

## Embedding and Existence theorems of infinite Lie algebra

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In [3] and [5], V. W. Guillemin, I. M. Singer and S. Sternberg gave Existence and Uniqueness theorem and Realization theorem concerning the abstract transitive Lie algebra. In this paper we shall give some extensions of these theorems, i. e. Embedding theorem (Section 5) and Existence theorem (Section 7). The former involves as its applications Realization theorem and also theorems concerning the graded Lie algebra, the latter may be said to be a relative existence theorem with the higher order structure constant. We begin by giving an abstract definition of an infinite Lie algebra and a truncated Lie algebra of any order. Roughly speaking, we shall construct Lie algebra as a projective limit of truncated Lie algebras. By this method, we can simplify the proofs, especially of Existence theorem, and also state the properties of the higher order structure constant. (It is shown in Section 3 that our infinite Lie algebra is equivalent to the complete filtered Lie algebra [4], and hence also to the abstract transitive Lie algebra.)

1. Throughout this paper, all vector spaces and Lie algebras are assumed to be defined over a commutative field of characteristic 0. Suppose that a collection of

a sequence of finite dimensional vector spaces  $V_0, V_1, \dots, V_n, \dots$ ,

a sequence of maps  $0 \xleftarrow{\pi_0} V_0 \xleftarrow{\pi_1} V_1 \xleftarrow{\dots} \dots \xleftarrow{\pi_n} V_n \xleftarrow{\dots} \dots$ , and

a sequence of bracket products  $[\cdot, \cdot]'_n: V_n \times V_n \ni (x, y) \mapsto [x, y]'_n \in V_{n-1}$ ,  $n = 0, 1, 2, \dots$ , ( $V_{-1} = 0$ ) is given, and that the following conditions (a)–(f) are satisfied for all  $n \geq 1$ .

- (a)  $\pi_n$  is linear and surjective;
- (b)  $\pi_{n-1}[x, y]'_n = [\pi_n x, \pi_n y]'_{n-1}$ , for all  $x, y \in V_n$ ;
- (c)  $[\cdot, \cdot]'_n$  is bilinear and anti-symmetric;
- (d)  $J'_n(x, y, z) = 0$  for all  $x, y, z \in V_n$ , where  $J'_n$  is a trilinear anti-symmetric map of  $V_n \times V_n \times V_n$  into  $V_{n-2}$  defined by

$$J'_n(x, y, z) = [[x, y]'_n, \pi_n z]'_{n-1} + [[y, z]'_n, \pi_n x]'_{n-1} + [[z, x]'_n, \pi_n y]'_{n-1};$$

- (e) Denote by  $g_n$  the kernel of the map  $\pi_n: V_n \rightarrow V_{n-1}$ . Then  $[g_n, g_n]'_n = 0$ ;  
 (f) Let  $a \in g_n$ . If  $[a, x]'_n = 0$  for all  $x \in V_n$ , then  $a = 0$ .

Set  $L = \text{pr lim } V_n$ . ( $L$  is the vector space consisting of all sequences  $(x_0, x_1, \dots)$  such that  $x_n \in V_n$  and  $\pi_n x_n = x_{n-1}$ .) For any elements  $X = (x_0, x_1, \dots)$  and  $Y = (y_0, y_1, \dots)$  of  $L$ , we define bracket product  $[, ]$  by

$$(1.1) \quad [X, Y] = ([x_1, y_1]'_1, [x_2, y_2]'_2, \dots).$$

It follows from (b) and (d) that  $[, ]$  satisfies the usual Jacobi identity, and consequently this product makes  $L$  into a Lie algebra. (Note that  $\dim L < \infty$ , if and only if  $g_n = 0$  for all sufficiently large  $n$ ).

DEFINITION. An infinite Lie algebra is the Lie algebra  $L = \text{pr lim } V_n$ , determined by a collection  $\{\{V_n\}, \{\pi_n\}, \{[, ]'_n\}\}$ , satisfying the conditions (a)–(f), for all  $n \geq 1$ .

Subsequently we shall denote the infinite Lie algebra simply by  $\text{pr lim } V_n$ , omitting  $\pi_n$  and  $[, ]'_n$ , since no confusion will occur.  $V_n$  is called the  $n$ -th truncation of  $L$ ,  $\pi_n$  the projection.

DEFINITION. A truncated Lie algebra of order  $p$  is a collection of a finite sequence of finite dimensional vector spaces  $V_0, V_1, \dots, V_p$ , a sequence of maps  $0 \xleftarrow{\pi_0} V_0 \xleftarrow{\dots} \xleftarrow{\pi_p} V_p$  and a sequence of bracket products  $[, ]'_n: V_n \times V_n \rightarrow V_{n-1}$ ,  $n = 0, \dots, p$ , satisfying the conditions (a)–(f) for all  $n \geq 1$  and  $n \leq p$ . ( $p \geq 0$ ).

We shall denote this truncated Lie algebra simply by  $\{V_0, \dots, V_p\}$ , or more simply by  $V_p$ . A truncated Lie algebra is not a Lie algebra in the usual sense of the word. In this paper we shall be concerned with the question when a truncated Lie algebra  $\{V_0, \dots, V_p\}$  can be prolonged to an infinite Lie algebra  $\text{pr lim } V_n$ . This question was considered originally, we may say, by E. Cartan [1] in the third fundamental theorem of the infinite Lie group, and recently by V. Guillemin, I. M. Singer and S. Sternberg [3], [5], although their formulations were seemingly different from ours, and they usually reduced the problem to case  $p = 1$ .

Let  $V_p$  be a truncated Lie algebra of order  $p$ . If a subspace  $W_p$  of the vector space  $V_p$  satisfies  $[W_p, W_p]'_p \subset \pi_p W_p$ , then by setting  $W_{n-1} = \pi_n W_n$ ,  $p \geq n \geq 1$ , we obtain a truncated Lie algebra  $\{W_0, \dots, W_p\}$ , which we shall call a subalgebra  $W_p$  of  $V_p$ . A subalgebra  $W_p$  of  $V_p$  is said to be *transitive* if  $W_0 = V_0$ .

An infinite Lie algebra  $M = \text{pr lim } W_n$  is said to be a subalgebra of  $L$ , if each  $W_n$  is a subalgebra of  $V_n$ . If  $W_0 = V_0$  moreover,  $M$  is said to be a *transitive subalgebra* of  $L$ . We say that  $W_{n+1}$  is a prolongation of  $W_n$  in  $L$ , and  $M$  an infinite prolongation of  $W_n$  in  $L$ .

Let  $L = \text{pr lim } V_n$  and  $M = \text{pr lim } W_n$  be two infinite Lie algebras with the same symbols  $\pi_n$  and  $[, ]'_n$ .

We say that an infinite sequence of maps  $\{f_0, f_1, \dots\}$  is an embedding of  $M$  into  $L$ , if it satisfies,

$$(1.2) \quad f_n \text{ is a linear injective map of } W_n \text{ into } V_n;$$

$$(1.3) \quad \pi_{n-1}f_n = f_{n-1}\pi_n;$$

$$(1.4) \quad [f_n x, f_n y]'_n = f_{n-1}[x, y]'_{n-1} \quad \text{for any } x, y \in W_n;$$

for all  $n$ .

In this case we say that  $\{f_n\}$  is a lift of each  $f_n$ , and  $f_p (p > n)$  a lift of  $f_n$ . If each  $f_n$  is surjective,  $\{f_n\}$  is an isomorphism (an automorphism, if  $L = M$ ) of  $M$  onto  $L$ .

Similarly, let  $\{V_0, \dots, V_p\}$  and  $\{W_0, \dots, W_p\}$  be two truncated Lie algebras. A map  $f_p: W_p \rightarrow V_p$  is said to be an embedding of truncated Lie algebra  $W_p$  into  $V_p$ , if there exists a sequence of maps  $\{f_0, \dots, f_p\}$  such that (1.2), (1.3) and (1.4) are satisfied for all  $n \leq p$ . Every map  $f_n (n < p)$  is said to be a reduced map of  $f_p$ .

2. In this section, we shall prove some elementary properties of an infinite Lie algebra  $L = \text{pr lim } V_n$ . Hereafter, we shall omit the subscript  $n$  on  $\pi_n, [, ]'_n$  and  $J'_n$ . We denote by  $\pi^n$  the  $n$ -th iterate of  $\pi$ . Thus  $\pi^n$  is the map  $V_{n+k} \rightarrow V_k$  for any  $k$ . ( $\pi^0 = \text{identity map}$ ). We denote by  $G_n$  the kernel of the map  $\pi^n: V_n \rightarrow V_0$ . Clearly  $G_0 = g_0 = V_0, G_1 = g_1$  and  $G_n \supset g_n$ . We shall keep these notations  $G_n$  and  $g_n$  for  $L$  throughout this section.

$$(2.1) \quad \text{Let } a \in G_n (n \geq 1). \text{ If } [a, x]' = 0 \text{ for all } x \in V_n, \text{ then } a = 0.$$

PROOF. Suppose  $a \neq 0$ , then for some  $m (1 \leq m \leq n)$ ,  $\pi^m a = 0, \pi^{m-1} a \neq 0$ . Therefore  $\pi^{m-1} a \in g_{n-m+1}$ . Since  $[\pi^{m-1} a, \pi^{m-1} x]' = \pi^{m-1} [a, x]' = 0$  for all  $x \in V_n$ , and  $\pi^{m-1}: V_n \rightarrow V_{n-m+1}$  is surjective, we have  $\pi^{m-1} a = 0$  by condition (f). This contradiction proves our assertion. QED.

$$(2.2) \quad \text{If } a, b \in V_n, \pi^l a = 0, \pi^m b = 0, l \geq 0, m \geq 0 \text{ and } l+m \leq n+1, \text{ then } [a, b]' = 0.$$

PROOF. We prove by induction on  $n$ .

If  $n = 0$  or  $1$ , our assertion is trivial. We shall prove (2.2) under the assumption that this is true for  $V_{n-1} (n \geq 2)$ . If  $l = 0$  or  $m = 0$ , then  $[a, b]' = 0$  is trivial. If  $l \geq 1$  and  $m \geq 1$ , then  $\pi a, \pi b \in V_{n-1}$  and  $\pi^{l-1}(\pi a) = 0, \pi^{m-1}(\pi b) = 0, (l-1) + (m-1) \leq n$ . Therefore by the induction assumption, we have  $[\pi a, \pi b]' = 0$ . Since  $\pi[a, b]' = [\pi a, \pi b]' = 0$ , we have  $[a, b]' \in g_{n-1}$ . Let  $u$  be an arbitrary element of  $V_{n-1}$  and  $\bar{u}$  an element of  $V_n$  such that  $\pi \bar{u} = u$ . By condition (d),  $[[a, b]', u]' = [[a, \bar{u}]', \pi b]' - [[b, \bar{u}]', \pi a]'$ . On the other hand,  $\pi^l [a, \bar{u}]' = [\pi^l a, \pi^l \bar{u}]' = 0, \pi^{m-1}(\pi b) = 0$  and  $l + (m-1) \leq n$ . Therefore, again by the induction assumption we have  $[[a, \bar{u}]', \pi b]' = 0$ . Similarly  $[[b, \bar{u}]', \pi a]' = 0$ . Hence

$[[a, b]', u]' = 0$  for any  $u \in V_{n-1}$ . Then  $[a, b]' = 0$  follows from (f). QED.

As a particular case of (2.2), we have

(2.3) *If  $a \in G_n$  and  $b \in g_n$ , then  $[a, b]' = 0$ .*

We say that  $\bar{u} \in V_n$  is over  $u \in V_k$ , if  $n > k$  and  $\pi^{n-k}\bar{u} = u$ . Let  $a \in G_n$  ( $n \geq 1$ ),  $u \in V_{n-1}$  and  $\bar{u}$  be an arbitrary element of  $V_n$  over  $u$ . Then it follows from (2.3) that  $[a, \bar{u}]'$  is determined by  $a$  and  $u$ , and is independent of the choice of  $\bar{u}$ . Thus the action of  $G_n$  on  $V_{n-1}$  is well defined, which we denote by  $au = [a, \bar{u}]'$ . It follows from (2.1) that the induced map  $G_n \rightarrow \text{Hom}(V_{n-1}, V_{n-1})$  is injective.

Let  $a, b \in G_n$  ( $n \geq 1$ ) and  $\tilde{a}, \tilde{b}$  be arbitrary elements of  $G_{n+1}$  over  $a, b$  respectively. Then we can easily see by making use of (2.2) that  $[\tilde{a}, \tilde{b}]'$  is an element of  $G_n$  determined only by  $a$  and  $b$ . Thus we can define a product  $[, ]$  on  $G_n$  by  $[a, b] = [\tilde{a}, \tilde{b}]'$ . Then evidently

$$(2.4) \quad \pi[a, b] = [a, b]', \quad a, b \in G_n.$$

Moreover

(2.5)  *$G_n$  is a Lie algebra acting on  $V_{n-1}$ . ( $n \geq 1$ ).*

That is,  $[a, b]u = a(bu) - b(au)$ , and consequently

$$[[a, b], c] + [[b, c], a] + [[c, a], b] = 0, \quad a, b, c \in G_n, u \in V_{n-1}.$$

PROOF. Let  $\bar{u} \in V_n$  be an element over  $u$ , and  $\tilde{a}, \tilde{b}, \tilde{u} \in V_{n+1}$  be elements over  $a, b, \bar{u}$  respectively. Then our assertion follows from  $J'(\tilde{a}, \tilde{b}, \tilde{u}) = 0$ . We can also prove in a similar device that

(2.6)  *$g_n$  is an ideal of  $G_n$  and acts trivially on  $G_{n-1} \subset V_{n-1}$ . Furthermore, if  $n \geq 2$ ,  $g_n$  is abelian.*

From now on we shall call  $g_n$  the  $n$ -th isotropy algebra of  $L$ .

3. In this section, we prove two propositions, by which we are assured that an infinite Lie algebra is algebraically the same with a complete filtered Lie algebra [4], and hence also with an abstract transitive Lie algebra [3], [5].

Definition of a complete filtered Lie algebra is as follows. A filtered Lie algebra is a Lie algebra  $L$  with a decreasing sequence of subalgebras  $L = L_{-1} \supset L_0 \supset L_1 \supset L_2 \supset \dots$ , such that

$$(f1) \quad \bigcap_i L_i = 0;$$

$$(f2) \quad [L_i, L_j] \subset L_{i+j} \quad (i+j \geq -1);$$

$$(f3) \quad \dim L_i / L_{i+1} < \infty;$$

- (f4) for every  $A \in L_i$ ,  $i \geq 0$ , such that  $A \in L_{i+1}$ , there is an element  $X \in L$ , such that  $[A, X] \in L_i$ .

A  $\bar{L}$ -complete filtered Lie algebra is a filtered Lie algebra such that

- (f5) if we define a uniform topology in  $L$ , by taking  $\{L_i\}$  as a basis for the neighborhood system of the origin, then  $L$  is complete with respect to this uniformity.

PROPOSITION 1. Let  $L = \text{pr lim } V_n$  be an infinite Lie algebra. Denote by  $L_n$  the kernel of the natural projection  $L \rightarrow V_n$ ,  $n \geq 0$ . Then  $L \supset L_0 \supset L_1 \supset \dots$  is a complete filtered Lie algebra.

PROOF. Let  $X = (0, \dots, 0, x_{i+1}, x_{i+2}, \dots) \in L_i$  and  $Y = (0, \dots, 0, y_{j+1}, y_{j+2}, \dots) \in L_j$ . Then, by applying (2.2) to  $V_{i+j+1}$ , we have  $[x_{i+j+1}, y_{i+j+1}]' = 0$ . Hence by (1.1),  $[X, Y] \in L_{i+j}$ . Thus we have proved (f2). Since  $L_{i+j} \subset L_i$  ( $j \geq 0$ ), we see that  $L_i$  is a subalgebra of Lie algebra  $L$ . Completeness of  $L$  with respect to the uniformity defined by  $\{L_i\}$  is clear from the definition of the projective limit. Other conditions for  $L$  to be a filtered Lie algebra are easily checked and our assertion is verified. QED.

PROPOSITION 2. Let  $L^0 \supset L_0^0 \supset L_1^0 \supset \dots$  be a complete filtered Lie algebra. Then we can construct an infinite Lie algebra  $L = \text{pr lim } V_n$ , such that  $\{L_i\}$  is isomorphic to the complete filtered Lie algebra  $\{L_i\}$  determined by  $L$  just as stated in Proposition 1.

PROOF. Let  $\{L_i^0\}$  be a filtered Lie algebra which is not necessarily complete for a moment. We define an infinite Lie algebra as follows. Denote by  $V_n$  the quotient vector space  $L^0/L_n^0$ , and by  $p_n$  the natural projection  $L^0 \rightarrow V_n$ ,  $n = 0, 1, \dots$ . Then by (f3),  $\dim V_n < \infty$ . Since  $L_n^0$  is a subspace of  $L_{n-1}^0$ , projection  $\pi: V_n \rightarrow V_{n-1}$  is naturally defined. Next, for any  $x, y \in V_n$ , we take  $X, Y \in L^0$  such that  $p_n X = x$ ,  $p_n Y = y$ , and define  $[\cdot, \cdot]'$  by  $[x, y]' = p_{n-1}[X, Y]$ . (Since  $[L^0, L_n^0] \subset L_{n-1}^0$ ,  $p_{n-1}[X, Y]$  depends only on  $x$  and  $y$ ). We can check easily that these vector spaces  $\{V_n\}$ , projections  $\{\pi\}$  and bracket products  $\{[\cdot, \cdot]'\}$  satisfy all the conditions (a)–(f). Thus we have obtained an infinite Lie algebra  $L = \text{pr lim } V_n$ . Let  $\{L_i\}$  be the complete filtered Lie algebra determined by  $L$  just in the way stated in Proposition 1. We define a map  $f: L^0 \rightarrow L$  by  $fX = (p_0 X, p_1 X, \dots) \in L$ ,  $X \in L^0$ . Then we can easily verify that (1)  $f$  is injective, (2)  $f$  is a homomorphism of Lie algebra  $L^0$  into Lie algebra  $L$ , (3)  $fL_n^0 = L_n \cap fL^0$  and (4)  $fL^0$  is dense in  $L$ . Now, by these properties (1)–(4) of  $f$ , we can conclude that  $L^0$  is complete if and only if  $fL^0 = L$ . Therefore, under the assumption that  $\{L_i^0\}$  is a complete filtered Lie algebra, the map  $f$  is a Lie algebra isomorphism of  $L^0$  onto  $L$ , which sends  $L_n^0$  onto  $L_n$ . QED.

4. In this section we shall briefly describe the associated graded infinite

Lie algebra of an infinite Lie algebra and the related homology groups. From now on, in this paper we always denote by  $L$  the infinite Lie algebra determined by  $\{V_n\}$ , and by  $g_n$  the  $n$ -th isotropy algebra of  $L$ .

We define a bracket product  $[\cdot, \cdot]^0$  in the direct sum  $\sum_{n=0}^{\infty} g_n$  as follows. Let  $a \in g_i$  and  $b \in g_j$ . Set  $[a, b]^0 = [\bar{a}, \bar{b}]'$ , where  $\bar{a}$  and  $\bar{b}$  are arbitrary elements of  $V_{i+j}$  over  $a$  and  $b$  respectively. Then we can easily check, using (2.2), that  $[a, b]^0$  is a well defined element of  $g_{i+j-1}$ , and also that the product  $[\cdot, \cdot]^0$  satisfies the usual Jacobi identity. Thus we obtain the so-called graded Lie algebra  $\sum_{n=0}^{\infty} g_n$  with bracket product  $[\cdot, \cdot]^0$ .

Setting  $L_i = \sum_{n=i+1}^{\infty} g_n$  ( $i \geq -1$ ), we have a filtered Lie algebra  $\{L_i\}$ . Then as in the proof of Proposition 2, we can construct the corresponding infinite Lie algebra, which we shall denote by  $Gr(L) = \overline{\sum_{n \geq 0} g_n}$ . An infinite Lie algebra  $L$  is said to be graded, if it is isomorphic to  $Gr(L)$ .

If  $a \in g_n$ ,  $v \in g_0 = V_0$ , we shall write  $a \cdot v$  instead of  $[a, v]^0$ , thus we have a map  $g_n \times V_0 \ni (a, v) \mapsto a \cdot v \in g_{n-1}$ .

Let  $U$  and  $V$  be any vector spaces. We identify the vector space of all  $l$ -linear anti-symmetric maps of  $U \times \dots \times U$  ( $l$  times) into  $V$  with the space  $V \otimes \wedge^l(U^*)$ , where  $U^*$  is the dual space of  $U$ . We define the boundary operator  $\partial: g_{i+1} \otimes \wedge^{j-1}(V_0^*) \rightarrow g_i \otimes \wedge^j(V_0^*)$  by  $(\partial f)(v_1, v_2, \dots, v_j) = \sum_{k=1}^j (-1)^{j-k} f(v_1, \dots, \hat{v}_k, \dots, v_j) \cdot v_k$ ,  $v_1, \dots, v_j \in V_0$ ,  $f \in g_{i+1} \otimes \wedge^{j-1}(V_0^*)$ , where the symbol  $\hat{v}$  indicates that the argument  $v$  is omitted. We can easily see that  $\partial^2 = 0$ . We denote by  $H^{ij}(L)$  the homology group at  $g_i \otimes \wedge^j(V_0^*)$ ,  $i, j \geq 0$ , with respect to the boundary operator  $\partial$ . Suppose more generally that  $U$  is a vector space and a projection  $\bar{\pi}: U \rightarrow V_0$  is given. Then  $\partial: g_{i+1} \otimes \wedge^{j-1}(U^*) \rightarrow g_i \otimes \wedge^j(U^*)$  is defined by  $(\partial f)(u_1, \dots, u_j) = \sum_{k=1}^j (-1)^{j-k} f(u_1, \dots, \hat{u}_k, \dots, u_j) \cdot (\bar{\pi} u_k)$ ,  $u_1, \dots, u_j \in U$ . In this case also we have  $\partial^2 = 0$ .

Let  $\{V_0 = h_0, h_1, \dots\}$  be a sequence of subspaces  $h_n$  of  $g_n$ , such that  $h_n \cdot V_0 \subset h_{n-1}$  for all  $n$ . Then the homology group at  $h_i \otimes \wedge^j(V_0^*)$  is similarly defined, which we denote by  $H^{ij}(\{h\})$ .

LEMMA 1. Let  $U$  be a vector space, and  $\bar{\pi}$  a projection of  $U$  onto  $V_0$ . Let  $f \in h_i \otimes \wedge^m(U^*)$  ( $m \geq 1$ ) and assume  $\partial f = 0$ . If  $H^{ij}(\{h\}) = 0$ , for  $j = 1, \dots, m$ , then there exists an element  $\sigma \in h_{i+1} \otimes \wedge^{m-1}(U^*)$ , such that  $\partial \sigma = f$ .

PROOF. Let  $G$  be the kernel of the projection  $\bar{\pi}$ . Let  $\varphi: V_0 \rightarrow U$  be a linear map such that  $\bar{\pi}\varphi = \text{identity map of } V_0$ . Then we have  $U = G \oplus \varphi V_0$ , where the symbol  $\oplus$  means the direct sum. Let  $a_1, \dots, a_{m-j} \in G$ . We define  $f_j(a_1, \dots, a_{m-j}) \in h_i \otimes \wedge^j(V_0^*)$  by  $f_j(a_1, \dots, a_{m-j})(v_1, \dots, v_j) = f(a_1, \dots, a_{m-j}, \varphi v_1, \dots, \varphi v_j)$ ,  $v_1, \dots, v_j \in V_0$ ,  $j = 0, \dots, m$ . From  $(\partial f)(a_1, \dots, a_{m-j}, \varphi v_1, \dots, \varphi v_{j+1}) = 0$ , we

obtain  $\partial(f_j(a_1, \dots, a_{m-j}))=0$ . (In particular, we have  $f(a_1, \dots, a_m)=0$ , for  $j=0$ .) Therefore, by the assumption  $H^{ij}(\{h\})=0$ , there exists an element  $\sigma_j(a_1, \dots, a_{m-j})$  of  $h_{i+1} \otimes \wedge^{j-1}(V_0^*)$  such that  $\partial(\sigma_j(a_1, \dots, a_{m-j}))=f_j(a_1, \dots, a_{m-j})$ , for each  $j=1, \dots, m$ . Moreover we can assume that  $\sigma_j: G \times \dots \times G \ni (a_1, \dots, a_{m-j}) \mapsto \sigma_j(a_1, \dots, a_{m-j}) \in h_{i+1} \otimes \wedge^{j-1}(V_0^*)$  is  $(m-j)$ -linear and anti-symmetric. Then the desired  $\sigma$  is given by  $\sigma(u_1, \dots, u_{m-1}) = \sum_{j=1}^m \sum_{\tau} \text{sgn } \tau \sigma_j(a_{\tau(1)}, \dots, a_{\tau(m-j)})(v_{\tau(m-j+1)}, \dots, v_{\tau(m-1)})$ , where  $u_k = a_k + \varphi v_k \in G \oplus \varphi V_0$ ,  $k=1, \dots, m-1$  and the sum  $\sum_{\tau}$  is taken over all permutations  $\tau$  of  $\{1, \dots, m-1\}$  such that  $\tau(1) < \dots < \tau(m-j)$  and  $\tau(m-j+1) < \dots < \tau(m-1)$ . QED.

5. Throughout this section, we assume that  $\{W_0, W_1, \dots, W_p, W_{p+1}\}$  is a truncated Lie algebra of order  $p+1$  such that  $\dim W_0 = \dim V_0$ . Let  $\varphi: W_p \rightarrow W_{p+1}$  be an arbitrary linear section. (By a linear section  $\varphi$  we mean a linear map such that  $\pi\varphi = \text{identity map of } W_p$ .) Then we have a transitive sub-algebra  $\varphi W_p = \{W_0, \dots, W_p, \varphi W_p\}$  of  $W_{p+1}$ .

LEMMA 2. Let  $\varphi: W_p \rightarrow W_{p+1}$  be a linear section. Assume that there exists an embedding  $f': \varphi W_p \rightarrow V_{p+1}$ , and that  $H^{p1}(L)=0$ . Then there exists a unique embedding  $f: W_{p+1} \rightarrow V_{p+1}$ , which coincides with  $f'$  on  $\varphi W_p$ .

PROOF. We denote by  $f_k$  the embedding  $W_k \rightarrow V_k$  reduced from  $f'$ , ( $k \leq p$ ). Let  $h$  be the kernel of the projection  $W_{p+1} \rightarrow W_p$ . Then we have  $W_{p+1} = h \oplus \varphi W_p$ . In order to extend  $f'$  on  $\varphi W_p$  to  $f$  on  $W_{p+1}$ , satisfying (1.3) and (1.4) with  $n$  replaced by  $p+1$ , we have only to define a map  $f: h \rightarrow g_{p+1}$  satisfying

$$(5.1) \quad [fa, f'x]' = f_p[a, x]' \quad \text{for any } a \in h \text{ and } x \in \varphi W_p.$$

Putting  $f_p W_p = U$  and  $\pi f'x = u$ , we have from (5.1)

$$(fa)u = f_p[a, \varphi f_p^{-1}u]', \quad a \in h, u \in U.$$

Since  $\pi f_p[a, \varphi f_p^{-1}u]' = f_{p-1}[\pi a, f_p^{-1}u]' = 0$ , we have  $f_p[a, \varphi f_p^{-1}u] \in g_p$ . Define  $T_a \in g_p \otimes U^*$  by  $T_a(u) = f_p[a, \varphi f_p^{-1}u]'$ ,  $u \in U$ . Then  $\partial T_a = 0$ . In fact,  $\partial T_a(u, v) = [f_p[a, \varphi f_p^{-1}u]', v]' - [f_p[a, \varphi f_p^{-1}v]', u]' = f_{p-1}[\pi a, [\varphi f_p^{-1}u, \varphi f_p^{-1}v]]' = 0$ ,  $u, v \in U$ . Besides, we can see that since  $\dim W_0 = \dim V_0$ ,  $\pi^p: U \rightarrow V_0$  is a surjection. Therefore by Lemma 1, there exists an element, say  $fa$ , of  $g_{p+1}$  such that  $\partial(fa) = T_a$  i.e.  $(fa)u = T_a(u)$  for all  $u \in U$ . Moreover, since  $\partial: g_{p+1} \rightarrow g_p \otimes U^*$  is an injection,  $fa$  is uniquely determined. Finally, injectivity of the map  $h \ni a \mapsto fa \in g_{p+1}$  is obvious. Therefore the resulting map  $f$  defined on  $W_{p+1}$  also satisfies (1.2) with  $n$  replaced by  $p+1$ . QED.

PROPOSITION 3. Assume that  $H^{p1}(L) = H^{p2}(L) = 0$  and that there exists an embedding  $f_p: W_p \rightarrow V_p$ . Then we can lift  $f_p$  to an embedding  $f: W_{p+1} \rightarrow V_{p+1}$ .

PROOF. Set  $f_p W_p = U$ . Let  $\theta: U \rightarrow V_{p+1}$  and  $\varphi: W_p \rightarrow W_{p+1}$  be arbitrary linear sections. Define  $F \in V_p \otimes \wedge^2(U^*)$  by

$$(5.2) \quad F(u, v) = f_p[\varphi f_p^{-1}u, \varphi f_p^{-1}v]' - [\theta u, \theta v]' \quad \text{for } u, v \in U.$$

Then it is easily checked using  $J' = 0$  that  $F$  is a cycle  $\in g_p \otimes \wedge^2(U^*)$ . Therefore there is an element  $\sigma \in g_{p+1} \otimes U^*$  and  $\partial\sigma = F$ . Define  $f' : \varphi W_p \rightarrow V_{p+1}$  by  $f'(\varphi x) = \theta'(f_p x)$ , where  $\theta' = \theta + \sigma$ ,  $x \in W_p$ . Then for any  $x, y \in W_p$ ,  $[f'\varphi x, f'\varphi y]' = [\theta f_p x, \theta f_p y]' + (\partial\sigma)(f_p x, f_p y) = f_p[\varphi x, \varphi y]'$ . Thus  $f'$  is an embedding of  $\varphi W_p$  into  $V_{p+1}$ . Now we can apply Lemma 2 and our assertion is verified. QED.

We generalize Lemma 2 as follows.

PROPOSITION 4. *Let  $\{W'_0, \dots, W'_{p+1}\}$  be a transitive subalgebra of  $\{W_0, \dots, W_{p+1}\}$ . Assume that there exist embeddings  $f_p : W_p \rightarrow V_p$  and  $f' : W'_{p+1} \rightarrow V_{p+1}$ , such that  $f'$  is a lift of the restriction of  $f_p$  to  $W'_p$ . If  $H^{p+1}(L) = 0$ , then we can lift  $f_p$  to an embedding  $f_{p+1}$  of  $W_{p+1}$  into  $V_{p+1}$ , which coincides with  $f'$  on  $W'_{p+1}$ . Furthermore such  $f_{p+1}$  is uniquely determined.*

PROOF. Let  $\varphi : W_p \rightarrow W_{p+1}$  be a linear section such that  $\varphi W'_p \subset W'_{p+1}$ . Then we can take a linear section  $\theta : U = f_p W_p \rightarrow V_{p+1}$  such that

$$f'\varphi x = \theta f_p x \quad \text{for all } x \in W'_p.$$

Define  $F \in g_p \otimes \wedge^2(U^*)$  by (5.2) with new  $\varphi$  and  $\theta$ . Then  $F(u, v) = 0$ , if  $u, v \in f_p W'_p$ .

Now we wish to solve the equation  $\partial\sigma = F$ , with unknown  $\sigma \in g_{p+1} \otimes U^*$ , under the condition  $\sigma(u) = 0$  for  $u \in f_p W'_p$ . Since  $\dim W'_0 = \dim V_0$ , we have  $\pi^p f_p W'_p = V_0$ . Therefore  $U = f_p W'_p \oplus G$ , where  $G$  is a subspace of the kernel of the projection  $\pi^p : U \rightarrow V_0$ . Since  $\partial F = 0$ , we have  $F(a, b) = 0$  and  $\partial(F(a)) = 0$  for  $a, b \in G$ , where  $F(a)$  is an element of  $g_p \otimes U^*$  defined by  $F(a)(u) = F(a, u)$ , in the same way as in the proof of Lemma 1. Owing to these properties of  $F$ , our equation reduces to  $\partial(\sigma(a)) = F(a)$ ,  $a \in G$ . Then, using Lemma 1, we have a unique solution  $\sigma(a) \in g_{p+1}$ .

Define  $f_{p+1} : \varphi W_p \rightarrow V_{p+1}$ , by  $f_{p+1}(\varphi x) = \theta f_p x + \sigma(a)$ , where  $x$  is an arbitrary element of  $W_p$ , and  $a$  is the  $G$  component of  $f_p x$  with respect to the direct sum  $U = f_p W'_p \oplus G$ . Then we can see easily that  $[f_{p+1}\varphi x, f_{p+1}\varphi y]' = f_p[\varphi x, \varphi y]'$ , for any  $x, y \in W_p$ . Thus  $f_{p+1} : \varphi W_p \rightarrow V_{p+1}$  is an embedding. Applying Lemma 2, we can extend  $f_{p+1}$  uniquely to the embedding  $W_{p+1} \rightarrow V_{p+1}$ . QED.

By repeated applications of Proposition 4, we have the following theorem.

THEOREM 1. *Let  $L = \text{pr lim } V_n$  and  $M = \text{pr lim } W_n$  be infinite Lie algebras such that  $\dim V_0 = \dim W_0$ , and let  $M' = \text{pr lim } W'_n$  be a transitive subalgebra of  $M$ . Assume that there exist embeddings  $f_p : W_p \rightarrow V_p$  (for some  $p$ ) and  $\{f'_n\} : M' \rightarrow L$ , such that  $f_p$  coincides with  $f'_p$  on  $W'_p$ . Assume further that  $H^{i+1}(L) = 0$  for all  $i \geq p$ .*

*Then there exists a unique embedding  $\{f_n\} : M \rightarrow L$  which is a lift of  $f_p$  and coincides with  $\{f'_n\}$  on  $M'$ .*

THEOREM 2 (EMBEDDING THEOREM). *Let  $L = \text{pr lim } V_n$  and  $M = \text{pr lim } W_n$*



be infinite Lie algebras such that  $\dim V_0 = \dim W_0$ . Assume that there exists an embedding  $f_p: W_p \rightarrow V_p$  for some  $p$  and  $H^{i_1}(L) = H^{i_2}(L) = 0$  for all  $i \geq p$ .

Then  $f_p$  can be lifted to an embedding  $\{f_n\}: M \rightarrow L$ . Furthermore, if  $\{\tilde{f}_n\}$  is another such lift of  $f_p$ , then there exists an automorphism  $\{h_n\}$  of  $L$ , such that  $h_n$  sends  $f_n W_n$  onto  $\tilde{f}_n W_n$  for each  $n$ .

PROOF. The first assertion follows from repeated applications of Proposition 3. The existence of  $\{h_n\}$  is proved as follows. Set  $L' = \text{pr lim } f_n W_n$ . Applying Theorem 1 to  $L$  and  $L'$ , we can extend the embedding  $\{\tilde{f}_n f_n^{-1}\}: L' \rightarrow L$  to the embedding  $\{h_n\}: L \rightarrow L$ . Since  $\dim V_n < \infty$ , each  $h_n$  is a bijection, hence  $\{h_n\}$  is an automorphism of  $L$ . QED.

APPLICATIONS. Set  $D(V_0) = \sum_{n \geq 0} V_0 \otimes S^n(V_0^*)$ . This can be regarded as the graded infinite Lie algebra of all formal power series vector fields on  $V_0$ . It is known that  $H^{ij}(D(V_0)) = 0$  for all  $i, j \geq 0$ ,  $(i, j) \neq (0, 0)$ , and indeed  $D(V_0)$  is characterized by this property.

THEOREM 3 (REALIZATION THEOREM). *Every infinite Lie algebra  $L$  is isomorphic to a transitive subalgebra of  $D(V_0)$ . Furthermore such subalgebra is determined up to an automorphism of  $D(V_0)$ .*

PROOF. Since  $H^{ij}(D(V_0)) = 0$  for all  $i \geq 0, j \geq 1$ , we can apply Theorem 2 to  $D(V_0)$  and  $L$ , with  $f_0 = \text{identity map of } V_0$ . QED.

THEOREM 4. *Let  $L$  be an infinite Lie algebra such that  $H^{i_1}(L) = H^{i_2}(L) = 0$  for all  $i \geq 1$ . Then  $L$  is graded, if and only if the first structure constant of  $L$  is 0. (As for the structure constant, see Section 7).*

PROOF. Let  $L$  be graded. Since the first structure constant of  $Gr(L)$  is 0, that of  $L$  must also be 0.

Conversely, assume that the first structure constant of  $L$  is 0. Then we can see easily that there is an isomorphism of the first truncation  $V_1$  of  $L$  onto that of  $Gr(L)$ . Since the homology groups of  $Gr(L)$  and  $L$  are the same, we can apply Theorem 2 to obtain an embedding  $L \rightarrow Gr(L)$ . Since the dimension of the truncation of any order of  $L$  is equal to that of the same order of  $Gr(L)$ , the embedding is an isomorphism. QED.

We say that an infinite Lie algebra  $L = \text{pr lim } V_n$  is abelian if  $[V_n, V_n]' = 0$  for all  $n$ . An infinite Lie algebra is said to be *flat*, if it contains an abelian transitive subalgebra.

The first structure constant of a flat infinite Lie algebra is 0. Every graded infinite Lie algebra is evidently flat. Therefore the following theorem follows from Theorem 1.

THEOREM 5. *Let  $L$  be an infinite Lie algebra such that  $H^{i_1}(L) = 0$  for all  $i \geq 1$ . If  $L$  is flat, then it is also graded.*

6. Let  $h_n$  be any subspace of  $g_n$ . We define  $h_n^{(1)} \subset g_{n+1}$  by  $h_n^{(1)} = \{a \in g_{n+1};$

$a \cdot v \in h_n$  for all  $v \in V_0$ }. Let  $W_p$  be a transitive subalgebra of  $V_p$ . We say that  $W_p$  is prolongable in  $L$ , if there exists a linear section  $\theta: W_p \rightarrow V_{p+1}$  such that  $[\theta W_p, \theta W_p]' \subset W_p$ . Let  $h_p = g_p \cap W_p$  and  $h_{p+1}$  be an arbitrary subspace of  $h_p^{(1)}$ . If  $W_p$  is prolongable by a linear section  $\theta$ , then  $h_{p+1} + \theta W_p$  is clearly a subalgebra of  $V_{p+1}$ . Conversely, if  $W_{p+1}$  is a subalgebra of  $V_{p+1}$  such that  $\pi W_{p+1} = W_p$ , then the kernel of the projection  $W_{p+1} \rightarrow W_p$  is contained in  $h_p^{(1)}$ . If it is identical with  $h_p^{(1)}$ ,  $W_{p+1}$  is called the normal prolongation of  $W_p$  in  $L$ . We denote by  $\{H^{ij}(W_p; L)\}$  the homology groups determined by the sequence  $\{h_i\}$ , where  $h_i = g_i \cap W_i$ , if  $i \leq p$ , and  $h_i$  ( $i > p$ ) is the subspace of  $g_i$  defined inductively by  $h_n = h_{n-1}^{(1)}$ .

PROPOSITION 5. *Let  $W_p$  ( $p \geq 1$ ) be a transitive subalgebra of  $V_p$ . If  $H^{p-1, j}(W_p; L) = 0$ ,  $j = 1, 2, 3$  and  $H^{pj}(L) = 0$ ,  $j = 1, 2$ , then  $W_p$  is prolongable in  $L$ .*

PROOF. Let  $\phi: W_{p-1} \rightarrow W_p$  and  $\theta: W_p \rightarrow V_{p+1}$  be arbitrary linear sections. Set  $F(u, v) = [\theta u, \theta v]' - \phi[u, v]'$ ,  $u, v \in W_p$ . Then  $\pi F(u, v) = 0$ , therefore  $F$  is an element of  $g_p \otimes \wedge^2(W_p^*)$ . By definition of  $\partial$  and by  $J' = 0$ , we have  $(\partial F)(u, v, w) = -\sum [\phi[u, v]', w]'$ , for any  $u, v, w \in W_p$ , where  $\sum$  is the sum over all cyclic permutations of  $u, v, w$ . The right hand side of this identity shows that  $\partial F$  is a cycle belonging to  $h_{p-1} \otimes \wedge^3(W_p^*)$ . It follows from the assumption  $H^{p-1, j}(W_p; L) = 0$ ,  $j = 1, 2, 3$ , that there exists an element  $f$  of  $h_p \otimes \wedge^2(W_p^*)$  such that  $\partial f = \partial F$ . Then  $f - F$  is a cycle belonging to  $g_p \otimes \wedge^2(W_p^*)$ . Again, by the assumption  $H^{pj}(L) = 0$ ,  $j = 1, 2$ , there is an element  $\sigma$  of  $g_{p+1} \otimes W_p^*$  such that  $\partial \sigma = f - F$ . Define a linear section  $\theta': W_p \rightarrow V_{p+1}$  by setting  $\theta' = \theta + \sigma$ . Then, for any  $u, v \in W_p$ , we have  $[\theta' u, \theta' v]' = [\theta u, \theta v]' + (\partial \sigma)(u, v) = f(u, v) + \phi[u, v]'$ . Since  $f(u, v)$  and  $\phi[u, v]' \in W_p$ , we have  $[\theta' u, \theta' v]' \in W_p$ . Thus  $W_p$  is prolongable by  $\theta'$ . QED.

THEOREM 6. *Let  $L = \text{pr lim } V_n$  be an infinite Lie algebra and  $W_p$  ( $p \geq 1$ ) a transitive subalgebra of  $V_p$ . Assume that  $H^{ij}(W_p; L) = 0$  for all  $i \geq p-1$  and  $j = 1, 2, 3$  and also  $H^{ij}(L) = 0$  for all  $i \geq p$  and  $j = 1, 2$ .*

*Then there exists a normal infinite prolongation  $M$  of  $W_p$  in  $L$ , such that for any infinite prolongation  $M'$  of  $W_p$  in  $L$ , there is an automorphism of  $L$  which embeds  $M'$  into  $M$ .*

PROOF. By Proposition 5,  $W_p$  is prolongable in  $L$ . Let  $W_{p+1}$  be its normal prolongation in  $L$ . In this case we have  $H^{ij}(W_p; L) = H^{ij}(W_{p+1}; L)$ . Therefore  $W_{p+1}$  is again prolongable in  $L$ . Continuing in this fashion, we can obtain a normal infinite prolongation of  $W_p$  in  $L$ , which we denote by  $M$ . Since we have  $H^{ij}(M) = H^{ij}(W_p, L) = 0$ ,  $i \geq p$ ,  $j = 1, 2$ , there is an embedding of any infinite prolongation  $M'$  of  $W_p$  into  $M$ , owing to Theorem 2. Then, it follows from Theorem 1, that we can extend the embedding  $M' \rightarrow M$  to the embedding of  $L$  into  $L$ , which is clearly an automorphism of  $L$ . QED.

7. In this section, we shall make some observation on the properties of the structure constants of the transitive subalgebra of  $L$ , and state existence theorem.

Let  $W_p$  ( $p \geq 1$ ) be a transitive subalgebra of  $V_p$ , and  $h_p = W_p \cap g_p$ . We define an element  $c \in W_{p-1} \otimes \wedge^2(W_{p-1}^*)$  by  $c(u, v) = [\varphi u, \varphi v]'$ ,  $u, v \in W_{p-1}$ , where  $W_{p-1} = \pi W_p$  and  $\varphi$  is an arbitrary linear section  $W_{p-1} \rightarrow W_p$ . Let  $\varphi'$  be another such linear section and  $c'(u, v) = [\varphi' u, \varphi' v]'$ . Then there is an element  $S$  of  $h_p \otimes W_{p-1}^*$  such that  $\varphi' = \varphi + S$ , and we have  $c' = c + \partial S$ . That is,  $c' = c$  (mod.  $\partial(h_p \otimes W_{p-1}^*)$ ). Therefore, a class  $\mathbf{c} = \{c\} \in W_{p-1} \otimes \wedge^2(W_{p-1}^*) / \partial(h_p \otimes W_{p-1}^*)$  is determined independently of the choice of  $\varphi$ . We call  $\mathbf{c}$  the structure constant of  $W_p$ . If  $W_p = V_p$ ,  $\mathbf{c}$  is called the  $p$ -th order structure constant of  $L$ . Clearly we have  $\pi(c(u, v)) = [u, v]'$  and  $(\partial c)(u, v, w) = J'(\varphi u, \varphi v, \varphi w) = 0$ , for  $u, v, w \in W_{p-1}$ , where  $\partial c$  is an element of  $W_{p-2} \otimes \wedge^3(W_{p-1}^*)$ , defined by  $(\partial c)(u, v, w) = [c(u, v), w]' + [c(v, w), u]' + [c(w, u), v]'$ . Since these properties of  $c$  are independent of the choice of the representative  $c$  of the class  $\mathbf{c}$ , we can write them as follows,

$$(7.1) \quad \pi \mathbf{c} = [ , ]' \quad \text{and} \quad \partial \mathbf{c} = 0,$$

where  $\pi$  and  $\partial$  are understood as maps of  $W_{p-1} \otimes \wedge^2(W_{p-1}^*) / \partial(h_p \otimes W_{p-1}^*)$  into  $W_{p-2} \otimes \wedge^2(W_{p-1}^*)$  and  $W_{p-2} \otimes \wedge^3(W_{p-1}^*)$  respectively.

Now we assume that  $W_p$  is prolongable in  $L$ . Then by the same argument as in Section 2, we have

$$(7.2) \quad h_p \text{ is a Lie algebra acting on } W_{p-1}.$$

Next, take a linear section  $\theta: W_p \rightarrow V_{p+1}$ , such that  $[\theta W_p, \theta W_p]' \subset W_p$ , and set

$$Y(u, v) = [\theta \varphi u, \theta \varphi v]' - \varphi c(u, v) \quad \text{and} \quad Z(a)u = [\theta a, \theta \varphi u]' - \varphi(au),$$

where  $u, v \in W_{p-1}$  and  $a \in h_p$ .

Then we can see that  $Y \in h_p \otimes \wedge^2(W_{p-1}^*)$  and  $Z(a) \in h_p \otimes W_{p-1}^*$ . From  $J'(\theta \varphi u, \theta \varphi v, \theta a) = 0$ , we obtain

$$(7.3) \quad ac - \partial(Z(a)) = 0 \quad \text{for any } a \in h_p,$$

where  $ac$  is an element of  $W_{p-1} \otimes \wedge^2(W_{p-1}^*)$  defined by  $(ac)(u, v) = a(c(u, v)) - c(au, v) - c(u, av)$ .

Similarly from  $J'(\theta \varphi u, \theta \varphi v, \theta \varphi w) = 0$ , we obtain

$$(7.4) \quad c^2 + \partial Y = 0,$$

where  $c^2$  is an element of  $W_{p-1} \otimes \wedge^3(W_{p-1}^*)$ , defined by  $c^2(u, v, w) = c(c(u, v), w) + c(c(v, w), u) + c(c(w, u), v)$ ,  $u, v, w \in W_{p-1}$ . E. Cartan's original statements corresponding to (7.2), (7.3) and (7.4) are respectively 2°, 3° and 4° in Chapter II, Section 23, [1]. Formulations like (7.3) and (7.4) are due to [3] and [5]. We

shall show that they can be written in terms of  $\mathbf{c}$ . It is easily seen that for any  $a \in h_p$ ,  $a\mathbf{c}$  is a well defined element of  $W_{p-1} \otimes \wedge^2(W_{p-1}^*)/\partial(h_p \otimes W_{p-1}^*)$ , and (7.3) becomes

$$(7.5) \quad a\mathbf{c} = 0 \quad \text{for any } a \in h_p.$$

As for  $\mathbf{c}^2$ , we wish to prove that if we set  $c' = c + \partial S$  for any element  $S \in h_p \otimes W_{p-1}^*$ , then  $(c')^2 = c^2 + \partial T$  for some element  $T \in h_p \otimes \wedge^2(W_{p-1}^*)$ . This does not hold in general, but under the assumptions (7.2) and (7.3), we can verify by a straightforward computation that such  $T$  is given by  $T(u, v) = S(c(u, v)) + S(S(u)v - S(v)u) - [S(u), S(v)] - Z(S(u)v + Z(S(v)u)$ , where  $Z(\cdot)$  is an element of  $h_p \otimes W_{p-1}^*$  which satisfies (7.3). Thus  $\mathbf{c}^2$  determines a well defined element  $\mathbf{c}^2$  of  $W_{p-1} \otimes \wedge^2(W_{p-1}^*)/\partial(h_p \otimes \wedge^2(W_{p-1}^*))$  and (7.4) can be written as

$$(7.6) \quad \mathbf{c}^2 = 0.$$

**PROPOSITION 6.** *Let  $W_{p-1}$  be a transitive subalgebra of  $V_{p-1}$ , and  $h_p$  a subalgebra of the Lie algebra  $g_p$  satisfying  $h_p W_{p-1} \subset W_{p-1}$ . Assume that  $W_p$  and  $W'_p$  are prolongations of  $W_{p-1}$  in  $L$  and  $h_p = g_p \cap W_p = g_p \cap W'_p$ . Assume further that  $H^{p1}(L) = 0$ . Then there exists an isomorphism of  $W_p$  onto  $W'_p$  which induces the identity map of  $W_{p-1}$ , if and only if the structure constants of  $W_p$  and  $W'_p$  are identical.*

**PROOF.** We shall only prove the existence of an isomorphism  $f_p$  of  $W_p$  onto  $W'_p$ , under the assumption that they have the same structure constant, because the converse is nearly evident.

Let  $\varphi: W_{p-1} \rightarrow W_p$  and  $\varphi': W_{p-1} \rightarrow W'_p$  be arbitrary linear sections. Then  $\varphi' = \varphi + S$  for some  $S \in g_p \otimes W_{p-1}^*$ . It follows from the assumption on the structure constant that  $\partial S = \partial\sigma$  for some  $\sigma \in h_p \otimes W_{p-1}^*$ . Thus  $S - \sigma$  is a cycle  $\in g_p \otimes W_{p-1}^*$ . Hence  $S - \sigma = \partial T$  for some element  $T \in g_{p+1}$ , and we have  $\varphi' = \varphi + \sigma + \partial T$ . Define a map  $f_p: W_p = \varphi W_{p-1} \oplus h_p \rightarrow W'_p = \varphi' W_{p-1} \oplus h_p$  by  $f_p(\varphi u + a) = \varphi' u + (a - \sigma(u))$ , where  $u \in W_{p-1}$  and  $a \in h_p$ . Then by a simple calculation we see that  $f_p$  is an isomorphism which we want. QED.

**PROPOSITION 7.** *Let  $W_p$  be a transitive subalgebra of  $V_p$  ( $p \geq 0$ ). Assume that  $H^{p1}(L) = H^{p2}(L) = 0$  and that there exists an element  $c \in W_p \otimes \wedge^2(W_p^*)$  such that  $\pi c = [\cdot, \cdot]'$  and  $\partial c = 0$ . Then  $W_p$  is prolongable in  $L$  by a linear section  $\phi: W_p \rightarrow V_{p+1}$  such that  $c(u, v) = [\phi u, \phi v]'$  for  $u, v \in W_p$ .*

**PROOF.** Take an arbitrary linear section  $\theta: W_p \rightarrow V_{p+1}$ . Define  $F \in V_p \otimes \wedge^2(W_p^*)$  by  $F(u, v) = c(u, v) - [\theta u, \theta v]'$ . Then we can see easily that  $F$  is a cycle  $\in g_p \otimes \wedge^2(W_p^*)$ . Hence there is an element  $\sigma \in g_{p+1} \otimes W_p^*$  such that  $\partial\sigma = F$ . Then  $\phi = \theta + \sigma$  is the linear section which we want. QED.

**PROPOSITION 8.** *Let  $W_p$  be a transitive subalgebra of  $V_p$  and  $h_p = W_p \cap g_p$  ( $p \geq 1$ ). Assume that (1)  $H^{p1}(L) = H^{p2}(L) = 0$ , (2)  $h_p$  is a subalgebra of the Lie algebra  $g_p$  and  $h_p W_{p-1} \subset W_{p-1}$ , ( $W_{p-1} = \pi W_p$ ), (3) the structure constant  $\mathbf{c}$  of*

$W_p$  satisfies (7.5) and (7.6). Then  $W_p$  is prolongable in  $L$ .

PROOF. We take a representative  $c$  of  $\mathbf{c}$  which is given by  $\varphi: W_{p-1} \rightarrow W_p$ . Then by the assumption (3), we have  $c^2 + \partial Y = 0$  for some  $Y \in h_p \otimes \wedge^2(W_{p-1}^*)$  and  $ac = \partial(Z(a))$  for some  $Z(a) \in h_p \otimes W_{p-1}^*$ , where we can assume that  $h_p \ni a \mapsto Z(a)$  is a linear map.

Now we define an element  $\bar{c} \in W_p \otimes \wedge^2(W_p^*)$ , referring to the direct sum  $W_p = \varphi W_{p-1} \oplus h_p$ , by  $\bar{c}(\varphi u, \varphi v) = \varphi c(u, v) + Y(u, v)$ ,  $\bar{c}(a, \varphi u) = \varphi(au) + Z(a)u$ ,  $\bar{c}(a, b) = [a, b]$ , where  $u, v \in W_{p-1}$  and  $a, b \in h_p$ . Then  $\bar{c}$  satisfies  $\pi \bar{c} = [\cdot, \cdot]'$  and  $\partial \bar{c} = 0$ . Indeed,  $\pi \bar{c}(\varphi u + a, \varphi v + b) = c(u, v) + av - bu = [\varphi u + a, \varphi v + b]'$ , and a simple computation shows  $(\partial \bar{c})(\varphi u_1 + a_1, \varphi u_2 + a_2, \varphi u_3 + a_3) = c^2(u_1, u_2, u_3) + (\partial Y)(u_1, u_2, u_3) - \sum(a_1 c)(u_2, u_3) + \sum(Z(a_1))(u_2, u_3) + \sum([a_1, a_2]u_3 - a_1(a_2 u_3) + a_2(a_1 u_3)) + \sum([[a_1, a_2], a_3])$ , where each  $\sum$  indicates the sum taken over all cyclic permutations of subscripts 1, 2 and 3. Then the assumptions (2), (3) imply that  $\partial \bar{c}$  vanishes. Finally by Proposition 7 our assertion is verified. QED.

Let  $h_p$  be a subspace of  $g_p$ , and let  $\{h_p, h_{p+1}, \dots\}$  be a sequence such that  $h_{n+1} = h_n^{(1)}$  for all  $n \geq p$ . We denote by  $H^{ij}(h_p; L)$  the homology group at  $h_i \otimes \wedge^j(V_p^*)$  which is defined only for  $i \geq p$ .

THEOREM 7 (EXISTENCE THEOREM). Let  $L = \text{pr lim } V_n$  be an infinite Lie algebra. Let  $W_{p-1}$  be a transitive subalgebra of  $V_{p-1}$ ,  $h_p$  a subspace of the  $p$ -th isotropy algebra  $g_p$  of  $L$  and  $\mathbf{c}$  an element of  $W_{p-1} \otimes \wedge^2(W_{p-1}^*) / \partial(h_p \otimes W_{p-1}^*)$ . ( $p \geq 1$ ). Assume that

- (1)  $H^{ij}(h_p; L) = 0$  for all  $i \geq p$  and  $j = 1, 2, 3$  and  $H^{ij}(L) = 0$  for all  $i \geq p-1$  and  $j = 1, 2$ ;
- (2)  $h_p$  is a subalgebra of the Lie algebra  $g_p$ , satisfying  $h_p W_{p-1} \subset W_{p-1}$ ;
- (3)  $\mathbf{c}$  satisfies (7.1), (7.5) and (7.6).

Then there exists a subalgebra  $M = \text{pr lim } W_n$  of  $L$  such that

- (i)  $M$  is an infinite prolongation of  $W_{p-1}$  in  $L$ ;
- (ii)  $h_p$  is the  $p$ -th isotropy algebra of  $M$ ;
- (iii)  $\mathbf{c}$  is the  $p$ -th order structure constant of  $M$ .

Furthermore, if  $M'$  is any subalgebra of  $L$  which satisfies (i), (ii), (iii) with  $M$  replaced by  $M'$ , then there is an automorphism of  $L$  which embeds  $M'$  into  $M$ .

PROOF. Let  $c$  be a representative of  $\mathbf{c}$ . Then by the condition (7.1) and by Proposition 7 with  $p$  replaced by  $p-1$ , we have  $c(u, v) = [\phi u, \phi v]'$ ,  $u, v \in W_{p-1}$ , for some  $\phi: W_{p-1} \rightarrow V_p$ .

Set  $W_p = \phi W_{p-1} + h_p$ , then the assumption (2) implies that  $W_p$  is a subalgebra of  $V_p$ . It follows from Proposition 8, that  $W_p$  is prolongable in  $L$ . Let  $W_{p+1}$  be a normal prolongation of  $W_p$  in  $L$ . Since  $H^{ij}(W_{p+1}; L) = H^{ij}(h_p; L)$  for  $i \geq p$ , we can apply Theorem 6 to  $W_{p+1}$  and we obtain the normal infinite prolongation  $M$  of  $W_{p+1}$  in  $L$ , which evidently satisfies (i), (ii) and (iii). Next, let  $M' = \text{pr lim } W'_n$  be any subalgebra of  $L$  satisfying (i), (ii), (iii). Then by

Proposition 6, there is an isomorphism  $f_p$  of  $W'_p$  onto  $W_p$ . Since  $H^{ij}(M) = H^{ij}(h_p; L) = 0$  for all  $i \geq p$  and  $j = 1, 2$ , we can lift  $f_p$  to an embedding  $M' \rightarrow M$ , by Theorem 2. Finally, by Theorem 1 we can extend this embedding to the embedding  $L \rightarrow L$ , which is clearly an automorphism of  $L$ . QED.

We remark that the Existence and Uniqueness theorem in [3] or [5] is implied in this theorem by taking  $L = D(V_0)$  and  $p = 1$ , condition (7.1) reducing null in case  $p = 1$ .

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