

## ON $d$ -SPANNEDNESS OF THE ADJOINT BUNDLES ON POLARIZED MANIFOLDS

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### 1. Introduction

Let  $X$  be a projective variety and  $L$  be an ample Cartier divisor. Then the pair  $(X, L)$  is called a polarized variety.

Let  $K$  be an algebraically closed field of characteristic  $p \geq 0$ . Let  $X$  be an  $n$ -dimensional smooth variety over  $K$ . Denote by  $X^{[r]}$  the Hilbert scheme of all zero dimensional subschemes  $(Z, \mathcal{O}_Z)$  of  $X$  with  $\text{length}(\mathcal{O}_Z) = r$ . An element of  $X^{[r]}$  is sometimes called a zero cycle.

DEFINITION ([BB (0.0)]). An element  $(Z, \mathcal{O}_Z)$  of  $X^{[r]}$  is called curvilinear if  $\dim T_v Z \leq 1$  for every  $v \in Z_{\text{red}}$ .

DEFINITION ([BB (0.0)]). Let  $L$  be a line bundle on  $X$  and let  $d \geq 0$ . We say that  $L$  is  $d$ -spanned if  $\Gamma(L) \rightarrow \Gamma(\mathcal{O}_Z(L))$  is surjective for any curvilinear zero cycle  $Z \in X^{[d+1]}$ .

Note that  $L$  is 0-spanned if and only if  $L$  is generated by global sections. Note also that  $L$  is 1-spanned if and only if  $L$  is very ample.

Note that if  $L$  is  $d$ -spanned and  $(Z, \mathcal{O}_Z)$  is a curvilinear zero cycle with  $\text{length}(\mathcal{O}_Z) = m \leq d + 1$ , then the linear span  $\langle Z \rangle$  by  $H^0(X, L)$  is isomorphic to  $\mathbf{P}^{m-1}$ .

On the  $d$ -spannedness, the following Fujita's conjecture is well known.

CONJECTURE ([F1]). Let  $(X, L)$  an smooth polarized  $n$ -dimensional variety over  $C$ . If  $L^n > 1$  then

- (1)  $K_X + tL$  is spanned for  $t \geq n$ .
- (2)  $K_X + tL$  is very ample for  $t \geq n + 1$ .

This conjecture is true if  $n \leq 2$  by [R]. The case (1) of above conjecture is also true if  $n \leq 4$  (by [EL] and [F2] if  $n = 3$  and by [K] if  $n = 4$ ). If  $n = 2$ , the following theorem holds.

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**THEOREM** ([BFS Theorem (2.2)]). *Let  $(S, L)$  be a polarized surface and let  $d \geq 0$ . If  $L^2 > 1$ , then  $K_S + tL$  is  $d$ -spanned for any  $t \geq d + 2$ .*

When  $L^n = 1$ , there are some examples where  $K_X + nL$  is not spanned, such as  $(\mathbf{P}^n, \mathcal{O}(1))$ , a hypersurface  $M$  of degree 6 in the weighted projective space  $\mathbf{P}(3, 2, 1, \dots, 1)$  with  $\mathcal{O}_M(1)$ , and so on. We construct new series of such examples for  $d$ -spannedness.

The main result is the following:

**MAIN RESULT.** *For any integers  $d \geq 0$ ,  $n \geq 2$ , and for any algebraically closed field  $K$ , there exists an  $n$ -dimensional polarized manifold  $(X, L)$  of general type with  $L^n = 1$  over  $K$  such that  $K_X + (n + d)L$  is not  $d$ -spanned.*

**2. Preliminaries**

Let  $q \geq 5$  be a prime number such that  $q \neq p$ . Let  $G \cong \mathbf{Z}/q\mathbf{Z}$  be a cyclic group of order  $q$  generated by the primitive  $q$ -th root of unity. Given a non-negative integer  $n$  and a sequence  $w_0, \dots, w_{n+1}$  with  $0 \leq w_0 < \dots < w_{n+1} \leq q - 1$ , we define an action of  $G$  on  $\mathbf{P}_K^{n+1}$  by

$$g \cdot (z_0 : \dots : z_{n+1}) = (g^{w_0} z_0 : \dots : g^{w_{n+1}} z_{n+1})$$

for  $g \in G$ .

Denote by  $S'_d$  the set of monomials  $\{z_{i_1}, \dots, z_{i_d}\}$  of degree  $d$  such that  $w_{i_1} + \dots + w_{i_d} \equiv j$ . Here and throughout this paper,  $\equiv$  means conjugate modulo  $q$ , i.e.  $=$  in  $\mathbf{Z}/q\mathbf{Z} = \mathbf{F}_q$ . Let  $X$  be a smooth hypersurface in  $\mathbf{P}_K^{n+1}$  defined by a  $G$ -invariant homogeneous polynomial  $F$  of degree  $q$ . Let

$$F = \sum_{f_i \in S'_q} \alpha_i f_i = \alpha_0 z_0^q + \dots + \alpha_{n+1} z_{n+1}^q + \dots = 0 \quad \text{for } \alpha_i \in K,$$

and we assume  $\alpha_j \neq 0$  for all  $j = 0, \dots, n + 1$ .

Note that  $F$  does not contain monomials of the form  $z_a^i z_b^{q-i}$ ,  $a \neq b$  and  $i \neq 0$ . Indeed, otherwise,  $(w_a - w_b)i \equiv 0$ . Since  $w_a \not\equiv w_b$ , this implies  $i \equiv 0$ .

**LEMMA 1.** *This action of  $G$  on  $X$  is fixed point free. Therefore the quotient space  $M = X/G$  is smooth.*

*Proof.* Assume that  $gx = x$  for  $x = (x_0 : \dots : x_{n+1}) \in X$  and for  $g \neq 1$ . Take  $j$  such that  $x_j \neq 0$ . Since  $gx = (g^{w_0} x_0 : \dots : g^{w_{n+1}} x_{n+1}) = x$ , we have  $(g^{w_i - w_j} - 1)x_i = 0$  for any  $i \neq j$ . Since the order of  $g$  is  $q$ ,  $g^{w_i - w_j} \neq 1$  for  $i \neq j$ . Hence we have  $x_i = 0$  for  $i \neq j$ . Therefore  $x = (0 : \dots : 0 : 1 : 0 : \dots : 0)$  but this point is not on  $X$  since  $\alpha_j \neq 0$ . □

Let  $\pi : X \rightarrow M$  be the natural morphism which is a covering of degree  $q$ .

For  $a \neq b$ , let  $x_{a,b}$  be a point on  $X$  defined by  $z_j = 0$  for all  $j \neq a, b$ . Such a point satisfies the equation  $\alpha_a z_a^q + \alpha_b z_b^q = 0$ , hence is unique up to the  $G$ -action, and defines a unique point on  $M$ , which will be denoted by  $y_{a,b}$ .

**3. The fundamental case**

In this section we assume  $n = q - 2$ . Let  $X$  and  $F = \alpha_0 z_0^q + \dots + \alpha_{n+1} z_{n+1}^q + \dots$  be as before. We assume that  $\alpha_0, \dots, \alpha_{n+1} \neq 0$  and  $X$  is smooth.

Set  $F_i = (\partial/\partial z_i)F$ . Since  $X$  is smooth,  $F_0(x) = \dots = F_{q-1}(x) = 0$  does not occur at any  $x \in X$ .

**PROPOSITION 2.** *The canonical sheaf of  $M = X/G$  is trivial.*

*Proof.* The natural surjective morphism  $\pi : X \rightarrow M$  is the étale Galois covering. Since  $H^i(\mathbf{P}^{n+1}, \mathcal{O}_{\mathbf{P}^{n+1}}(-q)) = 0$  for  $1 \leq i \leq n$ , we have

$$(1) \quad H^i(X, \mathcal{O}_X) = 0 \quad \text{for } 1 \leq i \leq n - 1.$$

Since  $\pi$  is finite morphism, we have  $H^i(M, \pi_* \mathcal{O}_X) = H^i(X, \mathcal{O}_X) = 0$  for  $1 \leq i \leq n - 1$ . Hence we have

$$(2) \quad H^i(M, \mathcal{O}_M) = 0 \quad \text{for } 1 \leq i \leq n - 1,$$

because of  $\mathcal{O}_M$  is a component of direct sum of  $\pi_* \mathcal{O}_X$ . By the adjunction formula we have  $\omega_X \cong \mathcal{O}_X$ . Hence we have

$$(3) \quad H^n(X, \mathcal{O}_X) \cong H^0(X, \omega_X) = H^0(X, \mathcal{O}_X) = K,$$

by the Serre duality. Since  $n = q - 2$  is an odd number, we have  $\chi(\mathcal{O}_X) = 0$  by (1) and (3). Hence we have

$$(4) \quad \chi(\mathcal{O}_M) = (1/\deg \pi)\chi(\mathcal{O}_X) = 0.$$

Since (1) and (4), we have

$$(5) \quad h^0(M, \omega_M) = h^n(M, \mathcal{O}_M) = h^0(M, \mathcal{O}_M) = 1.$$

Since  $\omega_X \cong \mathcal{O}_X$ , hence  $\omega_M$  is numerically trivial as an element of  $\text{Pic}(M)$ . Since (5), we have  $\omega_M \cong \mathcal{O}_M$ . □

Let  $H_j$  be the divisor on  $X$  defined by  $(z_j = 0)$ . Then  $G$  acts on  $H_j$  freely. Hence  $D_j = H_j/G$  is an ample divisor on  $M$ . Since  $H_j^n = q$  and  $\pi^* D_j = H_j$ , we have  $D_j^n = 1$ .

Let  $N = D_1 - D_0$  and  $N' = D_j - D_{j-1}$ . Since

$$\text{div} \left( \frac{z_{j-1} z_1}{z_j z_0} \right) = H_j - H_{j-1} - H_1 + H_0$$

and  $f = z_{j-1}z_1/(z_jz_0)$  is  $G$ -invariant, thus  $f \in K(M)$  and  $\text{div}(f) = N - N'$ . Therefore  $N'$  and  $N$  are linearly equivalent. Hence we have  $D_i - D_j = (i - j)N$  in  $\text{Pic}(M)$ . Moreover  $\mathcal{O}_M(N)$  is a  $q$ -torsion element in  $\text{Pic}(M)$ .

Let  $E = \sum_{i=0}^{q-1} a_i D_i + jN$  be a divisor on  $M$  where each  $a_i \geq 0$  and  $j \geq 0$ . Since  $D_i - D_0 = iN$ , we can write  $E = tD_0 + j'N$  where  $t = \sum_{i=0}^{q-1} a_i$  and  $j' \geq 0$ . We consider divisors of the form  $tD + jN$ , where  $D = D_0$ .

**THEOREM 3.** *Let  $M$  be as before.*

(1)  $|K_M + nD + jN|$  has finite base points. They are exactly the  $y_{a,b}$ 's such that  $a + b + j \equiv 0$ .

(2)  $K_M + (n + d)D + jN$  is not  $d$ -spanned for  $d \leq q - 1$ .

*Proof.* For simplicity, denote  $H_0$  by  $H$ . Recall that  $K_M = 0$  in this case.

(1) Since

$$\pi^* : H^0(M, nD + jN) \rightarrow H^0(X, nH)$$

is injective, we identify  $H^0(M, nD + jN)$  with its image of  $\pi^*$ . Then  $S_n^j$  can be viewed as a basis of  $H^0(M, nD + jN)$ .

Let  $a \neq b$ . Take a point  $x_{a,b}$  in  $\pi^{-1}(y_{a,b})$ . Assume that  $a + b \equiv -j$ . We will show that  $y_{a,b}$  is a base point of  $|K_M + nD + jN|$ . If not, there exists  $f \in S_n^j$  such that  $f(x_{a,b}) \neq 0$ . Since  $z_i = 0$  for  $i \neq a, b$  at  $x_{a,b}$ ,  $f$  must be of the form  $f_{a,b}^{(i)} = z_a^i z_b^{n-i}$  for  $i = 0, \dots, n$ . Hence

$$|f_{a,b}^{(i)}| = ai + b(q - 2 - i) \equiv (a + b)i - 2b(i + 1) \equiv -ji - 2b(i + 1) \equiv j.$$

Hence we have  $(2b + j)(i + 1) \equiv 0$ . Since  $i \neq q - 1$ , we have  $j \equiv -2b$ . Since  $a + b \equiv -j \equiv 2b$ , we have  $a = b$ , which is a contradiction. Therefore  $y_{a,b}$  is a base point of  $|K_M + nD + jN|$ .

Conversely, let  $x = (x_0 : \dots : x_{q-1})$  be a base point of  $|K_M + nD + jN|$ . Let  $t \equiv -j/2$ . Since  $f = z_t^n \in S_n^j$ , we have  $x_t = 0$  at  $x$ . Suppose that  $x_a \neq 0$  for  $a \neq t$ . Consider the form  $f = z_a^i z_b^{n-i}$  where  $b \neq a$  and  $i = 0, \dots, n$ . Since  $n = q - 2$ ,  $f \in S_n^j$  if and only if  $(a - b)i - 2b \equiv j$ . For any  $a, b, j$  with  $a \neq b$ , this relation gives a unique  $i \in \mathbf{Z}/q\mathbf{Z}$ . Moreover  $i \equiv q - 1$  only if  $a + b \equiv -j$ . Hence, if  $a + b + j \neq 0$ , we have  $z_a^i z_b^{n-i} \in S_n^j$  for some  $i \leq n$ . Since  $x$  is a base point and  $x_a \neq 0$ , we have  $x_b \neq 0$  for  $b \neq -a - j$ . This shows that the base points of  $|K_M + nD + jN|$  are exactly the points  $y_{a,b}$  with  $a + b + j \equiv 0$ .

(2) We assume that  $d \geq 1$  (the case of  $d = 0$  is (1)). As in (1), we may regard  $S_{n+d}^j$  as the basis of  $H^0((n + d)D + jN)$ .

Take  $a, b$  and  $c \equiv (a + b + j)/d$ . If  $c \equiv a$  or  $c \equiv b$ , we can replace  $(a, b)$  by  $(a + 1, b - 1)$  or  $(a - 1, b + 1)$  so that  $a, b, c$  are mutually distinct in  $\mathbf{F}_q$ , because  $q \geq 5$ .

Let  $L_c = X \cap (\bigcap_{i \neq a, b, c} (z_i = 0))$  and let  $Z = (d + 1)y_{a,b}$  be a curvilinear zero cycle along  $\pi(L_c)$ . The image of the map  $\rho : H^0(M, (n + d)D + jN) \rightarrow H^0(Z, (n + d)D + jN)$  is generated by monomials  $h$  in  $S_{n+d}^j$  such that  $h(L_c) \neq 0$ . They are of the form  $h = z_c^k z_a^i z_b^{n+d-i-k}$  with  $k \leq d$ . In case

$k = d$ , we have  $i \leq n = q - 2$  and  $i + 1 \neq 0$ . But  $j \equiv cd + ai - (2 + i)b$  yields  $(a - b)(i + 1) \equiv 0$  since  $c \equiv (a + b + j)/d$ . This contradicts  $a \neq b$ , so we conclude  $k < d$ .

Next, recall that  $F|_{L_c}$  is of the form  $z_a^q + z_b^q + z_c^q + (\text{other terms})$ . Hence  $z_a^q|_{L_c}$  can be expressed by terms of smaller degrees in  $z_a$ . Thus we may assume that  $g$  is of the form  $z_c^k z_a^i z_b^{n+d-i-k}$  with  $k < d$  and  $0 \leq i < q$ . Since  $j \equiv kc + ai + b(n + d - i - k) \equiv (a - b)i + k(c - b) + b(n + d)$ ,  $i$  is uniquely determined for each  $k = 0, \dots, d - 1$ . Therefore  $\text{Im}(\rho)$  is generated by  $d$  monomials, so  $\dim \text{Im}(\rho) \leq d$ , hence  $\rho$  is not surjective, and  $K_M + (n + d)D + jN$  is not  $d$ -spanned.  $\square$

We get the following corollary by setting  $j = 0$ :

**COROLLARY.**  $|K_M + nD|$  has finitely many base points and  $K_M + (n + d)D$  is not  $d$ -spanned for  $0 \leq d \leq q - 1$ .

**4. General case**

By taking a divisor  $D_i$  in the preceding example, we will get examples of dimension  $q - 3$ . This process gives examples of any dimension.

Let  $X'$  be a smooth hypersurface in  $\mathbf{P}^{n+1}$  defined by a  $G$ -invariant homogeneous polynomial of degree  $q$  as in section 2, and set  $M' = X'/G$ , where the  $G$ -action is given by  $g(z'_0 : \dots : z'_{n+1}) = (g^{w_0} z'_0 : \dots : g^{w_{n+1}} z'_{n+1})$  for some  $w_0, \dots, w_{n+1}$  with  $0 \leq w_0 < w_1 < \dots < w_{n+1} \leq q - 1$ . Let  $T = \{0, \dots, q - 1\} \setminus \{w_0, \dots, w_{n+1}\}$  and  $s = \#T = q - 2 - n$ . Then there are a linear embedding  $\iota : \mathbf{P}^{n+1} \subset \mathbf{P}^{q-1}$  and a smooth hypersurface  $X$  in  $\mathbf{P}^{q-1} = \{(z_0 : \dots : z_{q-1})\}$  defined by a  $G$ -invariant homogeneous polynomial of degree  $q$  such that  $X' = X \cap \mathbf{P}^{n+1}$ , where the  $G$ -action on  $\mathbf{P}^{q-1}$  is given by  $g : z_j \mapsto g^j z_j$  and  $z'_j = \iota^* z_{w_j}$ . The pair  $X$  and  $M = X/G$  is a fundamental one as in section 3. Moreover  $M'$  is identified with the submanifold  $\bigcap_{i \in T} D_i$  in  $M$ . Set  $D' = D_{w_0}|_{M'}$  and  $N' = N|_{M'} \in \text{Pic}(M')$ . Then

$$\begin{aligned} K_{M'} + tD' + jN' &= \left( K_M + (s + t)D_{w_0} + \left( \sum_{i \in T} (i - w_0) + j \right) N \right) \Big|_{M'} \\ &= \left( K_M + (s + t)D + \left( \sum_{i \in T} i + tw_0 + j \right) N \right) \Big|_{M'} \end{aligned}$$

by the adjunction formula.

Since  $H$  is an ample divisor on  $X$ , we have

$$0 = H^1(X, \mathcal{O}_X(-sH)) \cong H^1(M, \pi_* \mathcal{O}_X(-sH)) = \bigoplus_j H^1(M, \mathcal{O}_M(-sD + jN)).$$

Hence we have  $H^1(M, \mathcal{O}_M(-sD + jN)) = 0$  for any  $j$ . Therefore the restriction map  $H^0(M, K_M + (s + t)D + (\sum i + tw_0 + j)N) \rightarrow H^0(M', K_{M'} + tD' + jN')$  is

surjective for any  $t, j$ . Hence  $|K_{M'} + tD' + jN'|$  has a base point if  $|K_M + (s+t)D + j'N|$  has a base point on  $\bigcap_{i \in T} D_i$  for  $j' = \sum_{i \in T} i + tw_0 + j$ . Similarly,  $K_{M'} + tD' + jN'$  is not  $d$ -spanned if  $H^0(M, K_M + (s+t)D + j'N)$  is not  $d$ -spanned at a point in  $\bigcap_{i \in T} D_i$  along curvilinear zero cycles in  $M'$ .

By this observation and Theorem 3, we get the following:

**THEOREM 4.** *Let  $n \geq 2$  and let  $M'$  be as before. For  $a \neq b$ , let  $y_{a,b}$  be the point on  $M'$  defined by  $z'_i = 0$  for all  $i \neq a, b$ . Let  $j' = \sum_{i \in T} i + (n+d)w_0 + j$ . Then*

(1) *If there exist  $a, b$  such that  $w_a + w_b + j' \equiv 0$  and that  $a \neq b$  then  $y_{a,b}$  is a base point of  $|K_{M'} + nD' + jN'|$ .*

(2) *If there exists  $w_c$  such that  $w_c \equiv (w_a + w_b + j')/d$  and that  $c$  is different from  $a, b$  then  $K_{M'} + (n+d)D' + jN'$  is not  $d$ -spanned for  $d \leq q-1$ .*

*Proof.* If  $q = n+2$ , this is just Theorem 3. Let  $q > n+2$ .

(1) By Theorem 3,  $y_{a,b}$  is a base point of  $|K_M + (q-2)D + j'N|$  if  $w_a + w_b + j' \equiv 0$ . Hence the above observation applies.

(2) By Theorem 3,  $|K_M + (q-2+d)D + j'N|$  is not  $d$ -spanned along the curvilinear zero cycle  $Z$  over  $y_{a,b}$  along  $L_c$ . Hence the above observation applies.  $\square$

If  $n = q-2$  then the canonical sheaf of  $M$  is trivial and  $M$  is of Kodaira dimension 0. If  $n < q-2$ , the canonical sheaf of  $M$  is ample and  $M$  is of general type.

Now we give explicit examples by applying Theorem 4.

(1) Consider first the case where  $n \geq 3$  and  $d \geq 0$ . Choose a prime number  $q$  such that  $q \neq p$  and that  $q \geq \max(n+3, d+1)$ .

Assume that  $q-2-n = 2m$  is even and set

$$T = \left\{ \frac{q-1}{2} - (m-1), \frac{q-1}{2} - (m-2), \dots, \frac{q-1}{2} + m \right\}.$$

Then we have  $\sum_{i \in T} i = 0$  and  $\{0, 1, 2, q-2, q-1\} \subset \{w_0, \dots, w_{n+1}\}$ . Let  $D' = D_0|_{M'}$ . In this case, we have  $j' \equiv 0$ . Let  $\{a, b\} = \{1, q-1\}$ . Then we have  $a+b+j' \equiv 0$  and  $c \equiv (a+b+j')/d \equiv 0$ . Hence  $K_{M'} + nD'$  has a base point  $y_{1, q-1}$  and  $K_{M'} + (n+d)D'$  is not  $d$ -spanned along  $L_0$  at  $y_{1, q-1}$ .

Assume next that  $q-2-n = 2m+1$  is odd. Note that  $(q-1)/2 + m < q-3$ . Indeed,  $2(q-3) - (q-1) - 2m = q-2m-5 = n-2 > 0$ . Let

$$T = \left\{ \frac{q-1}{2} - (m-1), \frac{q-1}{2} - (m-2), \dots, \frac{q-1}{2} + m, q-3 \right\}.$$

Then we have  $\sum_{i \in T} i = -3$  and  $\{0, 1, 2, q-2, q-1\} \subset \{w_0, \dots, w_{n+1}\}$ . Let  $D' = D_0|_{M'}$ . In this case, we have  $j' \equiv -3$ . Let  $\{a, b\} = \{1, 2\}$ . Then we have  $a+b+j' \equiv 0$  and  $c \equiv (a+b+j')/d \equiv 0$ . Hence  $K_{M'} + nD'$  has a base point  $y_{1,2}$  and  $K_{M'} + (n+d)D'$  is not  $d$ -spanned along  $L_0$  at  $y_{1,2}$ .

(2) Consider next the case where  $n = 2$  and  $d \geq 0$ . Choose a prime number  $q$  with  $q \geq \max(n + 3 = 5, d + 3)$  and set  $c \equiv -1/(d + 1) \in \mathbf{Z}/q\mathbf{Z}$ . Since  $q \geq d + 3$ , we have  $c \neq 0, 1$ . Let  $a = 0$  and  $b$  be different from  $1, a, c$ . Define the ambient space  $\mathbf{P}^{n+1}$  of  $X$  to be  $\bigcap_{i \neq 1, a, b, c} (x_i = 0)$  in  $\mathbf{P}^{q-1}$ . Then  $(x_a : x_1 : x_b : x_c)$  gives  $i \neq 1, a, b, c$  homogeneous coordinator of  $\mathbf{P}^{n+1}$ . Let  $D' = D_0|_{M'}$ . In this case, we have  $j' \equiv -(1 + a + b + c)$ . If  $d = 0$  then  $a + b + j' \equiv -(c + 1) \equiv 0$ . Hence  $K_{M'} + nD'$  has a base point  $y_{a,b}$ . If  $d > 0$  then  $(a + b + j')/d \equiv -(1 + c)/d \equiv c$ . Hence  $K_{M'} + (n + d)D'$  is not  $d$ -spanned along  $L_c$  at  $y_{a,b}$ .

Thus we have the examples of  $n \geq 2$  for any  $d \geq 0$  and  $p \geq 0$ .

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