

ISOMETRIC IMMERSIONS OF SASAKIAN MANIFOLDS IN SPHERES

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Introduction. A Sasakian manifold M^m which is isometrically immersed in a Riemannian manifold $*M^{m+1}$ of constant curvature $C \neq 1$ is of constant curvature 1, as was shown by Takahashi [7]. The case where the constant curvature of $*M^{m+1}$ is 1 is in a very different situation. In this paper we study the case. The rank of the second fundamental form is called the type number of the immersion.

THEOREM. *Let M^m be a Sasakian manifold which is isometrically immersed in a Riemannian manifold $*M^{m+1}$ of constant curvature 1. Then*

- (i) *the type number $k \leq 2$, and*
- (ii) *M^m is of constant curvature 1 if and only if the scalar curvature S is equal to $m(m-1)$.*

In an η -Einstein space for any point p the Ricci curvature $R_1(X, X)$ is constant for any unit vector X at p such that $\eta(X)=0$. In [7] it was also proved that an η -Einstein Sasakian manifold M^m ($m \geq 5$) which is isometrically immersed in a Riemannian manifold $*M^{m+1}$ of constant curvature 1 is of constant curvature 1. We generalize this in the following form.

THEOREM. *Let M^m ($m \geq 5$) be a Sasakian manifold which is isometrically immersed in a Riemannian manifold $*M^{m+1}$ of constant curvature 1. Assume that at any point p of M^m we have a subspace F_p of the tangent space at p to M^m such that*

- (i) $\dim F_p = m-2$,
- (ii) $\eta(X)=0$ for any $X \in F_p$,
- (iii) $R_1(X, X) = \text{constant}$ for any unit $X \in F_p$.

Then M^m is of constant curvature 1.

In §2 we study some properties of contact Riemannian manifolds which satisfy some conditions on the Ricci tensor or the Riemannian curvature tensor, for example, $R(X, Y) \cdot R = 0$ or $R(X, Y) \cdot R_1 = 0$.

In the last section, we consider invariant submanifolds of Sasakian manifolds. We see that they are minimal. As a special case, we have invariant submanifolds M^m of a unit sphere S^{2r+1} considered as a Sasakian manifold, which are shown to be unit spheres if and only if $S = m(m-1)$.

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§1. Structure tensors.

Let (ϕ, ξ, η, g) be structure tensors of a contact Riemannian manifold M of m -dimension. They satisfy

$$(1.1) \quad \phi\xi=0, \quad \eta(\xi)=1,$$

$$(1.2) \quad \phi\phi X=-X+\eta(X)\xi,$$

$$(1.3) \quad g(X, Y)=g(\phi X, \phi Y)+\eta(X)\eta(Y),$$

$$(1.4) \quad 2g(X, \phi Y)=d\eta(X, Y), \quad \eta(X)=g(\xi, X)$$

for any vector fields X and Y on M . When ξ is a Killing vector field, M is said to be a K -contact Riemannian manifold, and we have

$$(1.5) \quad \nabla_X \xi = -\phi X,$$

$$(1.6) \quad R_1(X, \xi) = (m-1)\eta(X)$$

$$(1.7) \quad g(R(X, \xi)Y, \xi) = g(X, Y) - \eta(X)\eta(Y),$$

$$(1.7)' \quad R(X, \xi)\xi = -X + \eta(X)\xi,$$

where ∇ is the Riemannian connection, R_1 and R are the Ricci curvature tensor and the Riemannian curvature tensor, respectively (cf. [1], [8]). If we have

$$(1.8) \quad (\nabla_X \phi)Y = g(X, Y)\xi - \eta(Y)X,$$

then M is called a Sasakian manifold or a normal contact Riemannian manifold, and we have

$$(1.9) \quad R(X, \xi)Y = g(X, Y)\xi - \eta(Y)X.$$

A Sasakian manifold is a K -contact Riemannian manifold. The Ricci curvature tensor R_1 on a Sasakian manifold satisfies

$$(1.10) \quad R_{rk}\phi_j^r = -R_{rj}\phi_k^r$$

where indices $i, j, r, \dots \in (1, \dots, m)$ (cf. [5]). Operating ϕ_i^k to (1.10) and using (1.6), we have

$$(1.11) \quad R_1(\phi X, \phi Y) = R_1(X, Y) - (m-1)\eta(X)\eta(Y).$$

§2. Some conditions on the Ricci curvature tensor and the Riemannian curvature tensor.

The curvature transformation $R(X, Y)$ acts on the tensor algebra as a derivation. And the condition $R(X, Y) \cdot R = 0$ was discussed by Nomizu [2] for hypersur-

faces of Euclidean spaces. While the condition $R(X, Y) \cdot R_1 = 0$ was studied by Tanno [10] for hypersurfaces of Euclidean spaces.

PROPOSITION 2.1. *Let M be a K -contact Riemannian manifold. Then the following conditions are equivalent:*

- (i) M is an Einstein space; $R_1 = ag$,
- (ii) The Ricci curvature tensor is parallel; $\nabla R_1 = 0$,
- (iii) $R(X, Y) \cdot R_1 = 0$ for any X and Y ,
- (iv) $R(X, \xi) \cdot R_1 = 0$ for any Y .

Proof. (i) \rightarrow (ii) \rightarrow (iii) \rightarrow (iv) is clear. Assume condition (iv), which is equivalent to

$$(2.1) \quad R_1(R(X, \xi)U, V) + R_1(U, R(X, \xi)V) = 0$$

for any vectors U and V . Put $V = \xi$. Then, using (1.6), (1.7) and (1.7)' we have

$$(2.2) \quad (m-1)g(X, U) - R_1(X, U) = 0.$$

Therefore M is an Einstein space. Q.E.D.

If the Ricci curvature tensor R_1 is of the form

$$(2.3) \quad R_1 = ag + b\eta \otimes \eta,$$

where a and b are functions on M , then M is called an η -Einstein space. If $m > 3$, a and b are constant on a K -contact Riemannian manifold. A Sasakian manifold M is an η -Einstein space if and only if

$$(2.4) \quad (R(X, Y) \cdot R_1)(U, V) = b[\eta(U)g(V, X) + \eta(V)g(U, X)]\eta(Y) \\ - b[\eta(U)g(V, Y) + \eta(V)g(U, Y)]\eta(X)$$

holds good for some function b on M . The necessity is an immediate consequence of (1.9) and (2.3). While the sufficiency will be verified in proposition 2.2. By putting $Y = \xi$ in (2.4), we have

$$(2.5) \quad (R(X, \xi) \cdot R_1)(U, V) = b[\eta(U)g(V, X) + \eta(V)g(U, X) - 2\eta(U)\eta(V)\eta(X)].$$

PROPOSITION 2.2. *A K -contact Riemannian manifold is an η -Einstein space if and only if (2.5) holds for some function b on M .*

Proof. Assume that (2.3) holds. Then by (1.7) we have (2.5). Conversely assume that (2.5) holds. Then as in the proof of Proposition 2.1, we have

$$(m-1)g(X, U) - R_1(X, U) = b[g(U, X) - \eta(U)\eta(X)].$$

Therefore we have

$$(2.6) \quad R_1(X, U) = (m-1-b)g(X, U) + b\eta(X)\eta(U),$$

which shows that M is an η -Einstein space.

THEOREM 2.3. *Let M be a K -contact Riemannian manifold. Then the following conditions are equivalent:*

- (i) M is of constant curvature 1,
- (ii) M is locally symmetric; $\nabla R=0$,
- (iii) $R(X, Y) \cdot R=0$ for any X and Y ,
- (iv) $R(X, \xi) \cdot R=0$ for any X .

Proof. (i) \rightarrow (ii) \rightarrow (iii) \rightarrow (iv) is clear. Assume condition (iv), which is equivalent to

$$(2.7) \quad R(X, \xi)(R(U, V)W) - R(R(X, \xi)U, V)W \\ - R(U, R(X, \xi)V)W - R(U, V)(R(X, \xi)W) = 0$$

for any U, V and W . If we put $U=W=\xi$ in (2.7), using (1.7), we have

$$(2.8) \quad R(X, V)\xi + R(\xi, V)X + \eta(V)X - 2\eta(X)V + g(X, V)\xi = 0.$$

Consider the inner product of Y and both sides of (2.8). Then we have

$$(2.9) \quad g(R(X, V)\xi, Y) + g(R(\xi, V)X, Y) + \eta(V)g(X, Y) - 2\eta(X)g(V, Y) + \eta(Y)g(X, V) = 0.$$

Interchanging X and Y in (2.9) and subtracting the result from (2.9), we get

$$(2.10) \quad g(R(\xi, V)X, Y) = \eta(X)g(V, Y) - \eta(Y)g(X, V),$$

where we have used the Bianchi's identity. (2.10) is written as

$$(2.11) \quad R(\xi, V)X = \eta(X)V - g(X, V)\xi.$$

If we put $U=\xi$ in (2.7), using (2.11) we have

$$R(X, V)W = g(X, W)V - g(W, V)X,$$

which shows that M is of constant curvature 1.

REMARK. Proposition 2.1 for a Sasakian manifold was given by Takahashi [7]. (i) \leftrightarrow (ii) in Theorem 2.3 was given by Tanno [9], generalizing a result by Okumura [3] for a Sasakian manifold. For (iii) on a Sasakian manifold, see Takahashi [6].

§3. Sasakian manifolds which are hypersurfaces of a Riemannian manifold of constant curvature 1.

Let M^m be a Sasakian manifold of m -dimension which is isometrically immersed in a Riemannian manifold $*M^{m+1}$ of constant curvature 1. Then we have the Gauss and Codazzi equations:

$$(3.1) \quad -R(X, Y) = X \wedge Y + AX \wedge AY, \quad \text{or}$$

$$(3.1)' \quad -R(X, Y)Z = g(Y, Z)X - g(X, Z)Y + g(AY, Z)AX - g(AX, Z)AY,$$

$$(3.2) \quad (\nabla_X A)Y = (\nabla_Y A)X,$$

where A is a (local) $(1, 1)$ -tensor associated with the second fundamental form B by $B(X, Y) = g(X, AY)\zeta$ corresponding to a (local) field of unit normal vectors ζ to M . A is symmetric with respect to g . By (3.1)', putting $Y = \xi$, we have

$$(3.3) \quad -R(X, \xi)Z = \eta(Z)X - g(X, Z)\xi + \eta(AZ)AX - g(AX, Z)A\xi.$$

By (1.9) and (3.3) we have

$$(3.4) \quad \eta(AY)AX = g(AX, Y)A\xi.$$

When the trace of A vanishes, M or the immersion is called minimal. The rank of A is called the type number of the immersion.

LEMMA 3.1. *Denoting by θ the trace of A we have*

$$(3.5) \quad \theta A - AA + \theta\phi A\phi - \phi AA\phi = 0.$$

Proof. Since the Ricci curvature tensor R_1 is given by

$$R_1(X, Y) = \text{trace} [U \rightarrow R(X, U)Y],$$

by (3.1)' we have

$$(3.6) \quad R_1(X, Y) = (m-1)g(X, Y) + (\text{trace } A)g(AX, Y) - g(AAX, Y).$$

Replacing X and Y by ϕX and ϕY in (3.6), we have

$$(3.7) \quad R_1(\phi X, \phi Y) = (m-1)g(\phi X, \phi Y) + \theta g(A\phi X, \phi Y) - g(AA\phi X, \phi Y).$$

By (1.4) ϕ is skew symmetric, and we have

$$\begin{aligned} g(A\phi X, \phi Y) &= -g(\phi A\phi X, Y), \\ g(AA\phi X, \phi Y) &= -g(\phi AA\phi X, Y). \end{aligned}$$

By (1.3) and (1.11), (3.7) is written as

$$(3.8) \quad R_1(X, Y) = (m-1)g(X, Y) - g((\theta\phi A\phi - \phi AA\phi)X, Y).$$

Then (3.6) and (3.8) imply

$$(3.9) \quad g((\theta A - AA + \theta\phi A\phi - \phi AA\phi)X, Y) = 0.$$

That is, we have (3.5).

Q.E.D.

By (3.6) the scalar curvature $S = \text{trace } R_1$ is given by

$$(3.10) \quad S = m(m-1) + \theta^2 - \text{trace } AA.$$

LEMMA 3.2. *In a Sasakian manifold we have*

$$(3.11) \quad \phi R(X, Y)\phi = -R(X, Y) - X \wedge Y + \phi X \wedge \phi Y.$$

Proof. Assume that X, Y and Z are (local) vector fields such that $(\nabla X)_p = (\nabla Y)_p = (\nabla Z)_p = 0$ for a fixed point p of M . By the Ricci identity for ϕ :

$$-(R(X, Y) \cdot \phi)Z = (\nabla_X \nabla_Y \phi)Z - (\nabla_Y \nabla_X \phi)Z,$$

we have at the point p

$$\begin{aligned} -R(X, Y)(\phi Z) + \phi(R(X, Y)Z) &= \nabla_X((\nabla_Y \phi)Z) - \nabla_Y((\nabla_X \phi)Z) \\ &= \nabla_X(g(Y, Z)\xi - \eta(Z)Y) - \nabla_Y(g(X, Z)\xi - \eta(Z)X) \\ &= g(Y, Z)\nabla_X \xi - (\nabla_X \eta)(Z)Y - g(X, Z)\nabla_Y \xi + (\nabla_Y \eta)(Z)X \\ &= -g(Y, Z)\phi X + g(\phi X, Z)Y + g(X, Z)\phi Y - g(\phi Y, Z)X. \end{aligned}$$

Therefore operating ϕ we have

$$\begin{aligned} &-\phi R(X, Y)(\phi Z) - R(X, Y)Z + \eta(R(X, Y)Z)\xi \\ &= g(Y, Z)(X - \eta(X)\xi) + g(\phi X, Z)\phi Y \\ &\quad - g(X, Z)(Y - \eta(Y)\xi) - g(\phi Y, Z)\phi X. \end{aligned}$$

Since from (1.9) we have

$$\eta(R(X, Y)Z) = g(X, Z)\eta(Y) - g(Y, Z)\eta(X),$$

we have

$$(3.12) \quad -\phi R(X, Y)\phi Z - R(X, Y)Z = (X \wedge Y)Z - (\phi X \wedge \phi Y)Z.$$

LEMMA 3.3. *The (local) tensor A satisfies*

$$(3.13) \quad \phi AX \wedge \phi AY = AX \wedge AY,$$

$$(3.14) \quad \phi A \phi A + \theta A = AA.$$

Proof. By (3.1) we have

$$\begin{aligned} -\phi R(X, Y)\phi Z &= \phi(X \wedge Y + AX \wedge AY)\phi Z \\ &= \phi[g(Y, \phi Z)X - g(X, \phi Z)Y + g(AY, \phi Z)AX - g(AX, \phi Z)AY] \\ (3.15) \quad &= -g(\phi Y, Z)\phi X + g(\phi X, Z)\phi Y - g(\phi AY, Z)\phi AX + g(\phi AX, Z)\phi AY \\ &= -(\phi X \wedge \phi Y)Z - (\phi AX \wedge \phi AY)Z. \end{aligned}$$

Then (3.13) follows from (3.1), (3.11) and (3.15). Put

$$E(X, Y, Z) = g(\phi AY, Z)\phi AX - g(\phi AX, Z)\phi AY - g(AY, Z)AX + g(AX, Z)AY.$$

which can be true only when (i) $\theta=0$, or (ii) $r=1$, $s=0$, \dots , $t=0$, and $\theta \neq 0$.

LEMMA 3.5. *The rank of $A \leq 2$. If the rank of $A=2$ at a point p , then non-zero eigenvalues are λ and $-\lambda$, or λ and $\theta-\lambda$ at p .*

Proof. Assume that the rank of $A \geq 3$ at some point p . This is the case (i), since the rank of $A \leq 2$ in the case (ii). Therefore we have $\theta=0$. Suppose that $D_\lambda \neq 0$ and $D_\mu \neq 0$ for non-zero λ, μ such that $\lambda \neq \mu$ and $\lambda \neq -\mu$. Then we have non-zero vectors $X \in D_\lambda$ and $Y \in D_\mu$, and (3.13) implies

$$(3.19) \quad \lambda\mu\phi X \wedge \phi Y = \lambda\mu X \wedge Y,$$

where $\phi X \in D_{-\lambda}$ and $\phi Y \in D_{-\mu}$. Operating (3.19) to Y we have $g(Y, Y)X=0$, contradicting $X \neq 0$ and $Y \neq 0$. Therefore non-zero eigenvalues are λ and $-\lambda$. Since the rank of $A \geq 3$ by assumption, we have $\dim D_\lambda \geq 2$. Suppose that X and Y are orthonormal vectors in D_λ . Then (3.13) also implies $\phi X \wedge \phi Y = X \wedge Y$. Similarly we have $g(Y, Y)X=0$, which is a contradiction. Thus the rank of $A \leq 2$.

THEOREM 3.6. *Let M^m be a Sasakian manifold which is isometrically immersed in a Riemannian manifold $*M^{m+1}$ of constant curvature 1. If the scalar curvature S of M^m is equal to $m(m-1)$, then M^m is of constant curvature 1.*

Proof. By (3.10) we have $\theta^2 = \text{trace } AA$. Assume that the rank of $A=2$ somewhere. Then by Lemma 3.5 $\text{trace } AA = \lambda^2 + (\theta-\lambda)^2$ (including the case $\theta=0$). And hence we get $\lambda(\theta-\lambda)=0$, which is a contradiction.

THEOREM 3.7. *Let M^m ($m \geq 5$) be a Sasakian manifold which is isometrically immersed in a Riemannian manifold $*M^{m+1}$ of constant curvature 1. Assume that for any point p of M^m we have a subspace F_p of the tangent space at p to M^m such that*

- (i) $\dim F_p = m-2$,
- (ii) F_p is orthogonal to ξ ,
- (iii) $R_1(X, X)$ is constant for any unit vector $X \in F_p$.

Then M^m is of constant curvature 1.

Proof. Assume that the rank of $A=2$ on some open set U containing a point p . For a unit vector $X \in D_\lambda$ we have $\phi X \in D_{\theta-\lambda}$ (including the case $\theta=0$). Since $\eta(X)=0$ and $\dim F_p = m-2$ we have some real numbers c and d such that $c^2 + d^2 = 1$ and

$$cX + d\phi X \in F_p.$$

Then by (3.6) we have

$$\begin{aligned} R_1(cX + d\phi X, cX + d\phi X) &= (m-1) + \theta(c^2\lambda + d^2(\theta-\lambda)) - (c^2\lambda^2 + d^2(\theta-\lambda)^2) \\ &= (m-1) + \theta\lambda - \lambda^2. \end{aligned}$$

On the other hand, since $m \geq 5$, we have $Z \in D_0$ such that $\eta(Z) = 0$ and $\phi Z \in D_0$. Similarly there are some real numbers $*c$ and $*d$ such that $*c^2 + *d^2 = 1$ and

$$*cZ + *d\phi Z \in F_p.$$

In this case we have

$$R_1(*cZ + *d\phi Z, *cZ + *d\phi Z) = m - 1.$$

Now by condition (iii) we have $\lambda\theta - \lambda^2 = 0$, which is a contradiction.

Takahashi [7] proved that: A Sasakian manifold M^m (with pseudo-Riemannian metric) which is (properly and) isometrically immersed in a (pseudo-) Riemannian manifold $*M^{m+1}$ of constant curvature $C = 1$ is of constant curvature 1.

Therefore we have

THEOREM 3. 8. *A Sasakian manifold M^m with scalar curvature $m(m-1)$ which is isometrically immersed in a Riemannian manifold $*M^{m+1}$ of constant curvature C is of constant curvature 1.*

REMARK. One may apply the same arguments to get Lemmas and Theorems above for properly and isometrically immersed Sasakian manifolds M^m with indefinite metrics in a pseudo-Riemannian manifold $*M^{m+1}$ of constant curvature 1.

REMARK. If M^m is an η -Einstein space, then there exists a field of subspaces $F_p, p \in M$, satisfying the conditions (i), (ii) and (iii) of Theorem 3. 7. Therefore it is a generalization of Takahashi's result [7] on an η -Einstein Sasakian manifold.

§ 4. Invariant submanifolds of Sasakian manifolds.

A submanifold $M = M^{2n+1}$ of a Sasakian manifold $*M^{2r+1}$ with structure tensors $(*\phi, *\xi, *\eta, *g)$ is called invariant if

- (i) $*\xi$ is tangent to M everywhere on M ,
- (ii) $*\phi X$ is tangent to M for any tangent vector X to M .

An invariant submanifold M has the induced structure tensors (ϕ, ξ, η, g) the restrictions of $*\phi, *\xi, *\eta, *g$ to M . For the Riemannian connection $*\nabla$ for $*g$, the Riemannian connection ∇ for g is given by

$$(4. 1) \quad \nabla_X Y = (*\nabla_{*X} *Y)^T,$$

where $*X$ and $*Y$ are any local extensions of vector fields X and Y on M to those on $*M$, and Z^T means the tangent part of Z to M . Similarly Z^N means the normal part of Z in $*M$. We show that M is a Sasakian manifold: Let X and Y be vector fields on M . Then

$$\begin{aligned} (\nabla_X \phi) Y &= \nabla_X(\phi Y) - \phi(\nabla_X Y) \\ &= (*\nabla_{*X} *(\phi Y))^T - *\phi(*\nabla_{*X} *Y)^T \end{aligned}$$

$$\begin{aligned} &= ((*\nabla_{*X}*\phi)*Y)^T \\ &= (*g(*X, *Y)*\xi - *\eta(*Y)*X)^T \quad (\text{by (1.8)}) \\ &= g(X, Y)\xi - \eta(Y)X. \end{aligned}$$

This shows that the structure is Sasakian. Next we show

PROPOSITION 4.1. *An invariant submanifold of a Sasakian manifold is minimal.*

Proof. We adopt the Simons' method [4]. Let $(e_1, \dots, e_n, \phi e_1, \dots, \phi e_n, \xi)$ be a ϕ -basis. Then for $X=e_\alpha$, we have

$$\begin{aligned} B(\phi X, \phi X) &= (*\nabla_{*\phi X}*(\phi X))^N \\ &= ((*\nabla_{*\phi X}*\phi)*X)^N + (*\phi*\nabla_{*\phi X}*X)^N \\ &= (*g(*\phi*X, *X)*\xi - *\eta(*X)*\phi*X)^N + (*\phi*\nabla_{*\phi X}*X)^N \\ &= (*\phi*\nabla_{*\phi X}*X)^N = *\phi(*\nabla_{*\phi X}*X)^N \\ &= *\phi B(*\phi*X, *X) = *\phi B(*X, *\phi X) \\ &= *\phi ((*\nabla_{*X}*\phi)*X + *\phi*\nabla_{*X}*X)^N \\ &= *\phi (*g(*X, *X)*\xi - *\eta(*X)*X + *\phi*\nabla_{*X}*X)^N \\ &= *\phi*\phi(*\nabla_{*X}*X)^N = -B(X, X). \end{aligned}$$

On the other hand, we have

$$\begin{aligned} B(\xi, \xi) &= (*\nabla_{*\xi}*\xi)^N \\ &= (-*\phi*\xi)^N = 0. \end{aligned}$$

Therefore the mean curvature K :

$$K = \sum [B(e_\alpha, e_\alpha) + B(\phi e_\alpha, \phi e_\alpha)] + B(\xi, \xi)$$

vanishes.

Q.E.D.

A Euclidean sphere S^{2r+1} has the standard Sasakian structure of constant curvature 1, and we denote this space by $S^{2r+1}[1]$.

THEOREM 4.2. *A compact and invariant submanifold M^m with scalar curvature S :*

$$S > m(m-1) - (2r+1-m)m / (4r-2m+1)$$

of a Sasakian manifold $S^{2r+1}[1]$ is an m -dimensional unit sphere.

In particular if $S=m(m-1)$, then M^m is a unit sphere.

Proof. This follows easily from Simons' result [4] that: Let M^m be a compact minimal variety immersed in a unit sphere S^n . If

$$S/m(m-1) > 1 - (n-m)/(2n-2m-1)(m-1),$$

then M^m is a unit sphere (Corollary 5.3.3, in [4]).

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