

## On congruence $L$ -series.

Dedicated to Professor Z. Suetuna on his 60th birthday.

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Lang [3] has defined the congruence  $L$ -series  $L(u, \chi, U/V)$  for a Galois covering  $f: U \rightarrow V$  of an algebraic variety  $V$  defined over a finite field with  $q$  elements, associated with simple characters  $\chi$  of the Galois group. Expressing their logarithmic derivatives as follows:

$$\frac{d}{du} \log L(u, \chi, U/V) = \sum_{\mu=1}^{\infty} c_{\mu}(\chi) u^{\mu-1},$$

Lang proved that the coefficients  $c_{\mu}(\chi)$  satisfy some inequalities and explained the behavior of  $L(u, \chi, U/V)$  in the disk  $|u| < q^{-(r-1/2)}$ , where  $r$  is the dimension of  $V$  (also of  $U$ ). Moreover he gave a conjecture concerning the zeros of  $L(u, \chi, U/V)$  on the circle  $|u| = q^{-(r-1/2)}$ . In the present paper, we shall prove that this conjecture holds under some assumption.

We shall first give another definition of  $L(u, \chi, U/V)$ . It can be shown that our definition is equivalent to Lang's, in the case where  $f: U \rightarrow V$  is unramified and  $U$  is non-singular, after some cumbersome but not difficult calculations. Both definitions are not equivalent in general; but the  $L$ -series which we shall define will have the same behavior as Lang's  $L$ -series in the disk  $|u| < q^{-(r-1)}$  in all cases, as will be shown by the birational nature of Corollary of Theorem 1 below. (We shall omit here the proof of equivalence of definitions for the unramified, non-singular case. Hereafter the notations  $L(u, \chi, U/V)$  and  $c_{\mu}(\chi)$  will be used to mean *our*  $L$ -series and their coefficients.)

Our definition of  $L$ -series will be given by the formulas (8) and (9) below, where  $N_{\mu}(U, T_{\sigma})$  is the number of certain points on  $U$ , defined at the beginning of §1. Theorem 1 concerns a fundamental inequality on  $N_{\mu}(U, T_{\sigma})$ , which has important consequences on  $c_{\mu}(\chi)$ , as will be given as Corollary.

In view of the "birational equivalence" (in the sense above explained) of our definition with Lang's, the content of Corollary of Theorem 1 is covered by the result of [3]. So Theorem 1 could be also derived from the result of [3] simply by applying the orthogonality relations of group-characters. We prefer however to prove directly Theorem 1 by the same principle as in [3], since the method of this proof will be applied to a more general case in §2.

In §2, we shall show that the analogue of the “trace formula” for  $N_\mu(U, T_0)$  and the conjecture of Lang explained above follow from the assumption (\*). If the covering is trivial i. e.  $U = V$ , then our result is already obtained in Taniyama [9] under a weaker assumption than ours. (On an explicit form of the conjecture of Lang, see Ishida [1].)

In the following, we shall use the results of Lang [3] and Serre [8] often without references.

### §1. A fundamental inequality.

1. Let  $U$  be a normal, projective variety of dimension  $r$ , defined over a finite field  $k$  with  $q$  elements; let  $T$  be a birational transformation of  $U$  into itself also defined over  $k$ . We suppose that  $T$  is everywhere defined on  $U$  and has a finite order  $n$ , i. e.  $T^n$  is the identity transformation of  $U$ . Let  $G$  be a cyclic group of biregular, birational transformations of  $U$  generated by  $T$ . Then, since  $U$  is projective and  $G$  is a finite group regularly operating on  $U$ , we can define the quotient variety  $U_0 = U/G$ , which is also irreducible, normal, projective and of dimension  $r$ . Moreover we can construct  $U_0$  and the canonical mapping  $f$  of  $U$  onto  $U_0$  to be defined over the algebraic closure of  $k$ . Hence we may assume, by replacing  $k$  by a finite extension of  $k$  if necessary, that  $U_0$  and  $f$  are also defined over  $k$ .

Let  $I_\mu$  be the rational transformation of the ambient projective space of  $U$  given by the endomorphism of the universal domain:  $\xi \rightarrow \xi^{q^\mu}$ .

We denote by  $N_\mu(U, T)$  the number of the points  $P$  on  $U$  such that  $T(P) = I_\mu(P)$ .

**THEOREM 1.** *Let the notations be as explained above. Then there exist constants  $\gamma$  and  $\delta$  such that, for any positive rational integer  $\mu$ , we have the following inequality:*

$$(1) \quad |N_\mu(U, T) - q^{\mu r}| \leq \gamma q^{\mu(r-1/\cdot)} + \delta q^{\mu(r-1)},$$

and the set of such constants  $\gamma$  is a birational invariant of  $U$ .

In §2, we shall show that this constant  $\gamma$  is deeply related to the characteristic roots of the  $l$ -adic representation of the automorphism of an Albanese variety of  $U$  given by  $T$ .

2. Now we prove Theorem 1. Let  $Z_0$  be a  $k$ -closed algebraic subset of  $U_0$  containing every point  $P_0$  on  $U_0$  which either ramifies in the Galois covering  $f: U \rightarrow U_0$  or is multiple on  $U_0$ ; then the dimension of  $Z_0$  is less than  $r$ .

If  $P$  is a point on  $U$  such that  $T(P) = I_\mu(P)$ , then we have  $f \cdot T(P) = f \cdot I_\mu(P)$ ; and so, as  $f \cdot T = f$  and  $f$  is defined over  $k$ , we see that  $P_0 = f(P)$  is a rational point on  $U_0$  over  $k_\mu$ , the unique extension over  $k$  of degree  $\mu$ .

REMARK. Therefore, even in the case where  $U$  is not necessarily irreducible, we have

$$N_\mu(U, T) \leq [U: U_0] \cdot N_\mu(U_0),$$

where  $N_\mu(U_0)$  denotes the number of rational points on  $U_0$  over  $k_\mu$ . Hence we have, by Lang-Weil [6],

$$N_\mu(U, T) = O(q^{\mu r}).$$

In our proof, we shall first construct a suitable system of algebraic curves on  $U$ , each member of which is  $T$ -invariant.

Let  $\mathbf{P}^*$  be the dual space of the ambient space  $\mathbf{P}$  of  $U_0$  and  $\Gamma$  the  $(r-1)$ -fold product of  $\mathbf{P}^*$ . Denoting the number of rational points on  $\mathbf{P}$  over  $k$  by  $\kappa_{M+1}$ , we have

$$\kappa_{M+1} = \frac{q^{M+1} - 1}{q - 1},$$

where  $M$  is the dimension of  $\mathbf{P}$ . Clearly  $\Gamma$  has  $\kappa_{M+1}^{r-1}$  rational points over  $k$ . We need the following inequalities afterwards:

$$(2) \quad \left| \left( \frac{\kappa_{M+1}}{\kappa_M} \right)^{r-1} - q^{r-1} \right| \leq c_1 q^{r-2},$$

$$q^{(M-1)(r-1)} \leq \kappa_M^{r-1},$$

with a constant  $c_1$ , independent of  $q$ .

Any point  $v$  on  $\Gamma$  defines a linear variety  $L_v$  in  $\mathbf{P}$ . For a rational point  $P_0$  on  $U_0$  over  $k$ , there are exactly  $\kappa_M^{r-1}$  rational points  $a$  on  $\Gamma$  over  $k$  such that  $L_a$  contains  $P_0$ .

By Lang [3], there is a  $k$ -closed algebraic subset  $F$  of  $\Gamma$  such that, if a point  $v$  on  $\Gamma$  does not belong to  $F$ , the following three conditions are satisfied.

1) The intersection product  $U_0 \cdot L_v = C_v$  is defined and is a non-singular irreducible curve on  $U_0$ .

2) The inverse image  $f^{-1}(C_v) = W_v$  is an irreducible curve on  $U$  and simple on  $U$ .  $f_v$  (the restriction of  $f$  to  $W_v$ ):  $W_v \rightarrow C_v$  is a Galois covering with Galois group also generated by the restriction  $T_v$  of  $T$  to  $W_v$  and  $[W_v: C_v] = [U: U_0]$ . (Here  $W_v$  is not always normal, but we generalize the definition of Galois coverings.)

3) The intersection product  $Z_0 \cdot C_v$  is defined and is an  $O$ -cycle on  $C_v$ . If a point  $P_0$  on  $C_v$  does not belong to  $Z_0 \cdot C_v$ , then  $f^{-1}(P_0)$  consists of  $n = [W_v: C_v]$  different points on  $W_v$ , which are simple on  $W_v$ .

For a point  $v$  in  $F$ , we also denote  $U_0 \cap L_v$  and  $f^{-1}(U_0 \cap L_v)$  by  $C_v$  and  $W_v$  respectively. Those  $W_v$ 's form a system of  $T$ -invariant curves on  $U$ , which we are looking for.

Denoting by  $N(F)$  the number of rational points on  $F$  over  $k$ , we have,

by Lang-Weil [6] and by the above inequality (2),

$$(3) \quad N(F) \leq c_2 q^{M(r-1)-1} \leq c_2 \kappa_M^{r-1} q^{r-2},$$

with a constant  $c_2$ , independent of  $q$ .

As shown above, for any point  $P$  on  $U$  such that  $T(P) = I_1(P)$ , there are  $\kappa_M^{r-1}$  linear varieties  $L_a$  which contain  $P_0 = f(P)$  and are defined over  $k$ . Hence there are  $\kappa_M^{r-1}$  curves  $C_a$  containing  $P_0$  and defined over  $k$ ; and so there are also  $\kappa_M^{r-1}$  curves  $W_a$  containing the given  $P$  and defined over  $k$ .

Therefore we have

$$(4) \quad N_1(U, T) = \frac{1}{\kappa_M^{r-1}} \sum_{a \in (\Gamma-F)_k} N_1(W_a, T_a) + \frac{1}{\kappa_M^{r-1}} \sum_{a \in F_k} N_1(W_a, T_a),$$

where the first and second sums range over all rational points on  $\Gamma-F$  and  $F$  over  $k$  respectively.

3. Let  $a$  belong to  $F$  and be rational over  $k$ . Then we have, by the remark given above,

$$N_1(W_a, T_a) \leq n \cdot N_1(C_a),$$

where  $N_1(C_a)$  denotes the number of rational points on  $C_a$  over  $k$ . On the other hand, by Lang [3], we have

$$\left| \frac{1}{\kappa_M^{r-1}} \sum_{a \in F_k} N_1(C_a) \right| \leq c_3 q^{r-1/2},$$

with a constant  $c_3$ , independent of  $q$ . Therefore we have

$$(5) \quad \left| \frac{1}{\kappa_M^{r-1}} \sum_{a \in F_k} N_1(W_a, T_a) \right| \leq n \cdot c_3 q^{r-1/2}.$$

Let  $a$  belong to  $\Gamma-F$  and be rational over  $k$ . Let  $W_a^*$  be a non-singular irreducible curve, birationally equivalent to  $W_a$  over  $k$ . Then the number of points, at which the birational transformation between  $W_a$  and  $W_a^*$  is not biregular, is less than  $[W_a : C_a] \deg(C_a \cdot Z_0)$ , by the condition 3); hence it is uniformly bounded. The genus  $g_a^*$  of  $W_a^*$  is also uniformly bounded. Moreover  $T_a$  induces naturally a biregular, birational transformation  $T_a^*$  of  $W_a^*$ , which has also a finite order. Clearly we have

$$|N_1(W_a, T_a) - N_1(W_a^*, T_a^*)| \leq c_4,$$

with a constant  $c_4$ , independent of  $a$ . On the other hand, since the degree of the automorphism  $T_a^*$  is 1, we have, by Weil (or more explicitly by Mattuck-Tate [7]),

$$|N_1(W_a^*, T_a^*) - q| \leq 2g_a^* q^{1/2} + 1 \leq c_5 q^{1/2},$$

with a constant  $c_5$ , independent of  $q$  and  $a$ . Hence we have

$$(6) \quad |N_1(W_a, T_a) - q| \leq c_6 q^{1/2},$$

with a constant  $c_6$ , independent of  $q$  and  $a$ . On the other hand, we have, by (2) and (3),

$$(7) \quad \left| \frac{1}{\kappa_M^{r-1}} \sum_{a \in (\Gamma-F)_k} 1 - q^{r-1} \right| = \left| \frac{\kappa_{M+1}^{r-1} - N(F)}{\kappa_M^{r-1}} - q^{r-1} \right| \leq c_7 q^{r-2},$$

with a constant  $c_7$ , independent of  $q$ .

Therefore we have, by (4), (5), (6) and (7),

$$|N_1(U, T) - q^r| \leq \gamma q^{r-1/2} + \delta q^{r-1},$$

with constants  $\gamma$  and  $\delta$ , independent of  $q$ .

If we extend the ground field  $k$  to its finite extension  $k_\mu$  with  $q^\mu$  elements, we have also an estimation of  $N_\mu(U, T)$  as stated in Theorem 1.

Moreover if  $X$  is a  $T$ -invariant  $k$ -closed algebraic subset of  $U$ , then it is clear that we have, by the remark in **2**,

$$|N_\mu(U, T) - N_\mu(U - X, T)| \leq c_8 q^{\mu(r-1)},$$

with a constant  $c_8$ , independent of  $\mu$ . Therefore the set of such constants  $\gamma$  is a birational invariant of  $U$ .

Thus the proof of Theorem 1 is completed.

**4.** Let  $f: U \rightarrow V$  be a Galois covering of degree  $n$ , defined over a finite field  $k$  with  $q$  elements, where  $U$  and  $V$  are normal, projective varieties of dimension  $r$ . The elements of the Galois group  $G$  will be denoted by  $T_\sigma, T_\tau, \dots$ . Then, by the definition of Galois coverings, the numbers  $N_\mu(U, T_\sigma), N_\mu(U, T_\tau), \dots$  are well defined.

For a simple character  $\chi$  of  $G$ , we define the congruence  $L$ -series  $L(u, \chi, U/V)$  by the following logarithmic derivative:

$$(8) \quad \frac{d}{du} \log L(u, \chi, U/V) = \sum_{\mu=1}^{\infty} c_\mu(\chi) u^{\mu-1},$$

and by the condition  $L(0, \chi, U/V) = 1$ , where the coefficients  $c_\mu(\chi)$  are given by

$$(9) \quad c_\mu(\chi) = \frac{1}{n} \sum_{T_\sigma \in G} \chi(T_\sigma) N_\mu(U, T_\sigma).$$

Then, by the orthogonality relations of group-characters and Theorem 1, we have the following

**COROLLARY.** *We have, for every positive rational integer  $\mu$ ,*

$$(10) \quad \begin{aligned} |c_\mu(\chi)| &\leq \gamma_\chi q^{\mu(r-1/2)} + \delta_\chi q^{\mu(r-1)}, \text{ if } \chi \text{ is not principal,} \\ |c_\mu(\chi_0) - q^{\mu r}| &\leq \gamma_{\chi_0} q^{\mu(r-1/2)} + \delta_{\chi_0} q^{\mu(r-1)}, \text{ if } \chi_0 \text{ is principal,} \end{aligned}$$

where  $\gamma_\chi$  and  $\delta_\chi$  are constants, independent of  $\mu$ . Therefore  $L(u, \chi, U/V)$  with  $\chi \neq \chi_0$  have neither zero nor pole in the disk  $|u| < q^{-(r-1/2)}$ .

§ 2. The conjecture of Lang.

5. Let the notations be as explained in 1. By Theorem 1, we can write

$$(11) \quad N_\mu(U, T) = q^{\mu r} + \gamma_\mu q^{\mu(r-1/2)} + O(q^{\mu(r-1)}),$$

for each  $\mu$ , where  $\gamma_\mu$  are constants bounded in absolute value by a fixed constant  $\gamma$ .

Let  $U(m)$  be the  $m$ -fold symmetric product of  $U$ ; we may assume that  $U(m)$  is also defined over  $k$ . Then  $T$  induces naturally a biregular, birational transformation of  $U(m)$  into itself, which has the same order  $n$ . Let  $h$  be the canonical mapping of the  $m$ -fold product  $U \times U \times \dots \times U$  of  $U$  onto  $U(m)$  and let  $\Delta$  be the diagonal of  $U \times U$ . Then  $X = h(\Delta \times U \times \dots \times U)$  is a subvariety of  $U(m)$  and has the dimension  $(m-1)r$ . Clearly  $X$  is invariant by  $T$  and  $I_\mu$  for all  $\mu$ . Any point  $\alpha$  on  $U(m) - X$  has a representative  $(P_1, P_2, \dots, P_m)$  with points  $P_i$  on  $U$ , where any two of the points  $P_1, \dots, P_m$  are different from each other.

Let  $\alpha$  be a point on  $U(m) - X$  such that  $T(\alpha) = I_\mu(\alpha)$ , where  $I_\mu$  denotes also the  $q^\mu$ -th power transformation of the ambient space of  $U(m)$ . If  $(P_1, \dots, P_m)$  is a representative of  $\alpha$ , then, by a suitable change of indices, the points  $P_1, \dots, P_m$  are divided into several sets as follows:

$$\begin{aligned} T(P_1) &= I_\mu(P_2), T(P_2) = I_\mu(P_3), \dots, T(P_{\rho_1}) = I_\mu(P_1); \\ T(P_{\rho_1+1}) &= I_\mu(P_{\rho_1+2}), \dots, T(P_{\rho_1+\rho_2}) = I_\mu(P_{\rho_1+1}); \\ &\dots\dots\dots, \end{aligned}$$

where  $\sum \rho_i$  equals to  $m$  and  $\rho_i$  is a positive rational integer. Then  $\alpha$  is called to be "of type  $(\rho_1, \rho_2, \dots)$ " and  $(P_1, \dots, P_{\rho_1}), (P_{\rho_1+1}, \dots, P_{\rho_1+\rho_2}), \dots$  are called "cycles of length  $\rho_1, \rho_2, \dots$  of  $\alpha$ " respectively. We denote by  $[\alpha]$  the number of cycles of  $\alpha$ .

Let  $(P_1, \dots, P_\rho)$  be a cycle of length  $\rho$  of some point  $\alpha$  on  $U(m) - X$  such that  $T(\alpha) = I_\mu(\alpha)$ . As  $T$  is defined over  $k$ , we have  $T \cdot I_\mu = I_\mu \cdot T$  and so

$$(12) \quad T^\rho(P_1) = I_{\rho\mu}(P_1)$$

and  $P_\rho = T^{-1}I_\mu(P_1), \dots, P_2 = (T^{-1}I_\mu)^{\rho-1}(P_1)$  are uniquely determined by  $P_1$ . Moreover, as  $\alpha$  is in  $U(m) - X$ , any two of  $P_1, \dots, P_\rho$  are different from each other. Hence  $\rho$  is the smallest value with which  $P_1$  satisfies (12).

It is easily verified, by Theorem 1, that the number of points on  $U$ , which satisfy (12) with  $\rho$  as the smallest value, is given by

$$(13) \quad N_{\rho\mu}(U, T^\rho) + O(q^{\mu(\rho-1)r}).$$

Conversely if a point  $P$  on  $U$  satisfies (12) with  $\rho$  as the smallest value, then any two of  $(T^{-1}I_\mu)^\nu(P)$  with  $\nu = 0, 1, \dots, \rho-1$  are different from each other.

Hence, by (13),  $(P, (T^{-1}I_\mu)^{\rho-1}(P), \dots, (T^{-1}I_\mu)(P))$  appears as a cycle of length  $\rho$  of some point  $\alpha$  on  $U(m)-X$  such that  $T(\alpha) = I_\mu(\alpha)$  and  $[\alpha] = s$ , where  $s$  is any positive rational integer not larger than  $m-\rho+1$ .

Hence the number of points  $\alpha$  on  $U(m)-X$ , such that  $T(\alpha) = I_\mu(\alpha)$  and  $[\alpha] = s$ , is given by

$$(14) \quad \frac{1}{s!} \sum_{\substack{(\rho_1, \dots, \rho_s) \\ \rho_1 + \dots + \rho_s = m}} \frac{N_{\rho_1, \mu}(U, T^{\rho_1})}{\rho_1} \dots \frac{N_{\rho_s, \mu}(U, T^{\rho_s})}{\rho_s} + O(q^{\mu(m-1)r}).$$

Here the sum  $\sum_{\substack{(\rho_1, \dots, \rho_s) \\ \rho_1 + \dots + \rho_s = m}}^s$  ranges over all the  $s$ -permutations  $(\rho_1, \dots, \rho_s)$  of positive rational integers with  $\sum_{i=1}^s \rho_i = m$ , where each of the  $s$  integers may be repeated. Moreover the error term of (14) is due to that of (13) and the fact that our consideration is restricted to points on  $U(m)-X$ .

Therefore, by the above arguments and the remark in **2**, we have the following formula (cf. Taniyama [9]):

$$(15) \quad \begin{aligned} N_\mu(U(m), T) &= N_\mu(U(m) - X, T) + O(q^{\mu(m-1)r}) \\ &= \frac{N_{m, \mu}(U, T^m)}{m} + \frac{1}{2!} \sum_{\substack{(\rho_1, \rho_2) \\ \rho_1 + \rho_2 = m}} \frac{N_{\rho_1, \mu}(U, T^{\rho_1})}{\rho_1} \cdot \frac{N_{\rho_2, \mu}(U, T^{\rho_2})}{\rho_2} \\ &\quad + \frac{1}{3!} \sum_{\substack{(\rho_1, \rho_2, \rho_3) \\ \rho_1 + \rho_2 + \rho_3 = m}} \frac{N_{\rho_1, \mu}(U, T^{\rho_1})}{\rho_1} \cdot \frac{N_{\rho_2, \mu}(U, T^{\rho_2})}{\rho_2} \cdot \frac{N_{\rho_3, \mu}(U, T^{\rho_3})}{\rho_3} \\ &\quad + \dots + \frac{N_\mu(U, T)^m}{m!} + O(q^{\mu(m-1)r}). \end{aligned}$$

We note that, as  $r$  is larger than 0, we have  $(m-1)r \leq mr-1$ .

On the other hand, by Theorem 1, we have

$$|N_\mu(U(m), T) - q^{\mu mr}| \leq \gamma^* q^{\mu(mr-1/2)},$$

with a constant  $\gamma^*$ , independent of  $\mu$ . Hence, comparing the coefficients of  $q^{\mu mr}$  in the both sides of the above expression (15) of  $N_\mu(U(m), T)$ , we have

$$(16) \quad 1 = \frac{1}{m} + \frac{1}{2!} \sum_{\substack{(\rho_1, \rho_2) \\ \rho_1 + \rho_2 = m}} \frac{1}{\rho_1} \frac{1}{\rho_2} + \frac{1}{3!} \sum_{\substack{(\rho_1, \rho_2, \rho_3) \\ \rho_1 + \rho_2 + \rho_3 = m}} \frac{1}{\rho_1} \frac{1}{\rho_2} \frac{1}{\rho_3} + \dots + \frac{1}{m!}.$$

As  $\mu\left((m-\rho_i)r + \rho_i r - \frac{1}{2}\rho_i\right) = \mu\left(mr - \frac{1}{2}\rho_i\right)$ , a term of order  $q^{\mu(mr-1/2)}$  appears in  $N_{\rho_1, \mu}(U, T^{\rho_1}) \cdot N_{\rho_2, \mu}(U, T^{\rho_2}) \dots N_{\rho_s, \mu}(U, T^{\rho_s})$  with  $\sum_{i=1}^s \rho_i = m$  if and only if some  $\rho_i$  is equal to 1. Hence, if  $m$  is larger than 1, the sum of the terms of order  $q^{\mu(mr-1/2)}$  in the right side of (15) is given by

$$\begin{aligned} & \frac{2}{2!} \frac{1}{m-1} \tau_{\mu} q^{\mu(r-1/2)+\mu(m-1)r} + \frac{3}{3!} \sum_{\substack{(\rho_1, \rho_2) \\ \rho_1 + \rho_2 = m-1}} \frac{1}{\rho_1} \frac{1}{\rho_2} \tau_{\mu} q^{\mu(r-1/2)+\mu(m-1)r} \\ & + \dots + \frac{m}{m!} \tau_{\mu} q^{\mu(r-1/2)+\mu(m-1)r} \\ & = \left\{ \frac{1}{m-1} + \frac{1}{2!} \sum_{\substack{(\rho_1, \rho_2) \\ \rho_1 + \rho_2 = m-1}} \frac{1}{\rho_1} \frac{1}{\rho_2} + \dots + \frac{1}{(m-1)!} \right\} \tau_{\mu} q^{\mu(mr-1/2)} \\ & = \tau_{\mu} q^{\mu(mr-1/2)} \end{aligned}$$

by the formula (16) for  $m-1$ .

Therefore we have also

$$N_{\mu}(U(m), T) = q^{\mu mr} + \tau_{\mu} q^{\mu(mr-1/2)} + O(q^{\mu(mr-1)}).$$

**6.** Now we shall restrict ourselves to the case where  $U$  is non-singular and  $T$  satisfies the following condition: If the  $\alpha$ -th power  $T^{\alpha}$  of  $T$  leaves at least one point on  $U$  fixed, then  $\alpha$  is divisible by the order  $n$  of  $T$ . This condition imposed on  $T$  is always satisfied when  $T$  is an element of the Galois group of some unramified Galois covering. However, in order to study the constant  $\tau$  in Theorem 1, these assumptions are not essential, because of the birationality of the constants  $\tau$ .

We choose  $m$  to be prime to  $n$ . We suppose that, for a positive rational integer  $\alpha$  not divisible by  $n$ , there exists a point  $\alpha$  on  $U(m)$  which is fixed by  $T^{\alpha}$ . Let  $(P_1, P_2, \dots, P_m)$  be a representative of  $\alpha$ ; then we may assume that the points  $P_1, \dots, P_m$  are divided into several sets as follows:

$$\begin{aligned} T^{\alpha}(P_1) &= P_2, \quad T^{\alpha}(P_2) = P_3, \quad \dots, \quad T^{\alpha}(P_{\rho_1}) = P_1; \\ T^{\alpha}(P_{\rho_1+1}) &= P_{\rho_1+2}, \quad \dots, \quad T^{\alpha}(P_{\rho_1+\rho_2}) = P_{\rho_1+1}; \\ &\dots\dots\dots, \end{aligned}$$

where  $\sum \rho_i$  equals to  $m$  and  $\rho_i$  is a positive rational integer. Then we have

$$T^{a\rho_1}(P_1) = P_1, \quad T^{a\rho_2}(P_{\rho_1+1}) = P_{\rho_1+1}, \dots.$$

Hence, by the assumption of  $T$ , each  $a\rho_i$  must be divisible by  $n$ ; so  $am = \sum a\rho_i$  is divisible by  $n$ , which contradicts to our choice of  $m$ . Therefore we can choose  $m$  so that if  $\alpha$  is not divisible by  $n$  then  $T^{\alpha}$  has no fixed point on  $U(m)$ .

Let  $A$  be an Albanese variety attached to  $U$  and  $\alpha$  a canonical mapping of  $U$  into  $A$ . As  $k$  is finite,  $A$  and  $\alpha$  may be assumed to be defined over  $k$ .  $A$  is also an Albanese variety attached to  $U(m)$  and  $\alpha$  induces naturally a canonical mapping  $\alpha_m$  of  $U(m)$  into  $A$ . For a generic point  $P$  on  $U$  over  $k$ , we have, by the universal mapping property of Albanese varieties,

$$\alpha \cdot T(P) = \eta \cdot \alpha(P) + t,$$

where  $\eta$  is an automorphism of  $A$  defined over  $k$  and  $t$  is a rational point on  $A$  over  $k$ , which are independent of the choice of  $P$ . So, for a generic point  $u$  on  $U(m)$  over  $k$ , we have

$$\alpha_m \cdot T(u) = \eta \cdot \alpha_m(u) + mt.$$

We note that  $\alpha$  and  $\alpha_m$  are everywhere defined on  $U$  and  $U(m)$  respectively because  $U$  is non-singular by our assumption.

If a point  $a$  on  $U(m)$  satisfies  $T(a) = I_\mu(a)$ , then we have  $\alpha_m \cdot T(a) = \alpha_m \cdot I_\mu(a)$ . As  $\alpha_m$  is defined over  $k$ , we have

$$\eta \cdot \alpha_m(a) + mt = \pi^\mu \alpha_m(a),$$

where  $\pi$  is the endomorphism of  $A$  given by the endomorphism of the universal domain:  $\xi \rightarrow \xi^q$ .

Now we choose  $m$  to be prime to  $n$  and sufficiently larger than  $2g+2$ , where  $g$  is the dimension of  $A$ . For a point  $a$  on  $A$ ,  $W(m, a)$  denotes the subvariety of  $U(m)$  consisting of all points  $a$  such that  $\alpha_m(a) = a$ . Then, for our choice of  $m$ ,  $W(m, a)$  is irreducible and of dimension  $mr-g$ , by Taniyama [9].

We denote also by  $N_\mu(W(m, a), T)$  the number of points  $a$  on  $W(m, a)$  such that  $T(a) = I_\mu(a)$ . Since  $T$  does not generally map  $W(m, a)$  into itself and also  $W(m, a)$  is not generally defined over  $k$ , we can not apply Theorem 1 to this case. However, for such a point  $a$  on  $A$  that  $\eta(a) + mt = \pi^\mu(a)$ , we have an analogous inequality as we shall show afterwards.

By the above arguments and the fact that  $T$  and  $\alpha_m$  are everywhere defined on  $U(m)$ , we have

$$(17) \quad N_\mu(U(m), T) = \sum_a N_\mu(W(m, a), T),$$

where the sum ranges over all points  $a$  on  $A$  such that

$$\eta(a) + mt = \pi^\mu(a).$$

We note that there are exactly  $\det M_l(\pi^\mu - \eta)$  such points  $a$  on  $A$ , where  $M_l$  denotes the  $l$ -adic representation of the ring of endomorphisms of  $A$  with a rational prime  $l$  different from the characteristic of the universal domain. In fact, if  $x$  is a generic point on  $A$  over  $k$ , we have  $k(\eta(x)) = k(x)$  and so  $k(\pi^\mu(x)) = (\pi^\mu - \eta)(x) = k(x)$ ; hence we have  $\nu_i(\pi^\mu - \eta) = 1$  and so  $\nu_s(\pi^\mu - \eta) = \det M_l(\pi^\mu - \eta)$ .

7. Now we shall calculate the number  $N_\mu(W(m, a), T)$  for a point  $a$  on  $A$  such that  $\eta(a) + mt = \pi^\mu(a)$ .

Since  $U(m)$  is projective and the cyclic group generated by  $T$  is a finite group of biregular, birational transformations of  $U(m)$  into itself, we can define the quotient variety; and then, by our choice of  $m$ , we have an unramified Galois covering and we may assume that this covering is defined

over  $k$ .  $W_0$  denotes the image of  $W(m, a)$  by the canonical projection  $f$  of this covering.

By the definition,  $T(W(m, a))$  coincides with  $W(m, \eta(a) + mt) = W(m, \pi^\mu(a))$ ; and, as  $\alpha_m$  is defined over  $k$ ,  $I_\mu(W(m, a))$  coincides with  $W(m, \pi^\mu(a))$  and consequently with  $T(W(m, a))$ . It is clear, by considering the dimensions,  $W(m, a)$  and  $T(W(m, a)) = I_\mu(W(m, a))$  are irreducible components of the inverse image  $f^{-1}(W_0)$ . Hence, as  $f$  is defined over  $k$  and  $f \cdot T = f$ , it is easily verified that  $W_0$  is defined over  $k_\mu$ . Moreover, let  $W_1 = W(m, a)$ ,  $W_2 = T(W(m, a))$ ,  $W_3, \dots$  be all the irreducible components of the inverse image  $f^{-1}(W_0)$ . Since each  $W_i$  is written as  $W(m, b_i)$  with some point  $b_i$  on  $A$  and so the intersection  $W_i \cap W_j$  is empty for distinct  $b_i$  and  $b_j$ , any two of  $W_i$ 's have no point in common. Then, by Lang-Serre [4] and [5], we have  $\sum_i [W_i : W_0]_s \leq n$ , where  $n$  is the degree of the covering and the symbol  $[W_i : W_0]_s$  denotes the separable part of the degree  $[W_i : W_0]$ . We note that  $[W_i : W_0]_s$  is equal to the number of points on  $W_i$  lying over a generic point of  $W_0$ . As  $W_i \cap W_j$  is empty and the covering is unramified, we have  $n = \sum_i [W_i : W_0]_s$  and so, by the remark in [5], we have  $[W_i : W_0]_s = [W_i : W_0]$ . Especially it follows that the function fields of  $W(m, a)$  and of  $T(W(m, a))$  are separable over that of  $W_0$ . Hence we can conclude that  $f_1 : W(m, a) \rightarrow W_0$  and  $f_2 : T(W(m, a)) \rightarrow W_0$  are unramified coverings, where  $f_1$  and  $f_2$  are the restrictions of  $f$  on  $W(m, a)$  and  $T(W(m, a))$  respectively. (If necessary, we may replace  $W(m, a)$ ,  $T(W(m, a))$  and  $W_0$  by their normalizations, because of the birational nature of the following statements.) Let  $C_u'$  be a generic hyperplane section curve on  $W_0$  over  $k_\mu$  with defining coefficients  $(u)$  and  $W_u'$  the inverse image  $f_1^{-1}(C_u')$  contained in  $W(m, a)$ . Then  $T(W_u')$  coincides with the inverse image  $f_2^{-1}(C_u')$  contained in  $T(W(m, a))$ . Let  $C_b'$  be a specialization of  $C_u'$  over a specialization  $(u) \rightarrow (b)$  with reference to  $k_\mu$  and be rational over  $k_\mu$ . For almost all such  $C_b'$ , by similar arguments as in 2,  $W_b' = f_1^{-1}(C_b')$  and  $T(W_b') = f_2^{-1}(C_b')$  are irreducible curves on  $W(m, a)$  and  $T(W(m, a))$  respectively. As  $f$  and  $C_b'$  are defined over  $k_\mu$ ,  $I_\mu(W_b')$  is contained in  $I_\mu(W(m, a)) = T(W(m, a))$  and has the projection  $C_b'$  on  $W_0$ ; so  $I_\mu(W_b')$  must coincide with  $T(W_b')$ . Also, by Weil or by Mattuck-Tate [7], we have, for almost all such  $W_b'$ ,

$$|N_\mu(W_b', T) - q^\mu| \leq c_9 q^{\mu/2} + 1,$$

with a constant  $c_9$ , independent of  $q$  and  $(b)$ . Therefore, by the same principle as in the proof of Theorem 1, we have

$$(18) \quad |N_\mu(W(m, a), T) - q^{\mu s}| \leq r_a' q^{\mu(s-1/2)} + \delta_a' q^{\mu(s-1)},$$

with constants  $r_a'$  and  $\delta_a'$ , independent of  $q$ , where  $s = mr - g$  is the dimension of  $W(m, a)$ .

It is known that  $W(m, a)$  is a regular variety, i. e. an Albanese variety attached to  $W(m, a)$  is trivial (cf. Koizumi [2]). So, as a special case of analogues of the conjecture of Lang, we assume that the following conjecture holds.

We have, for every  $a$  on  $A$  such that  $\eta(a) + mt = \pi^\mu(a)$ ,

$$(*) \quad |N_\mu(W(m, a), T) - q^{\mu s}| \leq \gamma_0 q^{\mu(s-1)},$$

where  $\gamma_0$  is a constant, independent of  $\mu$  and  $a$ .

Let  $\pi_1, \pi_2, \dots, \pi_{2g}$  and  $\zeta_1, \zeta_2, \dots, \zeta_{2g}$  be the characteristic roots of  $M_l(\pi)$  and  $M_l(\eta)$  respectively, where  $|\pi_i| = q^{1/2}$  and  $\zeta_i$  is a  $n$ -th root of unity. Then, as  $\eta\pi^\mu = \pi^\mu\eta$  for all  $\mu$ , it is easily verified that, by a suitable change of indices,  $\pi_1^\mu - \zeta_1, \pi_2^\mu - \zeta_2, \dots, \pi_{2g}^\mu - \zeta_{2g}$  are the characteristic roots of  $M_l(\pi^\mu - \eta)$ . Then, by (17) in the end of 6 and by the fact that  $\pi_1\pi_2\cdots\pi_{2g} = \det M_l(\pi) = q^g$ , we have, under the assumption (\*),

$$N_\mu(U(m), T) = q^{\mu mr} - \sum_{i=1}^{2g} (q^{mr}\pi_i^{-1})^\mu \zeta_i + O(q^{\mu(mr-1)}).$$

Therefore, using the notations and results in 5, we have, for each  $\mu$ ,

$$\gamma_\mu q^{\mu(mr-1/2)} = - \sum_{i=1}^{2g} (q^{mr}\pi_i^{-1})^\mu \zeta_i + O(q^{\mu(mr-1)}),$$

and so

$$\gamma_\mu q^{\mu(r-1/2)} = - \sum_{i=1}^{2g} (q^r\pi_i^{-1})^\mu \zeta_i + O(q^{\mu(r-1)}).$$

Hence we have the following

**THEOREM 2.** *The notations be as explained above. Then we have, under the assumption (\*),*

$$(19) \quad N_\mu(U, T) = q^{\mu r} - \sum_{i=1}^{2g} (q^r\pi_i^{-1})^\mu \zeta_i + O(q^{\mu(r-1)}).$$

Repeating the same calculations of  $\det M_l(\pi^\mu - \eta)$  as in Ishida [1], we have also the following

**COROLLARY.** *Let  $f: U \rightarrow V$  be an unramified Galois covering defined over a finite field  $k$  with  $q$  elements, where  $U$  and also  $V$  are non-singular, projective varieties of dimension  $r$ . Then, concerning the zeros of  $L(u, \chi, U/V)$  on the circle  $|u| = q^{-(r-1/2)}$ , the conjecture of Lang holds under the assumption (\*) on  $U$ .*

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