

Delta-unknotting operation and the second coefficient of the Conway polynomial

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§1. Introduction.

In this paper, we study oriented tame links in the oriented 3-sphere S^3 . A Δ -unknotting operation is a local move on an oriented link diagram as indicated in Figure 1.1.

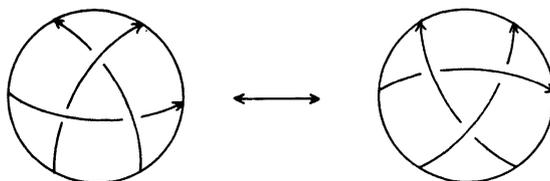


Figure 1.1. Δ -unknotting operation.

In [8], H. Murakami and Y. Nakanishi introduced this notion and proved that every knot can be transformed into a trivial knot by a finite number of Δ -unknotting operations. Let K and K' be oriented knots in S^3 . The Δ -Gordian distance from K to K' , denoted by $d_G^\Delta(K, K')$, is the minimum number of Δ -unknotting operations which are necessary to deform a diagram of K into that of K' . The Δ -unknotting number of K , denoted by $u^\Delta(K)$, is the Δ -Gordian distance from K to a trivial knot. Then they showed the congruences $d_G^\Delta(K, K') \equiv \text{Arf}(K) - \text{Arf}(K') \pmod{2}$ and $u^\Delta(K) \equiv \text{Arf}(K) \pmod{2}$ in [8], where $\text{Arf}(K)$ is the Arf invariant of a knot K . Let $a_i(L)$ be the i -th coefficient of the Conway polynomial $\nabla_L(z)$ of a link L . It is known that $a_i(L)$ has a relation to the Casson's invariant ([1], [3]). For the definition and fundamental properties of the Conway polynomial, we refer to [4]. In this paper, we show the following:

THEOREM 1.1. *Let K and K' be two knots with $d_G^\Delta(K, K')=1$. Then, we have*

$$|a_2(K) - a_2(K')| = 1.$$

As an immediate consequence of Theorem 1.1, we have the following:

COROLLARY 1.2. *For any two knots K and K' , the difference $d_G^\Delta(K, K') - |a_2(K) - a_2(K')|$ is a non-negative even integer. In particular the difference $u^\Delta(K)$*

$-|a_2(K)|$ is also a non-negative even integer.

Since $a_2(K) \equiv \text{Arf}(K) \pmod{2}$ ([4]), Corollary 1.2 extends to Murakami and Nakanishi's congruences. For the signatures $\sigma(K), \sigma(K')$ of knots K, K' ([9]), Murakami and Nakanishi also observed the inequalities $d_G^4(K, K') \geq (1/2)|\sigma(K) - \sigma(K')|$ and $u^4(K) \geq (1/2)|\sigma(K)|$. By their own congruences and inequalities, they determined $d_G^4(3_1, 5_1)$ and $u^4(K)$ ($K=3_1, 4_1, 5_1, 5_2, 6_1, 6_2$ and 6_3). Using these inequalities and Theorem 1.1, we shall determine Δ -unknotting numbers of prime knots of ≤ 8 crossings, and Δ -Gordian distances between any two of twist knots.

§2. Proof and examples.

PROOF OF THEOREM. Considering a skein tree indicated in Figure 2.1, we obtain

$$\begin{aligned} \nabla_{K_{11}}(z) - \nabla_K(z) &= z\nabla_{K_{12}}(z), & \nabla_{K_{11}}(z) - \nabla_{K'}(z) &= z\nabla_{K_{23}}(z), \\ \nabla_{K_{12}}(z) - \nabla_{K_{21}}(z) &= z\nabla_{K_{22}}(z), & \nabla_{K_{23}}(z) - \nabla_{K_{31}}(z) &= z\nabla_{K_{32}}(z). \end{aligned}$$

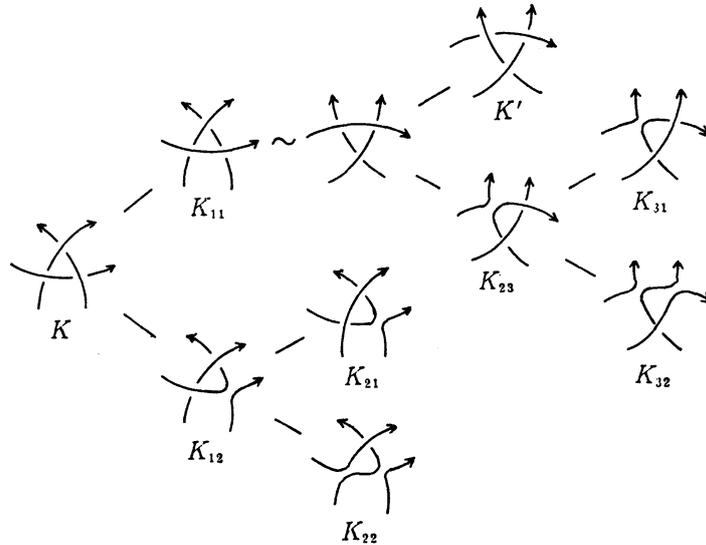


Figure 2.1.

Since $K_{21} \sim K_{31}$, we obtain

$$\nabla_{K'}(z) - \nabla_K(z) = z^2(\nabla_{K_{22}}(z) - \nabla_{K_{32}}(z)).$$

Hence

$$a_2(K') - a_2(K) = a_0(K_{22}) - a_0(K_{32}).$$

Since K is a knot, we may consider two cases as indicated in Figure 2.2 (where dotted lines denote the connecting relations).

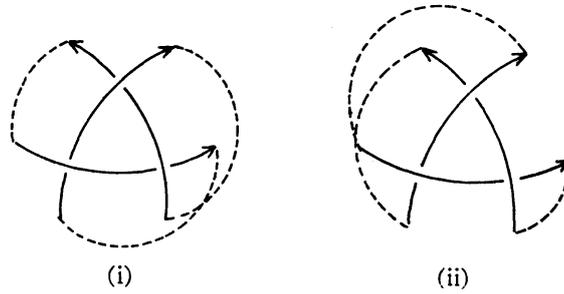


Figure 2.2.

In the case (i), K_{22} has one component (i.e. a knot) and K_{32} has three components. Hence $a_0(K_{22})=1, a_0(K_{32})=0$. In the case (ii), we have $a_0(K_{22})=0, a_0(K_{32})=1$ by a similar argument. So we have the conclusion, completing the proof of Theorem 1.1.

Here are some examples.

EXAMPLE 2.1. Let $T(n), T(m)$ be two twist knots as in Figure 2.3. Then,

$$d_G^A(T(n), T(m)) = \begin{cases} \frac{|n-m|}{2} & \text{if } n+m=\text{even,} \\ \frac{n+m+1}{2} & \text{if } n+m=\text{odd.} \end{cases}$$

In particular,

$$u^A(T(n)) = \begin{cases} \frac{n+1}{2} & \text{if } n=\text{odd,} \\ \frac{n}{2} & \text{if } n=\text{even.} \end{cases}$$

To see this, note that

$$\nabla_{T(n)}(z) = \begin{cases} 1 + \frac{n+1}{2}z^2 & \text{if } n=\text{odd,} \\ 1 - \frac{n}{2}z^2 & \text{if } n=\text{even.} \end{cases}$$

When both n and m are odd, by Corollary 1.2, we have

$$d_G^A(T(n), T(m)) \geq \frac{|n-m|}{2}.$$

On the other hand, we can actually transform $T(n)$ into $T(m)$ by $|n-m|/2$ times of Δ -unknottng operations (see Figure 2.4).

Therefore $d_G^A(T(n), T(m)) = |n-m|/2$. The other cases can be also obtained by a similar method.

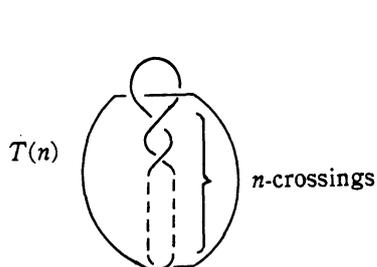


Figure 2.3.

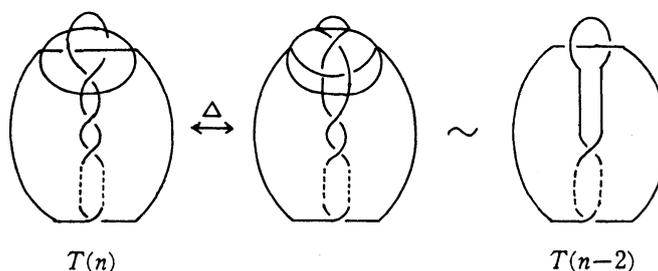


Figure 2.4.

EXAMPLE 2.2. For the diagrams of these prime knots, we refer to [10].

Table 2.2. Δ -unknotting numbers.

| K | $(1/2) \sigma(K) $ | $a_2(K)$ | $u^\Delta(K)$ | K | $(1/2) \sigma(K) $ | $a_2(K)$ | $u^\Delta(K)$ |
|-------|---------------------|----------|---------------|----------|---------------------|----------|---------------|
| 0_1 | 0 | 0 | 0 | 8_4 | 1 | -3 | 3 |
| 3_1 | 1 | 1 | 1 | 8_5 | 2 | -1 | 3 |
| 4_1 | 0 | -1 | 1 | 8_6 | 1 | -2 | 2 |
| 5_1 | 2 | 3 | 3 | 8_7 | 1 | 2 | 2 |
| 5_2 | 1 | 2 | 2 | 8_8 | 0 | 2 | 2 |
| 6_1 | 0 | -2 | 2 | 8_9 | 0 | -2 | 2 |
| 6_2 | 1 | -1 | 1 | 8_{10} | 1 | 3 | 3 |
| 6_3 | 0 | 1 | 1 | 8_{11} | 1 | -1 | 1 |
| 7_1 | 3 | 6 | 6 | 8_{12} | 0 | -3 | 3 |
| 7_2 | 1 | 3 | 3 | 8_{13} | 0 | 1 | 1 |
| 7_3 | 2 | 5 | 5 | 8_{14} | 1 | 0 | 2 |
| 7_4 | 1 | 4 | 4 | 8_{15} | 2 | 4 | 4 |
| 7_5 | 2 | 4 | 4 | 8_{16} | 1 | 1 | 1 |
| 7_6 | 1 | 1 | 1 | 8_{17} | 0 | -1 | 1 |
| 7_7 | 0 | -1 | 1 | 8_{18} | 0 | 1 | 1 |
| 8_1 | 0 | -3 | 3 | 8_{19} | 3 | 5 | 5 |
| 8_2 | 2 | 0 | 2 | 8_{20} | 0 | 2 | 2 |
| 8_3 | 0 | -4 | 4 | 8_{21} | 1 | 0 | 2 |

EXAMPLE 2.3. In this Example 2.3, we don't deal with Δ -Gordian distances between the mirror images of them.

Table 2.3. Δ -Gordian distances.

| K | 3_1 | 4_1 | 5_1 | 5_2 | 6_1 | 6_2 | 6_3 |
|-------|-------|-------|-------|-------|-------|-------|--------|
| 3_1 | 0 | 2 | 2 | 1 | 3 | 2 | 2 |
| 4_1 | | 0 | 4 | 3 | 1 | 2 | 2 |
| 5_1 | | | 0 | 1 | 5 | 4 | 2 or 4 |
| 5_2 | | | | 0 | 4 | 3 | 1 or 3 |
| 6_1 | | | | | 0 | 1 | 3 |
| 6_2 | | | | | | 0 | 2 |

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