On the pro-representability of a functor on the category of finite group schemes

By

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(Received September 26, 1966)

Part I. Preliminaries

1. Let S be a locally noetherian prescheme and let G, X be respectively a group prescheme over S, a prescheme over S on which G operates from the left, that is to say, there is a S-morphism $\sigma: G \underset{s}{\times} X \rightarrow X$ such that the following diagrams are commutative.



where μ (resp. e) is the multiplication (resp. the unit) of G. Then a diagram

(*)
$$G \underset{s}{\times} G \underset{s}{\times} X \xrightarrow{\stackrel{\sigma}{\mu \times X}} G \underset{s}{\times} X \xrightarrow{\stackrel{\sigma}{\mu \times X}} X$$

is a (Sch/S)-groupoïd. In particular, if G is a finite, locally free group scheme over S, we have the next result for the admissibility of the pre-equivalence relation (*) (i.e. the existence of a geometric quotient of X by G.)

Proposition 1. (Grothendieck-Gabriel, [1].) Let G be a finite,

locally free group scheme over S. Suppose the next condition is satisfied in (*):

For any point $x \in X$, the set $\sigma(G \times x)$ (i.e. the orbit of x) is contained in an affine open set of X.

Then we have:

(i) There exists a cohernel (Y, p) of (σ, pr_2) in (Sch/S), moreover such a (Y, p) is a cohernel of (σ, pr_2) in the category of all the ringed spaces.

(ii) The morphism $(\sigma, pr_2)_Y : G \underset{s}{\times} X \to X \underset{Y}{\times} X$ is surjective. From (i) and (ii), (Y, p) is a geometric quotient of X by G, (the terminology is due to D. Mumford, [5].)

(iii) p is integral, and Y is affine if X is affine.

(iv) If (σ, pr_2) is a closed immersion (then, we call the operation σ faithful), then $(\sigma, pr_2)_Y : G \underset{s}{\times} X \to X \underset{Y}{\times} X$ is an isomorphism, and p is finite, locally free. If the rank of G over S is constant, the rank of X over Y is equal to the rank of G over S.

Remark. If there exists an affine morphism $p': X \rightarrow Y'$ such that $p' \cdot \sigma = p' \cdot pr_2$, then the above condition is satisfied.

Corollary. In the situation of Proposition 1, if G operates faithfully on X, (Y, p) is a universal geometric quotient, i.e. for any morphism $Y' \rightarrow Y$, $(Y', p_{Y'})$ is a geometric quotient of $X \underset{y}{\times} Y'$ by G.

Proof. From (i), (iv) of Proposition 1, we know that $X \underset{Y}{\times} X \xrightarrow{\sim} G \underset{s}{\times} X$ i.e. if we change the base from Y to X, X becomes trivial and that $p: X \rightarrow Y$ is a faithfully flat, quasi-compact morphism. Note that for any morphism $f: Y' \rightarrow Y$, the morphism $p_{Y'}: X \underset{Y}{\times} Y' \rightarrow Y'$ is also a faithfully flat, quasi-compact morphism. We put $X' = X \underset{Y}{\times} Y'$, $p' = p_{Y'}$ and $\sigma' = \sigma_{Y'}$. Since p' is affine, from the remark, we know the existence of a geometric quotient (Y'', q') of X' by G,

$$G \underset{s}{\times} X' \xrightarrow{\sigma'} pr_{2} X' \xrightarrow{q'} Y'' \xrightarrow{q} Y' , \quad q \cdot q' = p' .$$

Now, we extend the base Y' to X' by a morphism $p': X' \rightarrow Y'$, then we have the following commutative diagram,

where in the lower row, G operates on $G \underset{s}{\times} X'$ through the multiplication of G, therefore X' is a geometric quotient of $G \underset{s}{\times} X'$ by G. Therefore, (X', p'') is a geometric quotient in the second row. On the other hand, we have

$$p'' = p'_{X'} = q_{X'} \cdot q'_{X'} \colon X' \underset{Y'}{\times} X' \xrightarrow{q'_{X'}} Y'' \underset{Y'}{\times} X' \xrightarrow{q_{X'}} X'.$$

Hence $q_{X'}: Y'' \underset{Y'}{\times} X' \to X'$ is isomorphism. Since $p': X' \to Y'$ is faithfully flat, quasi-compact, we have, $Y'' \xrightarrow{\sim} Y'$. (cf. [2], Exp. VIII, Cor. 5.4.) q.e.d.

2. We denote by $C_f(S)$ the category of finite, locally free group schemes over S. Let $G \in C_f(S)$ and let X, Y be pre-schemes over S such that G operates faithfully on X and that Y is a geometric quotient of X by G. In this situation, we will say formally that a sequence $G \times X \xrightarrow{\sim} X \rightarrow Y$ is *exact*.

Let us fix a group scheme $G \in C_f(S)$ and a pre-scheme Y over S, and denote by $E'_S(G, Y)$ the set of all pairs (X, p) of a prescheme X over S and a S-morphism $p: X \to Y$ such that $G \underset{s}{\times} X \xrightarrow{\rightarrow} X \xrightarrow{} p$ Y is exact.

Lemma 1. Let Y be a pre-scheme of finite type over S, and let (X, p), (X', p') be two elements of $E'_{S}(G, Y)$. Suppose there exists a morphism $f: X \to X'$ such that $p' \cdot f = p$ and that f commutes with the operations of G on X and X', i.e. $\sigma' \cdot (G \times f) = f \cdot \sigma$. Then f is an isomorphism.

Proof. It is immediate to see that the underlying topological spaces of X and X' is bijective under f, (cf. Lemma 1 of Part II). We have only to show the isomorphism of \mathcal{O}_x and $\mathcal{O}_{x'}$. By virtue of Corollary to Proposition 1, we can suppose that $Y = \operatorname{Spec}(B)$, $X = \operatorname{Spec}(A), X' = \operatorname{Spec}(A'), f = \operatorname{Spec}(\varphi), \text{ where } B \text{ is a noetherian}$ local ring, A, A' are B-algebras which are free B-modules with the same finite rank, and φ is a homomorphism of *B*-algebras. The image $\varphi(A')$ of φ defines a closed image X'' of f in X', and it is easy to see that X'' belongs also to $E'_{S}(G, Y)$ and that $X \rightarrow$ $X'', X'' \rightarrow X'$ are morphisms of G-pre-schemes. Therefore, we can assume that φ is surjective or injective. Next we change the base Y to Spec(B/\mathfrak{m}), \mathfrak{m} : the maximal ideal of B. Then $A'/\mathfrak{m}A'$, $A/\mathfrak{m}A$ are vector spaces of the same dimension over B/m. The same argument as for Y = Spec(B) shows that $A'/\mathfrak{m}A' \xrightarrow{\sim} A/\mathfrak{m}A$. If φ is injective, we have $A = A' + \mathfrak{m}A$, and by Nakayama's lemma, we have $A' \xrightarrow{\sim} A$. If φ is surjective, since A is B-projective module, $A' \simeq A \oplus M$, for some *B*-module *M*. Since $A'/\mathfrak{m}A' \simeq A/\mathfrak{m}A$, we have mM = M. Hence M = 0. q.e.d.

Corollary. Let G, Y be as in Lemma 1, and let X be an element of $E'_{s}(G, Y), G \underset{s}{\times} X \xrightarrow{\sigma} X \xrightarrow{p} Y$. If p has a section s (i.e. S-morphism pr_{2}

 $Y \rightarrow X$ such that $p \cdot s = Y$), then X is isomorphic to $G \underset{s}{\times} Y$.

Thus two elements (X, p), (X', p') of $E'_{S}(G, Y)$ are isomorphic if there exists a morphism $f: X \to X'$ such that $p' \cdot f = p$ and that f commutes with the operations of G on X and X'. Then, this defines an equivalence relation in $E'_{S}(G, Y)$ by virtue of Lemma 1. We denote by $E_{S}(G, Y)$ the quotient of $E'_{S}(G, Y)$ by the above equivalence relation.

Proposition 2. Let $f: Z \to Y$ be a S-morphism. We associate to any element $X \in E'_{S}(G, Y)$ an element $X \underset{Y}{\times} Z$ of $E'_{S}(G, Z)$, (well defined). Then this mapping defines a mapping $f^*: E_{S}(G, Y) \to E_{S}(G, Z)$. **Proof.** We omit the proof.

q.e.d.

Proposition 3. Let Y be a scheme over S and let $\alpha: G \rightarrow H$ be a homomorphism of finite, locally free group schemes over S, which satisfy the condition:

(S): the kernel of $\alpha: G \rightarrow H$, i.e. $K = G \underset{H}{\times} S$ is locally free.

We define an operation σ' of G on $H \underset{s}{\times} X$ by $\sigma' = (\mu_H \cdot \tau \cdot (\iota \alpha \times H) \cdot pr_{12}, \sigma \cdot pr_{13})$, where $\mu_H, \tau, \sigma, \iota$ are respectively the multiplication of H, the exchange of two members, the operation of G on X and the inverse morphism of G. Then there exists a geometric quotient X' of $H \underset{s}{\times} X$ by G on which H operates faithfully and which has Y as a geometric quotient by H. In particular, if H = G/K, X' is obtained as a geometric quotient of X by K (restricting the operation of G to K). If $\alpha: G \rightarrow H$ is a closed immersion, then the canonical morphism $X \rightarrow X'$ is also a closed immersion. In this situation, α defines a mapping $\alpha_*: E_s(G, Y) \rightarrow E_s(H, Y)$.

Proof. The proof consists of several steps.

(I) The existence of X. We will begin by showing that the G on $H \times X$ is faithful. The operation is decomposed as follows:

$$G \times H \times X \xrightarrow{\sim}_{\tau \times X} H \times G \times X \xrightarrow{}_{H \times \Delta_{G/S} \times X} H \times G \times G \times X \xrightarrow{}_{H \times \alpha \times G \times X}$$
$$H \times H \times G \times X \xrightarrow{}_{H \times \iota \times G \times X} H \times H \times G \times X \xrightarrow{}_{(\mu_H, H) \times (\sigma, X)}$$
$$H \times H \times X \times X \xrightarrow{}_{H \times \tau \times X} H \times X \times H \times X,$$

and all morphisms except $(H \times \alpha \times G \times X) \cdot (H \times \Delta_{G/S} \times X)$ are closed immersions. Therefore, we have only to prove that $(\alpha \times G) \cdot \Delta_{G/S}$ is a closed immersion. Then by EGA, (I. 4. 2. 3.) and (I. 4. 2. 4), the unit morphism $S \rightarrow H$ is a closed immersion, hence $K \rightarrow G$ is a closed immersion. In this case, using the condition (S), there exists a geometric quotient G/K and α is decomposed to $\beta \cdot \alpha' : G \xrightarrow{\alpha} G/K \xrightarrow{\beta} H$. Here, β is also a closed immersion. Then we have $(\alpha \times G)\Delta_{G/S} = (\beta \times G)(\alpha' \times G)\Delta_{G/S}$. Since $(\beta \times G)$ is a closed immersion, we can assume that H = G/K. Then the base change

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 $G \xrightarrow{\alpha} H$, applied to a *H*-morphism $G \xrightarrow{\Delta_{G/S}} G \times G \xrightarrow{\alpha \times G} H \times G$ gives us a closed immersion, $K \times G \xrightarrow{\sim} G \times G \to K \times G \times G \to G \times G$ (formally, $(k, g) \rightarrow (k, g, g) \rightarrow (kg, g)$), which is equal to $K \times G \xrightarrow{\rightarrow} G \times G \xrightarrow{\sim} (\mu_G, pr_2)$ $G \times G$. Since $G \xrightarrow{\rightarrow} H$ is faithfully flat, quasi-compact, $(\alpha \times G) \Delta_{G/S}$ is a closed immersion, (cf. [2], Exp. VIII, Cor. 5.5). Next, $H \xrightarrow{s} X \xrightarrow{(\pi \times p)} S \xrightarrow{s} Y \xrightarrow{\sim} Y$ is affine and invariant with respect to the operation σ' of G on $H \times X$. Therefore there exists a geometric quotient X' of $H \xrightarrow{s} X$ by G.

(II) H operates faithfully on X. The operation σ'' of H on X' is defined from the next commutative diagram,

$$\begin{array}{cccc} H \times G \times H \times X & \longrightarrow & H \times H \times X \longrightarrow & H \times X' \\ (G \times \mu_H \times X)^{\downarrow} (\tau \times H \times X) & \downarrow & \mu_H \times X & \downarrow & \sigma'' \\ \downarrow & & \downarrow & & \downarrow & & f' & \downarrow & \chi' \\ G \times H \times X & \longrightarrow & H \times X & \xrightarrow{p'} & X' \end{array}$$

Consider the following commutative diagram, all objects considered naturally defined over Y,

$$\begin{array}{cccc} H \times G \times H \times X \to (G \times H \times X) \times (G \times H \times X) \\ & & & \downarrow \\ H \times H \times X & \longrightarrow & (H \times X) \times (H \times X) \\ & & \downarrow H \times p' & & \downarrow \\ H \times X' & \longrightarrow & X' \times X' \end{array}$$

where it is easy to point out the morphisms. The base change by a faithfully flat, quasi-compact morphism $p: X \rightarrow Y$ gives us the following commutative diagram,

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where we have used the fact that X is an universal geometric quotient to prove that $X' \underset{r}{\times} X \xrightarrow{\sim} H \times X$. It is easy to point out the morphisms of the above diagram. Therefore $(H \times X') \underset{r}{\times} X$ is isomorphic to $(X' \underset{r}{\times} X') \underset{r}{\times} X$. Hence an isomorphism $H \underset{s}{\times} X' \xrightarrow{\sim} X' \underset{r}{\times} X'$. Since Y is a scheme over S, a morphism $X' \underset{r}{\times} X' \xrightarrow{\sim} (X' \times X') \underset{r \times r}{\times} (Y, \Delta_{Y/S}) \xrightarrow{\sim} X' \times X' \cong (X' \underset{s}{\times} X')_{Y \times Y} (Y \times Y)$ is a closed immersion. Therefore $H \underset{s}{\times} X' \xrightarrow{\sim} X' \underset{s}{\times} X'$ is a closed immersion.

(III) Y is a geometric quotient of X' by Y. From the construction of X', we have an affine morphism $p'': X' \rightarrow Y$ which is invariant with respect to the operation of H on X'. Therefore, there exists a geometric quotient $(Y', q): X' \rightarrow Y' \rightarrow Y', q' \cdot q = p'.$

Consider the following commutative diagram,

Since we have $q \cdot p' \cdot (\mu_H \times X) = q \cdot \sigma'' \cdot (H \times p') = q \cdot pr_2 \cdot (H \times p') = q \cdot p' pr_{23}$, there exists a morphism $r: X \to Y'$ such that $q \cdot p' = r \cdot p_1$. We have $r \cdot \sigma = r \cdot pr_2$ by the analogous argument, hence the existence of a morphism $r': Y \to Y'$ such that $r = r' \cdot p$. Now, it is easy to show that q', r' define the isomorphisms of Y' and Y.

(IV) The proof of the second assertion can be done analogously. For the third assertion, we have a commutative diagram.

$$\begin{array}{cccc} G \times H \times X & \xrightarrow{\sigma'} & H \times X \xrightarrow{p'} X' \\ & & \downarrow pr_{12} & & \downarrow & & \downarrow \pi \\ G \times H & \xrightarrow{\mu_H \cdot \tau \cdot (\iota \alpha \times H)} & & \downarrow & \pi \\ & & & H \xrightarrow{\mu_H \cdot \tau \cdot (\iota \alpha \times H)} H & \longrightarrow H/G \end{array}$$

From this diagram, we know the existence of a S-morphism $\pi: X' \to H/G$, where H/G is a geometric quotient of H by G, letting G operate on H from the right through $\iota \cdot \alpha$. Consider $\tilde{X} = (X', \pi) \underset{H/G}{\times} (S, e)$, where e is the distinguished morphism induced by the unit morphism of H. \tilde{X} is a closed subscheme of X'. From the construction of X', we know that $i: X \to X'$ is divided by a canonical injection $j: \tilde{X} \to X'$. Using Lemma 1 of Part I, we know immediately that $X \cong \tilde{X}$.

Corollary. Let G be a commutative, finite, locally free group scheme over S and let Y be a scheme over S. Then $E_s(G, Y)$ is endowed with a structure of an abelian group. For a morphism $f: Z \rightarrow Y$, the mapping $f^*: E_s(G, Y) \rightarrow E_s(G, Z)$ is a homomorphism of abelian groups. For a homomorphism $\alpha: G \rightarrow H$ of commutative, finite, locally free group schemes, the morphism $\alpha_*: E_s(G, Y) \rightarrow$ $E_s(H,Y)$ is also a homomorphism of abelian groups.

Proof. Both the multiplication μ_G and the inverse morphism ι_G satisfy the condition (S). q.e.d.

Part II. Main results

1. From now on, S is a spectrum of an algebraically closed field k of arbitrary characteristic. Let G be a finite group scheme over k and let X be an element of $E'_{k}(G, Y)$, where Y is a prescheme over k,

$$G \times X \xrightarrow{\sigma} X \xrightarrow{p} Y.$$

Since k is perfect, $(G \times X)_{red} = G_{red} \times X_{red}$, and since $(\sigma, pr_2) : G \times X_k$ $\rightarrow X \times X_k$ is a closed immersion, $(\sigma_{red}, pr_2) : G_{red} \times X_{red} \rightarrow X_{red} \times X_{red}$ is a closed immersion. Therefore G_{red} operates faithfully on X_{red} . On the other hand, p_{red} is affine and invariant with respect to the operation of G_{red} on X_{red} . Hence the existence of a geometric quotient (Y', p') of X_{red} be G_{red} ,

$$G_{\mathrm{red}} \underset{*}{\times} X_{\mathrm{red}} \xrightarrow{\sigma_{\mathrm{red}}} X_{\mathrm{red}} \xrightarrow{p'} Y' \xrightarrow{p''} Y_{\mathrm{red}}, \quad p'' \cdot p' = p_{\mathrm{red}}.$$

Then we have:

Lemma 1. In the above situation, the morphism p'' is a universal homeomorphism (cf. [4], IV, 2.4.2), and the homomorphism of structural sheaves attached to $p'': \mathcal{O}_{Y_{red}} \rightarrow p''_{*}(\mathcal{O}_{Y'})$ (which we call the comorphism of p'' for the abbreviation of notations) is injective.

Proof. It is essentially included in the assertion (i) of Proposition 1, Part I. q.e.d.

Let $\alpha: F \to H$, $\beta: G \to H$ be homomorphisms of finite group schemes over k and let Y be a reduced, irreducible scheme of finite type over k (i.e. an absolutely irreducible variety in the classical sense). Let X be an element of $E'_k(F \times G, Y)$; $(F \times G) \times X \xrightarrow[H]{\sigma} X \to Y$, where the definition of a finite group scheme $F \times G$ is tri pr_2

vial. Let p_1, p_2, p_3 be projections from $F \times G$ to F, G and H. Consider $X_1 = (p_1)_*(X), \quad X_2 = (p_2)_*(X) \quad \text{and} \quad X_3 = (p_3)_*(X); \quad F \times X_1 \stackrel{\sigma_F}{\xrightarrow{\rightarrow}} X_1 \stackrel{p_F}{\xrightarrow{\rightarrow}} Y,$ $G \times X_2 \stackrel{\sigma_G}{\xrightarrow{\rightarrow}} X_2 \stackrel{p_G}{\xrightarrow{\rightarrow}} Y, \quad H \times X_3 \stackrel{\sigma_H}{\xrightarrow{\rightarrow}} X_3 \stackrel{p_H}{\xrightarrow{\rightarrow}} Y.$ Then we have $(p_3)_*(X) =$ $\alpha_*(X_1) = \beta_*(X_2).$ Therefore, an element (X_1, X_2) is determined in $E_k(F, Y) \underset{B_k(H,Y)}{\times} E_k(G, Y)$, associated to $X \in E_k(F \times G, Y)$. We denote this map by Φ .

Lemma 2. The notations are as above. Then $X_1 \underset{x_3}{\times} X_2 \neq \phi$ and $X_1 \underset{x_3}{\times} X_2$ is an element of $E_{\mathbf{k}}(F \underset{\mu}{\times} G, Y)$.

Proof. It is easy to see that $X_1 \underset{x_3}{\times} X_2 \neq \phi$. We define an operation σ' of $F \underset{H}{\times} G$ on $X_1 \underset{x_3}{\times} X_2$ as the composition of morphisms, $(F \underset{H}{\times} G) \underset{k}{\times} (X_1 \underset{x_3}{\times} X_2) \cong (F \underset{k}{\times} X_1) \underset{(\mathcal{U} \underset{k}{\times} x_3)}{\times} (G \underset{k}{\times} X_2) \xrightarrow{\sigma_F} X_1 \underset{x_3}{\times} X_2$. We will show that the operation σ' is faithfull. In fact, the morphism $(\sigma', pr_2) : (F \underset{H}{\times} G) \underset{k}{\times} (X_1 \underset{x_3}{\times} X_2) \to (X_1 \underset{k}{\times} X_2) \underset{k}{\times} (X_1 \underset{x_3}{\times} X_2) \xrightarrow{(F \underset{k}{\times} X_2)} (G \underset{k}{\times} X_2) \underset{k}{\times} (X_1 \underset{x_3}{\times} X_2) \xrightarrow{(F \underset{k}{\times} X_2)} (F \underset{k}{\times} X_2) \xrightarrow{(F \underset{k}{\times} X_2)} (F \underset{k}{\times} X_2) \xrightarrow{(F \underset{k}{\times} X_2)} (G \underset{k}{\times} X_2) \xrightarrow{(X_1 \underset{k}{\times} X_2)} (G \underset{k}{\times} X_2) \xrightarrow{(F \underset{k}{\times} X_2)} (G \underset{k}{\times} X_2) \xrightarrow{(F \underset{k}{\times} X_3)} (G \underset{k}{\times} X_3) \xrightarrow{(F \underset{k}{$

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 $(X_1 \underset{k}{\times} X_1) \underset{(X_3 \underset{k}{\times} X_3)}{\times} (X_2 \underset{k}{\times} X_2) \xrightarrow{\sim} (X_1 \underset{X_3}{\times} X_2) \underset{k}{\times} (X_1 \underset{X_3}{\times} X_2), \text{ where it is easy to point out the morphisms, (the second, <math>(F \underset{k}{\times} X_1) \underset{(\sigma_H, p_{T_2})}{\times} (G \underset{k}{\times} X_2), \text{ etc.})$ and where all morphisms are closed immersions. Hence, (σ', p_{T_2}) is a closed immersion. Put $p' = p_F \underset{p_H}{\times} p_G \colon X_1 \underset{X_3}{\times} X_2 \rightarrow Y.$ Then p' is affine and satisfies $p' \cdot \sigma' = p' \cdot p_{T_2}$. Therefore we know the existence of a geometric quotient (Y', q) of $X_1 \underset{X_3}{\times} X_2$ by $F \underset{H}{\times} G$,

$$(*) \quad (F \underset{\mathcal{U}}{\times} G) \underset{k}{\times} (X_1 \underset{x_3}{\times} X_2) \xrightarrow{\sigma'} (X_1 \underset{x_3}{\times} X_2) \xrightarrow{q} Y' \xrightarrow{q'} Y, \quad q' \cdot q = p'.$$

The base change of the diagram (*) by $p: X \rightarrow Y$ gives us a diagram,

$$(**) \quad (F \times G) \times (F \times G) \times X \xrightarrow{\mu' \times X} (F \times G) \times X \xrightarrow{\mu' \times X} (F \times G) \times X \xrightarrow{\mu' \times X} (Y' \times X) \xrightarrow{q'_X} X,$$

where we use the relation, $(X_1 \underset{X_3}{\times} X_2) \underset{Y}{\times} X \xrightarrow{\sim} (X_1 \underset{Y}{\times} X) \underset{(X_3 \underset{Y}{\times} x)}{\times} (X_2 \underset{Y}{\times} X)$ $\xrightarrow{\sim} \{(X_1 \underset{Y}{\times} X_1) \underset{X_1}{\times} X\} \underset{((X_3 \underset{Y}{\times} X_3) \underset{X_3}{\times} X)}{\times} \{(X_2 \underset{Y}{\times} X_2) \underset{X_2}{\times} X\} \xrightarrow{\sim} (F \underset{k}{\times} X) \underset{(H \underset{k}{\times} x)}{\times} (G \underset{k}{\times} X) \xrightarrow{\sim} (F \underset{K}{\times} X) \underset{(H \underset{k}{\times} x)}{\times} (G \underset{k}{\times} X) \xrightarrow{\sim} (F \underset{K}{\times} X) \underset{(H \underset{k}{\times} x)}{\times} (G \underset{k}{\times} X) \xrightarrow{\sim} (F \underset{K}{\times} X) \underset{(H \underset{k}{\times} x)}{\times} (G \underset{k}{\times} X) \xrightarrow{\sim} (F \underset{K}{\times} X) \underset{(H \underset{k}{\times} x)}{\times} (G \underset{k}{\times} X) \xrightarrow{\sim} (F \underset{K}{\times} X) \underset{(H \underset{k}{\times} x)}{\times} (G \underset{k}{\times} X) \xrightarrow{\sim} (F \underset{K}{\times} X) \underset{(H \underset{k}{\times} x)}{\times} (G \underset{K}{\times} X) \xrightarrow{\sim} (F \underset{K}{\times} X) \xrightarrow{\sim} (F \underset{K}{\times} X) \underset{(H \underset{k}{\times} x)}{\times} (G \underset{K}{\times} X) \xrightarrow{\sim} (F \underset{K}{\times} X) \xrightarrow{\sim} (F \underset{K}{\times} X) \underset{(H \underset{k}{\times} x)}{\times} (G \underset{K}{\times} X) \xrightarrow{\sim} (F \underset{K$

Lemma 3. The notations are as in Lemma 2. We put the next condition.

(T). Let ξ (resp. η) be any element of $E_k(F, Y)$ (resp. $E_k(G, Y)$) such that $\alpha_*(\xi) = \beta_*(\eta)$. Then we can find X_1, X_2, X_3 respectively in the classes ξ, η and $\alpha_*(\xi) = B_*(\eta)$, such that the canonical images of X_1 and X_2 in X_3 have non-empty intersection and that the images of $X_1 \times X_2$ in Y contains a generic point of Y over k.

Then we can define a mapping $\Psi: E_k(F, Y) \underset{B_k(\mathcal{U}, Y)}{\times} E_k(G, Y) \rightarrow E_k(F, X_0, Y)$ by $(\xi, \eta) \longrightarrow$ the class of $(X_1 \underset{X_3}{\times} X_2)$ and Ψ is an isomorphism, i.e. $\Phi \cdot \Psi = 1$, $\Psi \cdot \Phi = 1$.

Proof. The proof consists of several steps.

(I) If either α or β is epimorphic: Suppose α is epimorphic.

Take arbitrarily X_1 , X_2 in ξ , η . (The same notations as in the assumption (T)). Then, as X_3 , we can choose a geometric quotient $(X_1/K, i_1)$, where K is a kernel of α . Thus $i_1: X_1 \rightarrow X_3$ is finite, locally free, hence faithfully flat, quasi-compact and immediately $X_1 \underset{x_3}{\times} X_2 \pm \phi$. The proof of the facts that the operation σ' of $F \underset{\mu}{\times} G$ on $X_1 \underset{x_3}{\times} X_2$ is well defined, faithfull and that there exists a geometric quotient (Y', q) of $X_1 \underset{x_3}{\times} X_2$ by $F \underset{\mu}{\times} G$ is analogous to the proof in Lemma 2. Thus we have a diagram,

$$(*) \quad (F \underset{\mathcal{H}}{\times} G) \underset{k}{\times} (X_1 \underset{x_3}{\times} X_2) \xrightarrow{\sigma'} (X_1 \underset{x_3}{\times} X_2) \xrightarrow{q} Y' \xrightarrow{q'} Y, \quad q' \cdot q = p'.$$

Note that $p' = p_F \underset{p_H}{\times} p_G : X_1 \underset{x_3}{\times} X_2 \rightarrow Y$ is faithfully flat, quasi-compact because $i_1 : X_1 \rightarrow X_3$ and $p_G : X_2 \rightarrow Y$ are also f.p.q.c. morphisms. To show $Y' \xrightarrow{\sim} Y$, we have only to change the base Y of (*) to $X_1 \underset{x_3}{\times} X_2$ by $p' : X_1 \underset{x_3}{\times} X_2 \rightarrow Y$. It is immediate to see that the class of $X_1 \underset{x_3}{\times} X_2$ is independent of the choice of X_1, X_2 in ξ, η .

(II) The homomorphisms α and β are decomposed as follows, $\alpha: F \xrightarrow{\alpha'} F' \xrightarrow{\alpha''} H, \ \beta: G \xrightarrow{\beta'} G' \xrightarrow{\beta''} H$, where α', β' are epimorphic and α'', β'' are monomorphic (hence, closed immersions). Therefore, by virtue of (I), it is easy to see that we have only to prove Lemma 3 in the case that α and β are closed immersions.

(III) If both α and β are closed immersions: Take X_1, X_2, X_3 as in the assumption (T). Then canonical morphisms $i_1: X_1 \rightarrow X_3$ and $i_2: X_2 \rightarrow X_3$ are closed immersions. Therefore we can consider that X_1 and X_2 are closed subschemes of X_3 . Consider $(X_1)_{\text{red}}$, $(X_2)_{\text{red}}$ and $(X_3)_{\text{red}}$. $(X_1)_{\text{red}}$ and $(X_2)_{\text{red}}$ are closed subsets of the algebraic set $(X_3)_{\text{red}}$ and they have the same dimension as $(X_3)_{\text{red}}$. Therefore $(X_1)_{\text{red}}$ and $(X_2)_{\text{red}}$ are the unions of some irreducible components of $(X_3)_{\text{red}}$. Since $p': X_1 \times X_2 \rightarrow Y$ is propre and since the image of p' contains a generic point y of Y over k, the image of p' coincides with Y. From the assumption, $(X_1 \cap X_2)_{\text{red}}$ contains a generic point of $(X_3)_{\text{red}}$ over k, hence an irreducible component.

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Therefore $(X_1 \cap X_2)_{red}$ is the union of some irreducible components of $(X_3)_{red}$. In fact, it is also the union of some connected components of $(X_3)_{red}$. Then the comorphism of $p': \mathcal{O}_Y \rightarrow p'_*(\mathcal{O}_{X_1 \underset{X_3}{\times} X_2})$ is injective. On the other hand, $F \underset{\mu}{\times} G$ operates faithfully on $X_1 \underset{X_3}{\times} X_2$, and p' is affine and invariant with respect to the operation of $F \underset{\mu}{\times} G$. Thus we know the existence of a geometric quotient (Y', q),

$$(*) \quad (F \underset{\mathcal{U}}{\times} G) \underset{k}{\times} (X_1 \underset{x_3}{\times} X_2) \xrightarrow{\longrightarrow} (X_1 \underset{x_3}{\times} X_2) \xrightarrow{q} Y' \xrightarrow{q'} Y, \quad q' \cdot q = p'.$$

From the construction of Y', it is evident that the comorphism of $q': \mathcal{O}_Y \rightarrow q'_*(\mathcal{O}_{Y'})$ is injective.

(IV) For any *k*-morphism $T \to Y$, we know that $(X_3 \underset{r}{\times} T) \cong \alpha_*(X_1 \underset{r}{\times} T) \cong \beta_*(X_2 \underset{r}{\times} T)$ and that $Y' \times T$ is a geometric quotient of $(X_1 \underset{r}{\times} T) \underset{(X_3 \underset{r}{\times} T)}{\times} (X_2 \underset{r}{\times} T)$ by $F \underset{u}{\times} G$. Therefore, in the diagram (*),

we can suppose, first Y is affine, then Y is a spectrum of a local ring and then Y is a spectrum of a field K. In the last case, it is immediate to see that $X_1 \times X_2$ is faithfully flat, quasi-compact over Y. Then the base change of (*) by $p': X_1 \times X_2 \to Y$ shows that $Y' \to Y$. Suppose now Y is a spectrum of a local ring $(\mathcal{O}_y, \mathfrak{M}_y)$ of some point y of the original Y. Put $(\mathcal{O}_y, \mathfrak{M}_y) = (B, \mathfrak{M})$. Then Y' is a spectrum of B-algebra B' which is finite B-module and B is a subalgebra of B' by virtue of the fact that \mathcal{O}_Y contained in $q'_*(\mathcal{O}_{Y'})$. From the fact that $Y' \bigotimes_B B/\mathfrak{M} \cong Y \bigotimes_B B/\mathfrak{M} \cong Spece (B/\mathfrak{M})$, we know that $B'/\mathfrak{M}B' \to B/\mathfrak{M}$, hence $B' = B + \mathfrak{M}B'$. Therefore B' = Bby Nakayama's lemma, hence $Y' \to Y$. Thus $X_1 \times X_2 \in E'_*(F \times G, Y)$. It is immediate to see that the class of $X_1 \times X_2$ depends only on the classes ξ and η .

(V) Put $X_1 \underset{X_3}{\times} X_2 = X$. Consider a morphism $\sigma_F \cdot r : F \underset{k}{\times} X \to X_1$, where r is the projection $F \underset{k}{\times} (X_1 \underset{X_3}{\times} X_2)$ to $F \underset{k}{\times} X_1$. Then $\sigma_F \cdot r$ is invariant with respect to the operation of $F \underset{H}{\times} G$ on $F \times X$ which is defined in Proposition 3 of Part I. Therefore we have a morphism $\lambda: (pr_1)_*(X) \rightarrow X_1$ which is compatible with the operations of F and which makes the following diagram commutative,

Hence $(pr_1)_*(X) \xrightarrow{\lambda} X_1$. The same argument for $pr_2: F \underset{\mu}{\times} G \rightarrow G$ shows us $(pr_2)_*(X) \xrightarrow{\sim} X_2$. q.e.d.

Lemma 4. The notations are as in Lemma 3. The condition of Lemma 3 is satisfied in the following cases.

- (i) Either α or β is epimorphic.
- (ii) Either F or G is the unit group scheme Spec(k).
- (iii) F, G, H are commutative.
- (iv) F, G, H are infinitesimal (i.e. whose affine rings are local.)

Proof. (i) is trivial.

(ii) Suppose G = Spec(k). Then any element of the class η is isomorphic to Y. Take X_1, X_2, X_3 arbitrarily in ξ, η and $\alpha_*(\xi) = \beta_*(\eta)$. Then $i_2: X_2 \rightarrow X_3$ is a section $s: Y \rightarrow X_3$ to p_H . Take generic points x_1, x_2 of $(X_1)_{\text{red}}$ and X_2 over k such that x_1, x_2 belong to the same orbit by H_{red} . (It is possible by virtue of Lemma 1.) Then there exists an element h of H_{red} such that $x_1 = hx_2$, where h is k-rational. Now take $X'_2 = hX_2$ in η , (it corresponds to a section hs). These X_1, X_2, X_3 satisfy the condition (T).

(iii) The analogous argument to (ii) is applicable in this case.
(iv) Take X₁, X₂, X₃ arbitrarily in ξ, η and α_{*}(ξ). Then X₁, X₂, X₃ satisfy the condition (T).
We put the following notations:

 $C_{\mathcal{I}}^{\mathfrak{c}}(k)$ = the category of commutative finite group schemes over k. $C_{\mathcal{I}}^{\inf}(k)$ = the category of finite group schemes whose affine rings are local.

Then we have:

Theorem. Suppose Y is an irreducible variety over k. Then we have:

(i) If $\alpha: F \to G$ is a monomorphism of finite group schemes, then the map of sets $\alpha_*: E_k(F, Y) \to E_k(G, Y)$ is injective,

(ii) Let $(1) \rightarrow K \xrightarrow{\alpha} F \xrightarrow{\beta} G \rightarrow (1)$ be an exact sequence of finite group schemes, i.e. K is a normal subgroup of F and $G \simeq F/K$. Then in the sequence,

$$E_{k}(K, Y) \xrightarrow{\alpha_{*}} E_{k}(F, Y) \xrightarrow{\beta_{*}} E_{k}(G, Y)$$

if the image of an element η of $E_k(F, Y)$ by β_* is trivial, then there exists an element ξ of $E_k(K, Y)$ such that $\alpha_*(\xi) = \eta$.

(iii) A covariant functor $G \longrightarrow E_k(G, Y)$ from the category $C_f^{\circ}(k)$ into the category of abelian groups is strictly pro-representable.

(iv) A covariant functor $G \longrightarrow E_k(G, Y)$ from the category $C_f^{inf}(k)$ into the category of sets is strictly pro-representable.

Proof. Use Lemma 3, Lemma 4 and Grothendieck's theorem for the pro-representability, [3], n°195–06. q.e.d.

Remark. The results (i), (ii) of Theorem hold for Y which is a connected, reduced scheme of finite type over k.

2. As in 1 of Part II, S is a spectrum of an algebraically closed field k of arbitrary characteristic and Y is a reduced, irreducible scheme of finite type over k. Let y be a generic point of Y over k and let X be an element of $E'_k(G, Y)$, where G is a finite group scheme over k. Consider a fibre $X_y = X \times k(y)$. It is a principal homogeneous space under G defined over k(y). Take a geometric point x of X_y and consider a pair (X, x) (i.e. X with the ponctuation). When we consider a morphism of such ponctuated preschemes, we add the condition that the morphism preserves the ponctuations. Then Lemma 1 of Part I holds for the case that X and X' are ponctuated. We denote by $E_k(G; Y, y)$ the quotient set of $E'_{k}(G, Y)$ by the analogous equivalence relation to the one which defines $E_k(G, Y)$. Then G_{red} operates on $E_k(G; Y, y)$ by translating the ponctuations $x \longrightarrow gx$, and $E_k(G; Y, y)/G_{red}$ is canonically isomorphic to $E_k(G, Y)$. Note that when we construct $\alpha_*(X)$ for $\alpha: G \to H$, we can endow $\alpha_*(X)$ with a canonical ponctuation i.e. the class of (1, x) modulo G. Then all results of Part

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I and Lemma 1, Lemma 2 of Part II hold for the ponctuated preschemes and for the morphisms of ponctuated preschemes. In Lemma 3, the condition is naturally satisfied. Therefore for homomorphisms of finite group schemes $\alpha: F \rightarrow H$ and $\beta: G \rightarrow H$, we have an isomorphism of sets,

$$E_{k}(F \underset{\mathcal{H}}{\times} G \; ; \; Y, \; y) \xrightarrow{\sim} E_{k}(F \; ; \; Y, \; y) \underset{\mathcal{B}_{k}(\mathcal{U}; Y, \; y)}{\times} E_{k}(G \; ; \; Y, \; y) \; .$$

Therefore, by virtue of Grothendieck's Theorem for the prorepresentability, a covariant functor $G \longrightarrow E_k(G; Y, y)$ from the category of finite group schemes $C_f(k)$ to the category of sets is strictly pro-representable.

Remark. If G belongs to $C_{\mathcal{T}}^{c}(k)$, $E_{k}(G; Y, y) \xrightarrow{\sim} E_{k}(G, Y)$ because G_{red} operates trivially on $E_{k}(G; Y, y)$. Also, if G belongs to $C_{\mathcal{T}}^{\inf}(k)$, $E_{k}(G; Y, y) = E_{k}(G, Y)$ because $G_{\text{red}} = \text{Spec}(k)$.

Part III. Appendix.

1. As in Part II, S will be a spectrum of an algebraically closed field k of arbitrary characteristic. Let A be an abelian variety defined over k and let G be a finite, commutative group scheme over k which operates faithfully on a connected, reduced prescheme X over k and gives a geometric quotient A,

$$G \underset{k}{\times} X \xrightarrow{\sigma} X \xrightarrow{p} A$$

In this Part, we will prove that X is necessarily an abelian variety and that p is an isogeny. This result is a slight generalization of the result of Lang-Serre on the non-ramified coverings of an abelian variety, [9].

2. Since G is commutative, G is a direct product of a reduced subgroup scheme G_{red} and an infinitesimal subgroup scheme G_{inf} , $G = G_{\text{red}} \cdot G_{\text{inf}}$. By the process of Proposition 3 of Part I, we have an element $X' \in E'_k(G_{\text{red}}, A)$ and a diagram,

$$\begin{array}{c} G \times X \Longrightarrow X \xrightarrow{p} A \\ \downarrow & \downarrow \varphi \\ G_{\text{red}} \times X' \xrightarrow{p} X' \xrightarrow{p'} A \end{array}$$

where $X' \simeq G_{\text{red}} \times X/G \simeq X/G_{\text{inf}}$ and where X' is connected, reduced. Then, noting that $G_{red} \simeq G(k)_k$ and combining the results of Grothendieck, [2], Exp. V, Prop. 2. 6 and Cor. 2. 4, we know $p': X' \rightarrow A$ is an étale covering of A. And by the results, [2], Exp. I, Prop. 9.2, Theorem 9.5.(i) and [4], II, 6.1.10, $p': X' \to A$ is a nonramified covering of A in the sense of Lang-Serre, [9]. Therefore, we know from Theorem of Lang-Serre, loc. cit. that Xis an abelian variety and that p is a separable isogeny. Now, since $G_{inf} \times X \xrightarrow{\varphi} X'$ is exact, we can suppose from the first that k is of positive characteristic p and that G is an infinitesimal commutative group scheme over k. On the other hand, by the general theory of commutative group schemes, we know the existence of a closed subgroup scheme G' of G such that the quotient G/G' is a simple object in the category of commutative group schemes, i.e. $G/G' = \alpha_p$ or μ_p , cf. [10]. α_p (resp. μ_p) is obtained as the kernel of the Frobenius endomorphism p of the additive (resp. multiplicative) group G_a (resp. G_m).^(*) It is easy to see that we have only to prove our result in the case that $G = \alpha_p$ or μ_p . As above, α_p (resp. μ_p) is a finite subgroup of G_a (resp. G_m). The process of Proposition 3 of Part I is here applicable to obtain a principal fibre space X'' of the base A and of the group G_a (resp. G_m) from X, here a principal fibre space is the one in the sense of f.p.q.c. topology,

It is easy to see that a canonical injection $i: X \rightarrow X''$ is a closed immersion, that X'' is a connected, reduced, moreover that X'' is an

^(*) The idea to embed α_p (resp. μ_p) into G_a (resp. G_m) and to use the results of Rosenlicht and Serre was suggested by T. Oda,

irreducible variety. Let ξ be a generic point of A over k, and consider a fibre $X_{\xi}^{\prime\prime} = X^{\prime\prime} \times k(\xi)$. Then $X_{\xi}^{\prime\prime}$ is a principal homogeneous space with respect to G_a (or G_m) defined over the field $k(\xi)$. Therefore Lemma for Theorem 10 of [7] shows us that $X_{\xi}^{\prime\prime}$ has a $k(\xi)$ -rational point η . By associating the point η to the point ξ , we have a rational section s to p'', $s: A \rightarrow X''$ which is regular at ξ . Since A is a commutative group variety, X" is a locally trivial principal fibre space of the base A and of the group G_a (or G_m), i.e. the one in the sense of Zariski topology. Thus X'' belongs to one class of $H^{1}(A, \mathcal{O}_{A})$ (or $H^{1}(A, \mathcal{O}_{A}^{*})$). From the construction of X'', it is easy to see that the class of X'' in $H^{1}(A, \mathcal{O}_{A})$ (or $H^{1}(A, \mathcal{O}_{A}^{*})$) is annihilated by the multiplication by p, the characteristic of the field k. From the Serre's book, [8], we know that $H^{1}(A, \mathcal{O}_{A}) \cong \operatorname{Ext}(A, G_{a})$ and that {the torsion elements of $H^1(A, \mathcal{O}^*_A) \subset Ext(A, G_m)$. Therefore in both cases, we can consider that X'' is a commutative group variety. Since $i: X \rightarrow X''$ is a closed immersion and since X is propre over k, X can be considered as a complete subvariety of X'' of codimension 1 which contains a unit element e of X''. It is not difficult to see that the algebraic group G(X) which is generated by X in X'' is closed, connected and complete. Hence G(X) is an abelian sub-variety of X". Since there is a connected linear group G_a (or G_m) of dimension 1 in X'', G(X) is a closed subgroup of codimension 1 and contains X. Hence G(X) coincides with X. Therefore X is an abelian variety. Thus we have:

Theorem. Let G be a commutative finite group scheme ever an algebraically closed field k of arbitrary characteristic, and let X be a connected, reduced k-prescheme over which G operates faith-fully and gives a geometric quotient A,

$$G \underset{k}{\times} X \xrightarrow{\sigma} X \xrightarrow{p} A.$$

Then X is an abelian variety and p is an isogeny.

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