

NOTES ON CONFORMAL CHANGES OF RIEMANNIAN METRICS

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§ 0. Introduction.

Let M be an n -dimensional connected differentiable manifold of class C^∞ and g a Riemannian metric on M . We denote by (M, g) the Riemannian manifold with metric tensor g . If the angles between two vectors with respect to g and g^* are always equal at each point of the manifold, the Riemannian metrics g and g^* on M are said to be conformally related, or to be conformal to each other. It is known that the necessary and sufficient condition for g and g^* of M to be conformal to each other is that there exists a function ρ on M such that $g^* = e^{2\rho}g$. We call such a change of metric $g \rightarrow g^*$ a conformal change of Riemannian metric.

Let (M, g) and (M', g') be two Riemannian manifolds and $\pi: M \rightarrow M'$ a diffeomorphism. Then $g^* = \pi^{-1}g'$ is a Riemannian metric on M . When g and g^* are conformally related, that is, when there exists a function ρ on M such that $g^* = e^{2\rho}g$, we call $\pi: (M, g) \rightarrow (M', g')$ a conformal transformation. In particular, $\rho = \text{constant}$, then π is called a homothetic transformation or a homothety and if $\rho = 0$, π is called an isometric transformation or an isometry.

The group of all conformal transformations of (M, g) on itself is called a conformal transformation group, that of all homothetic transformations a homothetic transformation group and that of all isometric transformations an isometry group. Let M be covered by a system of coordinate neighborhoods $\{U; x^h\}$ and g_{ji} components of the metric tensor g of M with respect to this coordinate system, where and in the sequel the indices h, i, j, k, \dots run over the range $\{1, 2, 3, \dots, n\}$. Let $\nabla_i, K_{kji}{}^h, K_{ji}$ and K be the operator of covariant differentiation with respect to Christoffel symbols $\{j_i^h\}$ formed with g_{ji} , the curvature tensor, the Ricci tensor and the scalar curvature respectively.

If a vector field v^h defines an infinitesimal conformal transformation, then v^h satisfies

$$\mathcal{L}_v g_{ji} = \nabla_j v_i + \nabla_i v_j = 2\rho g_{ji},$$

where \mathcal{L}_v denotes the operator of Lie differentiation with respect to v^h , $v_i = g_{ih}v^h$ and

$$\rho = \frac{1}{n} \nabla_i v^i.$$

If v^h defines an infinitesimal homothetic transformation, then ρ is a constant

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and if v^h defines an infinitesimal isometry, then ρ is zero.

One of the present authors (Yano [12]) proved

THEOREM A. *If a compact orientable Riemannian manifold M of dimension $n > 2$ with $K = \text{constant}$ admits an infinitesimal non-homothetic conformal transformation v^h : $\mathcal{L}_v g_{ji} = 2\rho g_{ji}$, $\rho \neq \text{constant}$ such that*

$$\int_M G_{ji} \rho^j \rho^i dV \geq 0,$$

where

$$G_{ji} = K_{ji} - \frac{1}{n} K g_{ji}$$

and $\rho_i = \nabla_i \rho$, $\rho^h = \rho_i g^{ih}$, dV being the volume element of M , then M is isometric to a sphere.

THEOREM B. *If a compact Riemannian manifold M of dimension $n > 2$ with scalar curvature $K = \text{constant}$ admits an infinitesimal non-homothetic conformal transformation v^h such that*

$$\mathcal{L}_v(G_{ji} G^{ji}) = 0,$$

or

$$\mathcal{L}_v(Z_{kji} Z^{kji}) = 0,$$

where

$$Z_{kji}{}^h = K_{kji}{}^h - \frac{1}{n(n-1)} K (\delta_k^h g_{ji} - \delta_j^h g_{ki}),$$

then M is isometric to a sphere.

These theorems cover those of Goldberg and Kobayashi [2], [3], [4], Hsiung [6], [7], [8], and Lichnerowicz [10]. For further generalizations of Theorem A and B, see Yano and Sawaki [16].

One of the present authors (Yano [14]) proved

THEOREM C. *If M is a compact orientable Riemannian manifold of dimension $n > 2$ and admits an infinitesimal non-homothetic conformal transformation v^h : $\mathcal{L}_v g_{ji} = 2\rho g_{ji}$, $\rho \neq \text{constant}$ such that*

$$\mathcal{L}_v K = 0$$

and

$$\int_M \left[K_{ji} \rho^j \rho^i - \frac{1}{n(n-1)} K^2 \rho^2 \right] dV \geq 0,$$

then M is conformal to a sphere.

THEOREM D. *If M is a compact orientable Riemannian manifold of dimension $n > 2$ and admits an infinitesimal non-homothetic conformal transformation v^h : $\mathcal{L}_v g_{ji} = 2\rho g_{ji}$, $\rho \neq \text{constant}$ such that*

$$\begin{cases} \mathcal{L}_v K = 0, & \mathcal{L}_v(G_{ji}G^{ji}) = 0, \\ \frac{1}{n-1} \int_M K^2 \rho^2 dV \leq \int_M K \rho_i \rho^i dV, \end{cases}$$

or

$$\begin{cases} \mathcal{L}_v K = 0, & \mathcal{L}_v(Z_{kjih}Z^{kjih}) = 0, \\ \frac{1}{n-1} \int_M K^2 \rho^2 dV \leq \int_M K \rho_i \rho^i dV, \end{cases}$$

then M is isometric to a sphere.

The Riemannian manifolds with scalar curvature not necessarily constant admitting an infinitesimal non-homothetic conformal transformation have been studied by the present authors (Yano and Sawaki [17]).

On the other hand, Goldberg and one of the present authors (Goldberg and Yano [5]) proved

THEOREM E. *Let (M, g) be a compact Riemannian manifold with scalar curvature $K = \text{constant}$ and admitting a non-homothetic conformal change $g^* = e^{2\rho}g$ of metric such that $K^* = K$. Then if*

$$\int_M u^{-n+1} G_{ji} u^j u^i dV \geq 0,$$

where $u = e^{-\rho}$, $u_i = \nabla_i u$, $u^h = u_i g^{ih}$, then M is isometric to a sphere.

Generalizing this theorem, Obata and one of the present authors (Yano and Obata [15]) proved

THEOREM F. *If a compact orientable Riemannian manifold M of dimension $n > 2$ admits a conformal change of metric such that*

$$\int_M (\Delta u) K dV = 0, \quad G^*_{ji} G^{*ji} = u^4 G_{ji} G^{ji},$$

where $\Delta u = g^{ji} \nabla_j \nabla_i u$, then M is conformal to a sphere.

THEOREM G. *If a compact Riemannian manifold M of dimension $n > 2$ and with $K = \text{constant}$ admits a conformal change of metric such that*

$$G^*_{ji} G^{*ji} = u^4 G_{ji} G^{ji},$$

then M is isometric to a sphere.

THEOREM H. *If a compact orientable Riemannian manifold M of dimension $n > 2$ admits a conformal change of metric such that*

$$\int_M (\Delta u) K dV = 0, \quad Z^*_{kji} Z^{*kji} = u^4 Z_{kji} Z^{kji},$$

then M is conformal to a sphere.

THEOREM I. *If a compact Riemannian manifold M of dimension $n > 2$ and with $K = \text{constant}$ admits a conformal change of metric such that*

$$Z^*_{kji} Z^{*kji} = u^4 Z_{kji} Z^{kji},$$

then M is isometric to a sphere.

THEOREM J. *If a compact orientable Riemannian manifold M of dimension $n > 2$ admits a conformal change of metric such that*

$$\int_M (\Delta u) K dV = 0, \quad W^*_{kji} W^{*kji} = u^4 W_{kji} W^{kji},$$

$$a + (n-2)b \neq 0,$$

where

$$W_{kji}{}^h = aZ_{kji}{}^h + b(\delta_k^h G_{ji} - \delta_j^h G_{ki} + G_k{}^h g_{ji} - G_j{}^h g_{ki}),$$

a and b being constant, then M is conformal to a sphere.

THEOREM K. *If a compact orientable Riemannian manifold M of dimension $n > 2$ and with $K = \text{constant}$ admits a conformal change of metric such that*

$$W^*_{kji} W^{*kji} = u^4 W_{kji} W^{kji}, \quad a + (n-2)b \neq 0,$$

then M is isometric to a sphere.

THEOREM L. *If a compact Riemannian manifold M of dimension $n \geq 2$ admits a conformal change of metric such that*

$$K^* = K, \quad \mathcal{L}_{Du} K = 0, \quad \int_M u^{-n+1} G_{ji} u^j u^i dV \geq 0,$$

where \mathcal{L}_{Du} denotes the Lie derivative with respect to $u^h = g^{hi} \nabla_i u$, then M is isometric to a sphere.

THEOREM M. *If a compact Riemannian manifold M of dimension $n > 2$ admits a conformal change of metric such that*

$$K^* = K, \quad \mathcal{L}_{Du} K = 0, \quad G^*_{ji} G^{ji} = G_{ji} G^{ji},$$

then M is isometric to a sphere.

THEOREM N. *If a compact Riemannian manifold M of dimension $n > 2$ admits a conformal change of metric such that*

$$K^* = K, \quad \mathcal{L}_{Du}K = 0, \quad Z^*_{kjih} Z^{*kjih} = Z_{kjih} Z^{kjih},$$

then M is isometric to a sphere.

THEOREM O. *If a compact Riemannian manifold M of dimension $n > 2$ admits a conformal change of metric such that*

$$K^* = K, \quad \mathcal{L}_{Du}K = 0, \quad W^*_{kjih} W^{*kjih} = W_{kjih} W^{kjih}, \\ a + (n-2)b \neq 0,$$

then M is isometric to a sphere.

To prove these theorems, they used

THEOREM P. (Obata [11]) *If a complete Riemannian manifold M of dimension $n \geq 2$ admits a non-constant function u such that*

$$\nabla_j \nabla_i u = -c^2 u g_{ji},$$

where c is a positive constant, then M is isometric to a sphere of radius $1/c$ in $(n+1)$ -dimensional Euclidean space.

THEOREM Q. (Yano and Obata [15]) *If a complete Riemannian manifold M of dimension $n \geq 2$ admits a non-constant function u such that*

$$\mathcal{L}_{Du}K = 0, \quad \nabla_j \nabla_i u - \frac{1}{n} \Delta u g_{ji} = 0,$$

then M is isometric to a sphere.

The main purpose of the present paper is to get generalizations of Theorems F~O.

We assume that the Riemannian manifold M we consider is compact and orientable. If M is not orientable, we have only to take an orientable double covering space of M .

§ 1. Preliminaries (See also Yano and Obata [15]).

We consider a conformal change

$$(1.1) \quad g^*_{ji} = e^{2\varphi} g_{ji}$$

of the metric of a Riemannian manifold M and denote by Ω^* the quantity formed with g^* by the same rule as that Ω is formed with g .

First of all we have

$$(1.2) \quad \left\{ \begin{matrix} h \\ j i \end{matrix} \right\}^* = \left\{ \begin{matrix} h \\ j i \end{matrix} \right\} + \delta_j^h \rho_i + \delta_i^h \rho_j - g_{ji} \rho^h,$$

where

$$\rho_i = \nabla_i \rho, \quad \rho^h = \rho_i g^{ih},$$

from which

$$(1.3) \quad K^*_{kji}{}^h = K_{kji}{}^h - \delta_k^h \rho_{ji} + \delta_j^h \rho_{ki} - \rho_k^h g_{ji} + \rho_j^h g_{ki},$$

where

$$\rho_{ji} = \nabla_j \rho_i - \rho_j \rho_i + \frac{1}{2} \rho_i \rho^t g_{jt}, \quad \rho_j^h = \rho_{ji} g^{th},$$

and consequently

$$(1.4) \quad K^*_{ji} = K_{ji} - (n-2)\rho_{ji} - \rho^t g_{jt},$$

$$(1.5) \quad e^{2\rho} K^* = K - 2(n-1)\rho^t,$$

where

$$\rho^t = \Delta \rho + \frac{n-2}{2} \rho_i \rho^t, \quad \Delta \rho = g^{ji} \nabla_j \rho_i.$$

We also have

$$(1.6) \quad G^*_{ji} = G_{ji} - (n-2)(\nabla_j \rho_i - \rho_j \rho_i) + \frac{n-2}{n} (\Delta \rho - \rho_i \rho^t) g_{ji},$$

$$(1.7) \quad \begin{aligned} Z^*_{kji}{}^h &= Z_{kji}{}^h - \delta_k^h (\nabla_j \rho_i - \rho_j \rho_i) + \delta_j^h (\nabla_k \rho_i - \rho_k \rho_i) - (\nabla_k \rho^h - \rho_k \rho^h) g_{ji} \\ &\quad + (\nabla_j \rho^h - \rho_j \rho^h) g_{ki} + \frac{2}{n} (\Delta \rho - \rho_i \rho^t) (\delta_k^h g_{ji} - \delta_j^h g_{ki}), \end{aligned}$$

$$(1.8) \quad \begin{aligned} W^*_{kji}{}^h &= W_{kji}{}^h + \{a + (n-2)b\} \{ -\delta_k^h (\nabla_j \rho_i - \rho_j \rho_i) + \delta_j^h (\nabla_k \rho_i - \rho_k \rho_i) \\ &\quad - (\nabla_k \rho^h - \rho_k \rho^h) g_{ji} + (\nabla_j \rho^h - \rho_j \rho^h) g_{ki} + \frac{2}{n} (\Delta \rho - \rho_i \rho^t) (\delta_k^h g_{ji} - \delta_j^h g_{ki}) \}. \end{aligned}$$

If we put

$$(1.9) \quad u = e^{-\rho}, \quad u_i = \nabla_i u,$$

then we have

$$(1.10) \quad \nabla_j u_i = -u (\nabla_j \rho_i - \rho_j \rho_i),$$

$$(1.11) \quad \Delta u = -u (\Delta \rho - \rho_i \rho^t),$$

and consequently

$$(1.12) \quad K^* = u^2 K + 2(n-1)u\Delta u - n(n-1)u_i u^i,$$

$$(1.13) \quad G^*_{ji} = G_{ji} + (n-2)P_{ji},$$

$$(1.14) \quad Z^*_{kji}{}^h = Z_{kji}{}^h + Q_{kji}{}^h,$$

$$(1.15) \quad W^*_{kji}{}^h = W_{kji}{}^h + \{a + (n-2)b\}Q_{kji}{}^h,$$

where

$$(1.16) \quad P_{ji} = u^{-1} \left(\nabla_j u_i - \frac{1}{n} \Delta u g_{ji} \right),$$

$$(1.17) \quad Q_{kji}{}^h = \delta_k^h P_{ji} - \delta_j^h P_{ki} + P_k{}^h g_{ji} - P_j{}^h g_{ki},$$

and

$$P_j{}^h = P_{ji} g^{ih}.$$

From (1.16) and (1.17), we find

$$(1.18) \quad P_{ji} P^{ji} = u^{-2} \left\{ (\nabla_j u_i)(\nabla^j u^i) - \frac{1}{n} (\Delta u)^2 \right\}$$

and

$$(1.19) \quad Q_{kjih} Q^{kjih} = 4(n-2)P_{ji} P^{ji}$$

respectively.

We also have, from (1.13), (1.14) and (1.15).

$$(1.20) \quad G^*_{ji} G^{*ji} = u^4 \{ G_{ji} G^{ji} + 2(n-2)G_{ji} P^{ji} + (n-2)^2 P_{ji} P^{ji} \},$$

$$(1.21) \quad Z^*_{kjih} Z^{*kjih} = u^4 \{ Z_{kjih} Z^{kjih} + 8G_{ji} P^{ji} + 4(n-2)P_{ji} P^{ji} \},$$

and

$$(1.22) \quad W^*_{kjih} W^{*kjih} = u^4 \{ W_{kjih} W^{kjih} + 8(a + (n-2)b)^2 G_{ji} P^{ji} \\ + 4(n-2)(a + (n-2)b)^2 P_{ji} P^{ji} \}$$

respectively.

For the expression $G^*_{ji} P^{ji}$, we have, from (1.16),

$$(1.23) \quad G^*_{ji} P^{ji} = u^{-1} G_{ji} \nabla^j u^i,$$

where $\nabla^j = g^{ji} \nabla_i$.

First of all, we prove

PROPOSITION 1.1. *If a compact orientable Riemannian manifold M of dimension n with $K = \text{constant} > 0$ admits a conformal change of metric such that*

$$(1.24) \quad K^* \geq K = \text{constant} > 0,$$

then

(i) for $n \geq 2$, we have

$$\dots \geq \int_M u^p dV \geq \int_M u^{p-1} dV \geq \dots \geq \int_M u dV \geq \int_M dV \geq \int_M u^{-1} dV.$$

If the equality holds somewhere then the conformal change is homothetic.

(ii) for $n \geq 4$, we have

$$\int_M u dV \geq \int_M u^{-3} dV.$$

If the equality holds, the conformal change is homothetic.

Proof. (i) We have, from (1.12),

$$\Delta u = \frac{1}{2(n-1)} (K^* u^{-1} - K u) + \frac{1}{2} n u^{-1} u_i u^i,$$

and consequently, using the assumption $K^* \geq K$,

$$(1.24) \quad \Delta u \geq \frac{1}{2(n-1)} K(u^{-1} - u) + \frac{1}{2} n u^{-1} u_i u^i.$$

Multiplying by $(1+u)^{-1}$ and integrating over M , we find

$$\int_M (1+u)^{-1} \Delta u dV \geq \frac{1}{2(n-1)} \int_M K(u^{-1} - 1) dV + \frac{n}{2} \int_M u^{-1} (1+u)^{-1} u_i u^i dV.$$

Noting that

$$\begin{aligned} \int_M (1+u)^{-1} \Delta u dV &= \int_M (1+u)^{-1} \nabla_i u^i dV \\ &= \int_M (1+u)^{-2} u_i u^i dV, \end{aligned}$$

we have

$$\begin{aligned} \int_M (1+u)^{-2} u_i u^i dV &\geq \frac{1}{2(n-1)} \int_M K(u^{-1} - 1) dV + \frac{n}{2} \int_M u^{-1} (1+u)^{-1} u_i u^i dV, \\ \int_M \left\{ u - \frac{n}{2} (1+u) \right\} u^{-1} (1+u)^{-2} u_i u^i dV &\geq \frac{K}{2(n-1)} \int_M (u^{-1} - 1) dV, \\ (1.25) \quad \int_M \left\{ -\frac{n-2}{2} u - \frac{n}{2} \right\} u^{-1} (1+u)^{-2} u_i u^i dV &\geq \frac{K}{2(n-1)} \int_M (u^{-1} - 1) dV, \end{aligned}$$

from which

$$0 \geq \int_M (u^{-1} - 1) dV$$

that is,

$$\int_M (1 - u^{-1}) dV \geq 0.$$

On the other hand, we have

$$\int_M \{(u^p - u^{p-1}) - (u^{p-1} - u^{p-2})\} dV = \int_M u^{p-2} (u-1)^2 dV \geq 0,$$

and consequently

$$\int_M (u^p - u^{p-1}) dV \geq \int_M (u^{p-1} - u^{p-2}) dV.$$

But, we know that

$$\int_M (1 - u^{-1}) dV \geq 0,$$

and consequently

$$\begin{aligned} \int_M (u^p - u^{p-1}) dV &\geq \int_M (u^{p-1} - u^{p-2}) dV \geq \dots \geq \int_M (u^2 - u) dV \\ (1.26) \quad &\geq \int_M (u-1) dV \geq \int_M (1 - u^{-1}) dV \geq 0, \end{aligned}$$

from which

$$\int_M u^p dV \geq \int_M u^{p-1} dV \geq \dots \geq \int_M u dV \geq \int_M dV \geq \int_M u^{-1} dV.$$

We assume that the equality holds for a fixed p :

$$\int_M u^p dV = \int_M u^{p-1} dV.$$

Then, from (1.26), we have

$$\int_M (1 - u^{-1}) dV = 0$$

and consequently, from (1.25),

$$u_i u^i = 0, \quad u_i = 0$$

from which

$$u = \text{constant}$$

and hence the conformal change is homothetic.

(ii) Multiplying (1. 24) by $(1+u^{-2})$ and integrating over M , we find

$$\begin{aligned} \int_M u^{-2} \Delta u dV &\cong \frac{K}{2(n-1)} \int_M (u^{-3} - u) dV + \frac{n}{2} \int_M (u^{-1} + u^{-3}) u_i u^i dV, \\ (1. 27) \quad & - \frac{n-4}{2} \int_M u^{-3} u_i u^i dV - \frac{n}{2} \int_M u^{-1} u_i u^i dV \\ &\cong \frac{K}{2(n-1)} \int_M (u^{-3} - u) dV, \end{aligned}$$

from which, for $n \geq 4$,

$$\int_M (u^{-3} - u) dV \leq 0,$$

and consequently

$$\int_M u dV \cong \int_M u^{-3} dV.$$

If the equality holds, we have, from (1. 27),

$$\int_M u^{-1} u_i u^i dV = 0,$$

from which

$$u_i u^i = 0, \quad u_i = \text{constant}$$

and consequently

$$u = \text{constant}$$

and the conformal change is homothetic.

§ 2. Lemmas.

LEMMA 2. 1. *Suppose that a compact orientable Riemannian manifold M admits a conformal change of metric such that*

$$\mathcal{L}_{D_u} K = 0, \quad \mathcal{L}_{D_u} K^* = 0,$$

then

$$\begin{aligned}
& \int_M u^{p-1} G_{ji} u^j u^i dV + \int_M u^{p+1} P_{ji} P^{ji} dV \\
\text{(i)} \quad & = -(n+p-2) \left[\int_M u^{p-2} (\nabla_j u_i) u^j u^i dV \right. \\
& \quad \left. + \frac{1}{2n(n-1)} \int_M (u^{p-1} K - u^{p-2} K^*) u_i u^i dV + \frac{1}{2} \int_M u^{p-3} (u_i u^i)^2 dV \right]. \\
\text{(ii)} \quad & \int_M u^{-n+1} G_{ji} u^j u^i dV + \int_M u^{-n+3} P_{ji} P^{ji} dV = 0.
\end{aligned}$$

Proof. (i) From (1.18), we have

$$u^2 P_{ji} P^{ji} = (\nabla_j u_i) (\nabla^j u^i) - \frac{1}{n} (\Delta u)^2,$$

from which, multiplying by u^{p-1} and integrating over M ,

$$\begin{aligned}
\int_M u^{p+1} P_{ji} P^{ji} dV &= \int_M u^{p-1} (\nabla_j u_i) (\nabla^j u^i) dV - \frac{1}{n} \int_M u^{p-1} (\nabla_i u^i) (\Delta u) dV \\
&= -(p-1) \int_M u^{p-2} (\nabla_j u_i) u^j u^i dV - \int_M u^{p-1} (\nabla^j \nabla_j u_i) u^i dV \\
&\quad + \frac{1}{n} (p-1) \int_M u^{p-2} u_i u^i \Delta u dV + \frac{1}{n} \int_M u^{p-1} u^i \nabla_i \Delta u dV \\
&= -(p-1) \int_M u^{p-2} (\nabla_j u_i) u^j u^i dV - \int_M u^{p-1} (K_{ji} u^j u^i + u^i \nabla_i \Delta u) dV \\
&\quad + \frac{1}{n} (p-1) \int_M u^{p-2} u_i u^i \Delta u dV + \frac{1}{n} \int_M u^{p-1} u^i \nabla_i \Delta u dV,
\end{aligned}$$

where we have used

$$(\nabla^j \nabla_j u_i) u^i = K_{ji} u^j u^i + u^i \nabla_i \Delta u.$$

Therefore we have

$$\begin{aligned}
\int_M u^{p+1} P_{ji} P^{ji} dV &= -(p-1) \int_M u^{p-2} (\nabla_j u_i) u^j u^i dV \\
\text{(2.1)} \quad & - \int_M u^{p-1} K_{ji} u^j u^i dV - \frac{n-1}{n} \int_M u^{p-1} u^i \nabla_i \Delta u dV \\
& + \frac{p-1}{n} \int_M u^{p-2} u_i u^i \Delta u dV.
\end{aligned}$$

Substituting

$$\Delta u = \frac{1}{2(n-1)}(K^*u^{-1} - Ku) + \frac{1}{2}nu^{-1}u_iu^i$$

into (2.1), we have

$$\begin{aligned} & \int_M u^{p+1}P_{ji}P^{ji}dV \\ &= -(p-1)\int_M u^{p-2}(\nabla_j u_i)u^j u^i dV - \int_M u^{p-1}K_{ji}u^j u^i dV \\ & \quad - \frac{n-1}{n}\int_M u^{p-1}u^i \nabla_i \left\{ \frac{1}{2(n-1)}(K^*u^{-1} - Ku) + \frac{1}{2}nu^{-1}u_iu^i \right\} dV \\ & \quad + \frac{p-1}{n}\int_M u^{p-2}u_iu^i \left\{ \frac{1}{2(n-1)}(K^*u^{-1} - Ku) + \frac{1}{2}nu^{-1}u_iu^i \right\} dV \\ &= -(p-1)\int_M u^{p-2}(\nabla_j u_i)u^j u^i dV - \int_M u^{p-1}K_{ji}u^j u^i dV \\ & \quad - \frac{n-1}{n}\int_M u^{p-1} \left\{ \frac{1}{2(n-1)}(-K^*u^{-2} - K)u_iu^i + nu^{-1}(\nabla_j u_i)u^j u^i \right. \\ & \quad \quad \quad \left. - \frac{1}{2}nu^{-2}(u_iu^i)^2 \right\} dV \\ & \quad - \frac{n-1}{n}\int_M u^{p-1} \left\{ \frac{1}{2(n-1)}(u^{-1}\mathcal{L}_{Du}K^* - u\mathcal{L}_{Du}K) \right\} dV \\ & \quad + \frac{p-1}{n}\int_M u^{p-2}u_iu^i \left\{ \frac{1}{2(n-1)}(K^*u^{-1} - Ku) + \frac{1}{2}nu^{-1}u_iu^i \right\} dV \\ &= \{-(p-1) - (n-1)\} \int_M u^{p-2}(\nabla_j u_i)u^j u^i dV - \int_M u^{p-1} \left(K_{ji} - \frac{1}{n}Kg_{ji} \right) u^j u^i dV \\ & \quad + \left\{ \frac{1}{2n} + \frac{p-1}{2n(n-1)} \right\} \int_M u^{p-1}(K^*u^{-2} - K)u_iu^i dV \\ & \quad \quad \quad + \left\{ \frac{n-1}{2} + \frac{p-1}{2} \right\} \int_M u^{p-3}(u_iu^i)^2 dV, \end{aligned}$$

and consequently

$$\begin{aligned} & \int_M u^{p-1}G_{ji}u^j u^i dV + \int_M u^{p+1}P_{ji}P^{ji}dV \\ &= -(n+p-2) \left[\int_M u^{p-2}(\nabla_j u_i)u^j u^i dV + \frac{1}{2n(n-1)} \int_M (Ku^{p-1} - K^*u^{p-3})u_iu^i dV \right. \\ & \quad \quad \quad \left. - \frac{1}{2} \int_M u^{p-3}(u_iu^i)^2 dV \right]. \end{aligned}$$

(ii) We put $n+p-2=0$, that is

$$p-1=-n+1, \quad p+1=-n+3$$

in the equation above, then we get the equation to be proved.

LEMMA 2.2. *Suppose that a compact orientable Riemannian manifold M admits a conformal change of metric such that*

(i) $\mathcal{L}_{Du}K=0$, then

$$\int_M (u^{-3}\lambda^* - u\lambda)dV = (n-2)^2 \int_M uP_{ji}P^{ji}dV,$$

(ii) $\mathcal{L}_{Du}K=0$, $\mathcal{L}_{Du}K^*=0$, then

$$\int_M (u^{-n+3}\lambda - u^{-n-1}\lambda^*)dV = (n-2)^2 \int_M u^{-n+3}P_{ji}P^{ji}dV,$$

where

$$\lambda = G_{ji}G^{ji}, \quad \lambda^* = G_{ji}^*G^{*ji}.$$

Proof. (i) From (1.20) and (1.23), we find

$$(2.2) \quad u^{-3}\lambda^* - u\lambda = 2(n-2)G_{ji}\nabla^j u^i + (n-2)^2 uP_{ji}P^{ji}.$$

Integrating (2.2) over M , we obtain

$$\begin{aligned} \int_M (u^{-3}\lambda^* - u\lambda)dV &= 2(n-2) \int_M G_{ji}\nabla^j u^i dV + (n-2)^2 \int_M uP_{ji}P^{ji}dV \\ &= -\frac{(n-2)^2}{n} \int_M \mathcal{L}_{Du}KdV + (n-2)^2 \int_M uP_{ji}P^{ji}dV \end{aligned}$$

by virtue of

$$\nabla^j G_{ji} = \frac{n-2}{2n} \nabla_i K,$$

and consequently, under the assumption $\mathcal{L}_{Du}K=0$, we have

$$\int_M (u^{-3}\lambda^* - u\lambda)dV = (n-2)^2 \int_M uP_{ji}P^{ji}dV.$$

(ii) Multiplying (2.2) by u^{-n+2} and integrating over M , we find

$$\begin{aligned} \int_M (u^{-n-1}\lambda^* - u^{-n+3}\lambda)dV &= 2(n-2) \int_M u^{-n+2}G_{ji}\nabla^j u^i dV + (n-2)^2 \int_M u^{-n+3}P_{ji}P^{ji}dV \\ &= 2(n-2)^2 \int_M u^{-n+1}G_{ji}u^j u^i dV - \frac{(n-2)^2}{n} \int_M u^{-n+2}\mathcal{L}_{Du}KdV + (n-2)^2 \int_M u^{-n+3}P_{ji}P^{ji}dV \end{aligned}$$

from which, substituting

$$\int_M u^{-n+1}G_{ji}u^j u^i dV = - \int_M u^{-n+3}P_{ji}P^{ji} dV$$

obtained from (ii) of Lemma 2. 1,

$$\int_M (u^{-n+3}\lambda - u^{-n-1}\lambda^*)dV = (n-2)^2 \int_M u^{-n+3}P_{ji}P^{ji} dV.$$

LEMMA 2. 3. *Suppose that a compact orientable Riemannian manifold M admits a conformal change of metric such that*

(i) $\mathcal{L}_{Du}K=0$, then

$$\int_M (u^{-3}\mu^* - u\mu)dV = 4(n-2) \int_M uP_{ji}P^{ji} dV,$$

(ii) $\mathcal{L}_{Du}K=0$, $\mathcal{L}_{Du}K^*=0$, then

$$\int_M (u^{-n+3}\mu - u^{-n-1}\mu^*)dV = 4(n-2) \int_M u^{-n+3}P_{ji}P^{ji} dV$$

where

$$\mu = Z_{kjih}Z^{kjih}, \quad \mu^* = Z^*_{kjih}Z^{*kjih}.$$

Proof. (i) From (1. 21) and (1. 23), we find

$$(2. 3) \quad u^{-3}\mu^* - u\mu = 8G_{ji}\nabla^j u^i + 4(n-2)uP_{ji}P^{ji}.$$

Integrating over M , we obtain

$$\begin{aligned} \int_M (u^{-3}\mu^* - u\mu)dV &= 8 \int_M G_{ji}\nabla^j u^i dV + 4(n-2) \int_M uP_{ji}P^{ji} dV \\ &= - \frac{4(n-2)}{n} \int_M \mathcal{L}_{Du}K dV + 4(n-2) \int_M uP_{ji}P^{ji} dV, \end{aligned}$$

from which, under the assumption $\mathcal{L}_{Du}K=0$,

$$\int_M (u^{-3}\mu^* - u\mu) dV = 4(n-2) \int_M uP_{ji}P^{ji} dV.$$

(ii) Multiplying (2. 3) by u^{-n+2} and integrating over M , we find

$$\begin{aligned} &\int_M (u^{-n-1}\mu^* - u^{-n+3}\mu)dV \\ &= 8 \int_M u^{-n+2}G_{ji}\nabla^j u^i dV + 4(n-2) \int_M u^{-n+3}P_{ji}P^{ji} dV \\ &= 8(n-2) \int_M u^{-n+1}G_{ji}u^j u^i dV - \frac{4(n-2)}{n} \int_M u^{-n+2}\mathcal{L}_{Du}K dV + 4(n-2) \int_M u^{-n+2}P_{ji}P^{ji} dV, \end{aligned}$$

from which, using (ii) of Lemma 2.1,

$$\int_M (u^{-n+3}\mu - u^{-n-1}\mu^*) dV = 4(n-2) \int_M u^{-n+3} P_{ji} P^{ji} dV.$$

LEMMA 2.4. *Suppose that a compact orientable Riemannian manifold M admits a conformal change of metric such that*

(i) $\mathcal{L}_{Du}K=0$, then

$$\int_M (u^{-3}\nu^* - u\nu) dV = 4(n-2) \{a + (n-2)b\}^2 \int_M u P_{ji} P^{ji} dV,$$

(ii) $\mathcal{L}_{Du}K=0$, $\mathcal{L}_{Du}K^*=0$, then

$$\int_M (u^{-n+3}\nu - u^{-n-1}\nu^*) dV = 4(n-2) \{a + (n-2)b\}^2 \int_M u^{-n+3} P_{ji} P^{ji} dV,$$

where

$$\nu = W_{kjih} W^{kjh}, \quad \nu^* = W^*_{kjih} W^{*kjh}.$$

Proof. (i) From (1.22) and (1.23), we find

$$(2.4) \quad u^{-3}\nu^* - u\nu = 8\{a + (n-2)b\}^2 G_{ji} \nabla^j u^i + 4(n-2) \{a + (n-2)b\}^2 u P_{ji} P^{ji}.$$

Integrating over M , we obtain

$$\begin{aligned} \int_M (u^{-3}\nu^* - u\nu) dV &= 8\{a + (n-2)b\}^2 \int_M G_{ji} \nabla^j u^i dV + 4(n-2) \{a + (n-2)b\}^2 \int_M u P_{ji} P^{ji} dV \\ &= -\frac{4(n-2) \{a + (n-2)b\}^2}{n} \int_M \mathcal{L}_{Du}K dV + 4(n-2) \{a + (n-2)b\}^2 \int_M u P_{ji} P^{ji} dV \end{aligned}$$

from which, under the assumption $\mathcal{L}_{Du}K=0$,

$$\int_M (u^{-3}\nu^* - u\nu) dV = 4(n-2) \{a + (n-2)b\}^2 \int_M u P_{ji} P^{ji} dV.$$

(ii) Multiplying (2.4) by u^{-n+2} and integrating

$$\begin{aligned} \int_M (u^{-n-1}\nu^* - u^{-n+3}\nu) dV &= 8\{a + (n-2)b\}^2 \int_M u^{-n+2} G_{ji} \nabla^j u^i dV \\ &\quad + 4(n-2) \{a + (n-2)b\}^2 \int_M u^{-n+3} P_{ji} P^{ji} dV \\ &= 8(n-2) \{a + (n-2)b\}^2 \int_M u^{-n+1} G_{ji} u^j u^i dV - \frac{4(n-2) \{a + (n-2)b\}^2}{n} \int_M u^{-n+2} \mathcal{L}_{Du}K dV \\ &\quad + 4(n-2) \{a + (n-2)b\}^2 \int_M u^{-n+3} P_{ji} P^{ji} dV, \end{aligned}$$

from which, using (ii) of Lemma 2.1,

$$\int_M (u^{-n+3}\nu - u^{-n-1}\nu^*) dV = 4(n-2) \{a + (n-2)b\}^2 \int_M u^{-n+3} P_{ji} P^{ji} dV.$$

§ 3. Theorems.

THEOREM 3.1. *If a compact Riemannian manifold M of dimension $n \geq 3$ admits a conformal change of metric $g^* = e^{2\phi}g$ such that*

$$\begin{aligned} \mathcal{L}_{Du}K &= 0, & \mathcal{L}_{Du}K^* &= 0, \\ u^p\lambda &= \{(u-1)\phi + 1\}\lambda^* \end{aligned}$$

where p is a real number such that $p \leq 4$ and ϕ a differentiable non-negative function of M , then M is isometric to a sphere.

In particular, for the case $p=4$ and $\phi=0$, we have the same conclusion without the condition $\mathcal{L}_{Du}K^*=0$.

Proof. We first compute

$$\begin{aligned} (3.1) \quad & \int_M (u\lambda - u^{-3}\lambda^*) dV - \int_M (u^{-n+3}\lambda - u^{-n-1}\lambda^*) dV \\ &= \int_M [u^{-n+3}(u^{n-2}-1)\lambda - u^{-n-1}(u^{n-2}-1)\lambda^*] dV \\ &= \int_M (u^{n-2}-1)(u^{-n+3}\lambda - u^{-n-1}\lambda^*) dV. \end{aligned}$$

On the other hand, we have

$$\begin{aligned} & \int_M (u^{n-2}-1)(u^{-n+3}\lambda - u^{-n-1}\lambda^*) dV - \int_M u^{-n-1}(u^{n-2}-1)(u^{4-p}-1)\lambda^* dV \\ &= \int_M (u^{n-2}-1)(u^{-n+3}\lambda - u^{-n+3-p}\lambda^*) dV \\ &= \int_M u^{-n+3-p}(u^{n-2}-1)(u^p\lambda - \lambda^*) dV. \end{aligned}$$

But, we have by assumption

$$u^p\lambda - \lambda^* = (u-1)\phi\lambda^*$$

and consequently

$$\begin{aligned} & \int_M (u^{n-2}-1)(u^{-n+3}\lambda - u^{-n-1}\lambda^*) dV - \int_M u^{-n-1}(u^{n-2}-1)(u^{4-p}-1)\lambda^* dV \\ &= \int_M u^{-n+3-p}(u^{n-2}-1)(u-1)\varphi\lambda^* dV \geq 0, \end{aligned}$$

because

$$u > 0, \quad (u^{n-2}-1)(u-1) \geq 0, \quad \varphi \geq 0, \quad \lambda^* \geq 0,$$

Thus we have

$$(3.2) \quad \int_M (u^{n-2}-1)(u^{-n+3}\lambda - u^{-n-1}\lambda^*) dV \geq \int_M u^{-n-1}(u^{n-2}-1)(u^{4-p}-1)\lambda^* dV.$$

Since $p \leq 4$, we have

$$(u^{n-2}-1)(u^{4-p}-1) \geq 0$$

and consequently

$$\int_M u^{-n-1}(u^{n-2}-1)(u^{4-p}-1)\lambda^* dV \geq 0.$$

Thus, from (3.1) and (3.2), we find

$$\int_M (u\lambda - u^{-3}\lambda^*) dV \geq \int_M (u^{-n+3}\lambda - u^{-n-1}\lambda^*) dV.$$

But, from (i) of Lemma 2.2, we have

$$0 \geq \int_M (u\lambda - u^{-3}\lambda^*) dV$$

and consequently

$$0 \geq \int_M (u^{-n+3}\lambda - u^{-n-1}\lambda^*) dV.$$

Thus from (ii) of Lemma 2.2 and the above equation, we conclude

$$0 \geq (n-2)^2 \int_M u^{-n+3} P_{ji} P^{ji} dV,$$

from which

$$P_{ji} = u^{-1} \left(\nabla_j u_i - \frac{1}{n} \Delta u g_{ji} \right) = 0,$$

or

$$\nabla_j u_i - \frac{1}{n} \Delta u g_{ji} = 0.$$

Thus, by Theorem Q, M is isometric to a sphere.

If $p=4$ and $\varphi=0$, from (i) of Lemma 2. 2, we have immediately $P_{ji}=0$ and condition $\mathcal{L}_{D_u}K^*=0$ is not necessary.

COROLLARY. *If a compact Riemannian manifold M of dimension $n \geq 3$ admits a conformal change of metric $g^*=e^{2\varphi}g$ such that*

$$\begin{aligned} \mathcal{L}_{D_u}K &= 0, & \mathcal{L}_{D_u}K^* &= 0, \\ u^p \lambda &= \lambda^* & (p \leq 4), \end{aligned}$$

then M is isometric to a sphere.

Proof. In the condition

$$\{(u-1)\varphi+1\}\lambda^* = u^p \lambda$$

of the theorem, we put $\varphi=0$ and get

$$\lambda^* = u^p \lambda.$$

THEOREM 3. 2. *If a compact Riemannian manifold M of dimension $n \geq 3$ admits a conformal change of metric $g^*=e^{2\varphi}g$ such that*

$$\begin{aligned} \mathcal{L}_{D_u}K &= 0, & \mathcal{L}_{D_u}K^* &= 0 \\ u^p \mu &= \{(u-1)\varphi+1\}\mu^*, \end{aligned}$$

where p is a real number such that $p \leq 4$ and φ is a differentiable non-negative function of M , then M is isometric to a sphere.

In particular, for the case $p=4$ and $\varphi=0$, we have the same conclusion without the condition $\mathcal{L}_{D_u}K^=0$.*

Proof. In the proof of Theorem 3. 1, we replace λ by μ and λ^* by μ^* and use Lemma 2. 3 instead of Lemma 2. 2.

COROLLARY. *If a compact Riemannian manifold M of dimension $n \geq 3$ admits a conformal change of metric $g^*=e^{2\varphi}g$ such that*

$$\begin{aligned} \mathcal{L}_{D_u}K &= 0, & \mathcal{L}_{D_u}K^* &= 0, \\ u^p \mu &= \mu^* & (p \leq 4), \end{aligned}$$

then M is isometric to a sphere.

THEOREM 3.3. *If a compact Riemannian manifold M of dimension $n \geq 3$ admits a conformal change of metric $g^* = e^{2p}g$ such that*

$$\begin{aligned} \mathcal{L}_{D_u}K &= 0, & \mathcal{L}_{D_u}K^* &= 0, \\ u^p \nu &= \{(u-1)\varphi + 1\} \nu^*, & a + (n-2)b &\neq 0 \end{aligned}$$

where p is a real number such that $p \leq 4$ and φ is a differentiable non-negative function of M , then M is isometric to a sphere.

In particular, for the case $p=4$ and $\varphi=0$, we have the same conclusion without the condition $\mathcal{L}_{D_u}K^=0$.*

Proof. In the proof of Theorem 3.1, we replace λ by ν and λ^* by ν^* and use Lemma 2.4 instead of Lemma 2.2.

COROLLARY. *If a compact Riemannian manifold M of dimension $n \geq 3$ admits a conformal change of metric $g^* = e^{2p}g$ such that*

$$\begin{aligned} \mathcal{L}_{D_u}K &= 0, & \mathcal{L}_{D_u}K^* &= 0, \\ u^p \nu &= \nu^* & (p \leq 4), \end{aligned}$$

then M is isometric to a sphere.

THEOREM 3.4. *If a compact Riemannian manifold M of dimension $n \geq 4$ with $K = \text{constant}$ and λ (or μ , or ν) = constant > 0 admits a conformal change of metric such that*

$$\lambda \geq \lambda^* \quad (\text{or } \mu \geq \mu^* \text{ or } \nu \geq \nu^*)$$

then the conformal change is homothetic.

Proof. From Lemma 2.1, (i), we have

$$\int_M (u^{-3} - u)\lambda dV \geq 0, \quad \int_M (u^{-3} - u)\lambda^* dV \geq 0,$$

and consequently, if $\lambda = \text{constant} > 0$, then we have

$$\int_M (u^{-3} - u)dV \geq 0.$$

On the other hand, we have, from Proposition 1. 1, (ii),

$$\int_M (u - u^{-3}) dV \geq 0,$$

and consequently

$$\int_M (u - u^{-3}) dV = 0.$$

Thus, again by Proposition 1. 1, (ii), the conformal change is homothetic. We can prove the same conclusion for $\mu = \text{constant} > 0$ and $\nu = \text{constant} > 0$.

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