\deg(\psi) \leq 1/13$  for all nontrivial ordinary irreducibles  $\psi$ ). We deduce that  $\chi_{133}(f) = -7$ . Now,  $\deg(\chi_{133}) = 133$  and  $\chi_{133}(f) = -7$  imply that  $\chi_{133}$  decomposes either as (a) a sum of three characters from the collection  $26_a, 26_b, 26_c$  together with  $27_a$  and a 28-dimensional character or (b) as a sum of three copies of  $27_a$  and two characters from the set  $26_a, 26_b, 26_c$ . If the decomposition involves a 28-dimensional character, we can apply an algebraic conjugacy to ensure that it is  $28_a$ .

The character  $\chi_{56}$  restricts to a sum of irreducible representations of  $SL(2, 27)$  of degrees 14 and 28. (The only other faithful irreducibles of  $SL(2, 27)$  have degree 26, and we cannot decompose 56 as 26 plus a nonnegative integer linear combination of 14, 26, and 28.) It follows that, in the eigenvalue spectrum of the action of an element of order 28 in  $SL(2, 27)$  on the 56-dimensional module for  $2E_7(\mathbb{C})$ , each primitive 28th root of unity has multiplicity 4. The EFO theory gives us the conjugacy classes of elements of order 28 in  $2E_7(\mathbb{C})$ . A search of these classes shows that there are just two classes of elements of order 14 in  $E_7(\mathbb{C})$  that lift to elements of order 28 in  $2E_7(\mathbb{C})$  with this spectrum, and both possibilities are rational on the adjoint module. It follows that the three characters  $26_a, 26_b, 26_c$  appear with equal multiplicity in the restriction of  $\chi_{133}$  to  $SL(2, 27)$ . Hence  $\chi_{133}$  restricts to the sum of  $26_a, 26_b, 26_c, 27_a$ , and  $28_a$ .

The multiplicity of any eigenvalue of an  $SL(2, 27)$ -element of order 13 on the 56-dimensional module for  $2E_7(\mathbb{C})$  must be between 4 and 8. This is because the multiplicity of any given eigenvalue of such an element on an irreducible representation is either 2 or 3 (for a 28-dimensional representation) or 1 or 2 (for a 14-dimensional representation). From EFO theory, such an element is either rational on the adjoint module or has a power  $t$  with  $\chi_{133}(t) = 1 + y_{13}$  and  $\chi_{56}(t) = y_{13} * 5 + y_{13} * 6$ . (We use *Atlas* notation for irrational character values, so that  $y_{13}$  is the sum of an inverse pair of primitive 13th roots of unity.) Our earlier description of the restriction of  $\chi_{133}$  shows that the first of these possibilities does not occur, and the lemma follows.  $\square$

We remark that the same character-theoretic analysis applies to an embedding of  $SL(2, 27)$  into a group  $2E_7(k)$  whenever  $k$  is a field whose characteristic is coprime to the order of  $SL(2, 27)$  (this is an obvious application of Larsen's  $(0, p)$  correspondence [GR1]). Indeed, in our computer work, where we work over a finite field to have exact arithmetic, we choose to perform calculations in characteristic 1093. We note that 1093 does not divide  $|SL(2, 27)|$ , so the character analysis of Lemma 2.1 applies. Furthermore, the character irrationalities  $y_{13}$  and  $y_7$  that can arise in the characters  $26_a, 26_b, 26_c, 27_a, 28_a, 28_D$ , and  $28_E$  belong to the prime field  $\mathbb{F}_{1093}$ , so that there are matrix representations of  $SL(2, 27)$  over  $\mathbb{F}_{1093}$  with these characters.

We write  $k$  for the field  $\mathbb{F}_{1093}$ ,  $k^*$  for the multiplicative group of  $k$ , and  $\widehat{k}$  for the algebraic closure of  $k$ . Let  $W$  be a 56-dimensional  $k\text{SL}(2, 27)$ -module with character  $28_D + 28_E$ . Write  $\widehat{W}$  for the module obtained from  $W$  by extending scalars to  $\widehat{k}$ .

We can readily construct an explicit matrix representation of  $\text{SL}(2, 27)$  on  $W$  (or  $\widehat{W}$ ). In general, all irreducible  $(q + 1)$ -dimensional representations of  $\text{SL}(2, q)$  are induced from 1-dimensional representations of the Borel subgroup. Hence, they can be written down as cases of the following recipe.

RECIPE 2.2. Let  $q$  be a prime power and  $l$  the field of order  $q$ . Write  $l^+$  for the projective line over  $l$  (with  $q + 1$  points),  $l^*$  for the set of nonzero elements of  $l$ , and  $\alpha$  for a multiplicative generator of  $l^*$ . Let  $K$  be a field (in most applications,  $K$  is different from  $l$ ) and let  $\zeta$  be a  $(q - 1)$ th root of unity in  $K$ .

Let  $V$  be a  $(q + 1)$ -dimensional vector space over  $K$  with basis  $v_0, v_1, \dots, v_{q-1}, v_\infty$  parameterized by  $l^+$ . Then we can define the  $\text{GL}(V)$ -elements  $f_x$  ( $x \in l$ ),  $g$ , and  $h$  that generate an image of  $\text{SL}(2, q)$  by the following formulas:

$$\begin{aligned} v_i f_x &= v_{i+x}; \\ v_i g &= \frac{1}{\zeta} v_{i/\alpha^2} \quad (i \neq \infty), \quad v_\infty g = \zeta v_\infty; \\ v_{\alpha^r} h &= \zeta^{-r} v_{-1/\alpha^r}, \quad v_0 h = \zeta^{(q-1)/2} v_\infty, \quad v_\infty h = v_0. \end{aligned}$$

We can think of the matrices  $f_x$ ,  $g$ , and  $h$  as images of the  $\text{SL}(2, q)$ -elements represented by the respective  $2 \times 2$  matrices  $\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$ ,  $\begin{pmatrix} \alpha & 0 \\ 0 & \alpha^{-1} \end{pmatrix}$ , and  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ .

If  $q$  is odd, then the bilinear form  $(\cdot, \cdot)$  defined by

$$\begin{aligned} (v_\infty, v_\infty) &= (v_i, v_i) = 0, & (v_\infty, v_i) &= 1, \\ (v_i, v_\infty) &= \zeta^{(q-1)/2}, & (v_i, v_{i-\alpha^r}) &= \zeta^{-r} \end{aligned}$$

is invariant under our representation of  $\text{SL}(2, q)$ .

The  $2 \times 2$  matrix  $\begin{pmatrix} 1 & 0 \\ 0 & \alpha \end{pmatrix}$  in  $\text{GL}(2, q)$  acts as a diagonal outer automorphism of  $\text{SL}(2, q)$ . If the element  $\zeta$  has a square root  $\sqrt{\zeta}$  in  $K$ , then we can extend our  $(q + 1)$ -dimensional representation of  $\text{SL}(2, q)$  by representing this outer automorphism by a matrix  $d$  with

$$v_i d = \sqrt{\zeta} v_{i\alpha} \quad (i \neq \infty), \quad v_\infty d = \frac{1}{\sqrt{\zeta}} v_\infty.$$

Moreover, the matrix  $d$  preserves the bilinear form  $(\cdot, \cdot)$ .

The following lemma makes clear that, in general, if field extensions are available then we can adjust a representation of a group automorphism so as to preserve an invariant bilinear form.

LEMMA 2.3. *Let  $V$  be an irreducible module for  $KG$ , where  $K$  is an algebraically closed field and  $G$  is a finite group, and suppose that  $f$  is a nondegenerate  $G$ -invariant bilinear form on  $V$ . Suppose that the automorphism  $a$  fixes the representation. Then there is a finite group  $H$  containing  $G$  as a normal subgroup and an*

element  $b \in H$  for which conjugation on  $G$  by  $b$  induces the action of  $a$ , so that the representation of  $G$  on  $V$  extends to a representation of  $H$  on  $V$  that preserves the form  $f$ .

*Proof.* Form the semidirect product  $J := G\langle a \rangle$ . The action of  $J$  on the group algebra  $kG$  by conjugation on  $G$  preserves the two-sided ideal (a matrix algebra over  $k$ ) associated to  $V$ , so by Skolem–Nöther there is a projective representation  $\psi$  of  $J$  in  $GL(V)$ . Since  $V$  is given as a  $G$ -module,  $\psi$  may be assumed to restrict to a homomorphism on  $G$ . Let  $b := a^\psi \in GL(V)$ . If  $a$  has order  $n$ , then  $b^n$  is a scalar matrix. By algebraic closure, we may replace  $b$  by  $b$  times a scalar to assume  $b^n = 1$ , so in particular  $b$  has finite order. The action of  $b$  on the 1-dimensional space of invariant bilinear forms is by a root of unity  $c \in K^*$ . Take  $d \in K$ , a square root of  $c$ . Then  $d^{-1}b$  preserves  $f$  and induces the action of  $a$  on  $G^\psi \cong G$ . The group  $\langle G^\psi, b \rangle$  is finite.  $\square$

We use Recipe 2.2 to compute explicit matrices giving the action of  $SL(2, 27)$  on its faithful 28-dimensional modules with characters  $28_D$  and  $28_E$ . (Note that the matrix  $g$  in the 28-dimensional representation of Recipe 2.2 has order dividing 26 and has trace  $\zeta + 1/\zeta$ ; thus we obtain representations with characters  $28_D$  and  $28_E$  by applying the recipe with  $\zeta$  chosen to be any particular primitive 26th root of unity and its 9th power, respectively.) The direct sum of these matrix representations gives an explicit realization of the action of  $SL(2, 27)$  on  $W$ . We will fix this choice of matrix representation for the remainder of the paper, and we will write  $S$  for the group of  $56 \times 56$  matrices giving the representation. We write  $C$  (resp.  $\widehat{C}$ ) for the centralizer of  $S$  in  $GL(W)$  (resp.  $GL(\widehat{W})$ ). The group  $C$  has structure  $k^* \times k^*$ . Matrix generators for  $C$  are readily available as direct sums of scalar multiples of the identity acting on each of the summands of  $W$ .

The bilinear forms given by Recipe 2.2 are alternating on the representations of  $SL(2, 27)$  with characters  $28_D$  and  $28_E$ . Hence they provide a family of  $S$ -invariant symplectic forms on  $W$ . Each of these invariant symplectic forms is specified by giving two parameters from  $k^*$ . We write  $\langle \cdot, \cdot \rangle$  for the particular form on  $W$  which restricts to (a) the form given by Recipe 2.2 on the submodule with character  $28_D$  and (b) the negative of the form given by Recipe 2.2 on the submodule with character  $28_E$ . We observe that the  $S$ -invariant forms on  $W$  belong to a single orbit of  $\widehat{C}$ , so our choice of bilinear form is equivalent to any other if we are prepared to allow field extensions. However, the bilinear forms on  $W$  fall into four orbits under the action of  $C$  (corresponding to elements of  $k^*/k^{*2} \times k^*/k^{*2}$ ). Our choice of orbit for the form is convenient in avoiding later need for field extensions.

We write  $L$  for the Lie algebra of derivations of the symplectic form  $\langle \cdot, \cdot \rangle$  (similarly, we write  $\widehat{L}$  for the Lie algebra of derivations of the form when viewed as a pairing on  $\widehat{W}$ ). As Lie algebras,  $L$  and  $\widehat{L}$  have type  $C_{28}$ . It is clear that these Lie algebras are  $S$ -invariant. Let  $\Gamma$  be the general linear group  $GL(\widehat{W})$ , and let  $\Sigma \cong Sp(56, \widehat{k})$  be the subgroup of  $\Gamma$  that preserves  $\langle \cdot, \cdot \rangle$ . Let  $C^-$  be the subgroup of  $C$  that fixes  $\langle \cdot, \cdot \rangle$ , so that  $C^- \cong 2 \times 2$ . The group  $C^-$  is the centralizer of  $S$  in  $\Sigma$ .

We observe that  $\mathbb{F}_{1093}$  contains square roots of its primitive 26th roots of unity (since  $1093 \equiv 1 \pmod{4}$ ). Hence, the automorphisms specified in Recipe 2.2 provide an element  $d$  in  $\text{GL}(W)$  that acts as a graph automorphism of  $S$  and preserves the  $S$ -invariant bilinear form  $\langle \cdot, \cdot \rangle$ .

The computations that we describe later in this section will establish the following theorem.

**COMPUTATIONAL THEOREM 2.4.** *The Lie algebra  $\widehat{L}$  contains exactly four  $S$ -invariant subalgebras of type  $E_7$ . These algebras fall into a single orbit under the normalizer of  $S$  in  $\Sigma$  and into two orbits (of size 2) under  $C^-$ . The four  $S$ -invariant subalgebras of type  $E_7$  are spanned (as vector spaces) by elements in the subalgebra  $L \subset \widehat{L}$ .*

**COROLLARY 2.5.** *The group  $\text{SL}(2, 27)$  has twelve Lie primitive embeddings into  $2E_7(\mathbb{C})$ . For each of the six algebraically conjugate characters given by Lemma 2.1, there are two embeddings and these are conjugate by the action of  $\text{GL}(2, 27)$ .*

*Proof.* According to Larsen's  $(0, p)$ -correspondence (see [GR1]), we can enumerate Lie primitive embeddings of  $\text{SL}(2, 27)$  into  $2E_7(\mathbb{C})$  by enumerating embeddings into  $2E_7(\widehat{k})$ . It is clear from Theorem 2.4 that such embeddings exist, but we must now settle their number.

Let  $\Phi$  be a copy of  $2E_7(\widehat{k})$  with  $\Phi \leq \Sigma$ . Write  $E$  for a type  $E_7$ -subalgebra of  $L$  that is invariant under  $\Phi$ . Suppose now that  $S$  is a copy of  $\text{SL}(2, 27)$  in  $\Phi$ . Then, by Theorem 2.4,  $S$  preserves exactly four  $E_7$ -subalgebras of  $L$ . One of these is  $E$  (since  $S \leq \Phi$ ) and all four have the form  $E^\theta$ , where  $\theta \in N_\Sigma(S)$ .

Now suppose that  $S_1 \leq \Phi$  is  $\Gamma$ -conjugate to  $S$ , say  $S = S_1^\gamma$ . We will show that  $S$  and  $S_1$  are setwise conjugate in  $\Phi$ .

We begin by showing that  $S$  and  $S_1$  are  $\Sigma$ -conjugate. The form  $\langle \cdot, \cdot \rangle$  is  $S_1$ -invariant because  $S_1 \leq \Phi \leq \Sigma$ . We deduce that  $\gamma$  transforms  $\langle \cdot, \cdot \rangle$  to an  $S$ -invariant form (since  $\gamma$  takes  $S_1$  to  $S$ ). However, as we noted, the  $S$ -invariant bilinear forms on  $W$  are images of  $\langle \cdot, \cdot \rangle$  under elements of  $\widehat{C}$ . Hence there is an element  $\delta \in \widehat{C}$  such that  $\sigma = \gamma\delta$  preserves  $\langle \cdot, \cdot \rangle$ . But  $S_1^\sigma = S$ .

Similarly,  $E$  is  $S_1$ -invariant because  $S_1 \leq \Phi$ , so  $E^\sigma$  is an  $S$ -invariant subalgebra of  $\widehat{L}$ . We deduce that  $E^\sigma = E^\theta$  for some  $\theta \in N_\Sigma(S)$ . Hence  $E^{\sigma\theta^{-1}} = E$ , so that  $\sigma\theta^{-1}$  is an element of  $\Phi$  that conjugates  $S_1$  to  $S$ . Thus, two  $\text{SL}(2, 27)$  subgroups of  $\Phi$  are conjugate if and only if they are conjugate in  $\Gamma$ .

The  $\text{SL}(2, 27)$ -decompositions of the characters  $\chi_{133}$  and  $\chi_{56}$  given in Lemma 2.1 fall into two orbits under  $\text{Aut}(\text{SL}(2, 27))$ . Hence there are two orbits of  $\Gamma$  on  $\text{SL}(2, 27)$ -subgroups in  $\Phi$ . Because we have just established that  $\Phi$  controls fusion in  $\Gamma$  of  $\text{SL}(2, 27)$  subgroups, we can now deduce that there are two conjugacy classes of  $\text{SL}(2, 27)$  subgroups in  $\Phi$ . We further observe that no embedding of  $\text{SL}(2, 27)$  into  $\Phi$  is stabilized by a field automorphism of  $\text{SL}(2, 27)$ , since the character decompositions of Lemma 2.1 are not stabilized by field automorphisms. Moreover, no embedding of  $\text{SL}(2, 27)$  into  $\Phi$  is stabilized by an outer diagonal automorphism, since Theorem 2.4 shows that outer diagonal involutions fix no

$S$ -invariant Lie algebras of type  $E_7$ . We deduce that the two classes of subgroups give rise to exactly twelve classes of embeddings.  $\square$

*Proof of Theorem 2.4.* We now describe our computer construction that establishes the Computational Theorem 2.4. Our goal is to classify  $S$ -invariant Lie algebras of type  $E_7$  in  $\widehat{L}$ . As a Lie algebra,  $\widehat{L}$  has type  $C_{28}$ , but we can also view it as an  $S$ -module, in which context it is isomorphic to  $S^2(\widehat{W})$ . This isomorphism and the translation of  $[\cdot, \cdot]$  to an explicit invariant Lie product (also written as  $[\cdot, \cdot]$ ) on  $S^2(\widehat{W})$  are given in [R]. We carry out our computations in  $S^2(\widehat{W})$  rather than in  $\widehat{L}$ . We used our own implementation of the MeatAxe to work in  $S^2(\widehat{W})$ , but the computations described here could also be carried out with the GAP and Magma systems.

The module  $S^2(\widehat{W})$  has character  $13_a + 13_b + 6 \times 26_a + 6 \times 26_b + 6 \times 26_c + 2 \times 26_d + 2 \times 26_e + 2 \times 26_f + 6 \times 27_a + 6 \times 28_a + 4 \times 28_b + 5 \times 28_c + 4 \times 28_d + 4 \times 28_e + 5 \times 28_f$ . If  $\pi$  is an irreducible character of  $S$ , we write  $L_\pi$  for the submodule of  $S^2(\widehat{W})$  spanned by all irreducible submodules of  $S^2(\widehat{W})$  with character  $\pi$ . Thus  $L_{26_a}$  has character  $6 \times 26_a$ . It is a routine application of the MeatAxe to obtain bases for all of the modules of the form  $L_\pi$ . (Note that we perform this computation over the prime field and work inside the module  $S^2(W)$ .)

The irreducible submodules of  $L_{26_a}$  can be parameterized by the 1-dimensional subspaces of a 6-dimensional space,  $X$  say. Take six independent (isomorphic) irreducible submodules of  $L_{26_a}$ , and select a vector from the first of these submodules together with a corresponding vector (under an  $S$ -isomorphism) from each of the other independent submodules. We will call this 6-tuple of vectors  $(w^1, w^2, \dots, w^6)$ . Any nonzero vector  $(x_1, x_2, \dots, x_6) \in X$  corresponds to the irreducible submodule of  $L_{26_a}$  spanned by the  $S$ -images of  $\sum_i x_i w^i$ . Moreover, all irreducible submodules of  $L_{26_a}$  are obtained in this way. It is easy to use the standard basis program of the MeatAxe to obtain an explicit 6-tuple of vectors from  $S^2(W)$  as just described.

For each element  $s \in S$ , let  $\phi_s : X \otimes X \rightarrow S^2(\widehat{W})$  be the linear transformation defined by

$$\phi_s((x_1, \dots, x_6) \otimes (y_1, \dots, y_6)) = [\sum_i x_i w^i, \sum_i y_i w^i s].$$

Suppose that  $E$  is an  $S$ -invariant subalgebra of  $S^2(\widehat{W})$  with type  $E_7$ . Then  $E$  has an  $S$ -invariant constituent with character  $26_a$ . This constituent is an irreducible submodule of  $L_{26_a}$ ; it corresponds to a vector  $(x_1, x_2, \dots, x_6) \in X$  as before. Let  $s$  be an element of  $S$ ; then we have  $[\sum_i x_i w^i, \sum_i x_i w^i s] \in E \subset L_{26_a} + L_{26_b} + L_{26_c} + L_{27_a} + L_{28_a}$ . Now  $L_{26_a} + L_{26_b} + L_{26_c} + L_{27_a} + L_{28_a}$  is a proper subspace of  $\widehat{L}$ , so for each choice of  $s$  we have  $(x_1, x_2, \dots, x_6) \otimes (x_1, x_2, \dots, x_6) \in \phi_s^{-1}(L_{26_a} + L_{26_b} + L_{26_c} + L_{27_a} + L_{28_a})$ . We used a standard Gaussian elimination to compute this inverse image for a random nonidentity element  $s \in S$ . We obtained a 10-dimensional subspace,  $Y = \phi_s^{-1}(L_{26_a} + L_{26_b} + L_{26_c} + L_{27_a} + L_{28_a})$ .

In order to locate candidates for the vector  $(x_1, x_2, \dots, x_6)$ , we are faced with determining which vectors of  $Y$  can be written as “tensor squares” of elements in  $X$ . This is a special case of the following general problem.

PROBLEM 2.6. Suppose that  $X$  is a vector space and  $Y$  is a given subspace of  $X \otimes X$ . Find an efficient procedure to determine all elements of  $Y$  that can be written in the form  $x \otimes x$  for some  $x \in X$ .

We can view this as a problem of finding a relative eigenvector for a collection of matrices.

DEFINITION 2.7. Suppose that  $A_1, A_2, \dots, A_r$  is a collection of (not necessarily square) matrices of the same dimensions. A *relative eigenvalue* is a projective point  $(a_1 : a_2 : \dots : a_r)$  for which there is a nonzero vector  $v$  such that  $vA_1, vA_2, \dots, vA_n$  are linearly dependent vectors in the proportion  $(a_1 : a_2 : \dots : a_n)$ ; that is,  $a_j vA_i = a_i vA_j$  for all  $i, j$ . We also say that  $v$  is a *relative eigenvector for the relative eigenvalue*  $(a_1 : a_2 : \dots : a_r)$ .

We remark that if  $A_1$  and  $A_2$  are square matrices such that  $A_2$  is invertible, then  $v$  is a relative eigenvector with eigenvalue  $(a_1 : a_2)$  if and only if  $v$  is an eigenvector of  $A_1 A_2^{-1}$  with eigenvalue  $a_1/a_2$ .

We transform Problem 2.6 into a relative eigenvector problem by selecting bases of  $X$  and  $X \otimes X$  so that a vector of  $X$  corresponds to a row vector  $\alpha = (x_1, x_2, \dots, x_n)$  with tensor square

$$\alpha \otimes \alpha = (x_1 x_1, x_1 x_2, \dots, x_1 x_n, x_2 x_1, x_2 x_2, \dots, x_2 x_n, \dots, x_n x_n).$$

Now, in Problem 2.6, if  $Y$  has dimension  $m$  then we can describe a basis of  $Y \leq X \otimes X$  by giving an  $m \times n^2$  matrix. This matrix is naturally partitioned into  $n$  blocks,  $B_1, B_2, \dots, B_n$ , of size  $m \times n$ . (The block  $B_i$  corresponds to the  $n$  columns of  $\alpha \otimes \alpha$  of the form  $x_i x_j$ .) Any solution to Problem 2.6 gives a vector  $\beta = (y_1, y_2, \dots, y_m)$  such that  $\beta B_1 = x_1 \alpha, \beta B_2 = x_2 \alpha, \dots, \beta B_n = x_n \alpha$ . Hence  $(x_1 : x_2 : x_3 : \dots : x_n)$  is a relative eigenvalue for the matrices  $B_1, B_2, \dots, B_n$ . Moreover, there is a relative eigenvector  $\beta$  for the relative eigenvalue  $(x_1 : x_2 : x_3 : \dots : x_n)$  such that the images  $\beta B_i$  are all multiples of  $(x_1, x_2, x_3, \dots, x_n)$ .

In the particular instance of Problem 2.6 that we face, with  $\dim(X) = 6$  and  $\dim(Y) = 10$ , the space  $B$  is small enough to allow us to locate all relative eigenvalues quickly. In fact, the echelon form of our basis of  $Y$  gives the following six matrices for  $B_1, B_2, \dots, B_6$  over  $\mathbb{F}_{1093}$ .

999	992	0	0	0	0	992	1	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	1	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	1	0
0	0	0	0	0	1	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	1
0	0	757	161	0	0	0	0	579	623	0	0
0	0	985	341	0	0	0	0	485	585	0	0
658	1034	0	0	0	0	1034	0	0	0	0	0
1066	426	0	0	0	0	426	0	0	0	0	0



0	0	0	0	0	0	0	0	0	0	0	0
0	0	884	1015	0	0	0	0	1015	1	0	0
0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0
757	579	0	0	511	1	161	623	0	0	425	0
985	485	0	0	387	0	341	585	0	0	180	1
0	0	202	157	0	0	0	0	157	0	0	0
0	0	5	756	0	0	0	0	756	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	0	0	0
0	1	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	1	0	0	0	0	0
0	0	0	0	0	0	0	1	0	0	0	0
0	0	511	425	0	0	0	0	1	0	0	0
0	0	387	180	0	0	0	0	0	1	0	0
0	0	0	0	691	1	0	0	0	0	1	0
0	0	0	0	357	0	0	0	0	0	0	1

These matrices are small enough and sparse enough to make easy the determination of relative eigenvalues  $(x_1 : x_2 : x_3 : x_4 : x_5 : x_6)$  and corresponding relative eigenvectors  $(y_1, \dots, y_{10})$ . For example, consideration of the third and fourth columns of the last two matrices shows that  $(y_7, y_8)$  is a relative eigenvector with relative eigenvalue  $(x_5 : x_6)$  for the matrices:  $\begin{pmatrix} 511 & 425 \\ 387 & 180 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ . This is just an ordinary eigenvector computation. Moreover given the sparse outer columns of the fourth matrix, knowledge of  $y_7$  and  $y_8$  determines the proportions  $(x_1 : x_2 : x_3 : x_4 : x_5 : x_6)$ —this because  $(y_7, y_8) \begin{pmatrix} 161 & 623 & 0 & 0 & 425 & 0 \\ 341 & 585 & 0 & 0 & 180 & 1 \end{pmatrix}$  is a scalar multiple of  $(x_1, x_2, x_3, x_4, x_5, x_6)$ . After a short series of similar computations, we obtained four possibilities for  $(x_1 : x_2 : \dots : x_6)$  as follows:

- (684 : 249 : 20 : 54 : 135 : 1) with relative eigenvector (793, 730, 528, 825, 684, 249, 20, 54, 135, 1);
- (684 : 249 : 1073 : 1039 : 135 : 1) with relative eigenvector (793, 730, 528, 825, 684, 249, 1073, 1039, 135, 1);
- (414 : 915 : 374 : 196 : 556 : 1) with relative eigenvector (1080, 161, 654, 495, 414, 915, 374, 196, 556, 1);
- (414 : 915 : 719 : 897 : 556 : 1) with relative eigenvector (1080, 161, 654, 495, 414, 915, 719, 897, 556, 1).

We thus find that there are at most four  $S$ -invariant Lie subalgebras of  $\widehat{L}$  that have type  $E_7$ . Moreover, we know explicit vectors spanning 26-dimensional subspaces of each of these four potential Lie subalgebras in  $L$ . In all four cases the 26-dimensional subspace generates a 133-dimensional subalgebra of  $L$ , which

must be  $S$ -invariant because it is generated by an  $S$ -invariant space. In each case, an application of the MeatAxe shows that this  $S$ -invariant 133-dimensional algebra has character  $26_a + 26_b + 26_c + 27_a + 28_a$  when viewed as an  $S$ -module. Moreover, for each of the four 133-dimensional algebras, we can check (as before) that each of its five irreducible submodules is a generating set. It follows that the algebra has no  $S$ -invariant subalgebra. Moreover, since the four 133-dimensional algebras have been obtained as algebras of  $56 \times 56$  matrices, it is an easy matter to check (from its 56-dimensional representation) that each of them has a non-singular trace form. Now consider one of the four 133-dimensional algebras that we have obtained, call it  $X$ . As in [GR3, Lemma 4], we can apply Block's theorem [B] to show that  $X$  is a direct sum  $\bigoplus_i X_i$  of indecomposable ideals, each of which is either (a) 1-dimensional or (b) simple and having one of the types  $A, B, C, D, E, F$ , or  $G$ . By construction, we know that  $X$  is generated by the elements of one of its 26-dimensional subspaces. It follows that  $X$  cannot be abelian; hence at least one of its ideals  $X_i$  is nonabelian. The sum of the  $S$ -images of  $X_i$  must be  $X$ , since  $X$  has no  $S$ -invariant subalgebra. However, as in the proof of [GR3, Lemma 4], the sum of  $S$ -images of  $X_i$  is a direct sum of independent  $S$ -images of  $X_i$ . We deduce that  $\dim(X_i)$  divides  $\dim(X) = 133 = 7 \times 19$ . But the only divisor of 133 that is the dimension of a simple Lie algebra of one of the types  $A, \dots, G$  is 133 itself (we are in characteristic not 2 or 3, so the algebras with Chevalley bases for indecomposable root systems remain simple). It follows that  $X_i$  and  $X$  must both have type  $E_7$ , and thus our construction of  $S$  as automorphisms of a 56-dimensional representation of  $X$  gives an embedding  $S \leq 2E_7(1093)$ .

Finally, we verified the information in Theorem 2.4 about the action of  $N_\Sigma(S)$  on the four  $S$ -invariant Lie algebras by checking that the algebras are paired up by the group  $C^-$  and that these pairs are interchanged by the explicit matrix  $d$  in  $N_\Sigma(S) \setminus C^-$ .

## References

- [B] R. Block, *The Lie algebras with a quotient trace form*, Illinois J. Math. 9 (1965), 277–285.
- [CoG] A. Cohen and R. L. Griess, Jr, *On simple subgroups of the complex Lie group of type  $E_8$* , Proc. Sympos. Pure Math., 47, pp. 367–405, Amer. Math. Soc., Providence, RI, 1987.
- [CoW] A. Cohen and D. Wales, *On finite subgroups of  $F_4(\mathbb{C})$  and  $E_6(\mathbb{C})$* , Proc. London Math. Soc. (3) 74 (1997), 105–150.
- [CCNPW] J. Conway, R. Curtis, S. Norton, R. Parker, and R. Wilson (eds.), *Atlas of finite groups*, Oxford Univ. Press, Oxford, 1985.
- [G] R. L. Griess, Jr., *Elementary abelian  $p$ -subgroups of algebraic groups*, Geom. Dedicata 39 (1991), 253–305.
- [GR1] R. L. Griess, Jr., and A. J. E. Ryba, *Embeddings of  $\mathrm{PGL}(2, 31)$  and  $\mathrm{SL}(2, 32)$  in  $E_8(\mathbb{C})$* , Duke Math. J. 94 (1998), 181–211.
- [GR2] ———, *Finite simple groups which projectively embed in an exceptional Lie group are classified!* Bull. Amer. Math. Soc. (N.S.) 36 (1999), 75–93.
- [GR3] ———, *Embeddings of  $Sz(8)$  in  $E_8(\mathbb{C})$* , J. Reine Angew. Math. 523 (2000), 55–68.

- [GR4] ———, *Classification of finite quasisimple groups which embed in exceptional algebraic groups*, *J. Group Theory* 5 (2002), 1–39.
- [K] V. Kac, *Infinite dimensional lie algebras*, 3rd ed., Cambridge Univ. Press, Cambridge, 1990.
- [P] R. A. Parker, *The computer calculation of modular characters (the Meat-Axe)*, *Computational group theory* (M. D. Atkinson, ed.), pp. 267–274, Academic Press, London, 1984.
- [R] A. J. E. Ryba, *Short proofs of embeddings of finite simple groups into exceptional groups of Lie type*, *J. Algebra* (to appear).

R. L. Griess, Jr.  
Department of Mathematics  
University of Michigan  
Ann Arbor, MI 48109-1109  
rlg@umich.edu

A. J. E. Ryba  
Department of Computer Science  
Queens College  
Flushing, NY 11367-1597  
ryba@forbin.qc.edu