

## THE SPECTRUM OF THE LAPLACIAN FOR SOME 6-DIMENSIONAL $K$ -SPACES

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### 1. Introduction.

Let  $(M, g)$  be a compact orientable Riemannian manifold with metric tensor  $g$ . By  $\Delta$  we denote the Laplacian acting on differentiable functions on  $M$ . Then we have the spectrum

$$\text{Spec}(M, g) = \{0 \geq \lambda_1 \geq \lambda_2 \geq \dots > -\infty\}$$

where each eigenvalue is repeated as many time as its multiplicity indicates. The spectrum  $\text{Spec}(M, g)$  exerts an influence on the geometry of  $(M, g)$ . It is interesting to see the relation of  $\text{Spec}(M, g)$  on the geometry of  $(M, g)$ . For the study of this, M. Berger and T. Sakai used the coefficients of the asymptotic expansion of Minakshisundaram-Pleijel. In [6], after a long calculation, Sakai obtained the following

**THEOREM A.** *Let  $(M, g)$  and  $(M', g')$  be compact connected orientable Einstein manifolds with dimension  $M=6$ . We assume that  $\chi(M)=\chi(M')$  and  $\text{Spec}(M, g)=\text{Spec}(M', g')$  hold where  $\chi(M)$  denotes the Euler-Poincaré characteristic of  $M$ . Then  $(M, g)$  is locally symmetric if and only if  $(M', g')$  is locally symmetric.*

In the present paper, we shall prove the following

**THEOREM B.** *Let  $(M, g, J)$  and  $(M', g', J')$  be 6-dimensional complete, connected  $K$ -spaces which are non-Kählerian. We assume that  $\chi(M)=\chi(M')$  and  $\text{Spec}(M, g)=\text{Spec}(M', g')$ . Then  $(M, g)$  is Riemannian locally 3-symmetric if and only if  $(M', g')$  is Riemannian locally 3-symmetric.*

It is well-known that the 6-dimensional non-Kähler  $K$ -space  $(M, g, J)$  is an Einstein manifold with positive scalar curvature [5]. Therefore  $M$  is compact by Myers' theorem. The study of Riemannian 3-symmetric space has been done by A. Gray [4]. We shall give some definitions and preliminary facts on Riemannian 3-symmetric spaces in §2. Particularly we shall show the relationship between Riemannian 3-symmetric spaces and homogeneous  $K$ -spaces. In §3, we shall prove Theorem B by slight modification of the proof of Theorem A.

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## 2. Riemannian 3-symmetric spaces.

Throughout this paper, manifolds and tensor fields are assumed to be of class  $C^\infty$  unless otherwise specified.

Let  $(M, g)$  be a Riemannian manifold with Riemannian connection  $\nabla$ . By  $R=(R_{abc}{}^d)$ ,  $R_1=(R_{ab})$  and  $S$  we denote the Riemannian curvature tensor, the Ricci curvature tensor and the scalar curvature, respectively.

Suppose that  $(M, g)$  admits a local isometry  $\theta_p: U_p \rightarrow U_p$  for each point  $p$  of  $M$  such that

i)  $\theta_p^3=1$ ,

ii)  $p$  is an isolated fixed point of  $\theta_p$ ,

iii) the tensor field  $\Theta$  defined by  $\Theta_p=(d\theta_p)_p$  is  $C^\infty$ .

Then we can define an almost complex structure  $J$  by

$$(2.1) \quad \frac{\sqrt{3}}{2}J_p = \Theta_p + \frac{1}{2}I_p,$$

where  $I_p$  denotes the identity of  $T_p(M)$ . Since each  $\theta_p$  is an isometry, the Riemannian metric  $g$  is almost Hermitian with respect to  $J$ . Furthermore, we assume

iv) each  $\theta_p$  is holomorphic with respect to  $J$ , i. e.,

$$d\theta_p \circ J = J \circ d\theta_p \quad \text{on } U_p.$$

DEFINITION 1. A Riemannian manifold  $(M, g)$  is called a *Riemannian locally 3-symmetric space* if  $(M, g)$  admits a family of local isometries  $\{\theta_p\}$  satisfying the above conditions i), ii), iii) and iv). An almost complex structure  $J$  defined by (2.1) is said to be a *canonical one*.

DEFINITION 2. A Riemannian locally 3-symmetric space  $(M, g)$  is called a *Riemannian 3-symmetric space* if each  $\theta_p$  can be extended to a global holomorphic isometry of  $M$ .

As an example, we shall consider the 6-dimensional unit sphere  $S^6$ . Let  $\mathcal{C}$  be the Cayley algebra and  $E$  be a set of all pure imaginary Cayley numbers. Then  $E$  can be identified with the 7-dimensional Euclidean space. For any two points  $x, y$  of  $E$ , the inner product  $(x, y)$  and the vector product  $x \times y$  are defined by

$$-(x, y) = \text{the real part of } xy,$$

$$x \times y = \text{the imaginary part of } xy,$$

where  $xy$  is a product of  $x$  and  $y$  in  $\mathcal{C}$ . The 6-dimensional unit sphere  $S^6$  is

the set of all  $x \in E$  such that  $(x, x) = 1$ . For any point  $a$  of  $S^6$ , we define a map  $\theta_a : S^6 \rightarrow S^6$  by

$$\theta_a(x) = \frac{3}{2}(a, x)a - \frac{1}{2}x + \frac{\sqrt{3}}{2}a \times x.$$

Then we can check by straightforward computation that  $\theta_a^3 = 1$  and  $a$  is an isolated fixed point of  $\theta_a$ . With this family  $\{\theta_a\}$  and a canonical metric  $g_0$ ,  $(S^6, g_0)$  becomes a Riemannian 3-symmetric space. It may be verified that the canonical almost complex structure  $J_0$  of this family coincides with the one constructed by A. Frölicher [2], and hence  $(S^6, g_0, J_0)$  becomes a  $K$ -space [3].

DEFINITION 3. Let  $(M, g, J)$  be an almost Hermitian manifold. A tensor field  $T$  of type (1, 2) on  $M$  is called a *homogeneous structure* if it satisfies

- (a)  $(\nabla_x R)(Y, Z) = [T(X), R(Y, Z)] - R(T(X)Y, Z) - R(Y, T(X)Z)$ ,
- (b)  $(\nabla_x T)Y = [T(X), T(Y)] - T(T(X)Y)$ ,
- (c)  $\nabla_x J = [T(X), J]$ ,
- (d)  $g(T(X)Y, Z) + g(Y, T(X)Z) = 0$ .

In [8], Sekigawa proved the following

THEOREM 2.1. *Let  $(M, g, J)$  be a homogeneous almost Hermitian manifold. Then, there exists a homogeneous structure  $T$  on  $M$ . Conversely, if a connected, simply connected, complete almost Hermitian manifold  $(M, g, J)$  admits a homogeneous structure  $T$ , then  $(M, g, J)$  is a homogeneous almost Hermitian manifold.*

Now let  $(M, g, J)$  be a  $K$ -space. We put

$$(2.2) \quad \hat{T}(X)Y = \frac{1}{2}J(\nabla_x J)Y.$$

The tensor field  $\hat{T}$  plays an important role in a  $K$ -space. It has been shown that  $\hat{T}$  always satisfies the conditions (b), (c), (d) for the homogeneous structure [9]. Hence we shall consider only the condition (a). We define a tensor field  $L$  of type (1, 4) by

$$L(X, Y, Z) = (\nabla_x R)(Y, Z) - [\hat{T}(X), R(Y, Z)] + R(\hat{T}(X)Y, Z) + R(Y, \hat{T}(X)Z).$$

Obviously,  $L = 0$  means that the tensor field  $\hat{T}$  satisfies (a). In the case of  $\dim M = 6$ , Sekigawa has calculated in his paper [9] the square of the length of  $L$ . By  $|P|^2$  we denote the square of the length of a tensor  $P$ .

THEOREM 2.2. *Let  $(M, g, J)$  be a complete 6-dimensional complete non-Kähler  $K$ -space. Then we have*

$$\int_M |L|^2 dM = \int_M \left[ |\nabla R|^2 - \frac{1}{15}S \left( |R|^2 - \frac{1}{15}S^2 \right) \right] dM,$$

where  $dM$  denotes the volume element of  $(M, g)$ .

As for the relation between Riemannian 3-symmetric spaces and homogeneous  $K$ -spaces, the present author [7] proved the following

**THEOREM 2.3.** *Let  $(M, g, J)$  be a complete, connected and simply connected  $K$ -space. Then  $(M, g, J)$  is a homogeneous almost Hermitian manifold with homogeneous structure  $\hat{T}$  if and only if  $(M, g)$  is a Riemannian 3-symmetric space with canonical almost complex structure  $J$ .*

We shall remark that the proof of the above theorem in [7] actually yields the following slightly more precise result.

**THEOREM 2.4.** *Let  $(M, g, J)$  be a complete and connected  $K$ -space. Then the tensor field  $\hat{T}$  is the homogeneous structure of  $(M, g, J)$  if and only if  $(M, g)$  is a Riemannian locally 3-symmetric space with canonical almost complex structure  $J$ .*

### 3. Proof of Theorem B.

We first prove two lemmas. We put

$$\hat{R} = R^{abcd} R_{ab}{}^{uv} R_{cduv},$$

$$\overset{\circ}{R} = R^{abcd} R_a{}^u{}_c{}^v R_{budv}.$$

**LEMMA 3.1.** *Let  $(M, g)$  be a compact orientable Einstein manifold of dimension 6. Then we have*

$$(3.1) \quad \int_M \overset{\circ}{R} dM = \frac{1}{4} \int_M \left[ |\nabla R|^2 + \frac{1}{3} S |R|^2 - \hat{R} \right] dM.$$

*Proof.* From the computation, we get the following Lichnerowicz's formula.

$$(3.2) \quad \begin{aligned} \frac{1}{2} \Delta(|R|^2) &= |\nabla R|^2 + 4R^{abcd} \nabla_a \nabla_c R_{bd} \\ &\quad + 2R^{uv} R_u{}^{abc} R_{vabc} - \hat{R} - 4\overset{\circ}{R}. \end{aligned}$$

If  $(M, g)$  is 6-dimensional Einsteinian, (3.2) is reduced to

$$(3.3) \quad \frac{1}{2} \Delta(|R|^2) = |\nabla R|^2 + \frac{1}{3} S |R|^2 - \hat{R} - 4\overset{\circ}{R}.$$

Applying Green's theorem to (3.3), we get (3.1).

Making use of Lemma 3.1, we obtain the following formula (3.4) due to Sakai [6].

**LEMMA 3.2.** *Let  $(M, g)$  be a compact orientable Einstein manifold of dimension 6. The Euler-Poincaré characteristic  $\chi(M)$  is given by*

$$(3.4) \quad \chi(M) = \frac{1}{384\pi^3} \int_M \left[ 6\hat{R} - 2|\nabla R|^2 + \frac{1}{9}S^3 - \frac{5}{3}S|R|^2 \right] dM.$$

*Proof.* In a 6-dimensional compact orientable Riemannian manifold, it is well-known that  $\chi(M)$  is given by

$$\begin{aligned} \chi(M) = \frac{1}{384\pi^3} \int_M & \left[ S^3 - 12S|R_1|^2 + 3S|R|^2 + 16R^{ab}R_a{}^cR_{bc} \right. \\ & + 24R^{ab}R^{cd}R_{abcd} - 24R^{uv}R_u{}^{abc}R_{vabc} \\ & \left. + 2\hat{R} - 8R^{abcd}R_a{}^u{}_c{}^vR_{bvdu} \right] dM. \end{aligned}$$

By using the Bianchi's identity repeatedly, we get

$$R^{abcd}R_a{}^u{}_c{}^vR_{bvdu} = \overset{\circ}{R} - \frac{1}{4}\hat{R}.$$

Thus we have

$$\begin{aligned} \chi(M) = \frac{1}{384\pi^3} \int_M & \left[ S^3 - 12S|R_1|^2 + 3S|R|^2 + 16R^{ab}R_a{}^cR_{bc} \right. \\ & + 24R^{ab}R^{cd}R_{abcd} - 24R^{uv}R_u{}^{abc}R_{vabc} \\ & \left. - 8\overset{\circ}{R} + 4\hat{R} \right] dM. \end{aligned}$$

In Einsteinian case,

$$(3.5) \quad \chi(M) = \frac{1}{384\pi^3} \int_M \left[ \frac{1}{9}S^3 - S|R|^2 - 8\overset{\circ}{R} + 4\hat{R} \right] dM.$$

Therefore (3.4) is obtained from (3.5) and (3.1).

We now proceed to prove the theorem. We need the asymptotic expansion of Minakshisundaram-Pleijel for  $\text{Spec}(M, g)$  given by

$$\sum_k \exp(\lambda_k t) \sim (4\pi t)^{-m/2} [a_0 + a_1 t + a_2 t^2 + \dots],$$

where  $m = \dim M$ . The coefficients  $a_0, a_1, a_2$  and  $a_3$  have been computed by Berger [1] and Sakai [6]:

$$(3.6) \quad a_0 = \text{Vol}(M),$$

$$(3.7) \quad a_1 = \frac{1}{6} \int_M S dM,$$

$$(3.8) \quad a_2 = \frac{1}{360} \int_M [5S^2 - 2|R_1|^2 + 2|R|^2] dM,$$

$$(3.9) \quad a_3 = \frac{1}{6!} \int_M \left[ -\frac{142}{63} |\nabla S|^2 - \frac{26}{63} |\nabla R_1|^2 - \frac{1}{9} |\nabla R|^2 + \frac{5}{9} S^3 \right]$$

$$\begin{aligned}
& -\frac{2}{3}S|R_1|^2 + \frac{2}{3}S|R|^2 - \frac{4}{7}R^{ab}R_b{}^c R_{ac} \\
& + \frac{20}{63}R^{ab}R^{cd}R_{abcd} - \frac{8}{63}R^{uv}R_u{}^{abc}R_{vabc} \\
& + \frac{8}{21}\hat{R}]dM.
\end{aligned}$$

It may be noticed that instead of  $\text{Spec}(M, g)=\text{Spec}(M', g')$ , we mainly use  $a_i=a_i'$  for  $i=0, 1, 2, 3$ .

Since the 6-dimensional non-Kähler  $K$ -space is an Einsteinian, the coefficients  $a_i$  are rewritten

$$(3.6)' \quad a_0 = \text{Vol}(M),$$

$$(3.7)' \quad a_1 = \frac{1}{6}S \text{Vol}(M),$$

$$(3.8)' \quad a_2 = \frac{7}{3 \cdot 180}S^2 \text{Vol}(M) + \frac{1}{180} \int_M |R|^2 dM,$$

$$\begin{aligned}
(3.9)' \quad a_3 = & \frac{248}{7! \cdot 3^4}S^3 \text{Vol}(M) + \frac{122}{7! \cdot 3^3}S \int_M |R|^2 dM \\
& + \frac{1}{5! \cdot 3^2} \int_M \left[ \frac{4}{7}\hat{R} - \frac{1}{6}|\nabla R|^2 \right] dM.
\end{aligned}$$

From these,  $a_i=a_i'$  imply

$$(3.10) \quad \text{Vol}(M) = \text{Vol}(M'),$$

$$(3.11) \quad S = S',$$

$$(3.12) \quad \int_M |R|^2 dM = \int_{M'} |R'|^2 dM',$$

$$(3.13) \quad \int_M \left[ \frac{24}{7}\hat{R} - |\nabla R|^2 \right] dM = \int_{M'} \left[ \frac{24}{7}\hat{R}' - |\nabla R'|^2 \right] dM'.$$

By Lemma 3.2, we have

$$\begin{aligned}
& \int_M \left[ \frac{24}{7}\hat{R} - |\nabla R|^2 \right] dM \\
& = \frac{384 \cdot 4}{7} \pi^3 \chi(M) + \frac{1}{7} \int_M \left[ |\nabla R|^2 - \frac{1}{15}S \left( |R|^2 - \frac{1}{15}S^2 \right) \right] dM \\
& \quad + \frac{101}{3 \cdot 5 \cdot 7} S \int_M |R|^2 dM - \frac{101}{3^2 \cdot 5^2 \cdot 7} S^3 \text{Vol}(M).
\end{aligned}$$

Considering (3.10)~(3.12) and Theorem 2.2,  $\chi(M)=\chi(M')$  implies

$$(3.14) \quad \int_M |L|^2 dM = \int_{M'} |L'|^2 dM'.$$

Theorem B now follows from Theorem 2.4.

In the course of the proof, we established the following

COROLLARY 3.3. *Let  $(M, g, J)$  and  $(M', g', J')$  be 6-dimensional complete and connected K-spaces which are non-Kählerian. We assume that  $\text{Spec}(M, g) = \text{Spec}(M', g')$ . If*

$$\int_M \hat{R} dM = \int_{M'} \hat{R}' dM' \quad \text{or} \quad \int_M \hat{R} dM = \int_{M'} \hat{R}' dM'$$

*is satisfied, then  $(M, g)$  is Riemannian locally 3-symmetric if and only if  $(M', g')$  is Riemannian locally 3-symmetric.*

We shall conclude this paper by noticing the following

PROPOSITION 3.4. *Let  $(M, g, J)$  be a 6-dimensional complete and connected K-space which is non-Kählerian. Then we have*

$$\chi(M) \leq \frac{1}{64\pi^3} \int_M \left[ \hat{R} - \frac{3}{10} S(|R|^2 - \frac{1}{15} S^2) \right] dM$$

*with equality holding if and only if  $(M, g)$  is a Riemannian locally 3-symmetric space.*

*Proof.* By Lemma 3.2,

$$\int_M |\nabla R|^2 dM = -192\pi^3 \chi(M) + \frac{1}{2} \int_M \left[ 6\hat{R} + \frac{1}{9} S^2 - \frac{5}{3} S|R|^2 \right] dM.$$

From this and Theorem 2.2, we have

$$\begin{aligned} \int_M |L|^2 dM &= -192\pi^3 \chi(M) + 3 \int_M \left[ \hat{R} - \frac{3}{10} S(|R|^2 - \frac{1}{15} S^2) \right] dM \\ &\geq 0. \end{aligned}$$

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