

## Maximal toral action on aspherical manifolds $\Gamma \backslash G/K$ and $G/H$

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### Introduction.

In this note, we shall consider only topological actions. For a closed aspherical manifold  $M$ , it is well known that if a compact connected Lie group  $G$  acts on  $M$  effectively, then  $G$  is a toral group  $T^s$  with  $s \leq \text{rank}$  of the center  $z(\pi_1(M))$  of the fundamental group  $\pi_1(M)$  of  $M$  (Theorem 5.6 in [4]). In [5], it was conjectured that if  $M$  is a closed aspherical manifold, then

- (1)  $z(\pi_1(M))$  is finitely generated, say of rank  $k$ ,
- (2) there exists a toral group  $T^k$  acting effectively on  $M$ .

These have been verified in many cases. For examples, if  $M$  is a smooth manifold admitting a Riemannian metric with non-positive sectional curvature or if  $M$  is a nilmanifold, then (1) and (2) hold (see [10]).

In this note, we shall prove the following

**THEOREM A.** *The conjectures (1) and (2) hold for aspherical manifold of type  $\Gamma \backslash G/K$ , where  $G$  is a connected non-compact Lie group,  $K$  a maximal compact subgroup of  $G$  and  $\Gamma$  a torsion free discrete uniform subgroup of  $G$ .*

**THEOREM B.** *The conjectures (1) and (2) hold for a compact homogeneous aspherical manifold  $G/H$ , where  $G$  is a connected non-compact Lie group and  $H$  a closed subgroup of  $G$ .*

In this note, we shall use the following notations;

1.  $\mathbf{Z}$ ,  $\mathbf{R}$  and  $\mathbf{C}$  denote the ring of integers, the field of real numbers and the field of complex numbers, respectively.
2.  $\tilde{G}$  denotes the universal covering of a Lie group  $G$  and  $\pi: \tilde{G} \rightarrow G$  the covering projection.
3.  $G^0$  denotes the identity component of a Lie group  $G$ .
4.  $z(G)$  denotes the center of a group  $G$ .
5. Lie group is assumed to be connected unless the contrary is stated.

### 1. Preliminaries.

Let  $G$  be a simply connected non-compact Lie group. Then it is well known that  $G$  is a semi-direct product of a simply connected semisimple subgroup  $S$  and its radical  $R$ . Thus every element of  $G$  is uniquely written as a product  $rs$  ( $r \in R, s \in S$ ) and the product of  $r_1s_1$  and  $r_2s_2$  is given by  $(r_1s_1)(r_2s_2) = r_1s_1r_2s_1^{-1}s_1s_2$  and we have the following split exact sequence;

$$1 \longrightarrow R \longrightarrow G \begin{array}{c} \xrightarrow{p} \\ \xleftarrow{i} \end{array} S \longrightarrow 1.$$

Let  $\Gamma$  be a torsion free discrete uniform subgroup of  $G$  and  $K$  a maximal compact subgroup of  $G$ . It is easy to show that  $K$  is semisimple and  $R \cap K = 1$ . Since  $\Gamma$  is torsion free,  $\Gamma \cap K = 1$ . When one considers the manifold  $\Gamma \backslash G/K$ , it is sufficient to consider the case when  $S$  contains no compact normal factors. We list some lemmas which are needed in the sequel.

LEMMA 1 (Corollary 8.28 in [11]). (1)  $\Gamma_R = \Gamma \cap R$  is a discrete uniform subgroup of  $R$ .

(2)  $p(\Gamma)$  is a discrete uniform subgroup of  $S$ .

LEMMA 2 (Corollary 5.18 in [11]). Let  $G$  be a semisimple Lie group without compact normal subgroup and  $H$  a closed subgroup with the property (S) (e.g.  $G/H$  has a finite invariant measure). Then the centralizer of  $H$  in  $G$  is equal to  $z(G)$ . In particular,  $z(H)$  is contained in  $z(G)$ .

LEMMA 3 (Theorems 2.1 and 2.11 in [11]). (1) Let  $N$  be a simply connected nilpotent Lie group and  $\Gamma$  a closed uniform subgroup of  $N$ . Then there are no proper connected closed subgroups of  $N$  containing  $\Gamma$ .

(2) Let  $N$  and  $V$  be two nilpotent simply connected groups and let  $H$  be a uniform subgroup of  $N$ . Then any continuous homomorphism  $f: H \rightarrow V$  can be extended in a unique manner to a continuous homomorphism  $\tilde{f}: N \rightarrow V$ .

LEMMA 4 (Theorem 1.1 of Chap. VI in [9]). Let  $\mathfrak{g}$  be a non-compact semi-simple Lie algebra over  $\mathbf{R}$  and  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  a Cartan decomposition of  $\mathfrak{g}$ . Suppose  $(G, K)$  is any pair associated with  $(\mathfrak{g}, \theta)$ , where  $\theta(T+X) = T - X$  ( $X \in \mathfrak{p}, T \in \mathfrak{k}$ ) is an involutive automorphism of  $\mathfrak{g}$ . Then we have

- (1)  $K$  is connected, closed and contains  $z(G)$ ,
- (2)  $K$  is compact if and only if  $z(G)$  is finite.

LEMMA 5 (Theorem 2.3 in Section 2 in Chap. IV in [13]). Let  $(G, K)$  be the pair as in Lemma 4. Then  $K$  is its own normalizer and the centralizer  $C_G(K)$  of  $K$  in  $G$  is  $z(K)$ .

LEMMA 6. Let  $G$  be a semisimple Lie group and  $\pi: \tilde{G} \rightarrow G$  the universal cover-

ing. Then  $z(\tilde{G})$  is equal to  $\pi^{-1}(z(G))$ .

PROOF. Let  $x \in \pi^{-1}(z(G))$  and consider the continuous map  $c_x: \tilde{G} \rightarrow \tilde{G}$  defined by  $c_x(y) = xyx^{-1}y^{-1}$ . Since  $\pi(xyx^{-1}y^{-1}) = 1$ ,  $\text{Im } c_x \subseteq \text{Ker } \pi$ .  $\text{Ker } \pi$  being discrete,  $c_x(\tilde{G}) = 1$  and hence  $xyx^{-1}y^{-1} = 1$ , which implies  $x \in z(\tilde{G})$ . Conversely let  $x \in z(\tilde{G})$ . Then  $\pi(x) \in z(G)$ , which implies  $x \in \pi^{-1}(z(G))$ . Q. E. D.

LEMMA 7. Let  $G$  be a non-compact simple Lie group. Suppose  $z(\tilde{G})$  is not finite. Then we have

(1)  $z(\tilde{G})$  is contained as a lattice in a subgroup  $L$  of  $\tilde{G}$ , which is isomorphic to  $\mathbf{R}$ , and

(2) Let  $\bar{K}$  be a maximal compact subgroup of  $\tilde{G}$ . Then  $L$  is contained in the centralizer of  $\bar{K}$  in  $\tilde{G}$ .

PROOF. The following arguments are due to Chap. VI, VIII, X in [9]. Since  $z(\tilde{G})$  is not finite,  $G$  is  $PSL(2, \mathbf{R})$  (or its finite covering group),  $SU(p, q)$ ,  $SO^*(2n)$ ,  $Sp(n, \mathbf{R})$ ,  $SO_0(2, q)$ ,  $E_6$ , or  $E_7$ . Let  $K$  be a subgroup of  $G$  such that the pair  $(G, K)$  has the property of Lemmas 4 and 5. Then  $G/K$  is an irreducible Hermitian space and  $z(K)$  is  $SO(2)$ . It follows from Lemma 6 that  $z(G)$  is contained in  $L = \pi^{-1}(z(K))$ , which is isomorphic to  $\mathbf{R}$ . This proves (1). It follows from arguments in Chap. X in [9] (see pp. 451-455 in [9]) that  $\pi^{-1}(K)$  is isomorphic to  $\bar{K} \times \mathbf{R}$ , where  $\bar{K}$  is a maximal compact subgroup of  $\tilde{G}$ . Since  $z(K) = c_G(K)$ , we have  $\pi(xyx^{-1}) = \pi(y)$  for every  $y \in \bar{K}$  and  $x \in L$ . This implies that the image of the continuous map  $c: \bar{K} \times L \rightarrow \tilde{G}$  defined by  $c(y, x) = xyx^{-1}y^{-1}$  is contained in  $\text{Ker } \pi$ . Since  $\text{Ker } \pi$  is discrete and  $\bar{K} \times L$  is connected, we have  $c(y, x) = 1$ . This completes the proof of Lemma 7. Q. E. D.

We shall recall some results about solvable Lie groups. Let  $R$  be a simply connected solvable Lie group and  $\Gamma$  a discrete uniform subgroup of  $R$ . It is well known that there is an exact sequence

$$1 \longrightarrow N \longrightarrow R \longrightarrow \mathbf{R}^s \longrightarrow 1,$$

where  $N$  is the nilradical of  $R$ . It is easy to see that there is a sequence of subgroups of  $R$ ;

$$N = R_0 \subseteq R_1 \subseteq \dots \subseteq R_s = R$$

such that  $R_{i+1} = R_i \rtimes \mathbf{R}_i$  (semidirect product), where  $\mathbf{R}_i = \mathbf{R}$ . In the following, we write the addition of  $\mathbf{R}$  multiplicatively. Define  $\Gamma_i = \Gamma \cap R_i$ ,  $z_{i-1} = z(\Gamma_i) \cap \Gamma_{i-1}$  and  $p_i: R_i \rightarrow \mathbf{R}_i$  the natural projection. Put  $\Gamma_N = \Gamma_0$  and  $z_N = z_0$ . We may write an element of  $R_i$  in the form;

$$nx_1x_2 \cdots x_i = n \prod_{j=1}^i x_j \quad (n \in N, x_j \in \mathbf{R}_j).$$

We have the following

- LEMMA 8. (1)  $\Gamma_i$  is a discrete uniform subgroup of  $R_i$ .  
 (2)  $p_i(\Gamma_i)$  is a discrete uniform subgroup of  $\mathbf{R}_i$ .

This follows from the standard arguments about Lie group theory (see Chap. 3 in [3] and [11]).

LEMMA 9. Let  $N$  be a simply connected nilpotent Lie group and  $\Gamma_N$  is a discrete uniform subgroup of  $N$ . Suppose  $z(\Gamma_N) = \mathbf{Z}^n$ . Then there exists a subgroup  $N_0$  of  $N$  which is isomorphic to  $\mathbf{R}^n$  and contains  $z(\Gamma_N)$  as a lattice.

This follows from Lemma 3.

LEMMA 10. Let  $R$  be a simply connected solvable Lie group,  $\Gamma$  a discrete uniform subgroup of  $R$ ,  $N$  the nilradical of  $R$  and  $N_0$  the subgroup of  $N$  which has the property in Lemma 9 for  $\Gamma_N = N \cap \Gamma$  and  $z(\Gamma) \cap \Gamma_N$ . Then we have  $rx = xr$  for every  $r \in \Gamma$  and  $x \in N_0$ .

PROOF. Consider the inner automorphism  $c_r: R \rightarrow R$ . Since  $z(\Gamma) \cap \Gamma_N \subseteq z(\Gamma)$ , we have  $z(\Gamma) \cap \Gamma_N \subseteq N_0 \cap c_r(N_0)$ . It follows from a result in [11] (Lemma 2.4 in [11]) that  $N_0 \cap c_r(N_0)$  is connected and hence  $N_0 \cap c_r(N_0) = N_0$ , which implies  $c_r(N_0) = N_0$ . Q. E. D.

LEMMA 11. (1) Let  $\Gamma$  be a group satisfying the exact sequence;

$$1 \longrightarrow \mathbf{Z}^t \longrightarrow \Gamma \longrightarrow \mathbf{Z}^s \longrightarrow 1.$$

Then there exists a simply connected solvable Lie group  $R$  and a closed subgroup  $D$  of  $R$  such that  $\pi_1(R/D) = \Gamma$ .

(2) Let  $\Gamma$ ,  $R$  and  $D$  be as above. Assume  $z(\Gamma)$  is not trivial. Then there exist closed subgroups  $D_1$  and  $D_2$  of  $R$  which satisfy

- i)  $D_1 \triangleleft D$  and  $D_1/D_1^0 = z(\Gamma) = \mathbf{Z}^k$ ,
- ii)  $D_2/D_1^0$  is isomorphic to  $\mathbf{R}^k$

and

- iii)  $z(\Gamma)$  is contained in  $D_2/D_1^0$  as a lattice.

PROOF. The following arguments are due to [1] (Chap. III, Section 5 in [1]).

(1) The arguments in [1] (see p. 245) show that there exists a commutative diagram in which the horizontal sequences are exact;

$$\begin{array}{ccccccc} 1 & \longrightarrow & \mathbf{Z}^t & \longrightarrow & \Gamma & \longrightarrow & \mathbf{Z}^s \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \mathbf{Z}^t \otimes \mathbf{C} & \longrightarrow & \Gamma_{\mathbf{C}} & \longrightarrow & \mathbf{Z}^s \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \mathbf{Z}^t \otimes \mathbf{C} & \longrightarrow & R & \longrightarrow & \mathbf{Z}^s \otimes \mathbf{R} \longrightarrow 1. \end{array}$$

Let  $D$  be the subgroup of  $R$  generated by the image of  $\Gamma$  and the subgroup  $I$

of  $Z' \times C$  consisting of purely imaginary vectors. Then  $D$  is closed in  $R$  and  $\pi_1(R/D) = D/D^0 = D/I = \Gamma$ .

(2) Let  $D_1$  be the subgroup of  $R$  generated by  $z(\Gamma)$  and  $I$ .  $z(\Gamma)$  satisfies the following exact sequence;

$$1 \longrightarrow Z' \longrightarrow z(\Gamma) \longrightarrow Z^{s'} \longrightarrow 1.$$

It is easy to construct the following commutative diagram;

$$\begin{array}{ccccccc} 1 & \longrightarrow & Z' & \longrightarrow & z(\Gamma) & \longrightarrow & Z^{s'} \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & Z' \otimes R & \longrightarrow & Z^k \otimes R & \longrightarrow & Z^{s'} \otimes R \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & Z' \otimes C & \longrightarrow & R & \longrightarrow & Z^{s'} \otimes R \longrightarrow 1, \end{array}$$

where  $z(\Gamma) = Z^k$ . Now let  $D_2$  be the subgroup of  $R$  generated by  $Z^k \otimes R$  and  $I$ . Then  $D_2/D_1^0 = R^k$  and  $z(\Gamma)$  is a lattice of  $D_2/D_1^0$ . Q. E. D.

Now we shall consider  $M = \Gamma \backslash G/K$ , where  $G$  is a non-simply connected non-compact Lie group,  $K$  a maximal compact subgroup and  $\Gamma$  a torsion free discrete uniform subgroup of  $G$ . Let  $\pi: \tilde{G} \rightarrow G$  be the universal covering of  $G$ . Then  $\text{Ker } \pi = \pi_1(G) = \pi_1(K) \cong Z^r \times F$ , where  $F$  is a finite abelian group. Since  $\tilde{K} = \pi^{-1}(K)$  is the universal covering of  $K$ ,  $\tilde{K} \cong R^r \times \bar{K}$ , where  $\bar{K}$  is a simply connected compact semisimple Lie group. Put  $\tilde{\Gamma} = \pi^{-1}(\Gamma)$ .

We have the following

LEMMA 12.  $Z^r$  and  $F$  are central subgroups of  $G$ .

This follows from the fact that  $\pi_1(G)$  is a central subgroup of  $\tilde{G}$ . Let  $\tilde{G} = \tilde{R} \cdot \tilde{S}$  be the Levi-decomposition of  $\tilde{G}$ . Define  $\tilde{G}^*$ ,  $\tilde{S}^*$ ,  $\tilde{\Gamma}^*$ ,  $\tilde{K}^*$  and  $\bar{K}^*$  by  $(\ )^* = (\ )/F$ . Clearly  $\tilde{G} = \tilde{R} \cdot \tilde{S}^*$  is the Levi-decomposition of  $\tilde{G}^*$ . We have the following

LEMMA 13.  $\tilde{\Gamma}^* \cap g\tilde{K}^*g^{-1} = Z^r$  for every  $g \in \tilde{G}^*$ .

PROOF. Consider the following commutative diagram in which every horizontal sequence is exact.

$$\begin{array}{ccccccc} 1 & \longrightarrow & Z^r & \longrightarrow & g\tilde{K}^*g^{-1} & \longrightarrow & \bar{g}K\bar{g}^{-1} \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & Z^r & \longrightarrow & \tilde{G}^* & \longrightarrow & G \longrightarrow 1 \\ & & \uparrow & & \uparrow & & \uparrow \\ 1 & \longrightarrow & Z^r & \longrightarrow & \tilde{\Gamma}^* & \longrightarrow & \Gamma \longrightarrow 1 \end{array}$$

where  $\bar{g} = \pi^*(g)$ ,  $\pi^*: \tilde{G}^* \rightarrow G$  the homomorphism induced by  $\pi$ . Since  $\tilde{\Gamma}^*$  and  $\Gamma$  are torsion free and  $\bar{g}K\bar{g}^{-1}$  is compact,  $\tilde{\Gamma}^* \cap g\tilde{K}^*g^{-1}$  is equal to  $Z^r$ . Q. E. D.

- LEMMA 14. (1)  $\Gamma \backslash G/K$  is homeomorphic to  $\tilde{\Gamma}^* \backslash \tilde{G}^* / \tilde{K}^*$ .  
 (2) The natural map  $q: \tilde{G}^* / \tilde{K}^* \rightarrow \tilde{G}^* / \tilde{K}^*$  is a principal  $\mathbf{R}^r = \tilde{K}^* / \tilde{K}^*$ -bundle.  
 (3) The map  $q$  induces a map  $\tilde{q}: \tilde{\Gamma}^* \backslash \tilde{G}^* / \tilde{K}^* \rightarrow \tilde{\Gamma}^* \backslash \tilde{G}^* / \tilde{K}^*$  which is a principal  $T^r = \mathbf{Z}^r \backslash \tilde{K}^* / \tilde{K}^*$ -bundle.

PROOF. We omit the proof of (1) and (2). It is clear that  $\tilde{q}$  is a fiber bundle with typical fiber  $(\tilde{\Gamma}^* \cap K^*) \backslash \tilde{K}^* / \tilde{K}^* = \mathbf{Z}^r \backslash \tilde{K}^* / \tilde{K}^*$ . Consider  $\mathbf{Z}^r \backslash \tilde{K}^* / \tilde{K}^*$  as an  $r$ -dimensional torus  $T^r$  and define a  $T^r$ -action on  $\tilde{\Gamma}^* \backslash \tilde{G}^* / \tilde{K}^*$  by the formula;

$$(\mathbf{Z}^r k \tilde{K}^*)(\tilde{\Gamma}^* g \tilde{K}^*) = \tilde{\Gamma}^* g k^{-1} \tilde{K}^*.$$

The well-definedness follows from the fact that  $\tilde{K}^* \triangleleft \tilde{K}^*$  and Lemma 12. The action is free. In fact,

$$(\mathbf{Z}^r k_1 \tilde{K}^*)(\tilde{\Gamma}^* g \tilde{K}^*) = \tilde{\Gamma}^* g \tilde{K}^* \Rightarrow \tilde{\Gamma}^* g k^{-1} \tilde{K}^* = \tilde{\Gamma}^* g \tilde{K}^* \Rightarrow g k_1^{-1} = x g k' \\ (k' \in \tilde{K}^*, x \in \tilde{\Gamma}^*)$$

$$x = g k_1^{-1} k'^{-1} g^{-1} \in \tilde{\Gamma}^* \cap g \tilde{K}^* g^{-1}.$$

It follows from Lemma 13 that we have  $k_1^{-1} = g^{-1} x g k' \in \mathbf{Z}^r \tilde{K}^*$ , which implies that  $\mathbf{Z}^r k_1 \tilde{K}^* = 1$  in  $\mathbf{Z}^r \backslash \tilde{K}^* / \tilde{K}^*$ . It is clear that the orbit space of  $\tilde{\Gamma}^* \backslash \tilde{G}^* / \tilde{K}^*$  by  $\mathbf{Z}^r \backslash \tilde{K}^* / \tilde{K}^*$  is  $\tilde{\Gamma}^* \backslash \tilde{G}^* / \tilde{K}^*$ . Q. E. D.

**2. The proof of Theorem A when  $G$  is simply connected.**

In this section, we shall prove Theorem A when  $G$  is simply connected. As in Section 1, let  $G = R \cdot S$  be the Levi-decomposition and  $p: G \rightarrow S$  the projection. We have the following exact sequence;

$$1 \longrightarrow \Gamma_R \longrightarrow \Gamma \longrightarrow p(\Gamma) \longrightarrow 1.$$

It follows from this exact sequence that we have the following exact sequence;

$$1 \longrightarrow z(\Gamma) \cap \Gamma_R \longrightarrow z(\Gamma) \longrightarrow p(z(\Gamma)) \longrightarrow 1.$$

It is clear that  $z(\Gamma) \cap \Gamma_R \subseteq z(\Gamma_R)$  and  $p(z(\Gamma)) \subseteq p(\Gamma)$ . Since  $\Gamma_R$  is poly- $\mathbf{Z}$  group (see [11]),  $z(\Gamma) \cap \Gamma_R$  is also a poly- $\mathbf{Z}$  group and hence finitely generated. It follows from a result in [11] (Corollary 5.18 in [11]) that  $p(z(\Gamma))$  is finitely generated abelian group and hence isomorphic to  $\mathbf{Z}^k$  for some integer  $k$ . We have the following

- PROPOSITION 15. (1) The map  $G \rightarrow R \times S; g = rs \rightarrow (r, s)$  is a homeomorphism.  
 (2) The map  $f_1: G/K \rightarrow R \times (S/K); rsK \rightarrow (r, sK)$  is a homeomorphism.  
 (3) The natural map  $\Gamma_R \backslash G/K \rightarrow \Gamma \backslash G/K$  is a regular covering map with the group  $p(\Gamma)$  of covering transformations and hence  $\Gamma \backslash G/K \cong p(\Gamma) \backslash (\Gamma_R \backslash G/K)$ .  
 (4) The map  $g: \Gamma_R \backslash G/K \rightarrow (\Gamma_R \backslash R) \times (S/K); \Gamma_R r s K \rightarrow (\Gamma_{Rr}, sK)$  is a homeo-

morphism.

Since these are proved immediately, we shall omit the proof.

Define the action of  $p(\Gamma)$  on  $(\Gamma_R \setminus R) \times (S/K)$  by

$$(\Gamma_{Rr_s})(\Gamma_{Rr_1}, s_1K) = (\Gamma_{Rr_s r_1 s^{-1}}, s s_1 K).$$

Then the map  $g$  is  $p(\Gamma)$ -equivariant (note the action of  $p(\Gamma) \cong \Gamma_R \setminus \Gamma$  on  $\Gamma_R \setminus G/K$  is given by  $(\Gamma_{Rr_s})(\Gamma_{Rr}gK) = \Gamma_{Rr_s r}gK$ ). In fact,

$$(\Gamma_{Rr_s})(g(\Gamma_{Rr_1 s_1 K})) = (\Gamma_{Rr_s})(\Gamma_{Rr_1}, s_1 K) = (\Gamma_{Rr_s r_1 s^{-1}}, s s_1 K) = g((\Gamma_{Rr_s})(\Gamma_{Rr_1 s_1 K})).$$

It follows that we have the following

PROPOSITION 16.  $\Gamma \setminus G/K$  is homeomorphic to  $p(\Gamma) \setminus ((\Gamma_R \setminus R) \times (S/K))$ .

Now we shall define a maximal toral action on  $N = \Gamma \setminus G/K$ . We divide the definition into two steps.

The first step; Let  $z(\Gamma) \cap R = \mathbf{Z}^n$ . We define an action of  $T^n$  on  $\Gamma_R \setminus R$ , which is compatible with the action  $p(\Gamma)$ .

The second step; Let  $p(z(\Gamma)) = \mathbf{Z}^m$ . We define an action of  $T^m \times T^n$  on  $\Gamma \setminus G/K$ .

**1. The first step.** Let  $R$  be a simply connected solvable Lie group and  $\Gamma$  a torsion free discrete uniform subgroup of  $R$ . As noted above, we have an exact sequence;

$$1 \longrightarrow N \longrightarrow R \longrightarrow \mathbf{R}^s \longrightarrow 1,$$

where  $N$  is the nilradical of  $R$ . First consider the case of  $s=1$ . We have the following commutative diagram;

$$\begin{array}{ccccccc} 1 & \longrightarrow & \Gamma_N & \longrightarrow & \Gamma & \longrightarrow & p(\Gamma) \longrightarrow 1 \\ & & \uparrow & & \uparrow & & \uparrow \\ 1 & \longrightarrow & z_N & \longrightarrow & z(\Gamma) & \longrightarrow & p(z(\Gamma)) \longrightarrow 1. \end{array}$$

By the same arguments as in Propositions 15 and 16, we have the following

PROPOSITION 17. (1) The map  $g: \Gamma_N \setminus R \rightarrow (\Gamma_N \setminus N) \times \mathbf{R}; \Gamma_N n x \rightarrow (\Gamma_N n, x)$  is a homeomorphism.

(2) The natural map  $\Gamma_N \setminus R \rightarrow \Gamma \setminus R$  is a regular covering map with the group  $p(\Gamma)$  of covering transformations and hence  $\Gamma \setminus R \cong p(\Gamma) \setminus (\Gamma_N \setminus R)$ .

(3) Define an action of  $p(\Gamma) \cong \Gamma_N \setminus \Gamma$  on  $(\Gamma_N \setminus N) \times \mathbf{R}$  by the formula;

$$(\Gamma_N n x)(\Gamma_N n_1 x_1) = (\Gamma_N n x n_1 x^{-1}, x x_1).$$

Then this is well defined and induces a homeomorphism  $h: \Gamma \setminus R \cong p(\Gamma) \setminus ((\Gamma_N \setminus N) \times \mathbf{R})$ .

It follows from Lemma 9 there exists a subgroup  $N_0$  of  $N$  such that

$$(i) \quad N_0 \subseteq \mathbf{R}^u \quad (u = \text{rank } z_N)$$

and

$$(ii) \quad z_N \subseteq N_0 \text{ as a lattice.}$$

Now we define an action of  $T^u = z_N \backslash N_0$  on  $\Gamma \backslash R$ .

(1) Define an action of  $T^u$  on  $(\Gamma_N \backslash N) \times \mathbf{R}$  by the formula;

$$(z_N n)(\Gamma_N n_1, x) = (\Gamma_N n n_1, x).$$

This action is easily proved to be well defined and effective.

(2) This action is commutative with the action of  $p(\Gamma)$ . In fact, we have

$$\begin{aligned} (\Gamma_N n x)((z_N n_1)(\Gamma_N n_2, x_2)) &= (\Gamma_N n x)(\Gamma_N n_1 n_2, x_2) \\ &= (\Gamma_N n x n_1 n_2 x^{-1}, x x_2) = (\Gamma_N n x n_1 x^{-1} x x_2 x^{-1}, x x_2) \\ &= (\Gamma_N n_1 n x n_2 x^{-1}, x x_2) \quad (\text{see Lemma 9}) \\ &= (z_N n_1)(\Gamma_N n x n_2 x^{-1}, x x_2) \quad (\text{see Lemma 10}) \\ &= (z_N n_1)((\Gamma_N n x)(\Gamma_N n_2, x_2)). \end{aligned}$$

It follows from (1) and (2) that we have defined an action of  $T^u$  on  $\Gamma \backslash R$ .

It is clear that  $p(z(\Gamma)) = \mathbf{Z}$  or 1. When  $p(z(\Gamma)) = \mathbf{Z}$ , define  $A = p(z(\Gamma)) \otimes \mathbf{R}$ . Then  $A/p(z(\Gamma)) = T^1$ . We can define an action of  $T^u \times T^1$  on  $\Gamma \backslash R$  as follows.

(1) Define an action of  $T^u \times A$  on  $(\Gamma_N \backslash N) \times \mathbf{R}$  by the formula;

$$(z_N n, x)(\Gamma_N n_1, x_1) = (\Gamma_N n x_1, x_1 x^{-1}).$$

This action is proved easily to be well defined and effectively.

(2) Define an action of  $T^u \times A$  on  $\Gamma_N \backslash R$  by the formula;

$$(z_N n, x)(\Gamma_N n_1, x_1) = \Gamma_N n n_1 x_1 x^{-1}.$$

This is well defined. In fact,

$$\begin{aligned} \Gamma_N n_2 x_2 = \Gamma_N n_1 x_1 &\Rightarrow n_2 x_2 = n' n_1 x_1 \quad (n' \in \Gamma_N) \\ &\Rightarrow n n_2 x_2 x^{-1} = n n' n_1 x_1 x^{-1} = n' n n_1 x_1 x^{-1} \quad (\text{by Lemma 9}) \\ &\Rightarrow (z_N n, x)(\Gamma_N n_2, x_2) = \Gamma_N n n_2 x_2 = \Gamma_N n n_1 x_1 x^{-1} \\ &= (z_N n, x)(\Gamma_N n_1 x_1). \end{aligned}$$

(3) The homeomorphism  $g: \Gamma_N \backslash R \rightarrow (\Gamma_N \backslash N) \times \mathbf{R}$  is  $(T^u \times A)$ -equivariant. In fact,

$$\begin{aligned} g((z_N n, x)(\Gamma_N n_1 x_1)) &= g(\Gamma_N n n_1 x_1 x^{-1}) = (\Gamma_N n n_1, x_1 x^{-1}) \\ &= (z_N n, x)(\Gamma_N n_1, x_1) = (z_N n, x)(g(\Gamma_N n_1 x_1)). \end{aligned}$$

It follows from (1) and (3) that the action of  $T^u \times A$  on  $\Gamma_N \backslash R$  is effective.

(4) The action of  $T^u \times A$  on  $\Gamma_N \backslash R$  is commutative with the action of  $p(\Gamma) \cong \Gamma_N \backslash \Gamma$ . To prove this, we need the following lemma;

LEMMA 18.  $xn_1x^{-1} = n_1$  for every  $x \in p(\Gamma)$  and  $n_1 \in N_0$ .

PROOF. This follows from Lemma 3 and the following commutative diagram ;

$$\begin{array}{ccccccc} 1 & \longrightarrow & \Gamma_N & \longrightarrow & \Gamma & \longrightarrow & p(\Gamma) \longrightarrow 1 \\ & & \uparrow & & \uparrow & & \uparrow \\ 1 & \longrightarrow & z_N & \longrightarrow & z(\Gamma) & \longrightarrow & p(z(\Gamma)) \longrightarrow 1. \end{array} \quad \text{Q. E. D.}$$

Now we shall prove the assertion (4).

$$\begin{aligned} (\Gamma_N nx)((z_N n_1, x_1)(\Gamma_N n_2 x_2)) &= (\Gamma_N nx)(\Gamma_N n_1 n_2 x_2 x_1^{-1}) \\ &= \Gamma_N n x n_1 n_2 x_2 x_1^{-1} = \Gamma_N n n_1 x n_2 x^{-1} x x_2 x_1^{-1} \quad (\text{by Lemma 18}) \\ &= \Gamma_N n_1 n x n_2 x^{-1} x x_2 x_1^{-1} \quad (\text{by Lemma 10}) \\ &= (z_N n_1, x_1)((\Gamma_N nx)(\Gamma_N n_2 x_2)). \end{aligned}$$

(5) The group  $p(z(\Gamma))$  acts trivially on  $p(\Gamma) \setminus (\Gamma_N \setminus R)$ . In fact, denote an element of  $p(\Gamma) \setminus (\Gamma_N \setminus R)$  by  $[\Gamma_N nx]$ . Recall  $m[\Gamma_N nx] = [\Gamma_N n x m^{-1}]$  ( $m \in p(z(\Gamma))$ )  $= [\Gamma_N n m^{-1} x]$  ( $\mathbf{R}$  is abelian). Since  $p(nm^{-1}n^{-1}m) = 1$ , we have  $nm^{-1}n^{-1}m = z \in \Gamma_N$  and hence  $nm^{-1} = zm^{-1}n$ . Thus we have  $[\Gamma_N n m^{-1} x] = [\Gamma_N m^{-1} n x] = [(\Gamma_N m^{-1})(\Gamma_N nx)] = [\Gamma_N nx]$ .

Next we shall consider the general case. Recall the exact sequence ;

$$1 \longrightarrow N \longrightarrow R \longrightarrow \mathbf{R}^s \longrightarrow 1.$$

As noted in Section 1, we have a sequence of subgroups of  $R$ ;

$$N = R_0 \subset R_1 \subset \dots \subset R_s = R$$

such that  $R_i = R_{i-1} \rtimes \mathbf{R}_i$  ( $\mathbf{R}_i = \mathbf{R}$ ).

As in Section 1, we define  $\Gamma_i = \Gamma \cap R_i$ ,  $z_{i-1} = z(\Gamma_i) \cap \Gamma_{i-1}$  and  $p_i: R_i \rightarrow \mathbf{R}_i$ . If  $e_i = \text{rank } p_i(z(\Gamma_i))$ , then we define  $A_i = p_i(z(\Gamma_i)) \otimes \mathbf{R}$ . Clearly  $p_i(z(\Gamma_i)) \setminus A_i = T^1$ . By the same arguments as in the case of  $s=1$ , we have the following ;

- PROPOSITION 19. (1)  $\Gamma_{i+1} \setminus R_{i+1} \cong p_{i+1}(\Gamma_{i+1}) \setminus (\Gamma_i \setminus R_{i+1})$ .  
 (2)  $\Gamma_i \setminus R_{i+1} \cong (\Gamma_i \setminus R_i) \times \mathbf{R}_{i+1}$ .  
 (3)  $\Gamma_{i+1} \setminus R_{i+1} \cong p_{i+1}(\Gamma_{i+1}) \setminus ((\Gamma_i \setminus R_i) \times \mathbf{R}_{i+1})$ .

Assume  $\Gamma_i \setminus R_i$  admits an action of  $T^u \times T^{e_1} \times \dots \times T^{e_i}$ , where  $T^u = z_N \setminus N_0$  and  $T^{e_j} = p(z(\Gamma_j)) \setminus A_j$  ( $e_j \neq 0$ ), induced by the action of  $T^u \times A^{e_1} \times \dots \times A^{e_i}$  on  $(\Gamma_{i-1} \setminus R_{i-1}) \times \mathbf{R}_i$  given by the formula ;

$$(z_N n, \prod_{j=1}^i x_j)(\Gamma_{i-1} n_1 \prod_{j=1}^i y_j, z) = (\Gamma_{i-1} n n_1 \prod_{j=1}^{i-1} y_j x_j^{-1}, z x_i).$$

If we regard  $\Gamma_i \setminus R_i$  as  $p_i(\Gamma_i) \setminus (\Gamma_{i-1} \setminus R_i)$ , the above action is given by the formula ;

$$(z_N n, \prod_{j=1}^i x_j)(\Gamma_{i-1} n_1 \prod_{j=1}^i y_j) = \Gamma_{i-1} n n_1 \prod_{j=1}^i y_j x_j^{-1}.$$

Now we define an action of  $T^u \times A^{e_1} \times \dots \times A^{e_{i+1}}$  on  $(\Gamma_i \setminus R_i) \times \mathbf{R}_{i+1}$  by the formula ;

$$(*) \quad (z_N n, \prod^{i+1} x_j)(\Gamma_i n_1 \prod^i y_j, z) = (\Gamma_i n n_1 \prod^i y_j x_j^{-1}, z x_{i+1}^{-1}).$$

We should prove that this action is commutative with the action of  $p_{i+1}(\Gamma_{i+1}) \cong \Gamma_i \setminus \Gamma_{i+1}$  on  $(\Gamma_i \setminus R_i) \times R_{i+1}$  given by the formula;

$$(**) \quad (\Gamma_i n_1 \prod^{i+1} y_j)(\Gamma_i n_2 \prod^i z_j, w) = (\Gamma_i n_1 \prod^i y_j y_{i+1} n_2 \prod^i z_j y_{i+1}^{-1}, y_{i+1} w).$$

We note the following

PROPOSITION 20.

$$(1) \quad (\Gamma_i n_1 \prod^i y_j)(\Gamma_i n_2 \prod^i z_j) = \Gamma_i n_1 n'_2 \prod^{i-1} (y_j (y_{j+1} \cdots y_i) z_j (y_{j+1} \cdots y_i)^{-1}) y_i z_i,$$

where  $n_i \in N$ ,  $y_j, z_j \in R_j$  and  $(\prod^i y_j) n_2 = n'_2 (\prod^i y_j)$ .

$$(2) \quad \text{For every } j=1, 2, \dots, i \text{ and } k \geq j, x_j y_k = y_k x_j, \text{ where } x_j \in A_j, y_k \in p_k(\Gamma_k).$$

PROOF. (1) follows from direct computations and (2) follows from the fact that the action of  $p_k(\Gamma_k)$  on  $z(\Gamma_j)$  and hence the action of  $p_k(z(\Gamma_k))$  induced by conjugation is trivial.

Now the proof of the commutativity of (\*) and (\*\*) is as follows; Put  $y_{j+1, i} = y_{j+1} y_{j+2} \cdots y_i$  and  $\bar{z}_j = y_{j+1} z_j y_{j+1}^{-1}$ .

$$\begin{aligned} & (z_N n, \prod^{i+1} x_j)((\Gamma_i n_1 \prod^{i+1} y_j)(\Gamma_i n_2 \prod^i z_j, w)) \\ &= (z_N n, \prod^{i+1} x_j)(\Gamma_i n_1 \prod^i y_j y_{i+1} n_2 \prod^i z_j y_{i+1}^{-1}, y_{i+1} w) \\ &= (z_N n, \prod^{i+1} x_j)(\Gamma_i n_1 n'_2 \prod^i y_j \prod^i \bar{z}_j, y_{i+1} w) \\ &= (\Gamma_i n n_1 n'_2 \prod^{i-1} y_j y_{j+1, i} \bar{z}_j y_{j+1, i}^{-1} x_j^{-1} y_i \bar{z}_i x_i^{-1}, y_{i+1} w x_{i+1}^{-1}) \\ &= (\Gamma_i n_1 \prod^{i+1} y_j)(z_N n, \prod^{i+1} x_j)(\Gamma_i n_2 \prod^i z_j, w). \end{aligned}$$

We shall omit the proofs of the well-definedness, effectivity and triviality of the restriction of (\*\*) to  $p_{i+1}(z(\Gamma_{i+1}))$ . Thus we have defined an action of  $T^u \times A^{e_1} \times \cdots \times A^{e_{i+1}}$ . By induction, we have completed the first step.

**2. The second step.** We shall define a maximal toral action on  $\Gamma \setminus G/K$ , where  $G = R \circ S$ . Consider the case when  $S$  contains no normal factor  $\check{U}$ , where  $U$  is one of groups listed in Lemma 7. Then, since  $z(p(\Gamma))$  is discrete,  $\text{rank } z(\Gamma) = \text{rank } (\Gamma_R \cap z(\Gamma))$ . Put  $\text{rank } (\Gamma_R \cap z(\Gamma)) = k$ . By the arguments at the first step, a  $k$ -dimensional toral group  $T^k = T^u \times T^{e_1} \times \cdots \times T^{e_s}$  acts on  $\Gamma_R \setminus R$  as follows;

$$(*) \quad (z_N n, \prod^s [x_j])(\Gamma_R n_1 \prod^s y_j) = \Gamma_R n n_1 \prod^s y_j x_j^{-1},$$

where  $[x_j]$  denotes an element of  $Z \setminus A_j^{e_j}$ . Note that if  $e_j = 0$  then  $x_j = 1$ . Define

an action of  $T^k$  on  $(\Gamma_R \backslash R) \times (S/K)$  by

$$(**) \quad (z_N n, \prod^s [x_j]) (\Gamma_{Rn_1} \prod^s y_j, wK) = (\Gamma_{Rnn_1} \prod^s y_j x_j^{-1}, wK).$$

It is easy to show that this action is well defined and effective. The commutativity with the action of  $p(\Gamma)$  follows from the same arguments at the first step and the following lemma.

- LEMMA 21. (1)  $vx_j v^{-1} = x_j$  for every  $x_j \in A_j$  and  $v \in p(\Gamma)$ .  
 (2)  $vn = nv$  for every  $n \in N_0$  and  $v \in p(\Gamma)$ .

PROOF. This follows from the fact that the action of  $p(\Gamma)$  on  $z(\Gamma)$  by conjugation is trivial and Lemma 3. Q. E. D.

Thus we have defined an action of  $T^k$  on  $\Gamma \backslash G/K$ .

In general,  $S$  is decomposed into a product  $S_1 \times A$ , where  $A$  is a product of  $\tilde{U}$ , where  $U$  is one of groups listed in Lemma 7 and  $S_1$  contains no factors of these groups. Then we have  $p(z(\Gamma)) = \mathbf{Z}^a \times F$  ( $F$  is a finite abelian group). It follows from results in Section 1 that there exists a subgroup  $\mathbf{R}^a$  of  $A$  which contains  $\mathbf{Z}^a$  as a lattice.

Let  $T^k = T^u \times T^{e_1} \times \dots \times T^{e_s}$  denote the toral group in the case of  $A=1$ . Define an action of  $T^k \times \mathbf{R}^a$  on  $\Gamma_R \backslash G/K$  by the formula;

$$(z_N n, \prod^s [x_j], u) (\Gamma_{Rn_1} \prod^s z_j v K) = \Gamma_{Rnn_1} \prod^s z_j x_j^{-1} v u^{-1} K,$$

where  $u \in \mathbf{R}^a$ . In the following, we omit the index  $s$  in  $\prod$ . This is well defined; in fact,

$$\begin{aligned} \Gamma_{Rn'_1} \prod z'_j v' &= \Gamma_{Rn_1} \prod z_j v \\ \Rightarrow n'_1 \prod z'_j v' &= r n_1 \prod z_j v w \quad (r \in \Gamma_R, w \in K) \\ \Rightarrow n n'_1 \prod z'_j x_j^{-1} v' u^{-1} &= n n'_1 \prod z'_j v' x_j^{-1} u^{-1} \\ &= n r n_1 \prod z_j v w x_j^{-1} u^{-1} \\ &= r n n_1 \prod z_j x_j^{-1} v w u^{-1} \quad (\text{by Lemma 7}) \\ \Rightarrow (z_N n, \prod [x_j]) (\Gamma_{Rn_1} \prod z_j v) &= (z_N n, \prod [x_j]) (\Gamma_{Rn'_1} \prod z'_j v'). \end{aligned}$$

Next define an action of  $T^k \times \mathbf{R}^a$  on  $(\Gamma_R \backslash R) \times (S/K)$  by the formula;

$$(z_N n, \prod [x_j], v) (\Gamma_{Rn_1} \prod z_j, sK) = (\Gamma_{Rnn_1} \prod z_j x_j^{-1}, sv^{-1}K).$$

It is easy to see that this is well defined. The homeomorphism  $g : \Gamma_R \backslash G/K \rightarrow (\Gamma_R \backslash R) \times (S/K)$  is  $(T^k \times \mathbf{R}^a)$ -equivariant. In fact, we have

$$\begin{aligned} g((z_N n, \prod [x_j], v) (\Gamma_{Rn_1} \prod z_j sK)) &= g(\Gamma_{Rnn_1} \prod z_j x_j^{-1} sv^{-1}K) \\ &= (\Gamma_{Rnn_1} \prod z_j x_j^{-1}, sv^{-1}K) = (z_N n, \prod [x_j], v) (g(\Gamma_{Rn_1} \prod z_j sK)). \end{aligned}$$

It can also be proved that the action of  $T^k \times \mathbf{R}^a$  on  $(\Gamma_R \backslash R) \times (S/K)$  is effective. In fact, assume  $(z_N n, \prod [x_j], v) (\Gamma_{Rn_1} \prod z_j, sK) = (\Gamma_{Rn_1}, \prod z_j, sK)$  for every

$(\Gamma_{Rn_1}, \prod z_j, sK)$ . Then we have  $n_1 \prod z_j = r n n_1 \prod z_j x_j^{-1}$  and  $s = s v^{-1} w$  ( $w \in K, r \in \Gamma_R$ ) and hence  $v = w \in \mathbf{R}^a \cap K = 1$ . If we choose  $n_1 \prod z_j = 1$ , then  $r n \prod x_j^{-1} = 1$  and  $n \prod x_j^{-1} \in z_N \times \prod p_i(z(\Gamma_R))$  which implies  $(z_N n, \prod [x_j]) = 1$ . This proves that the action of  $T^k \times \mathbf{R}^a$  on  $\Gamma_R \backslash G/K$  is effective. Moreover the action is commutative with the action of  $p(\Gamma)$ . In fact,

$$\begin{aligned}
& (z_N n, \prod [x_j], v)(\Gamma_{Rn_1} \prod y_j u)(\Gamma_{Rn_2} \prod z_j w K) \\
&= (z_N n, \prod [x_j], v)(\Gamma_{Rn_1} \prod y_j u n_2 \prod z_j u^{-1} u w K) \\
&= (z_N n, \prod [x_j], v)(\Gamma_{Rn_1} n'_2 \prod y_j \prod u z_j u^{-1} u w K) \\
&= (z_N n, \prod [x_j], v)(\Gamma_{Rn_1} n'_2 \prod_{s=1}^{s-1} y_j y_{j+1, s} \bar{z}_j y_{j+1, s}^{-1} y_s \bar{z}_s u w K) \\
&\quad (\text{note } y_{j+1, s} = y_{j+1} \cdots y_s, \bar{z}_j = u z_j u^{-1}) \\
&= (\Gamma_{Rn_1} n'_2 \prod_{s=1}^{s-1} y_j y_{j+1, s} \bar{z}_j y_{j+1, s}^{-1} x_j^{-1} y_s \bar{z}_s x_s^{-1} u w v^{-1} K) \\
&= (\Gamma_{Rn_1} n'_2 \prod y_j \prod u(z_j x_j^{-1}) u^{-1} u w v^{-1} K) \quad (\text{note that } u x_j = x_j u) \\
&= (\Gamma_{Rn_1} \prod y_j u)(z_N n, \prod [x_j], v)(\Gamma_{Rn_2} \prod z_j w K).
\end{aligned}$$

It follows that  $T^k \times \mathbf{R}^a$  acts on  $p(\Gamma) \backslash (\Gamma_R \backslash G/K)$ . We shall prove that  $\mathbf{Z}^a$  acts trivially on  $p(\Gamma) \backslash (\Gamma_R \backslash G/K)$ . Let element of  $p(\Gamma) \backslash (\Gamma_R \backslash G/K)$  be written as  $[\Gamma_{Rn} \prod z_j w K]$ . Recall  $m[\Gamma_{Rn} \prod z_j w K] = [\Gamma_{Rn} \prod z_j w m^{-1}]$  for  $m \in \mathbf{Z}^a$ . Since  $m \in \mathbf{Z}^a \subset z(p(\Gamma)) \subset z(S)$ ,  $w m^{-1} = m^{-1} w$  and hence we have  $m[\Gamma_{Rn} \prod z_j w K] = [\Gamma_{Rn} \prod z_j m^{-1} w K]$ . Because  $p((n \prod z_j) m^{-1} (n \prod z_j)^{-1} m) = 1$ , we have  $(n \prod z_j) m^{-1} (n \prod z_j)^{-1} m = z \in \Gamma_R$  and hence  $[\Gamma_{Rn} \prod z_j m^{-1} w K] = [\Gamma_{Rm^{-1}(n \prod z_j) w K}] = [\Gamma_{Rn} \prod z_j w K]$ . This implies that  $T^k \times T^a$  acts on  $\Gamma \backslash G/K$  effectively. Thus we have proved Theorem A when  $G$  is simply connected.

### 3. The proof of Theorem A when $G$ is not simply connected.

In this section, we shall prove Theorem A when  $G$  is not simply connected. We use the same notations as in Section 1. As noted in Section 1,  $\tilde{G}^* = \tilde{R} \cdot \tilde{S}^*$ ,  $\tilde{R} \cap \tilde{S}^* = 1$  and  $\bar{K}^*$  is a maximal compact subgroup of  $\tilde{G}^*$ . Then the same arguments as in Section 2 show that  $z(\tilde{\Gamma}^*) = z(\pi_1(\tilde{\Gamma}^* \backslash \tilde{G}^* / \bar{K}^*))$  is finitely generated, say of rank  $k'$  and  $\tilde{\Gamma}^* \backslash \tilde{G}^* / \bar{K}^*$  admits an action of  $T^{k'}$ . Note that  $z(\tilde{\Gamma}^*) \cong \mathbf{Z}^r \times z(\Gamma)$ . In fact, as noted in Section 1, we have an exact sequence;

$$1 \longrightarrow \mathbf{Z}^r \longrightarrow \tilde{\Gamma}^* \longrightarrow \Gamma \longrightarrow 1,$$

where  $\mathbf{Z}^r$  is a central subgroup of  $\tilde{\Gamma}^*$ . It follows that  $z(\tilde{\Gamma}^*) \cong \mathbf{Z}^r \times z(\Gamma)$ . As noted above,  $\tilde{\Gamma}^* \backslash \tilde{G}^* / \bar{K}^*$  admits an action of  $T^k \times T^r$ . It is easy to see that the restriction of the action of  $T^k \times T^r$  to  $T^r$  coincides with the principal action of  $T^r$  on  $\tilde{\Gamma}^* \backslash \tilde{G}^* / \bar{K}^*$ . This implies that  $\tilde{\Gamma}^* \backslash \tilde{G}^* / \bar{K}^*$  admits an action of  $T^k$ . Thus we have completed the proof of Theorem A.

**4. The proof of Theorem B.**

Let  $M=G/H$  be a compact aspherical manifold. When  $G$  is not simply connected, let  $\tilde{G}$  be the universal covering of  $G$ . Then  $G/H$  is homeomorphic to  $\tilde{G}/\pi^{-1}(H)$ . Thus it is sufficient to consider the case when  $G$  is simply connected. Therefore any maximal compact subgroup  $K$  of  $G$  is simply connected and semisimple. Let  $N$  be the subgroup that acts trivially on  $G/H$ . Then  $N \subset H$  and  $N$  is normal in  $G$ . Since only a torus among the compact connected Lie group can act effectively on  $G/H$ ,  $K$  is contained in  $N$ . Now we have  $G/H = (G/N)/(H/N)$ , so we can assume that  $N=1$ , and hence  $K=1$ . This means  $G$  is homeomorphic to  $\mathbf{R}^n$  for some  $n$ . Thus if  $\dim H=0$ , then  $M$  is a manifold of type of  $\Gamma \backslash G/K$ . Hence Theorem B holds. Next we assume  $\dim H > 0$ . The following facts are known (see [8]).

1.  $H^0$  is solvable.
2. Let  $F=N_G(H^0)$ ,  $H_1=F^0H$  and  $G_1=H_1^0/(H_1^0 \cap H^0)$ . Then  $G/H_1$  is homeomorphic to a torus  $T^n$  and we have a fiber bundle;  $G_1/\Gamma_1 \rightarrow G/H \rightarrow G/H_1$ , where  $\Gamma_1=(H_1^0 \cap H)/(H_1^0 \cap H^0)$ .
3.  $G_1$  is simply connected.

Since  $G/H_1$  is aspherical and  $\dim H_1^0 > 0$ ,  $H_1^0$  is also solvable and hence  $G_1$  is solvable. It follows from a result in [11] (Proposition 3.10 in [11]) that  $\Gamma_1$  is poly- $\mathbf{Z}$  group. It follows from 2 that we have the following exact sequence;

$$1 \longrightarrow \Gamma_1 \longrightarrow H/H^0 \longrightarrow H_1/H_1^0 \longrightarrow 1,$$

where  $H_1/H_1^0 = \mathbf{Z}^n$ .  $\Gamma_1$  being a poly- $\mathbf{Z}$  group,  $H/H^0$  is also poly- $\mathbf{Z}$  group. In other words,  $M$  is a closed aspherical manifold with poly- $\mathbf{Z}$  fundamental group. If  $\dim M \neq 3, 4$ , then Theorem B follows from a result in [10] (see Chap. 5 in [10]).

Now we shall consider the case when  $\dim M=3$  or  $4$ .

In his paper ([16], [17]), V. V. Gorvatsevich has determined all 3 or 4-dimensional homogeneous manifolds. They are given as follows;

1. Torus  $T^3$  or  $T^4$ .
2.  $\tilde{S}\tilde{L}(2, \mathbf{R})/\Gamma$ ,  $\Gamma$ : a lattice.
3.  $(\tilde{S}\tilde{L}(2, \mathbf{R})/\Gamma) \times S^1$ .
4. Solvmanifolds.

Since Theorem B holds for manifolds of type (1), (2) and (3). It is sufficient to consider only manifold  $M=R/D$ , where  $R$  is a simply connected solvable Lie group and  $D$  a closed subgroup of  $R$ . Let  $N$  be the nilradical of  $R$ . Then we have a fiber bundle

$$(\#) \quad ND/D \longrightarrow R/D \longrightarrow R/ND$$

where  $ND/N=N/N\cap D$  and  $R/ND$  is a torus (see [2]). It follows that we have the following exact sequence of fundamental groups;

$$(*) \quad 1 \longrightarrow N\cap D/(N\cap D)^0 \longrightarrow D/D^0 \longrightarrow D/N\cap D \longrightarrow 1, \quad \text{where } D/N\cap D=\mathbf{Z}^s.$$

LEMMA 22. (1) If  $\dim R/D=3$ , then the sequence  $(*)$  is given by  $1\rightarrow\mathbf{Z}^t\rightarrow D/D^0\rightarrow\mathbf{Z}^s\rightarrow 1$ , where  $t+s=3$ .

(2) If  $\dim R/D=4$  and  $s>1$ , then  $(*)$  is given by  $1\rightarrow\mathbf{Z}^t\rightarrow D/D^0\rightarrow\mathbf{Z}^s\rightarrow 1$ , where  $t+s=4$ .

This follows immediately from the fact that the fiber  $N/N\cap D$  is a circle, or 2-dimensional torus.

First we shall consider the case when  $\dim R/D=3$  or 4 and  $s\geq 2$ . Put  $\Gamma=D/D^0$ . It follows from Lemma 11 that there exists a simply connected solvable Lie group  $S$  and a closed subgroup  $C$  of  $S$  such that  $\pi_1(S/C)=\Gamma$  and that if  $z(\Gamma)\neq 1$ , then there exist closed subgroups  $C_1$  and  $C_2$  of  $S$  which satisfy

- 1)  $C_1\triangleleft C$  and  $C_1/C^0=z(\Gamma)$ ,
- 2)  $C_2/C^0=\mathbf{R}^k$  ( $k=\text{rank } z(\Gamma)$ ),

and

- 3)  $z(\Gamma)$  is contained in  $C_2/C^0$  as a lattice.

Consider the toral group  $T^k=(C_2/C^0)/(C_1/C^0)=C_2/C_1$ . Define an action of  $T^k$  on  $S/C$  by  $(xC_1)(yC)=yx^{-1}C$ . To show that this is well defined, we need the following

LEMMA 23. We have  $x^{-1}yxy^{-1}\in C^0$  for every  $y\in C$  and  $x\in C_2$ .

PROOF. Consider the homomorphism  $c_y:S\rightarrow S$  defined by  $c_y(s)=ysy^{-1}$ . This homomorphism leaves  $C$  and  $C^0$  invariant, and hence  $c_y$  induces an automorphism  $\bar{c}_y:C/C^0\rightarrow C/C^0$ . Since  $C_1/C^0$  is the center of  $C/C^0$ ,  $\bar{c}_y$  is the identity. Let  $c_y(C_2)$  be denoted by  $C'_2$ . Then  $c_y$  induces an automorphism  $C_2/C^0\rightarrow C'_2/C^0$ . Both  $C_2/C^0$  and  $C'_2/C^0$  contain  $C_1/C^0$  as a lattice. It follows from Lemma 3 that  $C_2/C^0$  and  $C'_2/C^0$  are equal, and  $\bar{c}_y:C_2/C^0\rightarrow C_2/C^0$  is the identity. This implies  $\bar{c}_y(xC^0)=yxxy^{-1}C^0=xC^0$  and hence  $x^{-1}yxy^{-1}\in C^0$ . Q. E. D.

COROLLARY.  $yx^{-1}=x^{-1}y \pmod{C}$  for every  $y\in C$  and  $x\in C_2$ .

Now we can show that the action of  $T^k$  on  $S/C$  defined above is well defined as follows.

$$\begin{aligned} x_1C_1 = x_2C_1 &\Rightarrow x_2 = x_1x \ (x\in C_1) \Rightarrow (x_2C_1)(yC) = yx^{-1}x_1^{-1}C \\ &= yx_1^{-1}x^{-1}zC \ (z\in C^0) = yx_1^{-1}x^{-1}C = (x_1C_1)(yC). \\ y_1C_1 = y_2C_1 &\Rightarrow y_2 = y_1y \ (y\in C) \Rightarrow (x_1C_1)(y_2C) = y_2x^{-1}C \\ &= y_1yx^{-1}C = y_1x^{-1}C = (x_1C_1)(y_1C). \end{aligned}$$

This action is effective. In fact, assume  $(x_1C_1)(yC)=yC$  for every  $y$ . Then we

have  $yx^{-1}C=yC$  and hence  $x \in C \cap C_2$ , which implies  $xC_2=C_1$ .

Since two solvmanifolds with isomorphic fundamental group are homeomorphic (see [2]),  $R/D$  and  $S/C$  are homeomorphic and hence  $R/D$  admits a maximal toral action.

Lastly we shall consider the case when  $\dim R/D=4$ ,  $s=1$  and  $N/(N \cap D)$  is not a torus. The natural action of  $N$  on  $R/D$  ( $x(rD)=rx^{-1}D$ ) has the unique orbit type  $N/(N \cap D)$  of dimension 1. It is well known that  $M$  is homeomorphic to  $\mathbf{R} \times_{\mathbf{Z}}(N/(N \cap D))$ , where  $\mathbf{Z}$  acts on  $\mathbf{R} \times (N/(N \cap D))$  as follows;

$$n(v, x(N \cap D)) = (v - n, h^n(x(N \cap D))).$$

where  $h : N/(N \cap D) \rightarrow N/(N \cap D)$  is an  $N$ -equivariant homeomorphism, i.e.  $h(x(N \cap D))=xx_0^{-1}(N \cap D)$ ,  $x_0 \in N_N(N \cap D)$  (=the normalizer of  $N \cap D$  in  $N$ ). Put  $N \cap D=K$ . Note that  $K^0=N \cap D^0$ . Consider the exact sequence of the fundamental groups of (#);

$$1 \longrightarrow \pi \xrightarrow{\alpha} \Gamma \xrightarrow{\beta} \mathbf{Z} \longrightarrow 1,$$

where  $\Gamma=D/D^0$  and  $\pi=K/K^0$ . Since  $K$  is a closed uniform subgroup of  $N$ ,  $K^0$  is a normal subgroup of  $N$  (Corollary to Theorem 2.3 in [11]). Hence it follows from a result in [12] (see the table 1 in [12]) that  $\pi$  is isomorphic to  $(\mathbf{Z} \times \mathbf{Z}) \times_{\phi} \mathbf{Z}$ , where  $\phi : \mathbf{Z} \rightarrow \text{Aut}(\mathbf{Z} \times \mathbf{Z})$ ;  $1 \rightarrow \begin{pmatrix} 1 & k \\ 0 & 1 \end{pmatrix}$ . It can be easily shown that the center  $z(\pi)$  is given by  $z(\pi)=\left\{ \begin{pmatrix} x \\ 0 \end{pmatrix} \in \mathbf{Z} \times \mathbf{Z} \right\}$  (note that we may assume  $k \neq 0$ ).

We shall consider the special case in which  $\pi \cap z(\Gamma)=z(\pi)$ , in other words,  $\beta(z(\pi))=1$ . It follows from Lemma 11 that there exist closed subgroups  $N_1$  and  $N_2$  of  $N$  such that

- (i)  $N_1 \subset K$  and  $N_1/K^0 = \mathbf{Z} = z(\Gamma) \cap \pi$ .
- (ii)  $K^0 \subset N_2$ ,  $N_2/K^0 = \mathbf{R}$  and  $N_1/K^0$  is a lattice of  $N_2/K^0$ .

Consider the action of  $T^1=(N_2/K^0)/(N_1/K^0)=N_2/N_1$  on  $N_2/K$  defined by  $(n_2N_1)(nK)=nn_2^{-1}K$ . We show that this action is compatible with homeomorphism  $h$ . In fact, we have

$$\begin{aligned} (n_2N_1)(h(xK)) &= (n_2N_1)(xx_0^{-1}K) = xx_0^{-1}n_2^{-1}K \quad \text{and} \\ h((n_2N_1)(xK)) &= h(xn_2^{-1}K) = xn_2^{-1}x_0^{-1}K. \end{aligned}$$

It follows from the following lemma that we have  $x_0n_2x_0^{-1}n_2^{-1} \in K$ , which implies that  $h$  is equivariant under the action of  $T^1$ .

LEMMA 24.  $n_2^{-1}x_0n_2x_0^{-1} \in K$  for every  $n_2 \in N_2$ .

PROOF. Consider the homomorphism  $c_{x_0} : K/K^0 \rightarrow K/K^0$  defined by  $c_{x_0}(kK^0) = x_0kx_0^{-1}K^0$ . Clearly  $c_{x_0}$  induces the identity on  $N_1/K^0$ . Since  $c_{x_0}(N_2/K^0)$  and  $N_2/K^0$  contain  $N_1/K^0$  as a lattice, it follows from Lemma 3 that  $c_{x_0}$  is the

identity on  $N_2/K^0$ , in other words,  $x_0 n_2^{-1} K^0 = n_2 K^0$  for every  $n_2 \in N_2$ . Q. E. D.

Next we shall consider the general case; i. e.  $\beta(z(\pi)) = \mathbf{Z}$ . Let  $\beta(z(\Gamma)) = n_0 \mathbf{Z} \subset \mathbf{Z}$ . Define an action of  $\mathbf{R}$  on  $\mathbf{R} \times_{\mathbf{Z}} (N/K)$  by the formula;

$$t[x, nK] = [x+t, nK],$$

where  $[x, nK]$  denotes the orbit of  $(x, nK)$ . It is easy to see that this action is well defined. It is also proved that  $\beta(z(\Gamma)) = n_0 \mathbf{Z}$  is the ineffective kernel of this action. In fact, we have

$$n_0[x, nK] = [x+n_0, nK] = [x, nx_0^{n_0}K].$$

The following lemma shows that  $[x, nx_0^{n_0}K] = [x, nK]$ , which implies that the group  $\mathbf{R}/n_0 \mathbf{Z}$  acts on  $\mathbf{R} \times_{\mathbf{Z}} (N/K)$ .

LEMMA 25.  $[x, nx_0^{n_0}K] = [x, nK]$ .

PROOF. Consider the following commutative diagram;

$$\begin{array}{ccccccccc} 1 & \longrightarrow & \pi & \longrightarrow & \Gamma & \longrightarrow & \mathbf{Z} & \longrightarrow & 1 \\ & & \parallel & & \uparrow & & \uparrow & & \\ & & K/K^0 & & & & & & \\ & & \uparrow & & & & & & \\ 1 & \longrightarrow & z(\Gamma) \cap \pi & \longrightarrow & z(\Gamma) & \longrightarrow & \beta(z(\Gamma)) & \longrightarrow & 1. \\ & & & & & & \parallel & & \\ & & & & & & n_0 \mathbf{Z} & & \end{array}$$

Because the lower exact sequence is central,  $n_0 \mathbf{Z}$  acts on  $z(\Gamma) \cap \pi$  trivially, i. e.  $n_0(n_1 K^0) = n_1 x_0^{n_0} K^0 = n_1 K^0$ . In particular, we have  $x_0^{-n_0} K^0 = K^0$  and hence  $x_0^{n_0} K^0 = K^0$ . Q. E. D.

It is not difficult to show that the action of  $\mathbf{R}/n_0 \mathbf{Z}$  is commutative with the action of  $T^1$  and  $(\mathbf{R}/n_0 \mathbf{Z}) \times T^1$  acts on  $\mathbf{R} \times_{\mathbf{Z}} (N/K)$ . Thus  $M = \mathbf{R} \times_{\mathbf{Z}} (N/K)$  admits a maximal torus action. This completes the proof of Theorem B.

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