UNIQUENESS OF ENTIRE FUNCTIONS AND FIXED POINTS

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Abstract

Let f be a nonconstant entire function. If f, f' and f'' have the same fixed points, then $f \equiv f'$.

1. Introduction

Let f be a nonconstant meromorphic function in the whole complex plane. We use the following standard notation of value distribution theory,

$$T(r, f), m(r, f), N(r, f), \overline{N}(r, f), \dots$$

(see Hayman [1], Yang [6]). We denote by S(r, f) any function satisfying

$$S(r, f) = o\{T(r, f)\},\$$

as $r \to +\infty$, possibly outside a set of r of finite linear measure.

Let g be a meromorphic function, and let a,b be two complex numbers. If g(z) = b whenever f(z) = a, then we denote it by $f(z) = a \Rightarrow g(z) = b$. Thus $f(z) = a \Leftrightarrow g(z) = a$ means f(z) = a if and only if g(z) = a.

In 1986, Jank-Muse-Volkmann [3] proved the following result.

THEOREM A. Let f be a nonconstant entire function, and a be a nonzero value. If $f(z) = a \Leftrightarrow f'(z) = a$, and $f'(z) = a \Rightarrow f''(z) = a$, then $f \equiv f'$.

In this paper, we extend Theorem A as follows.

THEOREM 1. Let f be a nonconstant entire function, and let a, c be two nonzero constants. If $f(z) = a \Rightarrow f'(z) = a$, $f'(z) = a \Rightarrow f''(z) = c$, then either $f(z) = Ae^{cz/a} + (ac - a^2)/c$ or $f(z) = Ae^{cz/a} + a$, where A is a nonzero constant.

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COROLLARY 2. Let f be a nonconstant entire function, and let a be a nonzero constant. If $f(z) = a \Rightarrow f'(z) = a$, $f'(z) = a \Rightarrow f''(z) = a$, then either $f \equiv f'$ or $f \equiv f' + a$.

Remark. In Corollary 2, the case $f \equiv f' + a$ occurs.

Let $f(z) = a + Ae^z$. Then $f'(z) = Ae^z$, $f''(z) = Ae^z$. Obviously, f satisfies $f(z) = a \Rightarrow f'(z) = a$, $f'(z) = a \Rightarrow f''(z) = a$, and $f \equiv f' + a$.

The main result of this paper is the following

THEOREM 3. Let f be a nonconstant entire function. If $f(z) = z \Leftrightarrow f'(z) = z$, and $f'(z) = z \Rightarrow f''(z) = z$, then $f \equiv f'$.

If $f(z_0) = z_0$, then z_0 is called a fixed point of f.

COROLLARY 4. Let f be a nonconstant entire function. If f, f' and f'' have the same fixed points, then $f \equiv f'$.

2. Proof of Theorem 1

Set

(2.1)
$$\psi(z) = \frac{cf'(z) + af''(z)}{f(z) - a} - \frac{2cf''(z)}{f'(z) - a}.$$

Let $f(z_0) = a$. Then by the assumptions we may suppose that, near z_0

(2.2)
$$f(z) = a + a(z - z_0) + \frac{c}{2}(z - z_0)^2 + b(z - z_0)^3 + O((z - z_0)^4),$$

where $b = f^{(3)}(z_0)/6$ is a constant. Thus we have

(2.3)
$$f'(z) = a + c(z - z_0) + 3b(z - z_0)^2 + O((z - z_0)^3),$$
$$f''(z) = c + 6b(z - z_0) + O((z - z_0)^2).$$

Hence

$$\frac{cf'(z) + af''(z)}{f(z) - a} = \frac{2c}{z - z_0} + 6b + O(z - z_0),$$
$$\frac{2cf''(z)}{f'(z) - a} = \frac{2c}{z - z_0} + 6b + O(z - z_0).$$

Thus we obtain

$$\psi(z_0) = 0.$$

Next we consider two cases.

Case 1. $f''/f' \equiv c/a$, that is $cf' \equiv af''$. Then we get

(2.5)
$$f'(z) = \frac{cA}{a}e^{(c/a)z}, \quad f(z) = Ae^{(c/a)z} + B,$$

where $A \neq 0$, B are two constants.

If there exists z_0 satisfying $f(z_0) = a$, then by the assumptions and (2.5) we obtain

$$f(z) = Ae^{(c/a)z} + \frac{ac - a^2}{c}.$$

If there doesn't exist z_0 satisfying $f(z_0) = a$, then by (2.5) we get

$$f(z) = a + Ae^{(c/a)z},$$

where A is a nonzero constant.

Case 2. $f''/f' \not\equiv c/a$. Then by the assumptions we have

$$(2.6) N\left(r, \frac{1}{f'-a}\right) \le N\left(r, \frac{1}{f''/f'-c/a}\right) \le T\left(r, \frac{f''}{f'}\right) + O(1)$$
$$= N\left(r, \frac{f''}{f'}\right) + S(r, f) = \overline{N}\left(r, \frac{1}{f'}\right) + S(r, f).$$

In the following, we consider two subcases.

Case 2.1. $\psi \not\equiv 0$. Then by (2.1) and (2.4) we get

(2.7)
$$N\left(r, \frac{1}{f-a}\right) \le N\left(r, \frac{1}{\psi}\right) \le T(r, \psi) + O(1)$$
$$\le N_0\left(r, \frac{1}{f'-a}\right) + S(r, f),$$

where $N_0(r, 1/(f'-a))$ is the counting function for those zero points of f'(z) - a which are not zero points of f(z) - a.

Thus by the assumption and (2.7) we obtain

$$(2.8) 2N\left(r, \frac{1}{f-a}\right) \le N\left(r, \frac{1}{f'-a}\right) + S(r, f).$$

On the other hand, by Nevanlinna first fundamental theorem we have

$$m\left(r, \frac{1}{f-a}\right) \le m\left(r, \frac{1}{f'}\right) + S(r, f)$$

$$\le T(r, f') - N\left(r, \frac{1}{f'}\right) + S(r, f)$$

$$\le T(r, f) - N\left(r, \frac{1}{f'}\right) + S(r, f)$$

$$\begin{split} &= T\bigg(r,\frac{1}{f-a}\bigg) - N\bigg(r,\frac{1}{f'}\bigg) + S(r,f) \\ &= m\bigg(r,\frac{1}{f-a}\bigg) + N\bigg(r,\frac{1}{f-a}\bigg) - N\bigg(r,\frac{1}{f'}\bigg) + S(r,f). \end{split}$$

Thus

(2.9)
$$N\left(r, \frac{1}{f'}\right) \le N\left(r, \frac{1}{f-a}\right) + S(r, f).$$

Hence by (2.6), (2.8) and (2.9) we get

(2.10)
$$N\left(r, \frac{1}{f-a}\right) = N\left(r, \frac{1}{f'-a}\right) + S(r, f) = S(r, f).$$

By Milloux's inequality (see [1, 6])

$$(2.11) T(r,f) \le N\left(r,\frac{1}{f-a}\right) + N\left(r,\frac{1}{f'-a}\right) + S(r,f).$$

Thus by (2.10) and (2.11) we get T(r, f) = S(r, f), a contradiction.

Case 2.2. $\psi \equiv 0$. That is

(2.12)
$$\frac{cf'(z) + af''(z)}{f(z) - a} \equiv \frac{2cf''(z)}{f'(z) - a}.$$

Thus by $f(z)=a\Rightarrow f'(z)=a$ and (2.12) we deduce that $f(z)=a\Leftrightarrow f'(z)=a$. Hence, $f''(z)=0\Rightarrow f'(z)=0$. Set

(2.13)
$$\phi(z) = \frac{af''(z) - cf'(z)}{f(z) - a}.$$

Since $f''/f' \not\equiv c/a$, we get $\phi \not\equiv 0$.

Let $f'(z_0) = 0$ and $f''(z_0) \neq 0$. Then by (2.12) we get

(2.14)
$$f(z_0) = \frac{2ac - a^2}{2c}.$$

Differentiating the two sides of (2.12) we get

(2.15)
$$\frac{[cf''(z) + af'''(z)][f(z) - a] - f'(z)[cf'(z) + af''(z)]}{[f(z) - a]^2}$$

$$\equiv \frac{2cf'''(z)[f'(z) - a] - 2c[f''(z)]^2}{[f'(z) - a]^2}.$$

Thus by (2.14), (2.15), $f'(z_0) = 0$ and $f''(z_0) \neq 0$, we obtain

$$(2.16) f''(z_0) = c.$$

Hence we have

(2.17)
$$\phi(z_0) = -\frac{2c^2}{a}.$$

Next we divide two subcases.

Case 2.2.1. $\phi(z) \neq -2c^2/a$. Then by (2.17),

$$(2.18) \qquad \overline{N}\left(r, \frac{1}{f'}\right) - \overline{N}\left(r, \frac{1}{f''}\right) \le \overline{N}\left(r, \frac{1}{\phi + 2c^2/a}\right) \le T(r, \phi) + S(r, f).$$

Obviously, by (2.13), Logarithmic Derivative Lemma (see [1, 6]) and the assumptions we get

(2.19)
$$T(r, \phi) = S(r, f).$$

Thus we get

(2.20)
$$\overline{N}\left(r, \frac{1}{f'}\right) - \overline{N}\left(r, \frac{1}{f''}\right) = S(r, f).$$

By $f''(z) = 0 \Rightarrow f'(z) = 0$, (2.13) and (2.19) we have

$$(2.21) \overline{N}\left(r, \frac{1}{f''}\right) \le \overline{N}\left(r, \frac{1}{\phi}\right) = T(r, \phi) + O(1) = S(r, f).$$

Hence

(2.22)
$$\overline{N}\left(r, \frac{1}{f'}\right) = S(r, f).$$

Thus by Milloux's inequality, (2.22) and (2.6), we get T(r, f) = S(r, f), a contradiction.

Case 2.2.2. $\phi \equiv -2c^2/a$. That is

(2.23)
$$af''(z) - cf'(z) + \frac{2c^2}{a}[f(z) - a] = 0,$$

for $z \in \mathbb{C}$.

If there exists z_0 such that $f''(z_0) = 0$, then by $f''(z) = 0 \Rightarrow f'(z) = 0$ and (2.23) we get $f'(z_0) = 0$ and $f(z_0) = a$, which contradicts $f(z) = a \Rightarrow f'(z) = a$. Hence, $f''(z) \neq 0$.

Solving the equation (2.23) we obtain

$$(2.24) f(z) = c_1 e^{\lambda_1 z} + c_2 e^{\lambda_2 z} + a,$$

where λ_1 and λ_2 are solutions of the equation $az^2 - cz + 2c^2/a = 0$, and c_1 , c_2 are two constants.

Thus

$$(2.25) f''(z) = c_1 \lambda_1^2 e^{\lambda_1 z} + c_2 \lambda_2^2 e^{\lambda_2 z}.$$

Since $f''(z) \neq 0$, we deduce from (2.25) that either $c_1 = 0$ or $c_2 = 0$. Without loss of generality, we assume that $c_2 = 0$, then

$$(2.26) f(z) = c_1 e^{\lambda_1 z} + a.$$

Thus by $f'(z) = a \Rightarrow f''(z) = c$ and (2.26) we get

$$f(z) = Ae^{(c/a)z} + a.$$

The proof of Theorem 1 is complete.

3. Proof of Theorem 3

Firstly, we consider the case that f is a transcendental entire function. Obviously, we have

$$m\left(r, \frac{1}{f-z}\right) + m\left(r, \frac{1}{f'-z}\right)$$

$$\leq m\left(r, \frac{1}{f''}\right) + m\left(r, \frac{1}{f''-1}\right) + S(r, f)$$

$$\leq m\left(r, \frac{1}{f''} + \frac{1}{f''-1}\right) + S(r, f)$$

$$\leq m\left(r, \frac{1}{f'''}\right) \leq T(r, f''') + S(r, f)$$

$$\leq T(r, f') + S(r, f).$$

Hence by Nevanlinna's first fundamental theorem, we have

(3.1)
$$T(r, f - z) + T(r, f' - z) \le N\left(r, \frac{1}{f - z}\right) + N\left(r, \frac{1}{f' - z}\right) + T(r, f') + S(r, f).$$

By $f(z) = z \Leftrightarrow f'(z) = z$, and $f'(z) = z \Rightarrow f''(z) = z$, it is easy to see that

(3.2)
$$N\left(r, \frac{1}{f'-z}\right) = N\left(r, \frac{1}{f-z}\right) + S(r, f).$$

Thus by (3.1) and (3.2) we have

$$(3.3) T(r,f) \le 2N\left(r,\frac{1}{f-z}\right) + S(r,f).$$

Set

(3.4)
$$H(z) = \frac{f''(z)}{f'(z) - 1} - \frac{z}{z - 1}.$$

If $H \equiv 0$, then by (3.4) we get

$$\frac{f''(z)}{f'(z)-1} \equiv \frac{z}{z-1}.$$

Thus we have

$$(3.5) f'(z) = 1 + C(z-1)e^z,$$

(3.6)
$$f(z) = z + C(z - 2)e^z + A.$$

where $A, C \neq 0$ are two constants.

Hence by (3.5), (3.6) and $f(z) = z \Leftrightarrow f'(z) = z$, we know that f'(z) = z have the unique solution $z_0 = 2 - A$ with $z_0 \neq 0, 1$. But it is clear that f'(z) = z have infinitely many solutions, a contradiction. Hence $H \not\equiv 0$, that is

$$\frac{f''(z)}{f'(z) - 1} \not\equiv \frac{z}{z - 1}.$$

Thus by the assumption of the theorem, we have

$$(3.7) N\left(r, \frac{1}{f-z}\right) \le N\left(r, \frac{1}{H}\right) + O(\log r)$$

$$\le T(r, H) + S(r, f)$$

$$\le N\left(r, \frac{f''}{f'-1}\right) + S(r, f)$$

$$\le \overline{N}\left(r, \frac{1}{f'-1}\right) + S(r, f).$$

Hence by (3.7) and (3.3) we get

$$(3.8) T(r,f) \le 2\overline{N}\left(r,\frac{1}{f'-1}\right) + S(r,f).$$

Set

(3.9)
$$\phi(z) = \frac{f'(z) - 1}{f(z) - z} - \frac{f''(z) - 1}{f'(z) - z},$$

(3.10)
$$\psi(z) = \frac{(z-1)f''(z) - z[f'(z) - 1]}{f(z) - z}.$$

Obviously, by Logarithmic Derivative Lemma (see [1, 6])

(3.11)
$$m(r,\phi) = S(r,f), \quad m(r,\psi) = S(r,f).$$

Let z_0 satisfy $f(z_0) = z_0$ and $z_0 \neq 0, 1$. Then by assumption we may assume that, near z_0

(3.12)
$$f(z) = z_0 + z_0(z - z_0) + \frac{z_0}{2}(z - z_0)^2 + \frac{f'''(z_0)}{6}(z - z_0)^3 + \cdots,$$

Thus we have

(3.13)
$$f'(z) = z_0 + z_0(z - z_0) + \frac{f'''(z_0)}{2}(z - z_0)^2 + \cdots,$$

(3.14)
$$f''(z) = z_0 + f'''(z_0)(z - z_0) + \cdots$$

By (3.12)–(3.14) we get

(3.15)
$$N(r, \phi) = S(r, f), \quad N(r, \psi) = S(r, f).$$

Thus we have

(3.16)
$$T(r,\phi) = S(r,f), \quad T(r,\psi) = S(r,f).$$

By (3.12)–(3.14) and (3.9)–(3.10) we get

$$\phi(z_0) = \frac{f'''(z_0) - z_0}{2(1 - z_0)},$$

and

$$\psi(z_0) = f'''(z_0) - z_0 - 1.$$

Thus we obtain

$$2(z_0 - 1)\phi(z_0) + \psi(z_0) + 1 = 0.$$

If $2(z-1)\phi(z) + \psi(z) + 1 \neq 0$, then by (3.16)

(3.17)
$$N\left(r, \frac{1}{f-z}\right) \le N\left(r, \frac{1}{2(z-1)\phi + \psi + 1}\right) + O(\log r)$$
$$\le T(r, \phi) + T(r, \psi) + S(r, f) \le S(r, f).$$

Thus by (3.3) and (3.17) we get a contradiction: T(r, f) = S(r, f). Hence

(3.18)
$$2(z-1)\phi(z) + \psi(z) + 1 \equiv 0.$$

Now let z_1 satisfy $f'(z_1) = 1$ and $z_1 \neq 1$. Then by $f(z) = z \Leftrightarrow f'(z) = z$, we know that $f(z_1) \neq z_1$. Thus by (3.12) and (3.13) we have

(3.19)
$$\phi(z_1) = \frac{f''(z_1) - 1}{z_1 - 1},$$

(3.20)
$$\psi(z_1) = \frac{(z_1 - 1)f''(z_1)}{f(z_1) - z_1}.$$

Hence by (3.18)–(3.20) we obtain

$$[2f(z_1) - z_1 - 1]f''(z_1) = f(z_1) - z_1.$$

If $2f(z_1)-z_1-1=0$, then $f(z_1)=z_1$, a contradiction. Hence $2f(z_1)-z_1-1\neq 0$. Thus

(3.21)
$$f''(z_1) = \frac{f(z_1) - z_1}{2f(z_1) - z_1 - 1}.$$

Therefore by (3.19)-(3.21) we get

(3.22)
$$\phi(z_1) = \frac{1 - f(z_1)}{(z_1 - 1)[2f(z_1) - z_1 - 1]},$$

(3.23)
$$\psi(z_1) = \frac{z_1 - 1}{2f(z_1) - z_1 - 1}.$$

By (3.9), (3.10) and (3.21) we get

(3.24)
$$\phi'(z_1) = \frac{f'''(z_1)}{z_1 - 1} + \frac{1}{2f(z_1) - z_1 - 1} + \frac{[f(z_1) - 1]^2}{(z_1 - 1)^2 [2f(z_1) - z_1 - 1]^2},$$

and

(3.25)
$$\psi'(z_1) = \frac{(z_1 - 1)f'''(z_1)}{f(z_1) - z_1} - \frac{z_1 - 1}{2f(z_1) - z_1 - 1}.$$

By (3.18) we get

$$(3.26) 2\phi(z) + 2(z-1)\phi'(z) + \psi'(z) \equiv 0.$$

Thus we have

$$(3.27) 2\phi(z_1) + 2(z_1 - 1)\phi'(z_1) + \psi'(z_1) = 0.$$

By (3.22)-(3.24) and (3.27) we get

$$(3.28) \quad \frac{2[1-f(z_1)]}{(z_1-1)^2}\psi(z_1) + 2f'''(z_1) + 2\psi(z_1) + \frac{2[f(z_1)-1]^2}{(z_1-1)^3}\psi^2(z_1) + \psi'(z_1) = 0.$$

By (3.25) we get

(3.29)
$$f'''(z_1) = \frac{[\psi'(z_1) + \psi(z_1)][f(z_1) - z_1]}{z_1 - 1}.$$

Thus by (3.28) and (3.29) we have

(3.30)
$$\frac{2[1-f(z_1)]}{(z_1-1)^2}\psi(z_1) + \frac{2[\psi'(z_1)+\psi(z_1)][f(z_1)-z_1]}{z_1-1} + 2\psi(z_1) + \frac{2[f(z_1)-1]^2}{(z_1-1)^3}\psi^2(z_1) + \psi'(z_1) = 0.$$

By (3.23) we get

(3.31)
$$f(z_1) = \frac{z_1 + 1}{2} + \frac{z_1 - 1}{2\psi(z_1)}.$$

Hence by (3.30) and (3.31), we have

$$(3.32) 2(z_1 - 1)\psi'(z_1) + \psi^3(z_1) + 2(z_1 - 1)\psi^2(z_1) + (2z_1 - 3)\psi(z_1) = 0.$$

Let

$$\Delta = 2(z-1)\psi'(z) + \psi^{3}(z) + 2(z-1)\psi^{2}(z) + (2z-3)\psi(z).$$

If $\Delta \not\equiv 0$, then

$$(3.33) \quad \overline{N}\left(r,\frac{1}{f'-1}\right) \leq N\left(r,\frac{1}{\Delta}\right) + O(\log r) \leq T(r,\Delta) + S(r,f) \leq S(r,f).$$

Thus by (3.8) and (3.33) we get a contradiction: T(r, f) = S(r, f). Hence, $\Delta \equiv 0$, that is

$$(3.34) 2(z-1)\psi'(z) + \psi^{3}(z) + 2(z-1)\psi^{2}(z) + (2z-3)\psi(z) \equiv 0.$$

Obviously, by (3.34), ψ is an entire function. We claim that ψ is not transcendental. Indeed, if ψ is transcendental, then by (3.34) we have

$$3T(r,\psi) = 3m(r,\psi) = m(r,\psi^3)$$

$$= m(r,2(z-1)\psi^2 + (2z-3)\psi + 2(z-1)\psi'))$$

$$\leq m(r,\psi) + m\left(r,2(z-1)\psi + (2z-3) + 2(z-1)\frac{\psi'}{\psi}\right)$$

$$\leq 2m(r,\psi) + S(r,\psi) = 2T(r,\psi) + S(r,\psi).$$

Thus we get a contradiction: $T(r, \psi) = S(r, \psi)$. Hence ψ is a polynomial. Next, by simple computation, we deduce that either $\psi \equiv 0$ or $\psi \equiv -1$.

If $\psi \equiv 0$, Then by (3.10) we get

$$(z-1)f''(z) \equiv z[f'(z)-1],$$

which means $H \equiv 0$, a contradiction.

If $\psi \equiv -1$, then by (3.18) we know $\phi \equiv 0$. Thus by (3.9) we have

$$\frac{f'(z) - 1}{f(z) - z} \equiv \frac{f''(z) - 1}{f'(z) - z}.$$

Next we can easily deduce that $f \equiv f'$.

Now we prove that f can not be a polynomial.

By simple computation, f can not be a polynomial with deg $f \le 2$. Next we prove that f can not be a polynomial with deg $f \ge 3$. Suppose that there exists such polynomial f with $f(z) = z \Leftrightarrow f'(z) = z$ and $f'(z) = z \Rightarrow f''(z) = z$, and $d = \deg f \ge 3$. Let z_1, z_2, \ldots, z_n be the fixed points of f. Then we have

$$(3.35) f(z) = z + A(z - z_1)^{\alpha_1} (z - z_2)^{\alpha_2} \cdots (z - z_n)^{\alpha_n},$$

$$(3.36) f'(z) = z + B(z - z_1)^{\beta_1} (z - z_2)^{\beta_2} \cdots (z - z_n)^{\beta_n},$$

and

$$(3.37) f''(z) = z + C(z - z_1)^{\gamma_1} (z - z_2)^{\gamma_2} \cdots (z - z_n)^{\gamma_n} p(z),$$

where $p \ (\not\equiv 0)$ is a polynomial, and A, B, C are three non-zero constants and $\{\alpha_j\}, \{\beta_j\}, \{\gamma_i\} \ (j=1,2,\ldots,n)$ are positive integers satisfying

(3.38)
$$\sum_{j=1}^{n} \alpha_j = d, \quad \sum_{j=1}^{n} \beta_j = d-1, \quad \sum_{j=1}^{n} \gamma_j + \deg p = d-2.$$

From (3.35) and (3.36) we obtain

(3.39)
$$1 + A \sum_{i=1}^{n} \alpha_i (z - z_i)^{\alpha_i - 1} \prod_{j \neq i} (z - z_j)^{\alpha_j} \equiv z + B \prod_{j=1}^{n} (z - z_j)^{\beta_j}.$$

If $\alpha_j \ge 2$, then by (3.39) we get $z_j = 1$. Similarly, we know that if $\beta_j \ge 2$, then $z_j = 1$. Without loss of generality, we assume that j = 1. Thus by (3.35)–(3.36) and (3.38) we have

$$(3.40) f(z) = z + A(z-1)^{\alpha_1}(z-z_2)\cdots(z-z_n),$$

$$(3.41) f'(z) = z + B(z-1)^{\alpha_1-1}(z-z_2)\cdots(z-z_n).$$

If $\alpha_1 \ge 3$, then by (3.40) we get f(1) = 1 and f''(1) = 0, which contradicts $f(z) = z \Rightarrow f''(z) = z$. Thus $\alpha_1 = 2$. Hence by (3.37)–(3.38), and (3.41) we have

(3.42)
$$f'(z) = z + B(z-1)(z-z_2)\cdots(z-z_n).$$

(3.43)
$$f''(z) = z + C(z-1)(z-z_2)\cdots(z-z_n).$$

Thus by (3.42) and (3.43) we get a contradiction: $\deg f' = \deg f''$. The proof of Theorem 3 is complete.

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