

ON THE GROWTH OF SUBHARMONIC FUNCTIONS

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1. Introduction. Let $u(z)$ be a subharmonic function in the complex plane C . We denote the order and lower order of $u(z)$ by ρ and μ , respectively. Let $M(r, u)$ and $m^*(r, u)$ denote the maximum and infimum of $u(z)$ on $|z|=r$, respectively. The classical $\cos \pi\rho$ theorem asserts that, given $\varepsilon > 0$, the inequality

$$(1) \quad m^*(r, u) > (\cos \pi\rho - \varepsilon)M(r, u)$$

holds for a sequence $r=r_n \rightarrow \infty$, provided that $\rho < 1$. Kjellberg [3] proved a striking improvement of this theorem.

THEOREM A. *If $\lambda \in (0, 1)$, then*

$$m^*(r, u) > \cos \pi\lambda \cdot M(r, u)$$

on an unbounded sequence of r , unless

$$r^{-1}M(r, u) \rightarrow \alpha \quad (r \rightarrow \infty),$$

where α is positive or ∞ .

An important consequence of Theorem A is that if $\mu < 1$ then the inequality (1) holds with ρ replaced by μ on an unbounded sequence of r . Another important consequence of Theorem A is the following fact.

“If $u(z)$ is subharmonic of order ρ ($0 < \rho < 1$) and minimal type, then

$$m^*(r, u) > \cos \pi\rho M(r, u)$$

on a sequence of $r \rightarrow \infty$.”

Such a fact does not always hold for subharmonic functions of order ρ and mean type. Barry [2] proved the following result.

THEOREM B. *Let $h(r)$ be positive and continuous for $r \geq r_0$, and for each $s > 0$,*

$$\frac{h(sr)}{h(r)} \rightarrow 1 \quad (r \rightarrow \infty).$$

Suppose that $h(r) \rightarrow 0$ ($r \rightarrow \infty$) and $h'(r) > -O(r^{-1})$ ($r \rightarrow \infty$). If $u(z)$ is subharmonic of order ρ ($0 < \rho < 1/2$) and mean type, and

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$$\int^{\infty} h(t) \frac{dt}{t} = \infty,$$

then

$$m^*(r, u) > \cos \pi \rho \{1 - h(r)\} M(r, u)$$

on a sequence of $r \rightarrow \infty$.

If

$$\int^{\infty} h(t) \frac{dt}{t} < \infty,$$

there is a subharmonic function of order ρ ($0 < \rho < 1$) and mean type for which

$$m^*(r, u) < \{\cos \pi \rho - h(r)\} M(r, u) \quad (r \geq r_0).$$

Baernstein [1] generalized Theorem A as follows.

THEOREM C. Let $u(z)$ be a nonconstant subharmonic function in \mathbf{C} . Let β and λ be numbers with $0 < \lambda < \infty$, $0 < \beta \leq \pi$, $\beta \lambda < \pi$. Then either (a) there exist arbitrarily large values of r for which the set of θ such that $u(re^{i\theta}) > \cos \beta \lambda \cdot M(r, u)$ contains an interval of length at least 2β , or else (b) $\lim_{r \rightarrow \infty} r^{-\lambda} M(r, u)$ exists, and is positive or ∞ .

For $\beta = \pi$, this is Theorem A.

In this note we shall prove the following result.

THEOREM. Let $u(z)$ be subharmonic of order ρ ($0 < \rho < \infty$) and mean type in \mathbf{C} . Let β be a number satisfying $0 < \beta \leq \pi$ and $\beta \rho < \pi/2$. Suppose that $h(r)$ is positive and continuous for $r \geq r_0$ and, for each $s > 0$,

$$\frac{h(sr)}{h(r)} \rightarrow 1 \quad (r \rightarrow \infty).$$

Further assume that $h(r) \rightarrow 0$ ($r \rightarrow \infty$), $h'(r) > -O(r^{-1})$ ($r \rightarrow \infty$) and

$$\int^{\infty} h(t) \frac{dt}{t} = \infty.$$

Then there exist arbitrarily large values of r for which the set of θ such that $u(re^{i\theta}) > \cos \beta \rho \{1 - h(r)\} M(r, u)$ contains an interval of length at least 2β .

For $\beta = \pi$, this is the first half of Theorem B.

2. Proof of Theorem. Since we are interested in results valid for large values of r , we may assume without loss of generality that $u(z)$ is harmonic in a neighborhood of $z=0$. Let β be a number satisfying $0 < \beta \leq \pi$. Put

$$u(r, \beta, \phi) = \int_{-\beta}^{+\beta} u(re^{i(\omega+\phi)}) d\omega \quad (r > 0, \phi : \text{real}).$$

For $re^{i\beta}$ fixed, $u(r, \beta, \phi)$ is a continuous (periodic) function of ϕ (cf. [4, Lemma 3]). Therefore each fixed $re^{i\beta}$, there exists a ϕ_0 ($-\pi \leq \phi_0 < \pi$) satisfying

$$N(r, \beta, u) \equiv \sup_{\phi} u(r, \beta, \phi) = u(r, \beta, \phi_0).$$

Here we set

$$\mu(r, u) = \inf \{u(re^{i\omega}); \omega \in [\phi_0 - \beta, \phi_0 + \beta]\}.$$

In order to prove our theorem, it is sufficient to show that

$$(2) \quad \mu(r, u) > \cos \beta \rho \{1 - h(r)\} M(r, u)$$

for a sequence $r = r_n \rightarrow \infty$.

If $v(z) = u(z) - u(0)$, then

$$\mu(r, u) = \mu(r, v) + u(0), \quad M(r, u) = M(r, v) + u(0).$$

By Theorem C, we may assume that

$$\lim_{r \rightarrow \infty} r^{-\rho} M(r, u) = \alpha \quad (\alpha; \text{ a positive constant}).$$

Now assume that our assertion is proved for $v(z)$, that is,

$$\mu(r, v) > \cos \beta \rho \{1 - (h(r)/2)\} M(r, v)$$

for a sequence of $r = r_n \rightarrow \infty$. Then for $r = r_n$

$$\begin{aligned} \mu(r, u) &> \cos \beta \rho \{1 - (h(r)/2)\} M(r, u) + u(0) [1 - \cos \beta \rho \{1 - (h(r)/2)\}] \\ &> \cos \beta \rho \{1 - (h(r)/2)\} M(r, u) - |u(0)| \quad (n \geq n_0) \\ &> \cos \beta \rho \{1 - (h(r)/2) - O(r^{-\rho})\} M(r, u) \\ &> \cos \beta \rho \{1 - h(r)\} M(r, u) \quad (n \geq n_1), \end{aligned}$$

since $h(r)$ is slowly varying. Thus we may assume that $u(0) = 0$.

Set

$$B(t) = N(t^\gamma, \beta, u),$$

where $\gamma = \beta/\pi$. Since $u(z)$ is of order ρ and mean type, we have

$$(3) \quad B(t) \leq 2\beta M(t^\gamma, u) = O(t^{\gamma\rho}) \quad (t \rightarrow \infty).$$

Since $\gamma\rho = \beta\rho/\pi < 1/2$, the Poisson integral

$$(4) \quad b(re^{i\theta}) = \frac{1}{\pi} \int_0^\infty B(t) \frac{r \sin \theta}{t^2 + r^2 + 2tr \cos \theta} dt$$

is harmonic in the slit plane $|\arg z| < \pi$, is zero on the positive axis and tends to $B(r)$ as $\theta \rightarrow \pi -$. By Proposition 1 in [1], $B(t)$ is a nondecreasing convex function of $\log t$ ($0 < t < \infty$). Differentiating (4) with respect to θ , we have

$$(5) \quad b_\theta(re^{i\theta}) = \frac{1}{\pi} \int_0^\infty \log \left| 1 + \frac{re^{i\theta}}{t} \right| dB_1(t) \quad (|\theta| < \pi),$$

$$(6) \quad b_\theta(-r) \equiv \lim_{\theta \rightarrow \pi^-} \frac{B(r) - b(re^{i\theta})}{\pi - \theta} = \lim_{\theta \rightarrow \pi^-} b_\theta(re^{i\theta}) = \frac{1}{\pi} \int_0^\infty \log \left| 1 - \frac{r}{t} \right| dB_1(t),$$

where $B_1(t)$ denotes the logarithmic derivative of $B(t)$. Since $B(t)$ is a nondecreasing convex function of $\log t$, $B_1(t)$ exists a. e., and is a nonnegative nondecreasing function of t . It follows from (5) and (6) that $b_\theta(z)$ is subharmonic in \mathcal{C} and that

$$(7) \quad m^*(r, b_\theta) = b_\theta(-r), \quad M(r, b_\theta) = b_\theta(r).$$

Using (3) and the fact that $B(0) = 0$, we easily see

$$B_1(t) = O(t^{\rho}) \quad (t \rightarrow \infty),$$

$$\lim_{t \rightarrow 0} \left(\log \frac{1}{t} \right) B_1(t) = 0.$$

Hence

$$(8) \quad \begin{aligned} b_\theta(r) &= \frac{1}{\pi} \int_0^\infty \log \left(1 + \frac{r}{t} \right) dB_1(t) \\ &= \frac{1}{\pi} \left[\log \left(1 + \frac{r}{t} \right) B_1(t) \right]_0^\infty - \frac{1}{\pi} \int_0^\infty \frac{-\frac{r}{t^2}}{1 + \frac{r}{t}} B_1(t) dt \\ &= \frac{r}{\pi} \int_0^\infty \frac{1}{t+r} \frac{B_1(t)}{t} dt = \frac{r}{\pi} \int_0^\infty \frac{dB(t)}{t+r} \\ &= \frac{r}{\pi} \left[\frac{B(t)}{t+r} \right]_0^\infty - \frac{r}{\pi} \int_0^\infty \frac{-B(t)}{(t+r)^2} dt \\ &= \frac{r}{\pi} \int_0^\infty \frac{B(t)}{(t+r)^2} dt = O(r^{\gamma\rho}) \quad (r \rightarrow \infty). \end{aligned}$$

In view of (7) and (8), we have

$$(9) \quad M(r, b_\theta) = O(r^{\gamma\rho}) \quad (r \rightarrow \infty).$$

Define D by $D = \{z; 0 < \arg z < \beta\}$. Let $H(z)$ be the harmonic function in D defined by $H(z) = b(z^{1/\gamma})$. Taking the estimate (3) into consideration, Baernstein's reasoning in [1, pp 192-195] gives

$$(10) \quad \begin{cases} H_\theta(r) \geq 2M(r, u) \\ H_\theta(r) + H_\theta(re^{2\beta}) \leq 2[\mu(r, u) + M(r, u)] \end{cases} \quad (0 < r < \infty).$$

It follows from (10) and (7) that if $H_\theta(r) + H_\theta(re^{2\beta}) \geq 0$ then

$$(11) \quad \frac{\mu(r, u)}{M(r, u)} \geq \frac{H_\theta(re^{2\beta})}{H_\theta(r)} = \frac{b_\theta(-r^{1/r})}{b_\theta(r^{1/r})} = \frac{m^*(r^{1/r}, b_\theta)}{M(r^{1/r}, b_\theta)}.$$

By (9) the order of $b_\theta(z)$ is less than or equal to $\gamma\rho (< 1/2)$. Assume first that $b_\theta(z)$ has order less than $\gamma\rho$. The classical $\cos \pi\rho$ theorem gives the estimate

$$(12) \quad \frac{m^*(r, b_\theta)}{M(r, b_\theta)} > \cos \pi(\gamma\rho) = \cos \beta\rho \quad (r=r_n \rightarrow \infty).$$

Combining (11) and (12), we have

$$(13) \quad \frac{\mu(r, u)}{M(r, u)} > \cos \beta\rho \quad (r=r_n^r \rightarrow \infty).$$

Assume next that $b_\theta(z)$ is of order $\gamma\rho$ and minimal type. In this case, we use Theorem A to obtain the estimate (12), so that (13) follows.

It remains to consider the case that $b_\theta(z)$ is of order $\gamma\rho$ and mean type. Define $h_1(t)$ by $h_1(t) = h(t^r)$. Then $h_1(t)$ is positive and continuous for $t \geq r_0^{1/r}$, and for each $s > 0$,

$$\frac{h_1(st)}{h_1(t)} = \frac{h(s^r t^r)}{h(t^r)} \longrightarrow 1 \quad (t \rightarrow \infty).$$

Further $h_1(t) \rightarrow 0$ ($t \rightarrow \infty$), $h_1'(t) = \gamma t^{r-1} h'(t^r) > -O(t^{-1})$ ($t \rightarrow \infty$), and

$$\int_{r_0^{1/r}}^\infty h_1(t) \frac{dt}{t} = \int_{r_0^{1/r}}^\infty h(t^r) \frac{dt}{t} = \frac{1}{r} \int_{r_0}^\infty h(t) \frac{dt}{t} = \infty.$$

Hence by Theorem B, the inequality

$$(14) \quad \frac{m^*(r, b_\theta)}{M(r, b_\theta)} > \cos \pi(\gamma\rho) \{1 - h_1(r)\} = \cos \beta\rho \{1 - h_1(r)\}$$

holds on a sequence $r = r_n \rightarrow \infty$. Combining (14) and (11), we have

$$\frac{\mu(r, u)}{M(r, u)} > \cos \beta\rho \{1 - h(r)\} \quad (r=r_n^r \rightarrow \infty).$$

This completes the proof of our theorem.

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