# ON HOLOMORPHIC CURVES EXTREMAL FOR THE $\mu_{n}$-DEFECT RELATION 

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To the memory of the late Professor Kiyoshi Noshiro on the occasion of the centennial anniversary of his birth

## 1. Introduction

Let $f=\left[f_{1}, \ldots, f_{n+1}\right]$ be a holomorphic curve from $\boldsymbol{C}$ into the $n$-dimensional complex projective space $P^{n}(\boldsymbol{C})$ with a reduced representation

$$
\left(f_{1}, \ldots, f_{n+1}\right): \boldsymbol{C} \rightarrow \boldsymbol{C}^{n+1}-\{\mathbf{0}\}
$$

where $n$ is a positive integer. We use the following notations:

$$
\|f(z)\|=\left(\left|f_{1}(z)\right|^{2}+\cdots+\left|f_{n+1}(z)\right|^{2}\right)^{1 / 2}
$$

and for a vector $\boldsymbol{a}=\left(a_{1}, \ldots, a_{n+1}\right) \in \boldsymbol{C}^{n+1}-\{\boldsymbol{0}\}$

$$
\begin{gathered}
\|\boldsymbol{a}\|=\left(\left|a_{1}\right|^{2}+\cdots+\left|a_{n+1}\right|^{2}\right)^{1 / 2}, \quad(\boldsymbol{a}, f)=a_{1} f_{1}+\cdots+a_{n+1} f_{n+1} \\
(\boldsymbol{a}, f(z))=a_{1} f_{1}(z)+\cdots+a_{n+1} f_{n+1}(z)
\end{gathered}
$$

The characteristic function of $f$ is defined as follows (see [14]):

$$
T(r, f)=\frac{1}{2 \pi} \int_{0}^{2 \pi} \log \left\|f\left(r e^{i \theta}\right)\right\| d \theta-\log \|f(0)\|
$$

It is known ([1]) that for $U(z)=\max _{1 \leq j \leq n+1}\left|f_{j}(z)\right|$

$$
\begin{equation*}
T(r, f)=\frac{1}{2 \pi} \int_{0}^{2 \pi} \log U\left(r e^{i \theta}\right) d \theta+O(1) \tag{1}
\end{equation*}
$$

We suppose throughout the paper that $f$ is transcendental; that is to say,

$$
\lim _{r \rightarrow \infty} \frac{T(r, f)}{\log r}=\infty
$$

and that $f$ is linearly non-degenerate over $\boldsymbol{C}$; namely, $f_{1}, \ldots, f_{n+1}$ are linearly independent over $\boldsymbol{C}$. It is well-known that $f$ is linearly non-degenerate over $\boldsymbol{C}$ if
and only if the Wronskian $W=W\left(f_{1}, \ldots, f_{n+1}\right)$ of $f_{1}, \ldots, f_{n+1}$ is not identically equal to zero.

We call the quantity:

$$
\rho(f)=\underset{r \rightarrow \infty}{\lim \sup } \frac{\log T(r, f)}{\log r}
$$

the order of $f$.
For meromorphic functions in the complex plane we use the standard notation of the Nevanlinna theory of meromorphic functions ([4, 7]).

For $\boldsymbol{a} \in \boldsymbol{C}^{n+1}-\{\mathbf{0}\}$, we write

$$
m(r, \boldsymbol{a}, f)=\frac{1}{2 \pi} \int_{0}^{2 \pi} \log \frac{\|\boldsymbol{a}\|\left\|f\left(r e^{i \theta}\right)\right\|}{\left|\left(\boldsymbol{a}, f\left(r e^{i \theta}\right)\right)\right|} d \theta, \quad N(r, \boldsymbol{a}, f)=N\left(r, \frac{1}{(\boldsymbol{a}, f)}\right) .
$$

We then have the First Fundamental Theorem ([14, p. 76]):

$$
T(r, f)=m(r, \boldsymbol{a}, f)+N(r, \boldsymbol{a}, f)+O(1) .
$$

We call the quantity

$$
\delta(\boldsymbol{a}, f)=1-\limsup _{r \rightarrow \infty} N(r, \boldsymbol{a}, f) / T(r, f)=\liminf _{r \rightarrow \infty} m(r, \boldsymbol{a}, f) / T(r, f)
$$

the deficiency (or defect) of $\boldsymbol{a}$ with respect to $f$. We have $0 \leq \delta(\boldsymbol{a}, f) \leq 1$.
Let $v(c)$ be the order of zero of $(\boldsymbol{a}, f(z))$ at $z=c$ and for a positive integer $k$

$$
n_{k}(r, \boldsymbol{a}, f)=\sum_{|c| \leq r} \min \{v(c), k\} .
$$

We put for $r>0$

$$
N_{k}(r, \boldsymbol{a}, f)=\int_{0}^{r} \frac{n_{k}(t, \boldsymbol{a}, f)-n_{k}(0, \boldsymbol{a}, f)}{t} d t+n_{k}(0, \boldsymbol{a}, f) \log r
$$

and put

$$
\delta_{k}(\boldsymbol{a}, f)=1-\limsup _{r \rightarrow \infty} N_{k}(r, \boldsymbol{a}, f) / T(r, f) .
$$

Then, it is easy to see that
(1.a) the sequemce $\left\{\delta_{k}(\boldsymbol{a}, f)\right\}_{k=1}^{\infty}$ is decreasing;
(1.b) for any $k$,

$$
0 \leq \delta(\boldsymbol{a}, f) \leq \delta_{k}(\boldsymbol{a}, f) \leq 1
$$

We denote by $S(r, f)$ the quantity satisfying

$$
S(r, f)=\left\{\begin{array}{lll}
O(\log r) & (r \rightarrow \infty) & \text { if } \rho(f)<\infty \\
O(\log T(r, f)+\log r) & (r \rightarrow \infty, r \notin E) & \text { if } \rho(f)=\infty
\end{array}\right.
$$

where $E$ is a subset of $(0, \infty)$ of finite linear measure.
Let $X$ be any subset of $\boldsymbol{C}^{n+1}-\{\mathbf{0}\}$ in $N$-subgeneral position satisfying $2 N-n+2 \leq \# X \leq \infty$, where $N$ is an integer satisfying $N \geq n$. We say that $X$ is in general position when $X$ is in $n$-subgeneral position.

Cartan ([1], $N=n$ ) and Nochka ([8], $N>n$ ) gave the following
Theorem 1.A (see [3, Corollary 3.3.9]). For any $q$ elements $\boldsymbol{a}_{j}(j=1, \ldots, q)$ of $X(2 N-n+1 \leq q<\infty)$, we have the following inequalities:
(I) $(q-2 N+n-1) T(r, f) \leq \sum_{j=1}^{q} N_{n}\left(r, \boldsymbol{a}_{j}, f\right)+S(r, f)$;
(II) (the truncated defect relation) $\sum_{j=1}^{q} \delta_{n}\left(\boldsymbol{a}_{j}, f\right) \leq 2 N-n+1$.

Let $\boldsymbol{a}$ be any vector in $\boldsymbol{C}^{n+1}-\{\boldsymbol{0}\}$. We say that
" $\boldsymbol{a}$ has multiplicity $m$ if $(\boldsymbol{a}, f)$ has at least one zero and all the zeros of the function $(\boldsymbol{a}, f(z))$ have multiplicity at least $m$, while at least one zero has multiplicity $m$."

When $(\boldsymbol{a}, f)$ has no zero, we set $m=\infty$.
Then, as a corollary of Theorem 1.A(II), Cartan ([1], $N=n$ ) and Nochka ([8], $N>n$ ) gave the following theorem:

Theorem 1.B. For any $\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q} \in X$, let $\boldsymbol{a}_{j}$ have multiplicity $m_{j}$. Then,

$$
\sum_{j=1}^{q}\left(1-\frac{n}{m_{j}}\right) \leq 2 N-n+1
$$

where $2 N-n+1 \leq q<\infty$ (see [3, Theorem 3.3.15]).
As the numbers " $1-n / m_{j}$ " are not always non-negative in this theorem, we gave a new defect in [12, Definition 4.1] (see also [6, p. 171]). More generally we give the following

Definition 1.1. For $\boldsymbol{a} \in \boldsymbol{C}^{n+1}-\{\boldsymbol{0}\}$ with multiplicity $m$ and for a positive integer $k$ we put

$$
\mu_{k}(\boldsymbol{a}, f)=\left(1-\frac{k}{m}\right)^{+}=1-\frac{k}{\max (m, k)},
$$

where $a^{+}=\max (a, 0)$ for any real number $a$.
We call $\mu_{k}(\boldsymbol{a}, f)$ the $\mu_{k}$-defect of $\boldsymbol{a}$ with respect to $f$. It is easy to see that the sequence $\left\{\mu_{k}(\boldsymbol{a}, f)\right\}_{k=1}^{\infty}$ is decreasing. Later we shall see that for any $\boldsymbol{a} \in X$ and for any positive integer $k$

$$
\mu_{k}(\boldsymbol{a}, f) \leq \delta_{k}(\boldsymbol{a}, f)
$$

(Corollary 2.2).
From Theorem 1.B we have the following defect relation for $\mu_{n}(\boldsymbol{a}, f)$ :
Theorem 1.C (see [6, Corollary (3.B.46)]). For any $\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q} \in X \quad(q<\infty)$, we have the following inequality:

$$
\sum_{j=1}^{q} \mu_{n}\left(\boldsymbol{a}_{j}, f\right) \leq 2 N-n+1
$$

We call this inequality the $\mu_{n}$-defect relation for $f$ over $X$. In Section 6 we shall give an example of holomorphic curve $f$ from $\boldsymbol{C}$ into $P^{n}(\boldsymbol{C})$ and a subset $X$ of $\boldsymbol{C}^{n+1}-\{\mathbf{0}\}$ in $N$-subgeneral position such that if $k<n$ then

$$
\sum_{\boldsymbol{a} \in X} \mu_{k}(\boldsymbol{a}, f)=+\infty .
$$

In [12] we gave some results on $\mu_{n}(\boldsymbol{a}, f)$ when $\#\left\{\boldsymbol{a} \in X \mid \mu_{n}(\boldsymbol{a}, f)=1\right\}$ is large. For example,

Theorem 1.D. Suppose that $N>n \geq 2$. If there exist $n+1$ linearly independent vectors $\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{n+1} \in X$ satisfying $\mu_{n}\left(\boldsymbol{a}_{j}, f\right)=1(j=1, \ldots, n+1)$, then
(I) ([12, Theorem 4.2]) For any $\boldsymbol{a} \in X$ satisfying $\mu_{n}(\boldsymbol{a}, f)>0, \mu_{n}(\boldsymbol{a}, f)=1$.
(II) $\left(\left[12\right.\right.$, Theorem 4.3]) $\sum_{\boldsymbol{a} \in X} \mu_{n}(\boldsymbol{a}, f) \leq N+N / n$.

Remark 1.1. $N+N / n<2 N-n+1$ when $N>n \geq 2$.
We are interested in a holomorphic curve $f$ for which the $\mu_{n}$-defect relation is extremal.

The purpose of this paper is to give several results on the $\mu_{n}$-defect relation for $f$ and on $\mu_{n}(\boldsymbol{a}, f)$ when the $\mu_{n}$-defect relation is extremal.

## 2. Preliminaries and lemma

Let $f=\left[f_{1}, \ldots, f_{n+1}\right], X$, etc. be as in Section 1 and $q$ an integer satisfying $2 N-n+1 \leq q<\infty$. We put $Q=\{1,2, \ldots, q\}$. Let $\left\{\boldsymbol{a}_{j} \mid j \in Q\right\}$ be a subset of $X$. For a non-empty subset $P$ of $Q$, we denote by $V(P)$ the vector space spanned by $\left\{\boldsymbol{a}_{j} \mid j \in P\right\}$ and by $d(P)$ the dimension of $V(P)$. We put

$$
\mathcal{O}=\{P \subset Q \mid 0<\# P \leq N+1\} .
$$

Lemma 2.1 (see [3, (2.4.3), p. 68]). If $P \in \mathcal{O}$, then $\# P-d(P) \leq N-n$.
For $\left\{\boldsymbol{a}_{j} \mid j \in Q\right\}$, let $\omega: Q \rightarrow(0,1]$ be the Nochka weight function and $\theta$ the reciprocal number of the Nochka constant given in [3, p. 72]. Then they have the following properties:

Lemma 2.2 (see [3, Theorem 2.4.11], [2]).
(a) $0<\omega(j) \theta \leq 1$ for all $j \in Q$;
(b) $q-2 N+n-1=\theta\left(\sum_{j=1}^{q} \omega(j)-n-1\right)$;
(c) $N / n^{(*)} \leq \theta \leq(2 N-n+1) /(n+1)$;
(d) If $P \in \mathcal{O}$, then $\sum_{j \in P} \omega(j) \leq d(P)$.
((*): see [11, Note 2.1]).
Definition 2.1 ([9, Definition 1]). We put
$\lambda=\min _{P \in \mathcal{O}} d(P) / \# P$ and $\sigma: Q \rightarrow(0,1]$ such that $\sigma(j)=\lambda(j \in Q)$.
Then, $\lambda$ and $\sigma$ have the following properties.
Lemma 2.3 ([9, Proposition 2]). (a) $1 /(N-n+1) \leq \lambda \leq(n+1) /(N+1)$;
(b) For any $P \in \mathcal{O}, \sum_{j \in P} \sigma(j) \leq d(P)$.

Remark 2.1. (a) If $\lambda<(n+1) /(2 N-n+1)$, then $\lambda=\min _{1 \leq j \leq q} \omega(j)$, $\omega(j)=\lambda$ and $\theta \omega(j)<1 \quad\left(j \in P_{0}\right)$ for an element $P_{0} \in \mathcal{O}$ satisfying $\lambda=d\left(P_{0}\right) / \# P_{0}$.
(b) If $\lambda \geq(n+1) /(2 N-n+1)$, then $\omega(j)=1 / \theta=(n+1) /(2 N-n+1)$ $(j=1, \ldots, q)$.
(See [11, Remark 2].)
Let $h$ be an entire function. For $a \in \boldsymbol{C}$, let $v(a, h)$ be the order of zero of $h(z)$ at $z=a$ :

$$
h(z)=c_{1}(z-a)^{v(a, h)}+c_{2}(z-a)^{v(a, h)+1}+\cdots, \quad\left(c_{1} \neq 0\right) .
$$

For $\boldsymbol{a}_{j}(j \in Q)$ we put $F_{j}=\left(\boldsymbol{a}_{j}, f\right)(j \in Q)$. Then, we have the following
Lemma 2.4 ([3, (3.2.14), p. 102]).

$$
\sum_{j=1}^{q} \omega(j)\left(v\left(a, F_{j}\right)-n\right)^{+} \leq v(a, W) .
$$

From this inequality we have the following
Lemma 2.5. For $\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q} \in X$,
(I) $\sum_{j=1}^{q} \omega(j)\left\{n\left(r, \boldsymbol{a}_{j}, f\right)-n_{n}\left(r, \boldsymbol{a}_{j}, f\right)\right\} \leq n(r, 1 / W)$.
(II) $\sum_{j=1}^{q} \omega(j)\left\{\left(n\left(r, \boldsymbol{a}_{j}, f\right)-n_{n}\left(r, \boldsymbol{a}_{j}, f\right)\right)-\left(n\left(0, \boldsymbol{a}_{j}, f\right)-n_{n}\left(0, \boldsymbol{a}_{j}, f\right)\right)\right\}$

$$
\leq n(r, 1 / W)-n(0,1 / W)
$$

Proof. We note that

$$
\begin{aligned}
\sum_{|a| \leq r}\left(v\left(a, F_{j}\right)-n\right)^{+} & =\sum_{|a| \leq r}\left(v\left(a, F_{j}\right)-\min \left\{v\left(a, F_{j}\right), n\right\}\right) \\
& =\sum_{|a| \leq r} v\left(a, F_{j}\right)-\sum_{|a| \leq r} \min \left\{v\left(a, F_{j}\right), n\right\} \\
& =n\left(r, \boldsymbol{a}_{j}, f\right)-n_{n}\left(r, \boldsymbol{a}_{j}, f\right) ; \\
\sum_{|a| \leq r} v(a, W) & =n(r, 1 / W)
\end{aligned}
$$

and that

$$
\begin{aligned}
\sum_{0<|a| \leq r}\left(v\left(a, F_{j}\right)-n\right)^{+} & =\sum_{0<|a| \leq r}\left(v\left(a, F_{j}\right)-\min \left\{v\left(a, F_{j}\right), n\right\}\right) \\
& =\sum_{0<|a| \leq r} v\left(a, F_{j}\right)-\sum_{0<|a| \leq r} \min \left\{v\left(a, F_{j}\right), n\right\} \\
& =n\left(r, \boldsymbol{a}_{j}, f\right)-n_{n}\left(r, \boldsymbol{a}_{j}, f\right)-\left(n\left(0, \boldsymbol{a}_{j}, f\right)-n_{n}\left(0, \boldsymbol{a}_{j}, f\right)\right) ; \\
\sum_{0<|a| \leq r} v(a, W) & =n(r, 1 / W)-n(0,1 / W) .
\end{aligned}
$$

By using these equalities we obtain this lemma from Lemma 2.4.
Lemma 2.6 (see [3, p. 105]). For $\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q} \in X$, we have the inequality

$$
\sum_{j=1}^{q} \omega(j)\left\{N\left(r, \boldsymbol{a}_{j}, f\right)-N_{n}\left(r, \boldsymbol{a}_{j}, f\right)\right\} \leq N\left(r, \frac{1}{W}\right) \quad(r \geq 1)
$$

Proof. This inequality is essentially the same one as that in [3, p. 105]. But there is a little difference between them for a small term. To make sure of it we give a proof of our lemma. By definition

$$
\begin{gathered}
N\left(r, \boldsymbol{a}_{j}, f\right)=\int_{0}^{r} \frac{n\left(t, \boldsymbol{a}_{j}, f\right)-n\left(0, \boldsymbol{a}_{j}, f\right)}{t} d t+n\left(0, \boldsymbol{a}_{j}, f\right) \log r ; \\
N_{n}\left(r, \boldsymbol{a}_{j}, f\right)=\int_{0}^{r} \frac{n_{n}\left(t, \boldsymbol{a}_{j}, f\right)-n_{n}\left(0, \boldsymbol{a}_{j}, f\right)}{t} d t+n_{n}\left(0, \boldsymbol{a}_{j}, f\right) \log r ; \\
N(r, 1 / W)=\int_{0}^{r} \frac{n(t, 1 / W)-n(0,1 / W)}{t}+n(0,1 / W) \log r .
\end{gathered}
$$

For simplicity we put

$$
n(r)=\sum_{j=1}^{q} \omega(j)\left\{n\left(r, \boldsymbol{a}_{j}, f\right)-n_{n}\left(r, \boldsymbol{a}_{j}, f\right)\right\} .
$$

Then, we have

$$
\sum_{j=1}^{q} \omega(j)\left\{N\left(r, \boldsymbol{a}_{j}, f\right)-N_{n}\left(r, \boldsymbol{a}_{j}, f\right)\right\}=\int_{0}^{r} \frac{n(t)-n(0)}{t} d t+n(0) \log r=(*) .
$$

Put

$$
n(0,1 / W)-n(0)=c_{o},
$$

which is non-negative by Lemma 2.5(I). Then, by Lemma 2.5(II)

$$
\begin{aligned}
(*) & \leq \int_{0}^{r} \frac{n(t, 1 / W)-n(0,1 / W)}{t} d t+n(0,1 / W) \log r-c_{o} \log r \\
& =N(r, 1 / W)-c_{o} \log r \leq N(r, 1 / W) \quad(r \geq 1) .
\end{aligned}
$$

We have this lemma.
Lemma 2.7 ([3, Theorem 3.3.8]). For $\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q} \in X$, we have the inequality

$$
\sum_{j=1}^{q} \omega(j) \delta_{n}\left(\boldsymbol{a}_{j}, f\right) \leq n+1
$$

Lemma 2.8 ([10, Lemma 2.4]). Suppose that $N>n$. For $\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q} \in X$ the maximal deficiency sum

$$
\sum_{j=1}^{q} \delta_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1
$$

holds if and only if the following two relations hold:

1) $(1-\theta \omega(j))\left(1-\delta_{n}\left(\boldsymbol{a}_{j}, f\right)\right)=0(j=1, \ldots, q)$;
2) $\sum_{j=1}^{q} \omega(j) \delta_{n}\left(\boldsymbol{a}_{j}, f\right)=n+1$.

Lemma 2.9. Suppose that there are $q$ elements $\boldsymbol{a}_{j}(j=1, \ldots, q)$ in $X$ $(2 N-n+1 \leq q<\infty)$ satisfying

$$
\begin{equation*}
\sum_{j=1}^{q} \delta_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1 \tag{2}
\end{equation*}
$$

Then, there is a subset $E$ of $(0, \infty)$ such that for $j=1, \ldots, q$

$$
\limsup _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}=\lim _{r \rightarrow \infty ; r \notin E} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}
$$

where $E$ is empty when $\rho(f)<\infty$ and $E$ is of finite linear measure when $\rho(f)=\infty$.

Proof. (a) When $\rho(f)<\infty$. Let $j$ be any integer satisfying $1 \leq j \leq q$. From Theorem 1.A and (2) we obtain the inequality

$$
\begin{aligned}
q-(2 N-n+1) & \leq \liminf _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}+\sum_{k=1 ; k \neq j}^{q} \limsup _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{k}, f\right)}{T(r, f)} \\
& \leq \limsup _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}+\sum_{k=1 ; k \neq j}^{q} \limsup _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{k}, f\right)}{T(r, f)} \\
& =q-(2 N-n+1)
\end{aligned}
$$

which reduces to our lemma.
(b) When $\rho(f)=\infty$. Let $j$ be any integer satisfying $1 \leq j \leq q$. From Theorem 1.A and (2) there is a subset $E$ of $(0, \infty)$ of finite linear measure for which we obtain the inequality

$$
\begin{aligned}
q-(2 N-n+1) & \leq \liminf _{r \rightarrow \infty ; r \notin E} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}+\sum_{k=1 ; k \neq j}^{q} \limsup _{r \rightarrow \infty ; r \notin E} \frac{N_{n}\left(r, \boldsymbol{a}_{k}, f\right)}{T(r, f)} \\
& \leq \limsup _{r \rightarrow \infty ; r \notin E} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}+\sum_{k=1 ; k \neq j}^{q} \limsup _{r \rightarrow \infty ; r \notin E} \frac{N_{n}\left(r, \boldsymbol{a}_{k}, f\right)}{T(r, f)} \\
& \leq \limsup _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}+\sum_{k=1 ; k \neq j}^{q} \limsup _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{k}, f\right)}{T(r, f)} \\
& =q-(2 N-n+1)
\end{aligned}
$$

and for $j=1, \ldots, q$, we have the inequality

$$
\liminf _{r \rightarrow \infty ; r \notin E} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)} \leq \limsup _{r \rightarrow \infty ; r \notin E} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)} \leq \limsup _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}
$$

apriori. From these inequalities we obtain our lemma.
Corollary 2.1. Under the same assumption as in Lemma 2.9, for any $j=1, \ldots, q$ and for any sequence $\left\{r_{v}\right\}_{v=1}^{\infty} \subset(0, \infty)-E$ tending to $+\infty$

$$
\limsup _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}=\lim _{v \rightarrow \infty} \frac{N_{n}\left(r_{v}, \boldsymbol{a}_{j}, f\right)}{T\left(r_{v}, f\right)},
$$

where $E$ is as in Lemma 2.9.
Lemma 2.10. Let $k$ be a positive integer. For a vector a in $\boldsymbol{C}^{n+1}-\{\mathbf{0}\}$ of multiplicity $m$ with respect to $f$ and for $r \geq 1$, we have the inequality

$$
N_{k}(r, \boldsymbol{a}, f) \leq \frac{k}{\max (m, k)} N(r, \boldsymbol{a}, f) \leq\left\{\begin{array}{l}
N(r, \boldsymbol{a}, f) \\
\frac{k}{\max (m, k)} T(r, f)+O(1) .
\end{array}\right.
$$

Proof. As this lemma is trivial when $m=\infty$ or $N(r, \boldsymbol{a}, f)=0$, we have only to prove the following inequality when $m<\infty$.

$$
\begin{equation*}
N_{k}(r, \boldsymbol{a}, f) \leq \frac{k}{\max (m, k)} N(r, \boldsymbol{a}, f) \quad(r \geq 1) \tag{3}
\end{equation*}
$$

(a) When $k \geq m$. (3) is trivial.
(b) When $k<m$. For $r \geq 1$, we have the inequality

$$
\begin{aligned}
N_{k}(r, \boldsymbol{a}, f) & \leq k \bar{N}(r, \boldsymbol{a}, f)=\frac{k \bar{N}(r, \boldsymbol{a}, f)}{N(r, \boldsymbol{a}, f)} N(r, \boldsymbol{a}, f) \\
& \leq \frac{k \bar{N}(r, \boldsymbol{a}, f)}{m \bar{N}(r, \boldsymbol{a}, f)} N(r, \boldsymbol{a}, f)=\frac{k}{m} N(r, \boldsymbol{a}, f)=\frac{k}{\max (m, k)} N(r, \boldsymbol{a}, f) .
\end{aligned}
$$

Corollary 2.2. Let $k$ be a positive integer and let a be a vector in $\boldsymbol{C}^{n+1}-\{\mathbf{0}\}$ whose multiplicity with respect to $f$ is $m$. Then,
(a) We have the inequality

$$
1 \geq \delta_{k}(\boldsymbol{a}, f) \geq 1-\frac{k}{\max (m, k)}(1-\delta(\boldsymbol{a}, f)) \geq\left\{\begin{array}{l}
\delta(\boldsymbol{a}, f) \\
\mu_{k}(\boldsymbol{a}, f) .
\end{array}\right.
$$

(b) If $\mu_{k}(\boldsymbol{a}, f)=\delta_{k}(\boldsymbol{a}, f)$, then $m=\infty$ or $\delta(\boldsymbol{a}, f)=0$.

Proof. (a) From Lemma 2.10 we obtain this proposition immediately.
(b) Suppose that $m<\infty$. By the assumption, we obtain from (a) of this corollary that

$$
1-\frac{k}{\max (m, k)}(1-\delta(\boldsymbol{a}, f))=\mu_{k}(\boldsymbol{a}, f),
$$

from which we obtain the equality

$$
\frac{k}{\max (m, k)} \delta(\boldsymbol{a}, f)=0
$$

As $m<\infty$, we obtain that $\delta(\boldsymbol{a}, f)=0$.
Lemma 2.11. Let $\boldsymbol{u}_{1}, \ldots, \boldsymbol{u}_{n+1}$ be linearly independent $n+1$ vectors in $\boldsymbol{C}^{n+1}$. Then, there is a subset $E$ of $(0, \infty)$ for which it holds that

$$
\limsup _{r \rightarrow \infty, r \notin E} \frac{N(r, 1 / W)}{T(r, f)} \leq n+1-\sum_{j=1}^{n+1} \delta\left(\boldsymbol{u}_{j}, f\right),
$$

where $E$ is empty when $\rho(f)<\infty$ and $E$ is of finite linear measure when $\rho(f)=\infty$.

Proof. We put $G_{j}=\left(\boldsymbol{u}_{j}, f\right)(j=1, \ldots, n+1)$. Then, $G_{1}, \ldots, G_{n+1}$ are linearly independent over $C$ and we have the relation

$$
W=W\left(f_{1}, \ldots, f_{n+1}\right)=c W\left(G_{1}, \ldots, G_{n+1}\right) \quad(c \neq 0, \text { constant })
$$

where $W\left(f_{1}, \ldots, f_{n+1}\right)$ is the Wronskian of $f_{1}, \ldots, f_{n+1}$.

$$
\begin{aligned}
N(r, 1 / W) & =\frac{1}{2 \pi} \int_{0}^{2 \pi} \log \left|W\left(r e^{i \theta}\right)\right| d \theta \\
& =\frac{1}{2 \pi} \int_{0}^{2 \pi} \log \left|W\left(G_{1}, \ldots, G_{n+1}\right)\left(r e^{i \theta}\right)\right| d \theta+\log |c| \\
& \leq \sum_{j=1}^{n+1} N\left(r, \boldsymbol{u}_{j}, f\right)+S(r, f),
\end{aligned}
$$

since

$$
\begin{aligned}
\log \left|W\left(G_{1}, \ldots, G_{n+1}\right)\right| & =\sum_{j=1}^{n+1} \log \left|G_{j}\right|+\log \left|\frac{W\left(G_{1}, \ldots, G_{n+1}\right)}{G_{1} \cdots G_{n+1}}\right| \\
& \leq \sum_{j=1}^{n+1} \log \left|G_{j}\right|+\log ^{+}\left|\frac{W\left(G_{1}, \ldots, G_{n+1}\right)}{G_{1} \cdots G_{n+1}}\right|
\end{aligned}
$$

and

$$
\frac{1}{2 \pi} \int_{0}^{2 \pi} \log ^{+}\left|\frac{W\left(G_{1}, \ldots, G_{n+1}\right)}{G_{1} \cdots G_{n+1}}\left(r e^{i \theta}\right)\right| d \theta=S(r, f)
$$

as in $[1, \mathrm{pp} .14-15]$. Therefore, there is a subset $E$ of $(0, \infty)$ for which

$$
\limsup _{r \rightarrow \infty, r \notin E} \frac{N(r, 1 / W)}{T(r, f)} \leq n+1-\sum_{j=1}^{n+1} \delta\left(\boldsymbol{u}_{j}, f\right),
$$

where $E$ is empty when $\rho(f)<\infty$ and $E$ is of finite linear measure when $\rho(f)=\infty$.

## 3. On the $\mu_{n}$-defect relation

Let $f, X$, etc. be as in Section 1 or 2. In this section we shall consider the $\mu_{n}$-defect relation for $f$ over $X$.

Proposition 3.1. For any vectors $\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q} \in X(2 N-n+1 \leq q<\infty)$ we have the inequality

$$
\sum_{j=1}^{q} \omega(j) \mu_{n}\left(\boldsymbol{a}_{j}, f\right) \leq n+1
$$

Proof. As $0 \leq \mu_{n}(\boldsymbol{a}, f) \leq \delta_{n}(\boldsymbol{a}, f) \leq 1$ for any vector $\boldsymbol{a}$ in $\boldsymbol{C}^{n+1}-\{\boldsymbol{0}\}$ by Corollary 2.2(a) and $\omega(j)>0$, this proposition is a direct consequence of Lemma 2.7.

We put for any positive integer $k$

$$
M_{k}^{+}(X, f)=\left\{\boldsymbol{a} \in X \mid \mu_{k}(\boldsymbol{a}, f)>0\right\} \quad \text { and } \quad M_{k}^{1}(X, f)=\left\{\boldsymbol{a} \in X \mid \mu_{k}(\boldsymbol{a}, f)=1\right\} .
$$

It is known that
(3.a) $\left(\left[12\right.\right.$, Theorem 4.1]) $\# M_{n}^{+}(X, f) \leq(n+1)(2 N-n+1)$;
(3.b) $\left(\left[12\right.\right.$, Proposition 4.2]) $\# M_{n}^{1}(X, f) \leq N+N / n$.

Note 3.1. As an improvement of (3.a), we have the inequality

$$
\# M_{n}^{+}(X, f)+n \# M_{n}^{1}(X, f) \leq(n+1)(2 N-n+1) .
$$

Proof. From the $\mu_{n}$-defect relation (Theorem 1.C) we have the inequality

$$
\begin{aligned}
\sum_{\boldsymbol{a} \in M_{n}^{+}(X, f)} \mu_{n}(\boldsymbol{a}, f) & =\sum_{\boldsymbol{a} \in M_{n}^{+}(X, f)-M_{n}^{1}(X, f)} \mu_{n}(\boldsymbol{a}, f)+\sum_{\boldsymbol{a} \in M_{n}^{1}(X, f)} \mu_{n}(\boldsymbol{a}, f) \\
& =\sum_{\boldsymbol{a} \in M_{n}^{+}(X, f)-M_{n}^{1}(X, f)} \mu_{n}(\boldsymbol{a}, f)+\# M_{n}^{1}(X, f) \leq 2 N-n+1,
\end{aligned}
$$

from which we obtain the inequality

$$
\begin{equation*}
\#\left(M_{n}^{+}(X, f)-M_{n}^{1}(X, f)\right) /(n+1)+\# M_{n}^{1}(X, f) \leq 2 N-n+1 \tag{4}
\end{equation*}
$$

since $\mu_{n}(\boldsymbol{a}, f) \geq 1 /(n+1)$ for $\boldsymbol{a} \in M_{n}^{+}(X, f)-M_{n}^{1}(X, f)$ ([14]). The inequality (4) reduces to our result.

We put $M_{n}^{+}(X, f)=\left\{\boldsymbol{a}_{1}, \boldsymbol{a}_{2}, \ldots, \boldsymbol{a}_{q}\right\}$.

## Proposition 3.2. Suppose that

$$
\begin{equation*}
\sum_{j=1}^{q} \mu_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1 \tag{5}
\end{equation*}
$$

Then,
(a) $\sum_{j=1}^{q} \delta_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1$.
(b) $\mu_{n}\left(\boldsymbol{a}_{j}, f\right)=\delta_{n}\left(\boldsymbol{a}_{j}, f\right)(1 \leq j \leq q)$.

Proof. (a) By Corollary 2.2(a), Theorem 1.A(II) and the assumption (5) we obtain (a) immediately.
(b) This is a direct consequence of Corollary 2.2(a) for $k=n$, the assumption (5) and (a) of this proposition.

## Proposition 3.3. Suppose that

(i) $N>n \geq 1$;
(ii) $\sum_{j=1}^{q} \mu_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1$.

Then, there is a subset $E$ of $(0, \infty)$ such that for any $\boldsymbol{a}_{j} \in M_{n}^{+}(X, f)-$ $M_{n}^{1}(X, f)$ and for any sequence $\left\{r_{v}\right\}_{v=1}^{\infty} \subset(0, \infty)-E$ tending to $+\infty$, it holds that

$$
\liminf _{v \rightarrow \infty} \frac{N\left(r_{v}, \boldsymbol{a}_{j}, f\right)}{T\left(r_{v}, f\right)}=1,
$$

where $E$ is empty when $\rho(f)<\infty$ and $E$ is of finite linear measure when $\rho(f)=\infty$.

Proof. By the assumption (ii) we have from Proposition 3.2(b) that

$$
\delta_{n}\left(\boldsymbol{a}_{j}, f\right)=\mu_{n}\left(\boldsymbol{a}_{j}, f\right) \quad(j=1, \ldots, q) .
$$

Let $\boldsymbol{a}_{j} \in M_{n}^{+}(X, f)-M_{n}^{1}(X, f)$. Then, by Corollary 2.1 and Lemma 2.10 for $k=n$ we have that

$$
\begin{aligned}
\frac{n}{\max \left(m_{j}, n\right)} & =\limsup _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}=\lim _{v \rightarrow \infty} \frac{N_{n}\left(r_{v}, \boldsymbol{a}_{j}, f\right)}{T\left(r_{v}, f\right)} \\
& \leq \liminf _{v \rightarrow \infty} \frac{n}{\max \left(m_{j}, n\right)} \frac{N\left(r_{v}, \boldsymbol{a}_{j}, f\right)}{T\left(r_{v}, f\right)} \leq \frac{n}{\max \left(m_{j}, n\right)},
\end{aligned}
$$

where $m_{j}$ is the multilicitiy of $\boldsymbol{a}_{j}$ with respect to $f$. This inequality implies that this proposition holds.

Proposition 3.4. Suppose that
(i) $N>n \geq 1$;
(ii) $\sum_{j=1}^{q} \mu_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1$.

Then, we have the inequality

$$
n+1=\sum_{j=1}^{q} \omega(j) \delta_{n}\left(\boldsymbol{a}_{j}, f\right) \leq \limsup _{r \rightarrow \infty ; r \notin E} \frac{N(r, 1 / W)}{T(r, f)}+\sum_{\left\{j \mid \boldsymbol{a}_{j} \in M_{n}^{1}(X, f)\right\}} \omega(j)
$$

where $E$ is as in Proposition 3.3.
Proof. First we note that

$$
\limsup _{r \rightarrow \infty ; r \notin E} \frac{N(r, 1 / W)}{T(r, f)} \leq n+1
$$

by Lemma 2.11.
By the assumption (ii) we have from Proposition 3.2(a) that

$$
\sum_{j=1}^{q} \delta_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1
$$

and so from Lemma 2.8 we have that

$$
\begin{equation*}
n+1=\sum_{j=1}^{q} \omega(j) \delta_{n}\left(\boldsymbol{a}_{j}, f\right) . \tag{6}
\end{equation*}
$$

Next, from Lemma 2.6, we have the inequality

$$
\begin{equation*}
\sum_{j=1}^{q} \omega(j) N\left(r, \boldsymbol{a}_{j}, f\right) \leq N\left(r, \frac{1}{W}\right)+\sum_{j=1}^{q} \omega(j) N_{n}\left(r, \boldsymbol{a}_{j}, f\right) \quad(r \geq 1) . \tag{7}
\end{equation*}
$$

Let $\left\{r_{v}\right\}_{v=1}^{\infty} \subset(0, \infty)-E$ be any sequence tending to $+\infty$. Then from (7) we obtain the inequality

$$
\begin{aligned}
\sum_{j=1}^{q} \omega(j) \liminf _{v \rightarrow \infty} \frac{N\left(r_{v}, \boldsymbol{a}_{j}, f\right)}{T\left(r_{v}, f\right)} & \leq \liminf _{v \rightarrow \infty} \frac{N\left(r_{v}, 1 / W\right)}{T\left(r_{v}, f\right)}+\sum_{j=1}^{q} \omega(j) \limsup _{v \rightarrow \infty} \frac{N_{n}\left(r_{v}, \boldsymbol{a}_{j}, f\right)}{T\left(r_{v}, f\right)} \\
& \leq \limsup _{r \rightarrow \infty ; r \notin E} \frac{N(r, 1 / W)}{T(r, f)}+\sum_{j=1}^{q} \omega(j) \limsup _{r \rightarrow \infty} \frac{N_{n}\left(r, \boldsymbol{a}_{j}, f\right)}{T(r, f)}
\end{aligned}
$$

from which we obtain our proposition by Proposition 3.3 and (6) since $N\left(r, \boldsymbol{a}_{j}, f\right)=0$ for $\boldsymbol{a}_{j} \in M_{n}^{1}(X, f)$.

From now on throughout this section we suppose that $N>n \geq 2$. In [12] we proved that
"If $\# M_{n}^{1}(X, f) \geq N+1$, the $\mu_{n}$-defect relation is not extremal:

$$
\sum_{\boldsymbol{a} \in M_{n}^{+}(X, f)} \mu_{n}(\boldsymbol{a}, f)<2 N-n+1 . "
$$

One of the main purposes of this section is to give a generalization of this result. We put

$$
D_{n}^{+}(X, f)=\left\{\boldsymbol{a} \in X \mid \delta_{n}(\boldsymbol{a}, f)>0\right\} \quad \text { and } \quad D_{n}^{1}(X, f)=\left\{\boldsymbol{a} \in X \mid \delta_{n}(\boldsymbol{a}, f)=1\right\} .
$$

Theorem 3.1. If there exists one $\boldsymbol{a}_{o} \in X-M_{n}^{1}(X, f)$ satisfying $\delta\left(\boldsymbol{a}_{o}, f\right)>0$, then the $\mu_{n}$-defect relation for $f$ over $X$ is not extremal.

Proof. By Corollary 2.2(a) for $k=n$ we have that

$$
M_{n}^{+}(X, f) \subset D_{n}^{+}(X, f), \quad \boldsymbol{a}_{o} \in D_{n}^{+}(X, f) \quad \text { and } \quad \mu_{n}\left(\boldsymbol{a}_{o}, f\right)<\delta_{n}\left(\boldsymbol{a}_{o}, f\right),
$$

from which and by Theorem 1.A(II) we obtain the inequality

$$
\sum_{\boldsymbol{a} \in M_{n}^{+}(X, f)} \mu_{n}(\boldsymbol{a}, f)<\sum_{\boldsymbol{a} \in D_{n}^{+}(X, f)} \delta_{n}(\boldsymbol{a}, f) \leq 2 N-n+1 .
$$

For any subset $A$ of $X$, we denote by $\operatorname{dim}(A)$ the dimension of the vector space spanned by elements of $A$.

Theorem 3.2. Suppose that
(i) $N>n \geq 2$;
(ii) $\sum_{j=1}^{q} \mu_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1$.

Then, we have that

$$
\# M_{n}^{1}(X, f) \leq(2 N-n+1) / 2 \quad \text { and } \quad \operatorname{dim}\left(M_{n}^{1}(X, f)\right) \leq(n+1) / 2
$$

Proof. By Theorem 1.D, it holds that $\operatorname{dim}\left(M_{n}^{1}(X, f)\right) \leq n$ and $\# M_{n}^{1}(X, f) \leq N$, which implies that $q>2 N-n+1$ by the assumption (ii). As there is nothing to prove when $\operatorname{dim}\left(M_{n}^{1}(X, f)\right)=0$, we suppose that $\operatorname{dim}\left(M_{n}^{1}(X, f)\right) \geq 1$. By Proposition 3.4 we have the inequality

$$
\begin{equation*}
n+1=\sum_{j=1}^{q} \omega(j) \delta_{n}\left(\boldsymbol{a}_{j}, f\right) \leq \limsup _{r \rightarrow \infty ; r \notin E} \frac{N(r, 1 / W)}{T(r, f)}+\sum_{\left\{j \mid \mu_{n}\left(\boldsymbol{a}_{j}, f\right)=1\right\}} \omega(j), \tag{8}
\end{equation*}
$$

where $E$ is as in Proposition 3-3.
In Lemma 2.11, let $\boldsymbol{u}_{1}, \ldots, \boldsymbol{u}_{n+1}$ be linearly independent $n+1$ vectors in $\boldsymbol{C}^{n+1}$ such that $\boldsymbol{u}_{1}, \ldots, \boldsymbol{u}_{p}$ are in $M_{n}^{1}(X, f)$, where $p=\operatorname{dim}\left(M_{n}^{1}(X, f)\right)$. Then, we have

$$
\begin{equation*}
\limsup _{r \rightarrow \infty ; r \notin E} \frac{N(r, 1 / W)}{T(r, f)} \leq n+1-\operatorname{dim}\left(M_{n}^{1}(X, f)\right), \tag{9}
\end{equation*}
$$

since $\delta\left(\boldsymbol{u}_{j}, f\right)=1$ for $\boldsymbol{u}_{j} \in M_{n}^{1}(X, f)$.
From (8), (9) and from Lemma 2.2(d) we obtain

$$
\begin{equation*}
\operatorname{dim}\left(M_{n}^{1}(X, f)\right)=\sum_{a_{j} \in M_{n}^{1}(X, f)} \omega(j) \tag{10}
\end{equation*}
$$

as $\# M_{n}^{1}(X, f) \leq N$. Further, by Proposition 3.2 we have the equality

$$
\begin{equation*}
\sum_{j=1}^{q} \delta_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1 \tag{11}
\end{equation*}
$$

and the relation $\delta_{n}\left(\boldsymbol{a}_{j}, f\right)=\mu_{n}\left(\boldsymbol{a}_{j}, f\right) \quad(j=1, \ldots, q)$, so that we have

$$
\begin{equation*}
D_{n}^{+}(X, f)=M_{n}^{+}(X, f) \quad \text { and } \quad D_{n}^{1}(X, f)=M_{n}^{1}(X, f) . \tag{12}
\end{equation*}
$$

From (6), (10) and (12) we obtain the equality

$$
n+1-\operatorname{dim}\left(D_{n}^{1}(X, f)\right)=\sum_{a_{j} \in D_{n}^{+}(X, f)-D_{n}^{1}(X, f)} \omega(j) \delta_{n}\left(\boldsymbol{a}_{j}, f\right),
$$

which is equal to

$$
\frac{1}{\theta}\left\{2 N-n+1-\# D_{n}^{1}(X, f)\right\}
$$

by (11) since $\theta \omega(j)=1$ for $j$ satisfying $\boldsymbol{a}_{j} \notin D_{n}^{1}(X, f)$ by Lemma 2.8. From this relation we obtain that

$$
\theta=\frac{2 N-n+1-\# D_{n}^{1}(X, f)}{n+1-\operatorname{dim}\left(D_{n}^{1}(X, f)\right)} .
$$

Note that $\operatorname{dim}\left(D_{n}^{1}(X, f)\right)=\operatorname{dim}\left(M_{n}^{1}(X, f)\right) \leq n$. As $\theta \leq(2 N-n+1) /(n+1)$ by Lemma 2.2(c), we obtain the inequality

$$
\begin{equation*}
\frac{\# D_{n}^{1}(X, f)}{\operatorname{dim}\left(D_{n}^{1}(X, f)\right)} \geq \frac{2 N-n+1}{n+1} \tag{13}
\end{equation*}
$$

As $\# D_{n}^{1}(X, f)=\# M_{n}^{1}(X, f) \leq N$, we have the inequality

$$
\begin{equation*}
\# D_{n}^{1}(X, f)-\operatorname{dim}\left(D_{n}^{1}(X, f)\right) \leq N-n \tag{14}
\end{equation*}
$$

by Lemma 2.1. From (13) and (14) we obtain that

$$
\# M_{n}^{1}(X, f)=\# D_{n}^{1}(X, f) \leq(2 N-n+1) / 2
$$

and

$$
\operatorname{dim}\left(M_{n}^{1}(X, f)\right)=\operatorname{dim}\left(D_{n}^{1}(X, f)\right) \leq(n+1) / 2
$$

which are to be proved.
Corollary 3.1. Suppose that $N>n \geq 2$. If either $\# M_{n}^{1}(X, f)>$ $(2 N-n+1) / 2$ or $\operatorname{dim}\left(M_{n}^{1}(X, f)\right)>(n+1) / 2$ holds, then the $\mu_{n}$-defect relation for $f$ over $X$ is not extremal.

Remark 3.1. When $n=1$, Theorem 3.2 or Corollary 3.1 does not hold as Example 6.2 shows.

## 4. Extremal case of the $\mu_{n}$-defect relation I: $n=2 m$

Let $f, X, \delta_{n}(\boldsymbol{a}, f), \mu_{n}(\boldsymbol{a}, f)$, etc. be as in Section 1, 2 or 3.
Lemma 4.1 (see [10, Theorem 5.1 and its proof ]). Suppose that
(i) $N>n=2 m(m \in N)$;
(ii) there exist vectors $\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q} \in X(q<\infty)$ satisfying

$$
\sum_{j=1}^{q} \delta_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1
$$

Then, there exists a non-empty subset $P_{0}$ of $Q=\{1,2, \ldots, q\}$ satisfying
(a) $d\left(P_{0}\right) / \# P_{0}<(n+1) /(2 N-n+1)$;
(b) $\delta_{n}\left(\boldsymbol{a}_{j}, f\right)=1\left(j \in P_{0}\right)$.

In particular,

$$
\#\left\{j \in Q \mid \delta_{n}\left(\boldsymbol{a}_{j}, f\right)=1\right\}>(2 N-n+1) /(n+1)
$$

Let $q=\# M_{n}^{+}(X, f)$ and $M_{n}^{+}(X, f)=\left\{\boldsymbol{a}_{1}, \boldsymbol{a}_{2}, \ldots, \boldsymbol{a}_{q}\right\}$. Then by (3.a) $q \leq(n+1)(2 N-n+1)$.

Theorem 4.1. Suppose that
(i) $N>n=2 m(m \in N)$;
(ii) $\sum_{j=1}^{q} \mu_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1$.

Then, there exists a non-empty subset $P_{0}$ of $Q=\{1,2, \ldots, q\}$ satisfying
(a) $d\left(P_{0}\right) / \# P_{0}<(n+1) /(2 N-n+1)$;
(b) $\mu_{n}\left(\boldsymbol{a}_{j}, f\right)=1\left(j \in P_{0}\right)$.

In particular,

$$
\#\left\{j \in Q \mid \mu_{n}\left(\boldsymbol{a}_{j}, f\right)=1\right\}>(2 N-n+1) /(n+1)
$$

Proof. We note that from (ii) and Theorem 1.D, the number $q$ must satisfy the inequality $2 N-n+1<q \leq(n+1)(2 N-n+1)$.

From Proposition 3.2(a), Lemma 4.1 and Proposition 3.2(b) we obtain this theorem.

Note 4.1. Let $P_{0}$ be the subset of $Q$ given in Theorem 4.1. Then, there are at least two vectors $\boldsymbol{a}$ and $\boldsymbol{b}$ in $\left\{\boldsymbol{a}_{j} \mid j \in P_{0}\right\}$ satisfying $\boldsymbol{a}=c \boldsymbol{b}(c \neq 0)$.

Proof. From the inequality

$$
\# P_{0}-d\left(P_{0}\right)>\left(\frac{2 N-n+1}{n+1}-1\right) d\left(P_{0}\right)=\frac{2(N-n)}{n+1} d\left(P_{0}\right)>0,
$$

we have that $\# P_{0} \geq d\left(P_{0}\right)+1$.
(a) When $d\left(P_{0}\right)=1$, our conclusion is trivial.
(b) When $d\left(P_{0}\right) \geq 2$. We suppose that $\boldsymbol{b}_{1}, \ldots, \boldsymbol{b}_{d\left(P_{0}\right)}$ are linearly independent vectors belonging to $\left\{\boldsymbol{a}_{j} \mid j \in P_{0}\right\}$. Then, any vector $\boldsymbol{a} \in\left\{\boldsymbol{a}_{j} \mid j \in P_{0}\right\}-$ $\left\{\boldsymbol{b}_{1}, \ldots, \boldsymbol{b}_{d\left(P_{0}\right)}\right\}$ can be represented by $\boldsymbol{b}_{1}, \ldots, \boldsymbol{b}_{d\left(P_{0}\right)}$ as a linear combination over $C$ :

$$
\boldsymbol{a}=c_{1} \boldsymbol{b}_{1}+\cdots+c_{d\left(P_{0}\right)} \boldsymbol{b}_{d\left(P_{0}\right)} .
$$

From this relation, we obtain

$$
\begin{equation*}
(\boldsymbol{a}, f)=\sum_{v=1}^{d\left(P_{0}\right)} c_{v}\left(\boldsymbol{b}_{v}, f\right) \tag{15}
\end{equation*}
$$

As $\boldsymbol{a}, \boldsymbol{b}_{1}, \ldots, \boldsymbol{b}_{d\left(P_{0}\right)} \in M_{n}^{1}(X, f)$, from (15) we obtain that there is $v_{0}$ $\left(1 \leq v_{0} \leq d\left(P_{0}\right)\right)$ such that

$$
(\boldsymbol{a}, f)=c_{v_{0}}\left(\boldsymbol{b}_{v_{0}}, f\right) \quad\left(c_{v_{0}} \neq 0\right)
$$

by a Borel's theorem (see [1, $1^{o}$, p. 19]). This relation reduces to $\boldsymbol{a}=c_{v_{0}} \boldsymbol{b}_{v_{0}}$ since $f$ is linearly non-degenerate over $\boldsymbol{C}$.

Corollary 4.1. Suppose that $N>n=2 m$. If any two vectors in $X$ are linearly independent, then for any linearly non-degenerate and transcendental holomorphic curve $f$ from $\boldsymbol{C}$ into $P^{n}(\boldsymbol{C})$, the $\mu_{n}$-defect relation for $f$ over $X$ is not extremal.
5. Extremal case of the $\mu_{n}$-defect relation II: $n=2 m-1$

Let $n$ be odd and $f, X, \delta_{n}(\boldsymbol{a}, f), \mu_{n}(\boldsymbol{a}, f)$, etc. be as in Section 1, 2 or 3. The purpose of this section is to give a result when the $\mu_{n}$-defect relation is extremal.

Lemma 5.1 ([13, Theorem 3.1]). Suppose that
(i) $N>n=2 m-1(m \in N)$;
(ii) $\delta_{n}\left(\boldsymbol{a}_{j}, f\right)>0(j=1, \ldots, q ; q<\infty)$ and

$$
\sum_{j=1}^{q} \delta_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1
$$

Then, for the set $Q=\{1, \ldots, q\}$, either (I) or (II) given below holds:
(I) $\#\left\{j \in Q \mid \delta_{n}\left(\boldsymbol{a}_{j}, f\right)=1\right\}>(2 N-n+1) /(n+1)$.
(II) $q$ is divisible by $N-m+1$ and for $p=q /(N-m+1)$, there are $m u$ tually disjoint subsets $M_{1}, \ldots, M_{p}$ of $Q$ satisfying
(a) $Q=\bigcup_{k=1}^{p} M_{k}$; (b) $d\left(M_{k}\right)=m, \# M_{k}=N-m+1(1 \leq k \leq p)$ and
(c) any $m$ elements of $\left\{\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q}\right\}$ are linearly independent.

By using this lemma, we obtain the following result when the $\mu_{n}$-defect relation is extremal. Let $q=\# M_{n}^{+}(X, f)$, then $q \leq(n+1)(2 N-n+1)$ by (3.a) and we put $M_{n}^{+}(X, f)=\left\{\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q}\right\}$.

Theorem 5.1. Suppose that
(i) $N>n=2 m-1(m \in N)$;
(ii) $\sum_{j=1}^{q} \mu_{n}\left(\boldsymbol{a}_{j}, f\right)=2 N-n+1$.

Then, for the set $Q=\{1, \ldots, q\}$, either (I) or (II) given below holds:
(I) $\#\left\{j \in Q \mid \mu_{n}\left(\boldsymbol{a}_{j}, f\right)=1\right\}>(2 N-n+1) /(n+1)$.
(II) $q$ is divisible by $N-m+1$ and for $p=q /(N-m+1)$, there are $m u$ tually disjoint subsets $M_{1}, \ldots, M_{p}$ of $Q$ satisfying
(a) $Q=\bigcup_{k=1}^{p} M_{k}$; (b) $d\left(M_{k}\right)=m, \# M_{k}=N-m+1(1 \leq k \leq p)$ and
(c) any $m$ elements of $\left\{\boldsymbol{a}_{1}, \ldots, \boldsymbol{a}_{q}\right\}$ are linearly independent.

Proof. By the assumptions (i), (ii) and Proposition 3.2 we can apply Lemma 5.1 to this case to obtain the result immediately.

Remark 5.1. The case (II) occurs. We shall give an example for (II) of this theorem when $m=1$ in Section 6.

## 6. Example

In this section we shall give some examples of holomorphic curves.
Example 6.1. There exists a transcendental holomorphic curve $f$ from $\boldsymbol{C}$ into $P^{n}(\boldsymbol{C})$ and a subset $X$ of $\boldsymbol{C}^{n+1}-\{\mathbf{0}\}$ in $N$-subgeneral position whose $\mu_{k}$-defect relation over $X$ is divergent when $1 \leq k \leq n-1$, where $N>n \geq 2$.

Proof. We apply the method used in [5]. Let $h_{1}$ and $h_{2}$ be entire functions without common zeros such that the meromorphic function $h=h_{1} / h_{2}$ is transcendental. We put

$$
f_{j+1}={ }_{n} C_{j} h_{1}^{n-j} h_{2}^{j} \quad(j=0, \ldots, n) .
$$

Then, (a) $f_{1}, \ldots, f_{n+1}$ are entire functions without common zeros.
(b) $f_{1}, \ldots, f_{n+1}$ are linearly independent over $\boldsymbol{C}$.
(c) For the curve $f=\left[f_{1}, \ldots, f_{n+1}\right]$, we have the relation $T(r, f)=n T(r, h)+$ $O(1)$, so that $f$ is transcendental.

For $\boldsymbol{e}_{1}=(1,0, \ldots, 0) \in \boldsymbol{C}^{n+1}$, let

$$
X_{0}=\left\{\left(a^{n}, a^{n-1}, \ldots, a, 1\right) \mid a \in \boldsymbol{C}\right\} \cup\left\{\boldsymbol{e}_{1}\right\}
$$

and

$$
X=X_{0} \cup\left\{v \boldsymbol{e}_{1} \mid v=2, \ldots, N-n+1\right\} .
$$

Then, (d) $X_{0}$ is in general position and $X$ is in $N$-subgeneral position.
Here, we prove (a), (b), (c) and (d) briefly.
(a) As $h_{1}$ and $h_{2}$ have no common zeros, $h_{1}^{n}$ and $h_{2}^{n}$ have no common zeros, so that $f_{1}, \ldots, f_{n+1}$ are entire functions without common zeros.
(b) Let $\alpha_{1} f_{1}+\alpha_{2} f_{2}+\cdots+\alpha_{n+1} f_{n+1}=0$. Then, we have

$$
\begin{equation*}
h_{2}^{n}\left(\alpha_{1} h^{n}+\alpha_{2 n} C_{1} h^{n-1}+\cdots+\alpha_{n n} C_{n-1} h+\alpha_{n+1}\right)=0 . \tag{16}
\end{equation*}
$$

As $h$ is transcendental and meromorphic, $h^{n}, \ldots, h, 1$ are linearly independent over $\boldsymbol{C}$, so that from (16)

$$
\alpha_{1}=\alpha_{2 n} C_{1}=\cdots=\alpha_{n n} C_{n-1}=\alpha_{n+1}=0 ;
$$

namely $\alpha_{1}=\alpha_{2}=\cdots=\alpha_{n}=\alpha_{n+1}=0$. This means that $f_{1}, \ldots, f_{n+1}$ are linearly independent over $\boldsymbol{C}$.
(c) By using the following inequality for a positive constant $K$

$$
\begin{aligned}
\max \left\{\left|h_{1}(z)\right|^{n},\left|h_{2}(z)\right|^{n}\right\} \leq U(z) & =\max _{1 \leq j \leq n+1}\left|f_{j}(z)\right| \\
& =\max _{0 \leq j \leq n} C_{j}\left|h_{1}(z)\right|^{n-j}\left|h_{2}(z)\right|^{j} \\
& \leq K \max \left\{\left|h_{1}(z)\right|^{n},\left|h_{2}(z)\right|^{n}\right\}
\end{aligned}
$$

we have the relation

$$
\begin{aligned}
T(r, f) & =\frac{1}{2 \pi} \int_{0}^{2 \pi} \log U\left(r e^{i \theta}\right) d \theta+O(1) \\
& =\frac{1}{2 \pi} \int_{0}^{2 \pi} \log \max \left\{\left|h_{1}\left(r e^{i \theta}\right)\right|^{n},\left|h_{2}\left(r e^{i \theta}\right)\right|^{n}\right\} d \theta+O(1) \\
& =\frac{n}{2 \pi} \int_{0}^{2 \pi} \log \max \left\{\left|h_{1}\left(r e^{i \theta}\right)\right|,\left|h_{2}\left(r e^{i \theta}\right)\right|\right\} d \theta+O(1) \\
& =n T(r, h)+O(1) .
\end{aligned}
$$

(d) As any $n+1$ vectors in $X_{0}$ are linearly independent, $X_{0}$ is in general position.

Let $A$ be any subset of $X$ containing $N+1$ elements. As $\#\left\{A \cap X_{0}\right\} \geq$ $n+1$, the set $A$ contains $n+1$ linearly independent vectors. The holomorphic curve $f, X_{0}$ and $X$ satisfy Example 6-1. In fact, we put $a(a)=$ $\left(a^{n}, a^{n-1}, \ldots, a, 1\right)(a \in \boldsymbol{C})$. Then, for any $a \in \boldsymbol{C}$ the multiplicity of $\boldsymbol{a}(a)$ with respect to $f$ is at least $n$ since

$$
\begin{aligned}
(\boldsymbol{a}(a), f) & =a^{n} f_{1}+a^{n-1} f_{2}+\cdots+a f_{n}+f_{n+1} \\
& =\left(a h_{1}\right)^{n}+{ }_{n} C_{1}\left(a h_{1}\right)^{n-1} h_{2}+\cdots+{ }_{n} C_{n-1}\left(a h_{1}\right) h_{2}^{n-1}+h_{2}^{n}=\left(a h_{1}+h_{2}\right)^{n} .
\end{aligned}
$$

Let $m(a)$ be the multiplicity of $\boldsymbol{a}(a)$ with respect to $f$, then, $m(a) \geq n$ and we have that for $1 \leq k \leq n-1$

$$
\begin{equation*}
\mu_{k}(\boldsymbol{a}(a), f)=\left(1-\frac{k}{m(a)}\right)^{+} \geq 1-\frac{k}{n} \geq \frac{1}{n} \tag{17}
\end{equation*}
$$

for any $a \in \boldsymbol{C} . \quad$ By (17) we have that for $1 \leq k \leq n-1$

$$
\infty=\sum_{a \in \boldsymbol{C}} \mu_{k}(\boldsymbol{a}(a), f) \leq \sum_{\boldsymbol{a} \in X_{0}} \mu_{k}(\boldsymbol{a}, f) \leq \sum_{\boldsymbol{a} \in X} \mu_{k}(\boldsymbol{a}, f) .
$$

Example 6.2. Let $f=\left[e^{z}, 1\right]$ and $X=\{v(a, 1) \mid a \in \boldsymbol{C} ; v=1, \ldots, N\} \cup$ $\{v(1,0) \mid v=1, \ldots, N\}$. Then, $f$ is transcendental from $\boldsymbol{C}$ into $P^{1}(\boldsymbol{C})$ and $X$ is in $N$-subgeneral position, where $N>1$. In this case, $n=1$. We put $\boldsymbol{a}_{v}=v(1,0)$ and $\boldsymbol{b}_{v}=v(0,1)$ for $v=1, \ldots, N$. Then, we obtain that for $v=1, \ldots, N$

$$
\mu_{1}\left(\boldsymbol{a}_{v}, f\right)=\mu_{1}\left(\boldsymbol{b}_{v}, f\right)=1,
$$

and so

$$
\sum_{\boldsymbol{a} \in X} \mu_{1}(\boldsymbol{a}, f)=\sum_{v=1}^{N}\left\{\mu_{1}\left(\boldsymbol{a}_{v}, f\right)+\mu_{1}\left(\boldsymbol{b}_{v}, f\right)\right\}=2 N .
$$

Example 6.3. Let $f=[\cos z, 1]$ and $X=\{v(a, 1) \mid a \in \boldsymbol{C} ; v=1, \ldots, N\} \cup$ $\{v(1,0) \mid v=1, \ldots, N\}$. Then, $f$ is transcendental from $\boldsymbol{C}$ into $P^{1}(\boldsymbol{C})$ and $X$ is in $N$-subgeneral position, where $N>1$. In this case, $n=1$. We put for $v=1, \ldots, N$

$$
\boldsymbol{a}_{v}=v(1,1), \quad \boldsymbol{b}_{v}=v(-1,1) \quad \text { and } \quad \boldsymbol{c}_{v}=v(0,1)
$$

Then, we obtain that for $v=1, \ldots, N$

$$
\mu_{1}\left(\boldsymbol{a}_{v}, f\right)=\mu_{1}\left(\boldsymbol{b}_{v}, f\right)=1 / 2 \quad \text { and } \quad \mu_{1}\left(\boldsymbol{c}_{v}, f\right)=1,
$$

and so

$$
\sum_{\boldsymbol{a} \in X} \mu_{1}(\boldsymbol{a}, f)=\sum_{v=1}^{N}\left\{\mu_{1}\left(\boldsymbol{a}_{v}, f\right)+\mu_{1}\left(\boldsymbol{b}_{v}, f\right)+\mu_{1}\left(\boldsymbol{c}_{v}, f\right)\right\}=2 N .
$$

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