

ON A CHARACTERIZATION OF THE EXPONENTIAL  
FUNCTION AND THE COSINE FUNCTION  
BY FACTORIZATION II

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1. In our previous paper [1] we proved the following

**THEOREM A.** *Let  $F(z)$  be an entire function for which there exist polynomials  $P_m(z)$  of degree  $m$  and entire functions  $f_m(z)$  so that  $F(z)=P_m(f_m(z))$  for  $m=2^j$ ,  $j=1, 2, \dots$  and for  $m=3$ . Then  $F(z)$  is either  $Ae^{H(z)}+B$  or  $A \cos \sqrt{H(z)}+B$  with constants  $A, B$  and an entire function  $H(z)$ .*

In this paper we shall give an application of this theorem.

**THEOREM 1.** *Let  $F(z)$  be an entire function for which*

$$F(z)=P_2\left(F\left(\frac{z}{n}\right)\right)=P_3\left(F\left(\frac{z}{m}\right)\right)$$

*with polynomials  $P_k$  of degree  $k$  and positive integers  $n, m$ . Then  $F(z)$  is either  $Ae^{az}+B$  or  $A \cos az+B$  or  $A \cos \sqrt{az}+B$  with constants  $A, B$  and  $a$ .*

This theorem gives again a characterization of  $\exp$  and  $\cos$ . It seems to the present author that there is another proof depending on the power series expansion. If we omit the condition  $F(z)=P_3\left(F\left(\frac{z}{m}\right)\right)$  in our theorem, we cannot say that theorem 1 holds.

In this theorem we may put  $m, n$  as non-zero constants and we have the same conclusion.

2. *Proof of Theorem 1.* Evidently

$$F(z)=P_{2^j}\left(F\left(\frac{z}{n^j}\right)\right)=P_3\left(F\left(\frac{z}{m}\right)\right)$$

for  $j=1, 2, 3, \dots$ . Hence Theorem A implies that  $F(z)$  is either  $Ae^{H(z)}+B$  or  $A \cos \sqrt{H(z)}+B$ . By  $F(z)=P_2(F(z/n))$  we have further  $m(r, F) \sim 2m(r/n, F)$  as  $r \rightarrow \infty$ . For  $r \geq r_0$

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$$(1-\varepsilon)2m\left(\frac{r}{n}, F\right) \leq m(r, F) \leq (1+\varepsilon)2m\left(\frac{r}{n}, F\right).$$

For  $r_0 \leq r_2 \leq nr_0 = r_1$  and  $r = n^p r_2$

$$\overline{\lim}_{p \rightarrow \infty} \frac{\log m(n^p r_2, F)}{\log n^p r_2} \leq \frac{\log 2 + \log(1+\varepsilon)}{\log n}$$

and

$$\underline{\lim}_{p \rightarrow \infty} \frac{\log m(n^p r_2, F)}{\log n^p r_2} \geq \frac{\log 2 + \log(1-\varepsilon)}{\log n}.$$

Hence the order of  $F$  is equal to  $\log 2 / \log n$ . Evidently  $n \geq 2$ . If  $F(z) = Ae^{H(z)} + B$ , its order  $\geq 1$  and so  $n$  should be equal to 2. Therefore  $F(z) = A_1 e^{az} + B$ . If  $F(z) = A \cos \sqrt{H(z)} + B$ , its order should be a half integer and hence  $H(z)$  is either  $az + a_0$  if  $n=4$  or  $az^2 + a_1z + a_0$  if  $n=2$ . Let  $P_2(w)$  be  $C_2 w^2 + C_1 w + C_0$ . Then by  $F(z) = P_2(F(z/2))$  in the latter case

$$\begin{aligned} & A \cos \sqrt{az^2 + a_1z + a_0} + B \\ &= \frac{C_2 A^2}{2} \cos \sqrt{az^2 + 2a_1z + 4a_0} + (2C_2 AB + C_1 A) \cos \sqrt{\frac{a}{4}z^2 + \frac{a_1}{2}z + a_0} \\ & \quad + C_2 B^2 + C_1 B + C_0 + \frac{C_2}{2} A^2. \end{aligned}$$

Without appealing to the impossibility of Borel's identity we can proceed in the following manner. The left hand side term is symmetric with respect to  $-a_1/2a$  and the right hand side term is symmetric with respect to  $-a_1/a$ . Hence  $a_1=0$ . Next consider the asymptotic behavior of both sides for  $\sqrt{az^2} = iy$  with real  $y$  for  $y \rightarrow \infty$ . Then  $2A = C_2 A^2$ ,  $2C_2 AB + C_1 A = 0$ . Hence

$$\begin{aligned} & A \cos \sqrt{az^2 + a_0} + B \\ &= A \cos \sqrt{az^2 + 4a_0} + C_2 B^2 + C_1 B + C_0 + \frac{C_2}{2} A^2. \end{aligned}$$

Let us put  $az^2 + a_0 = \left(2n\pi + \frac{\pi}{2}\right)^2$ . Then

$$B = A \sin \frac{3a_0}{2n\pi + \frac{\pi}{2}} \left( \frac{1}{2} + O\left(\frac{1}{n^2}\right) \right) + C_2 B^2 + C_1 B + C_0 + \frac{C_2}{2} A^2.$$

Hence  $a_0=0$  and  $B = C_2 B^2 + C_1 B + C_0 + C_2 A^2/2$ . Therefore

$$F(z) = A \cos \sqrt{az} + B.$$

In the former case

$$\begin{aligned}
& A \cos \sqrt{az+a_0} + B \\
&= C_2 \frac{A^2}{2} \cos \sqrt{az+4a_0} + (2ABC_2 + C_1A) \cos \sqrt{\frac{a}{4}z+a_0} \\
&\quad + \frac{C_2}{2} A^2 + C_2B^2 + C_1B + C_0.
\end{aligned}$$

Quite similarly we have

$$\begin{aligned}
a_0=0, \quad A &= \frac{C_2}{2} A^2, \quad 2ABC_2 + C_1A = 0, \\
B &= \frac{C_2}{2} A^2 + C_2B^2 + C_1B + C_0.
\end{aligned}$$

Hence

$$F(z) = A \cos \sqrt{az} + B.$$

This completes the proof of our theorem.

#### BIBLIOGRAPHY

- [1] OZAWA, M., On a characterization of the exponential function and the cosine function by factorization. *Kodai Math. J.* 1 (1978), 45-74.

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