

## ON THE TOPOLOGY OF THE SPACE OF NEGATIVELY CURVED METRICS

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### Abstract

We show that the space of negatively curved metrics of a closed negatively curved Riemannian  $n$ -manifold,  $n \geq 10$ , is highly non-connected.

### 0. Introduction

Let  $M$  be a closed smooth manifold. We denote by  $\mathcal{MET}(M)$  the space of all smooth Riemannian metrics on  $M$  and we consider  $\mathcal{MET}(M)$  with the smooth topology. Note that the space  $\mathcal{MET}(M)$  is contractible. A subspace of metrics whose sectional curvatures lie in some interval (closed, open, semi-open) will be denoted by placing a superscript on  $\mathcal{MET}(M)$ . For example,  $\mathcal{MET}^{sec < \epsilon}(M)$  denotes the subspace of  $\mathcal{MET}(M)$  of all Riemannian metrics on  $M$  that have all sectional curvatures less than  $\epsilon$ . Thus saying that all sectional curvatures of a Riemannian metric  $g$  lie in the interval  $[a, b]$  is equivalent to saying that  $g \in \mathcal{MET}^{a \leq sec \leq b}(M)$ . Note that if  $I \subset J$ , then  $\mathcal{MET}^{sec \in I}(M) \subset \mathcal{MET}^{sec \in J}(M)$ . Note also that  $\mathcal{MET}^{sec = -1}(M)$  is the space of hyperbolic metrics  $\mathcal{Hyp}(M)$  on  $M$ .

A natural question about a closed negatively curved manifold  $M$  is the following: Is the space  $\mathcal{MET}^{sec < 0}(M)$  of negatively curved metrics on  $M$  path connected? This problem has been around for some time and has been posed several times in the literature; see for instance K. Burns and A. Katok ([2], Question 7.1). In dimension two, Hamilton's Ricci flow [12] shows that  $\mathcal{Hyp}(M^2)$  is a deformation retract of  $\mathcal{MET}^{sec < 0}(M^2)$ . But  $\mathcal{Hyp}(M^2)$  fibers over the Teichmüller space  $\mathcal{T}(M^2) \cong \mathbb{R}^{6\mu-6}$  ( $\mu$  is the genus of  $M^2$ ), with contractible fiber  $\mathcal{D} = \mathbb{R}^+ \times \mathit{DIFF}_0(M^2)$  [5], where  $\mathit{DIFF}_0(M^2)$  denotes the group of self-diffeomorphisms of  $M^2$  which are homotopic to the identity. Therefore  $\mathcal{Hyp}(M^2)$  and  $\mathcal{MET}^{sec < 0}(M^2)$  are contractible.

In this paper we prove that, for  $n \geq 10$ ,  $\mathcal{MET}^{sec < 0}(M^n)$  is never path-connected; in fact, it has infinitely many path-components. Moreover we show that all the groups  $\pi_{2p-4}(\mathcal{MET}^{sec < 0}(M^n))$  are non-trivial for every prime number  $p > 2$  and such that  $p < \frac{n+5}{6}$ . (In fact, these groups contain the infinite sum  $(\mathbb{Z}_p)^\infty$  of  $\mathbb{Z}_p = \mathbb{Z}/p\mathbb{Z}$ 's, and hence they are not finitely generated. Also, the restriction on  $n = \dim M$  can be improved to  $p \leq \frac{n-2}{4}$ . See Remark 1 below.) We also show that  $\pi_1(\mathcal{MET}^{sec < 0}(M^n))$  contains the infinite sum  $(\mathbb{Z}_2)^\infty$  when  $n \geq 14$ . These results about  $\pi_k$  are true for each path component of  $\mathcal{MET}^{sec < 0}(M^n)$ , i.e., relative to any base point. Before we state our Main Theorem, we need some definitions.

Denote by  $DIFF(M)$  the group of all smooth self-diffeomorphisms of  $M$ . We have that  $DIFF(M)$  acts on  $\mathcal{MET}(M)$  pulling-back metrics:  $\phi g = (\phi^{-1})^*g = \phi_*g$ , for  $g \in \mathcal{MET}(M)$  and  $\phi \in DIFF(M)$ , that is,  $\phi g$  is the metric such that  $\phi : (M, g) \rightarrow (M, \phi g)$  is an isometry. Note that  $DIFF(M)$  leaves invariant all spaces  $\mathcal{MET}^{sec \in I}(M)$ , for any  $I \subset \mathbb{R}$ . For any metric  $g$  on  $M$ , we denote by  $DIFF(M)g$  the orbit of  $g$  by the action of  $DIFF(M)$ . We have a map  $\Lambda_g : DIFF(M) \rightarrow \mathcal{MET}(M)$ , given by  $\Lambda_g(\phi) = \phi_*g$ . Then the image of  $\Lambda_g$  is the orbit  $DIFF(M)g$  of  $g$ . And  $\Lambda_g$  of course naturally factors through  $\mathcal{MET}^{sec \in I}(M)$ , if  $g \in \mathcal{MET}^{sec \in I}(M)$ . Note that if  $\dim M \geq 3$  and  $g \in \mathcal{MET}^{sec = -1}(M)$ , then the statement of Mostow's rigidity theorem is equivalent to saying that the map  $\Lambda_g : DIFF(M) \rightarrow \mathcal{MET}^{sec = -1}(M) = \mathcal{Hyp}(M)$  is a surjection. Here is the statement of our main result.

**Main Theorem.** *Let  $M$  be a closed smooth  $n$ -manifold and let  $g$  be a negatively curved Riemannian metric on  $M$ . Then we have the following:*

- i. *The map  $\pi_0(\Lambda_g) : \pi_0(DIFF(M)) \rightarrow \pi_0(\mathcal{MET}^{sec < 0}(M))$  is not constant, provided  $n \geq 10$ .*
- ii. *The homomorphism  $\pi_1(\Lambda_g) : \pi_1(DIFF(M)) \rightarrow \pi_1(\mathcal{MET}^{sec < 0}(M))$  is non-zero, provided  $n \geq 14$ .*
- iii. *For  $k = 2p - 4$ ,  $p$  prime integer and  $1 < k \leq \frac{n-8}{3}$ , the homomorphism  $\pi_k(\Lambda_g) : \pi_k(DIFF(M)) \rightarrow \pi_k(\mathcal{MET}^{sec < 0}(M))$  is non-zero. (See Remark 1 below.)*

**Addendum to the Main Theorem.** *We have that the image of  $\pi_0(\Lambda_g)$  is infinite and in cases (ii) and (iii) mentioned in the Main Theorem, the image of  $\pi_k(\Lambda_g)$  is not finitely generated. In fact we have:*

- i. *For  $n \geq 10$ ,  $\pi_0(DIFF(M))$  contains  $(\mathbb{Z}_2)^\infty$ , and  $\pi_0(\Lambda_g)|_{(\mathbb{Z}_2)^\infty}$  is one-to-one.*
- ii. *For  $n \geq 14$ , the image of  $\pi_1(\Lambda_g)$  contains  $(\mathbb{Z}_2)^\infty$ .*

iii. For  $k = 2p - 4$ ,  $p$  prime integer and  $1 < k \leq \frac{n-8}{3}$ , the image of  $\pi_k(\Lambda_g)$  contains  $(\mathbb{Z}_p)^\infty$ . See Remark 1 below.

For  $a < b < 0$  the map  $\Lambda_g$  factors through the inclusion map  $\mathcal{MET}^{a \leq \text{sec} \leq b}(M) \hookrightarrow \mathcal{MET}^{\text{sec} < 0}(M)$  provided  $g \in \mathcal{MET}^{a \leq \text{sec} \leq b}(M)$ . Therefore we have:

**Corollary 1.** *Let  $M$  be a closed smooth  $n$ -manifold,  $n \geq 10$ . Let  $a < b < 0$  and assume that  $\mathcal{MET}^{a \leq \text{sec} \leq b}(M)$  is not empty. Then the inclusion map  $\mathcal{MET}^{a \leq \text{sec} \leq b}(M) \hookrightarrow \mathcal{MET}^{\text{sec} < 0}(M)$  is not null-homotopic. Indeed, the induced maps, at the  $k$ -homotopy level, are not constant for  $k = 0$ , and non-zero for the cases (ii) and (iii) mentioned in the Main Theorem. Furthermore, the image of these maps satisfy a statement analogous to the one in the addendum to the Main Theorem.*

If  $a = b = -1$  we have:

**Corollary 2.** *Let  $M$  be a closed hyperbolic  $n$ -manifold,  $n \geq 10$ . Then the inclusion map  $\text{Hyp}(M) \hookrightarrow \mathcal{MET}^{\text{sec} < 0}(M)$  is not null-homotopic. Indeed, the induced maps, at the  $k$ -homotopy level, are not constant for  $k = 0$ , and non-zero for the cases (ii) and (iii) mentioned in the Main Theorem. Furthermore, the image of these maps satisfy a statement analogous to the one in the addendum to the Main Theorem.*

Hence, taking  $k = 0$  (i.e.,  $p = 2$ ) in Corollary 2, we get that for any closed hyperbolic manifold  $(M^n, g)$ ,  $n \geq 10$ , there is a hyperbolic metric  $g'$  on  $M$  such that  $g$  and  $g'$  cannot be joined by a path of negatively curved metrics.

Also, taking  $a = -1 - \epsilon$ ,  $b = -1$  ( $0 \leq \epsilon$ ) in Corollary 1, we have that the space  $\mathcal{MET}^{-1-\epsilon \leq \text{sec} \leq -1}(M^n)$  of  $\epsilon$ -pinched negatively curved Riemannian metrics on  $M$  has infinitely many path components, provided it is not empty and  $n \geq 10$ . And the homotopy groups  $\pi_k(\mathcal{MET}^{-1-\epsilon \leq \text{sec} \leq -1}(M))$  are non-zero for the cases (ii) and (iii) mentioned in the Main Theorem. Moreover, these groups are not finitely generated.

**Remark 1.** The restriction on  $n = \dim M$  given in the Main Theorem, its addendum and its corollaries are certainly not optimal. In particular, in (iii) it can be improved to  $1 < k < \frac{n-10}{2}$  by using Igusa's "Surjective Stability Theorem" ([16], p. 7).

As before, let  $DIFF_0(M)$  be the subgroup of  $DIFF(M)$  of all self-diffeomorphisms that are homotopic to the identity. If  $M$  is closed and negatively curved, the action of  $DIFF_0(M)$  on  $\mathcal{MET}^{\text{sec} < 0}(M)$  is free and in [7] we called the quotient  $\mathcal{T}^\infty(M) = \mathcal{MET}^{\text{sec} < 0}(M)/DIFF_0(M)$  the Teichmüller space of negatively curved metrics on  $M$ . We have a fibration

$$DIFF_0(M) \longrightarrow \mathcal{MET}^{\text{sec} < 0}(M) \longrightarrow \mathcal{T}^\infty(M).$$

In [7], by using diffeomorphisms that are supported on a ball, we proved that there are closed hyperbolic manifolds for which some of the connecting homomorphisms  $\pi_k(\mathcal{T}^\infty(M)) \rightarrow \pi_{k-1}(DIF F_0(M))$  are non-zero. In this paper, we use diffeomorphisms supported on a tubular neighborhood of a closed geodesic to show that the homomorphism induced by the inclusion of the fiber,  $\pi_k(DIF F_0(M)) \rightarrow \pi_k(\mathcal{M}\mathcal{E}\mathcal{T}^{sec<0}(M))$ , is non-zero for many values of  $k$ . For other related results, see [8] and [9].

Another interesting application of the Main Theorem shows that the answer to the following natural question is negative:

**Question.** Let  $E \rightarrow B$  be a fiber bundle whose fibers are diffeomorphic to a closed negatively curved manifold  $M^n$ . Is it always possible to equip its fibers with negatively curved Riemannian metrics (varying continuously from fiber to fiber)?

The negative answer is gotten by setting  $B = \mathbb{S}^{k+1}$ , where  $k$  is as in the Main Theorem case (iii) (or  $k = 0, 1$ , cases (i) and (ii)), and the bundle  $E \rightarrow \mathbb{S}^{k+1}$  is obtained by the standard clutching construction using an element  $\alpha \in \pi_k(DIF F(M))$  such that  $\pi_k(\Lambda_g)(\alpha) \neq 0$ , for every negatively curved Riemannian metric  $g$  on  $M$ . Using our method for proving the Main Theorem (in particular Theorem 1 below), one sees that such elements  $\alpha$ , which are independent of  $g$ , exist in all cases (i), (ii), (iii).

The Main Theorem follows from Theorems 1 and 2 below. Before we state these results, we need some definitions and constructions. For a manifold  $N$  let  $P(N)$  be the space of topological pseudo-isotopies of  $N$ , that is, the space of all homeomorphisms  $N \times I \rightarrow N \times I$ ,  $I = [0, 1]$ , that are the identity on  $(N \times \{0\}) \cup (\partial N \times I)$ . We consider  $P(N)$  with the compact-open topology. Also,  $P^{diff}(N)$  is the space of all smooth pseudo-isotopies on  $N$ , with the smooth topology. Note that  $P^{diff}(N)$  is a subset of  $P(N)$ . The map of spaces  $P^{diff}(N) \rightarrow P(N)$  is continuous and will be denoted by  $\iota_N$ , or simply by  $\iota$ . The space of all self-diffeomorphisms of  $N$  will be denoted by  $DIF F(N)$ , considered with the smooth topology. Also  $DIF F(N, \partial)$  denotes the subspace of  $DIF F(N)$  of all self-diffeomorphism of  $N$  which are the identity on  $\partial N$ .

**Remark 2.** We will assume that the elements in  $DIF F(N, \partial)$  are the identity near  $\partial N$ .

Note that  $DIF F(N \times I, \partial)$  is the subspace of  $P^{diff}(N)$  of all smooth pseudo-isotopies whose restriction to  $N \times \{1\}$  is the identity. The restriction of  $\iota_N$  to  $DIF F(N \times I, \partial)$  will also be denoted by  $\iota_N$ . The map  $\iota_N : DIF F(N \times I, \partial) \rightarrow P(N)$  is one of the ingredients in the statement Theorem 1.

We will also need the following construction. Let  $M$  be a negatively curved  $n$ -manifold. Let  $\alpha : \mathbb{S}^1 \rightarrow M$  be an embedding. Sometimes we will denote the image  $\alpha(\mathbb{S}^1)$  just by  $\alpha$ . We assume that the normal bundle of  $\alpha$  is orientable, and hence trivial. Let  $V : \mathbb{S}^1 \rightarrow TM \times \dots \times TM$  be an orthonormal trivialization of this bundle:  $V(z) = (v_1(z), \dots, v_{n-1}(z))$  is an orthonormal base of the orthogonal complement of  $\alpha(z)'$  in  $T_zM$ . Also, let  $r > 0$  be such that  $2r$  is less than the width of the normal geodesic tubular neighborhood of  $\alpha$ . Using  $V$  and the exponential map of geodesics orthogonal to  $\alpha$ , we identify the normal geodesic tubular neighborhood of width  $2r$  minus  $\alpha$ , with  $\mathbb{S}^1 \times \mathbb{S}^{n-2} \times (0, 2r]$ . Define  $\Phi = \Phi^M(\alpha, V, r) : DIF F(\mathbb{S}^1 \times \mathbb{S}^{n-2} \times I, \partial) \rightarrow DIF F(M)$  in the following way. For  $\varphi \in DIF F(\mathbb{S}^1 \times \mathbb{S}^{n-2} \times I, \partial)$  let  $\Phi(\varphi) : M \rightarrow M$  be the identity outside  $\mathbb{S}^1 \times \mathbb{S}^{n-2} \times [r, 2r] \subset M$ , and  $\Phi(\varphi) = \lambda^{-1}\varphi\lambda$ , where  $\lambda(z, u, t) = (z, u, \frac{t-r}{r})$ , for  $(z, u, t) \in \mathbb{S}^1 \times \mathbb{S}^{n-2} \times [r, 2r]$ . Note that the dependence of  $\Phi(\alpha, V, r)$  on  $\alpha$  and  $V$  is essential, while its dependence on  $r$  is almost irrelevant.

We denote by  $g$  the negatively curved metric on  $M$ . Hence we have the diagram

$$\begin{array}{ccccc} DIF F(\mathbb{S}^1 \times \mathbb{S}^{n-2} \times I, \partial) & \xrightarrow{\Phi} & DIF F(M) & \xrightarrow{\Lambda_g} & \mathcal{MET}^{sec < 0}(M) \\ & & \iota \downarrow & & \\ & & P(\mathbb{S}^1 \times \mathbb{S}^{n-2}) & & \end{array}$$

where  $\iota = \iota_{\mathbb{S}^1 \times \mathbb{S}^{n-2}}$  and  $\Phi = \Phi^M(\alpha, V, r)$ .

**Theorem 1.** *Let  $M$  be a closed  $n$ -manifold with a negatively curved metric  $g$ . Let  $\alpha, V, r$ , and  $\Phi = \Phi(\alpha, V, r)$  be as above, and assume that  $\alpha$  is not null-homotopic. Then  $Ker(\pi_k(\Lambda_g\Phi)) \subset Ker(\pi_k(\iota))$ , for  $k < n - 5$ . Here  $\pi_k(\Lambda_g\Phi)$  and  $\pi_k(\iota)$  are the homomorphisms at the  $k$ -homotopy group level induced by  $\Lambda_g\Phi$  and  $\iota = \iota_{\mathbb{S}^1 \times \mathbb{S}^{n-2}}$ , respectively.*

**Remark.** In the statement of Theorem 1 above, by  $Ker(\pi_0(\Lambda_g\Phi))$  (for  $k = 0$ ) we mean the set  $(\pi_0(\Lambda_g\Phi))^{-1}([g])$ , where  $[g] \in \pi_0(\mathcal{MET}^{sec < 0}(M))$  is the connected component of the metric  $g$ .

Hence to deduce the Main Theorem from Theorem 1 we need to know that  $\pi_k(\iota_{\mathbb{S}^1 \times \mathbb{S}^{n-2}})$  is a non-zero homomorphism. Furthermore, to prove the addendum to the Main Theorem we have to show that  $\pi_k(DIF F(\mathbb{S}^1 \times \mathbb{S}^{n-2} \times I, \partial))$  contains an infinite sum of  $\mathbb{Z}_p$ 's (resp.  $\mathbb{Z}_2$ 's) where  $k = 2p - 4$ ,  $p$  prime (resp.  $k = 1$ ) and  $\pi_k(\iota_{\mathbb{S}^1 \times \mathbb{S}^{n-2}})$  restricted to this sum is one-to-one.

**Theorem 2.** *Let  $p$  be a prime integer such that  $\max\{9, 6p - 5\} < n$ . Then for  $k = 2p - 4$  we have that  $\pi_k(DIF F(\mathbb{S}^1 \times \mathbb{S}^{n-2} \times I, \partial))$  contains*

a subgroup isomorphic to  $(\mathbb{Z}_p)^\infty$  and the restriction of  $\pi_k(\iota_{\mathbb{S}^1 \times \mathbb{S}^{n-2}})$  to this subgroup is one-to-one.

**Addendum to Theorem 2.** Assume  $n \geq 14$ . Then  $\pi_1(DIFF(\mathbb{S}^1 \times \mathbb{S}^{n-2} \times I, \partial))$  contains a subgroup isomorphic to  $(\mathbb{Z}_2)^\infty$  and the restriction of  $\pi_1(\iota_{\mathbb{S}^1 \times \mathbb{S}^{n-2}})$  to this subgroup is one-to-one.

The paper is structured as follows. In Section 1 we give some lemmas, including some fibered versions of the Whitney embedding Theorem. In Section 2 we give (recall) some facts about simply connected negatively curved manifolds and their natural extensions to a special class of non-simply connected ones. The results and facts in Sections 1 and 2 are used in the proof of Theorem 1, which is given in Section 3. Finally, Theorem 2 is proved in Section 4.

Before we finish this introduction, we sketch an argument that, we hope, motivates our proof of Theorem 1. To avoid complications, let's just consider the case  $k = 0$ . In this situation we want to show the following:

Let  $\theta \in DIFF(\mathbb{S}^1 \times \mathbb{S}^{n-2} \times I, \partial) \subset P(\mathbb{S}^1 \times \mathbb{S}^{n-2})$ , and write  $\varphi = \Phi(\theta) : M \rightarrow M$ . Suppose that  $\theta$  cannot be joined by a path to the identity in  $P(\mathbb{S}^1 \times \mathbb{S}^{n-2})$ . Then  $g$  cannot be joined to  $\varphi_*g$  by a path of negatively curved metrics.

Here is an argument that we could tentatively use to prove the statement above. Suppose that there is a smooth path  $g_u$ ,  $u \in [0, 1]$ , of negatively curved metrics on  $M$ , with  $g_0 = g$  and  $g_1 = \varphi_*g$ . We will use  $g_u$  to show that  $\theta$  can be joined to the identity in  $P(\mathbb{S}^1 \times \mathbb{S}^{n-2})$ . We assume that  $\alpha$  is an embedded closed geodesic in  $M$ . Let  $Q$  be the cover of  $M$  corresponding to the infinite cyclic group generated by  $\alpha$ . Each  $g_u$  lifts to a  $g_u$  on  $Q$  (we use the same letter). Then  $\alpha$  lifts isometrically to  $(Q, g)$  and we can identify  $Q$  with  $\mathbb{S}^1 \times \mathbb{R}^{n-1}$  such that  $\alpha$  corresponds to  $\mathbb{S}^1 \times \{0\}$  and such that each  $\{z\} \times \mathbb{R}v$ ,  $v \in \mathbb{S}^{n-2} \subset \mathbb{R}^{n-1}$ , corresponds to a  $g$  geodesic ray emanating perpendicularly from  $\alpha$ . For each  $u$ , the complete negatively curved manifold  $(Q, g_u)$  contains exactly one closed geodesic  $\alpha_u$ , and  $\alpha_u$  is freely homotopic to  $\alpha$ . Let us assume that  $\alpha_u = \alpha$ , for all  $u \in [0, 1]$ . Moreover, let us assume that  $g_u$  coincides with  $g$  in the normal tubular neighborhood  $W$  of length one of  $\alpha$ . Note that  $Q \setminus \text{int } W$  can be identified with  $(\mathbb{S}^1 \times \mathbb{S}^{n-2}) \times [1, \infty)$ . Using geodesic rays emanating perpendicularly from  $\alpha$ , we can define a path of diffeomorphisms  $f_u : (\mathbb{S}^1 \times \mathbb{S}^{n-2}) \times [1, \infty) \rightarrow (\mathbb{S}^1 \times \mathbb{S}^{n-2}) \times [1, \infty)$  by  $f_u = [exp]^{-1} \circ exp^u$ , where  $exp^u$  denotes the normal (to  $\alpha$ ) exponential map with respect to  $g_u$ , and  $exp = exp^0$ . Using "the space at infinity"  $\partial_\infty Q$  of  $Q$  (see Section 2), we can extend  $f_u$  to  $(\mathbb{S}^1 \times \mathbb{S}^{n-2}) \times [1, \infty]$ , which we identify with  $(\mathbb{S}^1 \times \mathbb{S}^{n-2}) \times [0, 1]$ . Finally, it is proved that  $f_1$  can be joined to  $\theta$  in  $P(\mathbb{S}^1 \times \mathbb{S}^{n-2})$  (see Claim 6 in Section 3). This is enough because  $f_0$  is the identity.

Along the “sketch of the proof” above we have of course made several unproven claims (that will be proven later); and we have also made a few assumptions: (1)  $\alpha$  is an embedded closed geodesic, (2)  $\alpha_u = \alpha$  for all  $u$ , (3)  $g_u$  coincides with  $g$  in a neighborhood of  $g$ . Item (1) can be obtained “after a deformation” in  $Q$ . Item (2) can also be obtained after a deformation in  $Q$  using the results of Section 2. We do not know how to obtain (3) after a deformation (and this might even be impossible to do), so we have to use some approximation methods based on Lemma 1.6 which implies that we can take a very thin normal neighborhood  $W$  of  $\alpha$  such that all normal (to  $\alpha$ )  $g_u$  geodesics rays will intersect  $\partial W$  transversally in one point.

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The  $p$ -torsion Theorem that appears at the end of section 4 is crucial to the proof of Theorem 2 (when  $k > 1$ ). This result, together with a sketch of its proof, was given to us by Tom Goodwillie in 2005 in a personal communication. We are grateful to him for this. The  $p$ -torsion theorem appeared in print in a paper by Grunewald, Klein, and Macko in 2008. We are grateful to the authors of this paper as well, in particular to John Klein for his comments and emails.

### 1. Preliminaries

For smooth manifolds  $A, B$ , with  $A$  compact,  $C^\infty(A, B)$ ,  $DIFF(A)$ ,  $Emb(A, B)$ , denote the space of smooth maps, smooth self-diffeomorphisms, and smooth embeddings of  $A$  into  $B$ , respectively. We consider these spaces with the smooth topology. The  $l$ -disc will be denoted by  $\mathbb{D}^l$ . We choose  $u_0 = (1, 0, \dots, 0)$  as the base point of  $\mathbb{S}^l \subset \mathbb{D}^{l+1}$ . For a map  $f : A \times B \rightarrow C$ , we denote by  $f_a$  the map given by  $f_a(b) = f(a, b)$ . A map  $f : \mathbb{D}^l \times A \rightarrow B$  is *radial near  $\partial$*  if  $f_u = f_{tu}$  for all  $u \in \partial\mathbb{D}^l = \mathbb{S}^{l-1}$  and  $t \in [1/2, 1]$ . Note that any map  $f : \mathbb{D}^l \times A \rightarrow B$  is homotopic rel  $\partial\mathbb{D}^l \times A$  to a map that is radial near  $\partial$ . The next lemma is a special case of a parametrized version of Whitney’s embedding theorem.

**Lemma 1.1.** *Let  $P^m$  and  $D^{k+1}$  be compact smooth manifolds and let  $T$  be a closed smooth submanifold of  $P$ . Let  $Q$  be an open subset of  $\mathbb{R}^n$  and let  $H' : D \times P \rightarrow Q$  be a smooth map such that (1)  $H'_u|_T : T \rightarrow Q$  is an embedding for all  $u \in D$  and (2)  $H'_u$  is an embedding for all  $u \in \partial D$ . Assume that that  $k + 2m + 1 < n$ . Then  $H'$  is homotopy equivalent to a smooth map  $\bar{H} : D \times P \rightarrow Q$  such that:*

1.  $\bar{H}_u : P \rightarrow Q$  is an embedding, for all  $u \in D$ .
2.  $\bar{H}|_{D \times T} = H'|_{D \times T}$ .
3.  $\bar{H}|_{\partial D \times P} = H'|_{\partial D \times P}$ .

*Proof.* It is not difficult to construct a smooth map  $g : P \rightarrow \mathbb{R}^q$ , for some  $q$ , such that (i)  $g : P \setminus T \rightarrow \mathbb{R}^q \setminus \{0\}$  is a smooth embedding, (ii)  $g(T) = \{0\} \in \mathbb{R}^q$ , and (iii)  $D_p g(v) \neq 0$ , for every  $p \in T$  and  $v \in T_p P \setminus T_p T$ . Let  $\varpi : D \rightarrow [0, 1]$  be a smooth map such that  $\varpi^{-1}(0) = \partial D$ . Define  $G = H' \times g : D \times P \rightarrow Q \times \mathbb{R}^q$ ,  $G(u, p) = (H'(u, p), \varpi(u)g(p))$ . Then, for each  $u \in D$ ,  $G_u : P \rightarrow Q \times \mathbb{R}^q$  is an embedding. Moreover,  $G|_{D \times T} = H'|_{D \times T}$ , where we consider  $Q = Q \times \{0\} \subset Q \times \mathbb{R}^q$ . Also,  $G|_{\partial D \times P} = H'|_{\partial D \times P}$ . Note that  $G$  is homotopic to  $H'$  because  $g$  is homotopically trivial. Now, as in the proof of Whitney’s theorem, we want to reduce the dimension  $q$  to  $q - 1$ . So assume  $q > 0$ . Given  $w \in \mathbb{S}^{n+q-1} \subset \mathbb{R}^{n+q} = \mathbb{R}^n \times \mathbb{R}^q$ ,  $w \notin \mathbb{R}^n \times \mathbb{R}^{q-1} = \mathbb{R}^{n+q-1}$ , denote by  $L_w : \mathbb{R}^{n+q} \rightarrow \mathbb{R}^{n+q-1}$  the linear projection “in the  $w$ -direction.” As in the proof of Whitney’s theorem, using the dimension restriction and Sard’s theorem, we can find a “good”  $w$ :

**Claim.** There is a  $w$  such that  $L_w|_{G_u(P)} : G_u(P) \rightarrow \mathbb{R}^{n+q-1}$  is an embedding, for all  $u \in D$ .

For this consider the following:

$$r : D \times ((P \times P) \setminus \Delta(P)) \rightarrow \mathbb{R}^{n+q}, \quad r(u, p, q) = \frac{G_u(p) - G_u(q)}{|G_u(p) - G_u(q)|}$$

$$s : D \times SP \rightarrow \mathbb{R}^{n+q}, \quad s(u, v) = \frac{D_p(G_u)(v)}{|D_p(G_u)(v)|}, \quad v \in T_p P.$$

Here  $\Delta(P) = \{(p, p) : p \in P\}$  and  $SP$  is the sphere bundle of  $P$  (with respect to any metric). Since  $(k + 1) + 2m < n$  and  $q > 0$ , by Sard’s theorem the images of  $r$  and  $s$  have measure zero in  $\mathbb{S}^{n+q-1}$ . This proves the claim.

Also, since  $D$  and  $P$  are compact, we can choose  $w$  close enough to  $(0, \dots, 0, 1)$  such that  $L_w(G(D \times P)) \subset Q \times \mathbb{R}^{q-1}$ . Define  $G_1 = L_w G$ . In the same way, we define  $G_2 : D \times P \rightarrow Q \times \mathbb{R}^{q-2}$ , and so on. Our desired map  $\bar{H}$  is  $\bar{H} = G_q$ . This proves the lemma. q.e.d.

In what follows of this section we consider  $Q = \mathbb{S}^1 \times \mathbb{R}^{n-1} = (\mathbb{S}^1 \times \mathbb{R}) \times \mathbb{R}^{n-2} \subset \mathbb{R}^2 \times \mathbb{R}^{n-2}$ , where the inclusion  $\mathbb{S}^1 \times \mathbb{R} \hookrightarrow \mathbb{R}^2$  is given by  $(z, s) \mapsto e^s z$ . That is, we identify  $\mathbb{S}^1 \times \mathbb{R}$  with the open set  $\mathbb{R}^2 \setminus \{0\}$ , and hence we identify  $Q = \mathbb{S}^1 \times \mathbb{R}^{n-1}$  with  $(\mathbb{R}^2 \setminus \{0\}) \times \mathbb{R}^{n-2} = \mathbb{R}^n \setminus (\{0\} \times \mathbb{R}^{n-2})$ . Also, identify  $\mathbb{S}^1$  with  $\mathbb{S}^1 \times \{0\} \subset Q$  and denote by  $h_0 : \mathbb{S}^1 \rightarrow \mathbb{S}^1 \times \mathbb{R}^{n-1} = Q$  the inclusion. For  $t > 0$  denote by  $\kappa_t : \mathbb{R}^2 \times \mathbb{R}^{n-2} \rightarrow \mathbb{R}^2 \times \mathbb{R}^{n-2}$  the map given by  $\kappa_t(a, b) = (ta, b)$ . Note that  $\kappa_t$  restricts to  $Q = (\mathbb{R}^2 \setminus \{0\}) \times \mathbb{R}^{n-2}$ .

**Lemma 1.2.** *Let  $h, h' : \mathbb{D}^{k+1} \times \mathbb{S}^1 \rightarrow Q$  be continuous maps such that  $h_u, h'_u$  are homotopic, for all  $u \in \mathbb{S}^k$ . That is, there is  $H' : \mathbb{S}^k \times \mathbb{S}^1 \times I \rightarrow Q$  such that  $H'(u, z, 0) = h(u, z)$ ,  $H'(u, z, 1) = h'(u, z)$ , for all  $(u, z) \in \mathbb{S}^k \times \mathbb{S}^1$ . For  $k = 0$  also assume that the loop  $h(t, 1) * H'(1, 1, t) * [h'(t, 1)]^{-1} * [H'(-1, 1, t)]^{-1}$  is null-homotopic. Then  $H'$  extends to  $H' : \mathbb{D}^{k+1} \times \mathbb{S}^1 \times I \rightarrow Q$  such that  $H'_u$  is a homotopy from  $h_u$  to  $h'_u$ , that is,*

1.  $H'_u|_{\mathbb{S}^1 \times \{0\}} = h_u$ , for  $u \in \mathbb{D}^{k+1}$ ,
2.  $H'_u|_{\mathbb{S}^1 \times \{1\}} = h'_u$ , for  $u \in \mathbb{D}^{k+1}$ .

*Proof.* First define  $H' = h$  on  $\mathbb{D}^{k+1} \times \mathbb{S}^1 \times \{0\}$  and  $H' = h'$  on  $\mathbb{D}^{k+1} \times \mathbb{S}^1 \times \{1\}$ . Note that  $H'$  is defined on  $\partial(\mathbb{D}^{k+1} \times \{1\} \times I)$ . Since  $Q$  is aspherical, we can extend  $H'$  to  $\mathbb{D}^{k+1} \times \{1\} \times I$  (for  $k = 0$  use the assumption given in the statement of the lemma).  $H'$  is now defined on  $A = \partial(\mathbb{D}^{k+1} \times \mathbb{S}^1 \times I) \cup \mathbb{D}^{k+1} \times \{1\} \times I$ . Since  $\mathbb{D}^{k+1} \times \mathbb{S}^1 \times I$  is obtained from  $A$  by attaching a  $(k+3)$ -cell and  $Q$  is aspherical, we can extend  $H'$  to  $\mathbb{D}^{k+1} \times \mathbb{S}^1 \times I$ . This proves the lemma. q.e.d.

**Lemma 1.3.** *Let  $h : \mathbb{D}^{k+1} \times \mathbb{S}^1 \rightarrow Q$  be a smooth map which is radial near  $\partial$ . Assume that  $h_u \in \text{Emb}(\mathbb{S}^1, Q)$  for all  $u \in \mathbb{D}^{k+1}$  and  $h_u = h_0$ , for all  $u \in \mathbb{S}^k$ . (Here  $h_0 = h_{u_0}$ .) For  $k = 0$  assume that the loop  $h(u, 1)$  is homotopically trivial. If  $k+5 < n$  then there is a smooth map  $\hat{H} : \mathbb{D}^{k+1} \times \mathbb{S}^1 \times I \rightarrow Q$  such that:*

1.  $\hat{H}_u|_{\mathbb{S}^1 \times \{0\}} = h_u$ , for  $u \in \mathbb{D}^{k+1}$ .
2.  $\hat{H}_u|_{\mathbb{S}^1 \times \{1\}} = h_0$ , for  $u \in \mathbb{D}^{k+1}$ .
3.  $\hat{H}_u$  is a smooth isotopy from  $h_u$  to  $h_0$ .
4.  $(\hat{H}_u)_t = h_0$ , for all  $u \in \mathbb{S}^k$  and  $t \in I$ . Here  $(\hat{H}_u)_t(z) = \hat{H}(u, z, t)$ .

*Proof.* During this proof some isotopies and functions have to be smoothed near endpoints and boundaries. We do not do this to avoid unnecessary technicalities.

Let  $D = \mathbb{D}_{1/2}^{k+1}$  be the closed  $(k+1)$ -disc of radius  $1/2$ . Since  $h(\mathbb{D}^{k+1} \times \mathbb{S}^1) \subset Q = \mathbb{R}^n \setminus (\{0\} \times \mathbb{R}^{n-2})$ , we have that  $h(\mathbb{D}^{k+1} \times \mathbb{S}^1)$  does not intersect  $\{0\} \times \mathbb{R}^{n-2}$ . Therefore the distance  $d$  from  $h(\mathbb{D}^{k+1} \times \mathbb{S}^1)$  to  $\{0\} \times \mathbb{R}^{n-2}$  is positive. Let  $c < 1$  be such that  $c < d$ .

**Definition of  $(\hat{H}_u)_t$  for  $t \in [1/2, 1]$ .** In this case define for  $u \in \mathbb{S}^k$ ,  $(\hat{H}_{su})_t = \kappa_\lambda h_0$ , where **(1)**  $\lambda = 1 - 4(1-t)(1-s) + 4(1-t)(1-s)c$  if  $s \in [1/2, 1]$  and **(2)**  $\lambda = (2t-1) + (2-2t)c$   $s \in [0, 1/2]$ .

**Definition of  $(\hat{H}_{su})_t$  for  $t \in [0, 1/2]$  and  $s \in [1/2, 1]$ .** Define for  $u \in \mathbb{S}^k$ ,  $s \in [1/2, 1]$ :  $(\hat{H}_{su})_t = \kappa_\lambda$ , where  $\lambda = 1 - 4t(1-s) + 4t(1-s)c$ , for  $t \in [0, 1/2]$ .

**Definition of  $(\hat{H}_{su})_t$  for  $t \in [0, 1/2]$  and  $s \in [0, 1/2]$ .** Note that  $D = \{su : u \in \mathbb{S}^k, s \in [0, 1/2]\}$ . We now want to define  $\hat{H}$  on  $D \times \mathbb{S}^1 \times [0, 1/2]$ . To do this first apply Lemma 1.2 to  $h$  and  $\mathbb{D} \times \mathbb{S}^1 \times I$ , with  $h'_u = \kappa_c h_0$  for all  $u \in D$ ,  $H'(u, z, t) = \hat{H}(u, z, t/2)$ , for  $(u, z, t) \in \partial D \times \mathbb{S}^1 \times I$ . Hence  $H'$  extends to  $D \times \mathbb{S}^1 \times I$ . Now apply Lemma 1.1, taking  $P = \mathbb{S}^1 \times I$ ,  $T = \mathbb{S}^1 \times \{0, 1\}$ . To apply this lemma, note that  $H'_u|_{\mathbb{S}^1 \times \{0, 1\}}$  is an embedding, for all  $u \in D$ , because  $H'_u|_{\mathbb{S}^1 \times \{0\}} = h_u$ ,  $H'_u|_{\mathbb{S}^1 \times \{1\}} = \kappa_c h_0$  are embeddings and the images of  $h_u$  and  $\kappa_c h_0$  are disjoint (by the

choice of  $c$ ). Let then  $\bar{H}$  be the map given by Lemma 1.1. Finally, define  $\hat{H}(u, z, t) = \bar{H}(u, z, 2t)$ . This proves the lemma. q.e.d.

Extending the isotopies  $\hat{H}_u$  between  $h_u$  and  $h'_u$  given in the lemma above, to compactly supported ambient isotopies we obtain as a corollary the following lemma.

**Lemma 1.4.** *Let  $h : \mathbb{D}^{k+1} \times \mathbb{S}^1 \rightarrow Q$  be a smooth map which is radial near  $\partial$ . Assume  $h_u \in \text{Emb}(\mathbb{S}^1, Q)$  for all  $u \in \mathbb{D}^{k+1}$  and that  $h_u = h_0 \in \text{Emb}(\mathbb{S}^1, Q)$  for all  $u \in \mathbb{S}^k$ , and  $k + 5 < n$ . (Here  $h_0 = h_{u_0}$ .) Identify  $\mathbb{S}^1$  with  $\mathbb{S}^1 \times \{0\} \subset Q$ . For  $k = 0$  assume that the loop  $h(u, 1)$  is null-homotopic. Then there is a smooth map  $H : \mathbb{D}^{k+1} \times Q \times I \rightarrow Q$  such that:*

1.  $H_u|_{\mathbb{S}^1 \times \{0\}} = h_u$ , for  $u \in \mathbb{D}^{k+1}$ .
2.  $H_u|_{\mathbb{S}^1 \times \{1\}} = h_0$ , for  $u \in \mathbb{D}^{k+1}$ .
3.  $H_u$  is an ambient isotopy from  $h_u$  to  $h_0$ , that is  $(H_u)_t : Q \rightarrow Q$  is a diffeomorphism for all  $u \in \mathbb{D}^{k+1}$ ,  $t \in I$  and  $(H_u)_1 = 1_Q$ . Also,  $H_u$  is supported on a compact subset  $K \subset Q$ , where  $K$  is independent of  $u \in \mathbb{D}^{k+1}$ .
4.  $(H_u)_t = 1_Q$ , for all  $u \in \mathbb{S}^k$  and  $t \in I$ .

We will also need the result stated in Lemma 1.6 below. First we prove a simplified version of it. The  $k$ -sphere of radius  $\delta$ ,  $\{v \in \mathbb{R}^{k+1} : |v| = \delta\}$ , will be denoted by  $\mathbb{S}^k(\delta)$ .

**Lemma 1.5.** *Let  $X$  be a compact space and  $f : X \rightarrow \text{DIFF}(\mathbb{R}^l)$  be continuous and write  $f_x : \mathbb{R}^l \rightarrow \mathbb{R}^l$  for the image of  $x$  in  $\text{DIFF}(\mathbb{R}^l)$ . Assume  $f_x(0) = 0 \in \mathbb{R}^l$ , for all  $x \in X$ . Then there is a  $\delta_0 > 0$  such that, for every  $x \in X$  and  $\delta \leq \delta_0$ , the map  $\mathbb{S}^{l-1}(\delta) \rightarrow \mathbb{S}^{l-1}$  given by  $v \mapsto \frac{f_x(v)}{|f_x(v)|}$  is a diffeomorphism. Moreover, the map  $X \rightarrow \text{DIFF}(\mathbb{S}^{l-1}(\delta), \mathbb{S}^{l-1})$ , given by  $x \mapsto (v \mapsto \frac{f_x(v)}{|f_x(v)|})$ , is continuous.*

*Proof.* First note that for all  $x \in X$  and  $\delta > 0$ , the maps in  $\text{DIFF}(\mathbb{S}^{l-1}(\delta), \mathbb{S}^{l-1})$  given by  $(v \mapsto \frac{f_x(v)}{|f_x(v)|})$  all have degree 1 or  $-1$ . For  $v \in \mathbb{R}^l \setminus \{0\}$ , denote by  $L_x(v)$  the image of the tangent space  $T_v(\mathbb{S}^{l-1}(|v|))$  by the derivative of  $f_x : \mathbb{R}^l \rightarrow \mathbb{R}^l$ . It is enough to prove that there is  $\delta_0 > 0$  such that  $f_x(v) \notin L_x(v)$ , for all  $x \in X$  and  $v \in \mathbb{R}^l$  satisfying  $0 < |v| \leq \delta_0$  (because then the maps  $(v \mapsto \frac{f_x(v)}{|f_x(v)|})$  would be immersions of degree 1 (or  $-1$ ), and hence diffeomorphisms).

Suppose this does not happen. Then there is a sequence of points  $(x_m, v_m) \in X \times \mathbb{R}^l \setminus \{0\}$  with

- a.  $v_m \rightarrow 0$ ,
- b.  $f_{x_m}(v_m) \in L_{x_m}(v_m)$ .

Write  $w_m = \frac{v_m}{|v_m|} \in \mathbb{S}^{l-1}$ ,  $r_m = |v_m|$ ,  $f_m = f_{x_m}$ , and  $D_m = D_{v_m} f_m$ . We can assume that  $x_m \rightarrow x \in X$ , and that  $w_m \rightarrow w \in \mathbb{S}^{l-1}$ . It follows that

there is an  $u_m \in T_{v_m}(\mathbb{S}^{l-1}(r_m))$ ,  $|u_m| = 1$ , such that  $D_m \cdot u_m$  is parallel to  $f_m(v_m)$ . Note that  $\langle u_m, v_m \rangle = 0$  and  $D_m(u_m) \neq 0$ . By changing the sign of  $u_m$ , we can assume that  $\frac{D_m(u_m)}{|D_m(u_m)|} = \frac{f_m(v_m)}{|f_m(v_m)|}$ . Also, we can suppose that  $u_m \rightarrow u \in \mathbb{S}^{l-1}$ .

**Claim.** We have that  $\frac{f_m(v_m)}{|f_m(v_m)|} \rightarrow \frac{D_0 f_x(w)}{|D_0 f_x(w)|}$ , as  $m \rightarrow \infty$ .

*Proof of the claim.* Since  $f$  is continuous, all second-order partial derivatives of the coordinate functions of the  $f_x$  at  $v$ , with, say,  $|v| \leq 1$ , are bounded by some constant. Hence there is a constant  $C > 0$  such that  $|f_m(v_m) - D_0 f_m(v_m)| = |f_m(v_m) - f_m(0) - D_0 f_m(v_m)| \leq C|v_m|^2$ , for sufficiently large  $m$ . It follows that  $\frac{f_m(v_m)}{|v_m|} \rightarrow \lim_{m \rightarrow \infty} \frac{D_0 f_m(v_m)}{|v_m|} = D_0 f_x(w) \neq 0$ . This implies that  $\frac{|f_m(v_m)|}{|v_m|} \rightarrow |D_0 f_x(w)| \neq 0$ , and thus  $\frac{|v_m|}{|f_m(v_m)|} \rightarrow \frac{1}{|D_0 f_x(w)|}$ . Therefore  $\lim_{m \rightarrow \infty} \frac{f_m(v_m)}{|f_m(v_m)|} = \lim_{m \rightarrow \infty} \frac{f_m(v_m)}{|v_m|} \frac{|v_m|}{|f_m(v_m)|} = D_0 f_x(w) \frac{1}{|D_0 f_x(w)|}$ . This proves the claim.

But  $\frac{D_m(u_m)}{|D_m(u_m)|} \rightarrow \frac{D_0 f_x(u)}{|D_0 f_x(u)|}$ ; therefore  $\frac{D_0 f_x(u)}{|D_0 f_x(u)|} = \frac{D_0 f_x(w)}{|D_0 f_x(w)|}$ . This is a contradiction since  $D_0 f_x$  is an isomorphism and  $u, w \in \mathbb{S}^{l-1}$  are linearly independent (because  $\langle u, w \rangle = \lim_m \langle u_m, \frac{v_m}{|v_m|} \rangle = 0$ ). This proves the lemma. q.e.d.

**Lemma 1.6.** *Let  $X$  be a compact space,  $N$  a closed smooth manifold, and  $f : X \rightarrow \text{DIFF}(N \times \mathbb{R}^l)$  be continuous and write  $f_x = (f_x^1, f_x^2) : N \times \mathbb{R}^l \rightarrow N \times \mathbb{R}^l$  for the image of  $x$  in  $\text{DIFF}(N \times \mathbb{R}^l)$ . Assume  $f_x(z, 0) = (z, 0)$ , for all  $x \in X$  and  $z \in N$ , that is,  $f_x|_N = 1_N$ , where we identify  $N$  with  $N \times \{0\}$ . Then there is a  $\delta_0 > 0$  such that, for every  $x \in X$ , the map  $N \times \mathbb{S}^{l-1}(\delta) \rightarrow N \times \mathbb{S}^{l-1}$  given by  $(z, v) \mapsto (f_x^1(z, v), \frac{f_x^2(z, v)}{|f_x^2(z, v)|})$  is a diffeomorphism for all  $\delta \leq \delta_0$ . Moreover, the map  $X \rightarrow \text{DIFF}(N \times \mathbb{S}^{l-1}(\delta), N \times \mathbb{S}^{l-1})$ , given by  $x \mapsto ((z, v) \mapsto (f_x^1(z, v), \frac{f_x^2(z, v)}{|f_x^2(z, v)|}))$ , is continuous.*

*Proof.* The proof is similar to the proof of the lemma above. Here are the details. Let  $d = \dim N$  and consider  $N$  with some Riemannian metric. For  $(z, v) \in N \times \mathbb{R}^l \setminus \{0\}$ , denote by  $L_x(z, v)$  the image of the tangent space  $T_{(z, v)}(N \times \mathbb{S}^{l-1}(|v|))$  by the derivative of  $f_x$ . As before it is enough to prove that there is  $\delta_0 > 0$  such that  $(0, f_x^2(z, v)) \notin L_x(z, v) \subset (T_z N) \times \mathbb{R}^l = T_{(z, v)}(N \times \mathbb{R}^l)$ , for all  $x \in X$  and  $(z, v) \in N \times \mathbb{R}^l$  satisfying  $0 < |v| \leq \delta_0$ . Before we prove this we have a claim.

**Claim 1.** *We have:*

1.  $D_{(z, 0)} f_x^1(y, 0) = y$ , for all  $z \in N$  and  $y \in T_z N$ .
2.  $D_{(z, 0)} f_x^2(y, u) = 0$  implies that  $u = 0$ .

*Proof of Claim 1.* Since  $f_x|_N = 1_N$  we have that  $D_{(z, 0)} f_x(y, 0) = (y, 0)$ , for all  $y \in T_z N$ . Hence (1) holds. Suppose  $D_{(z, 0)} f_x^2(y, u) = 0$ .

Write  $y' = D_{(z,0)}f_x^1(y, u)$ . Then  $D_{(z,u)}f_x(y, u) = (y', 0) = D_{(z,0)}f_x(y', 0)$ . But  $D_{(z,0)}f_x$  is an isomorphism and therefore  $(y, u) = (y', 0)$ . This proves the claim.

Suppose now that (2) does not happen. Then there is a sequence of points  $(x_m, z_m, v_m) \in X \times N \times \mathbb{R}^l \setminus \{0\}$  with

- a.  $v_m \rightarrow 0$ ,
- b.  $(0, f_{x_m}^2(z_m, v_m)) \in L_{x_m}(z_m, v_m)$ .

Write  $w_m = \frac{v_m}{|v_m|} \in \mathbb{S}^{l-1}$ ,  $r_m = |v_m|$ ,  $f_m = f_{x_m}$ , and  $D_m^i = D_{v_m}f_m^i$ ,  $i = 1, 2$ . We can assume that  $x_m \rightarrow x \in X$ ,  $z_m \rightarrow z$  and  $w_m \rightarrow w \in \mathbb{S}^{l-1}$ . It follows that there is a  $(s_m, u_m) \in T_{(z_m, v_m)}(N \times \mathbb{S}^{l-1}(r_m))$ ,  $|s_m|^2 + |u_m|^2 = 1$ , such that (i)  $D_m^1(s_m, u_m) = 0$ , and (ii)  $D_m^2(s_m, u_m)$  is parallel to  $f_m^2(z_m, v_m)$ . We have that  $\langle u_m, v_m \rangle = 0$ . Since  $D_m = D_{v_m}f_m$  is an isomorphism, by (i),  $D_m^2(s_m, u_m) \neq 0$ . By changing the sign of  $(s_m, u_m)$  we can assume that  $\frac{D_m^2(s_m, u_m)}{|D_m^2(s_m, u_m)|} = \frac{f_m^2(z_m, v_m)}{|f_m^2(z_m, v_m)|}$ . Also, we can suppose that  $u_m \rightarrow u \in \mathbb{R}^l$  and  $s_m \rightarrow s \in T_z N$ .

**Claim 2.** *We have that  $\frac{f_m^2(z_m, v_m)}{|f_m^2(z_m, v_m)|} \rightarrow \frac{D_{(z,0)}f_x^2(0, w)}{|D_{(z,0)}f_x^2(0, w)|}$ , as  $m \rightarrow \infty$ .*

*Proof of Claim 2.* Since  $f^2$  is continuous, all second-order partial derivatives of the coordinate functions of the  $f_x^2$  at  $v$ , with, say,  $|v| \leq 1$ , are bounded by some constant. Hence there is a constant  $C > 0$  such that  $|f_m^2(z_m, v_m) - D_{(z_m,0)}f_m^2(0, v_m)| = |f_m^2(z_m, v_m) - f_m^2(z_m, 0) - D_{(z_m,0)}f_m^2(0, v_m)| \leq C|(0, v_m)|^2 = |v_m|^2$ , for sufficiently large  $m$ . It follows that  $\frac{f_m^2(z_m, v_m)}{|(0, v_m)|} \rightarrow \lim_{m \rightarrow \infty} \frac{D_{(z_m,0)}f_m^2(0, v_m)}{|(0, v_m)|} = D_{(z,0)}f_x^2(0, w)$ . Note that, by Claim 1 and  $w \neq 0$ ,  $D_{(z,0)}f_x^2(0, w) \neq 0$ . This implies that  $\frac{|f_m^2(z_m, v_m)|}{|(0, v_m)|} \rightarrow |D_{(z,0)}f_x^2(0, w)| \neq 0$ , and thus  $\frac{|(0, v_m)|}{|f_m^2(z_m, v_m)|} \rightarrow \frac{1}{|D_{(z,0)}f_x^2(0, w)|}$ . Therefore  $\lim_{m \rightarrow \infty} \frac{f_m^2(z_m, v_m)}{|f_m^2(z_m, v_m)|} = \lim_{m \rightarrow \infty} \frac{f_m^2(z_m, v_m)}{|(0, v_m)|} \frac{|(0, v_m)|}{|f_m^2(z_m, v_m)|} = D_{(z,0)}f_x^2(0, w) \frac{1}{|D_{(z,0)}f_x^2(0, w)|}$ . This proves the claim.

But  $\frac{D_m^2(s_m, u_m)}{|D_m^2(s_m, u_m)|} \rightarrow \frac{D_{(z,0)}f_x^2(s, u)}{|D_{(z,0)}f_x^2(s, u)|}$ ; therefore  $\frac{D_{(z,0)}f_x^2(s, u)}{|D_{(z,0)}f_x^2(s, u)|} = \frac{D_{(z,0)}f_x^2(0, w)}{|D_{(z,0)}f_x^2(0, w)|}$ . Consequently,  $D_{(z,0)}f_x^2(s, u) = D_{(z,0)}f_x^2(0, w')$ , where  $w' = \lambda w$ , for some  $\lambda > 0$ . Hence  $D_{(z,0)}f_x^2(s, u - w') = 0$ , and by Claim 1,  $u = w' = \lambda w$  is a contradiction because  $|w| = 1$  and  $\langle u, w \rangle = 0$ . This proves the lemma. q.e.d.

## 2. Space at infinity of some complete negatively curved manifolds

Let  $(X_1, d_1)$  and  $(X_2, d_2)$  be two metric spaces. A map  $f : X_1 \rightarrow X_2$  is a quasi-isometric embedding if there are  $\epsilon \geq 0$  and  $\lambda \geq 1$  such that  $\frac{1}{\lambda}d_1(x, y) - \epsilon \leq d_2(f(x), f(y)) \leq \lambda d_1(x, y) + \epsilon$ , for all  $x, y \in X_1$ . A

quasi-isometric embedding  $f$  is called a quasi-isometry if there is a constant  $K \geq 0$  such that every point in  $X_2$  lies in the  $K$ -neighborhood of the image of  $f$ . A quasi-geodesic in a metric space  $(X, d)$  is a quasi-isometric embedding  $\beta : I \rightarrow X$ , where the interval  $I \subset \mathbb{R}$  is considered with the canonical metric  $d_{\mathbb{R}}(t, s) = |t - s|$ . If  $I = [a, \infty)$ ,  $\beta$  is called a quasi-geodesic ray. If we want to specify the constants  $\lambda$  and  $\epsilon$  in the definitions above, we will use the prefix  $(\lambda, \epsilon)$ . It is a simple exercise to prove that the composition of a  $(\lambda, \epsilon)$ -quasi-isometric embedding with a  $(\lambda', \epsilon')$ -quasi-isometric embedding is a  $(\lambda\lambda', \lambda'\epsilon + \epsilon')$ -quasi-isometric embedding. Also, if  $f : X_1 \rightarrow X_2$  is a quasi-isometry and the Hausdorff distance between some subsets  $A, B \subset X_1$  is finite, then the Hausdorff distance between  $f(A)$  and  $f(B)$  is also finite. In this paper a *unit speed geodesic* will always mean an isometric embedding with domain some interval  $I \subset \mathbb{R}$ . Also, a *geodesic* will mean a function  $t \mapsto \alpha(\rho t)$ , where  $\alpha$  is a unit speed geodesic and  $\rho > 0$ . Then every geodesic is a quasi-geodesic with  $\epsilon = 0$ , that is, a  $(\lambda, 0)$ -quasi-geodesic, for some  $\lambda$ .

**Lemma 2.1.** *Let  $g, g'$  be two complete Riemannian metrics on the manifold  $Q$ . Suppose there are constants  $a, b > 0$  such that  $a^2 \leq g'(v, v) \leq b^2$  for every  $v \in TQ$  with  $g(v, v) = 1$ . Then the identity  $(Q, g) \rightarrow (Q, g')$  is a  $(\lambda, 0)$ -quasi-isometry, where  $\lambda = \max\{\frac{1}{a}, b\}$ .*

*Proof.* The condition above implies  $a^2 g(v, v) \leq g'(v, v) \leq b^2 g(v, v)$ , which in turn implies  $\frac{1}{b^2} g'(v, v) \leq g(v, v) \leq \frac{1}{a^2} g'(v, v)$ , for all  $v \in Q$ . Let  $d, d'$  be the intrinsic metrics on  $Q$  defined by  $g, g'$ , respectively. Let  $x, y \in Q$  and  $\beta : [0, 1] \rightarrow Q$  be a path whose endpoints are  $x, y$  and such that  $d(x, y) = \text{length}_g(\beta) = \int_0^1 \sqrt{g(\beta'(t), \beta'(t))} dt$ . Then  $d'(x, y) \leq \text{length}_{g'}(\beta) = \int_0^1 \sqrt{g'(\beta'(t), \beta'(t))} dt \leq b \int_0^1 \sqrt{g(\beta'(t), \beta'(t))} dt = b d(x, y)$ . In the same way we prove  $d \leq \frac{1}{a} d'$ . Then the identity  $1_Q$  is a quasi-isometry with  $\epsilon = 0$  and  $\lambda = \max\{\frac{1}{a}, b\}$ . This proves the lemma. q.e.d.

In what remains of this section  $(Q, g)$  will denote a complete Riemannian manifold with sectional curvatures in the interval  $[c_1, c_2]$ ,  $c_1 < c_2 < 0$ , and  $S \subset Q$  a closed totally geodesic submanifold of  $Q$ , such that the map  $\pi_1(S) \rightarrow \pi_1(Q)$  is an isomorphism. Write  $\Gamma = \pi_1(S) = \pi_1(Q)$ . Also,  $d$  will denote the intrinsic metric on  $Q$  induced by  $g$ . Note that  $S$  is convex in  $Q$ , and hence  $d|_S$  is also the intrinsic metric on  $S$  induced by  $g|_S$ . We can assume that the universal cover  $\tilde{S}$  of  $S$  is contained in the universal cover  $\tilde{Q}$  of  $Q$ . We will consider  $\tilde{Q}$  with the lifted metric  $\tilde{g}$  and the induced distance will be denoted by  $\tilde{d}$ . The group  $\Gamma$  acts by isometries on  $\tilde{Q}$  such that  $\Gamma(S) = S$  and  $Q = \tilde{Q}/\Gamma$ ,  $S = \tilde{S}/\Gamma$ . The covering projection will be denoted by  $p : \tilde{Q} \rightarrow \tilde{Q}/\Gamma = Q$ . Let  $R$  be the normal bundle of  $S$ , that is, for  $z \in S$ ,  $R_z = \{v \in T_z Q : g(v, u) = 0, \text{ for all } u \in T_z S\} \subset T_z Q$ . Write  $\pi(v) = z$  if  $v \in R_z$ , that is,  $\pi : R \rightarrow S$

is the bundle projection. The unit sphere bundle and unit disc bundle of  $R$  will be denoted by  $N$  and  $W$ , respectively. Note that the normal bundle, normal sphere bundle and the normal disc bundle of  $\tilde{S}$  in  $\tilde{Q}$  are the liftings  $\tilde{R}$ ,  $\tilde{N}$ , and  $\tilde{W}$  of  $R$ ,  $N$ , and  $W$ , respectively. For  $v \in T_q Q$  or  $v \in T_q \tilde{Q}$ ,  $v \neq 0$ , the map  $t \mapsto \exp_q(tv)$ ,  $t \geq 0$ , will be denoted by  $c_v$  and its image will be denoted by the same symbol. Since  $\tilde{Q}$  is simply connected,  $c_v$  is a geodesic ray, for every  $v \in \tilde{N}$ . We have the following well-known facts.

1. For any closed convex set  $C \subset \tilde{Q}$ , and a geodesic  $c$ , the function  $t \mapsto \tilde{d}(c(t), C)$  is convex. This implies 2 below.
2. Let  $c$  be a geodesic ray beginning at some  $z \in \tilde{S}$ . Then either  $c \subset \tilde{S}$  or  $\tilde{d}(c(t), \tilde{S}) \rightarrow \infty$ , as  $t \rightarrow \infty$ .
3. For every  $v \in R$ ,  $v \neq 0$ ,  $c_v$  is a geodesic ray. Moreover, for non-zero vectors  $v_1, v_2 \in T$ , with  $\pi(v_1) \neq \pi(v_2)$ , we have that the function  $t \mapsto d(c_{v_1}(t), c_{v_2})$  tends to  $\infty$  as  $t \rightarrow \infty$ .
4. The exponential map  $E : R \rightarrow Q$ ,  $E(v) = \exp_{\pi(v)}(v)$ , is a diffeomorphism. We can define then the submersion  $proj : Q \rightarrow S$ ,  $proj(q) = z$ , if  $\exp(v) = q$ , for some  $v \in T_z$ . Define  $\eta : Q \rightarrow [0, \infty)$  by  $\eta(q) = |v|$ . Then we have  $\eta(q) = d(q, S)$ . Also, the exponential map  $\tilde{E} : \tilde{T} \rightarrow \tilde{Q}$ ,  $\tilde{E}(v) = \exp_{\pi(v)}(v)$ , is a diffeomorphism and  $\tilde{E}$  is a lifting of  $E$ .
5. Since  $S$  is compact, there is a function  $\varrho : [0, \infty) \rightarrow [0, \infty)$  with the following three properties: (1) for  $q_1, q_2 \in Q$  we have

$$\varrho(a) d(proj(q_1), proj(q_2)) \leq d(q_1, q_2),$$

where  $a = \min\{\eta(q_1), \eta(q_2)\}$ ; (2)  $\varrho(0) = 1$ ; (3)  $\varrho$  is an increasing function which tends to  $\infty$  as  $t \rightarrow \infty$ .

6. Recall that we are assuming that all sectional curvatures of  $\tilde{Q}$  are less than  $c_2 < 0$ . Given  $\lambda \geq 1$ ,  $\epsilon \geq 0$ , there is a number  $K = K(\lambda, \epsilon, c_2)$  such that the following happens. For every  $(\lambda, \epsilon)$ -quasi-geodesic  $c$  in  $\tilde{Q}$  there is a unit speed geodesic  $\beta$  with the same endpoints as  $c$ , whose Hausdorff distance from  $c$  is less or equal  $K$ . Note  $K$  depends on  $\lambda, \epsilon, c_2$ , but not on the particular manifold  $\tilde{Q}$  (see, for instance, [1], p. 401; see also Proposition 1.2 on p. 399 of [1]).

Recall that the space at infinity  $\partial_\infty \tilde{Q}$  of  $\tilde{Q}$  can be defined as  $\{\text{quasi-geodesic rays in } \tilde{Q}\} / \sim$  where the relation  $\sim$  is given by  $\beta_1 \sim \beta_2$  if their Hausdorff distance is finite. We say that a quasi-geodesic  $\beta$  converges to  $p \in \partial_\infty \tilde{Q}$  if  $\beta \in p$ . Fact 6 implies that we can define  $\partial_\infty \tilde{Q}$  also by  $\{\text{geodesics rays in } \tilde{Q}\} / \sim$ . We consider  $\partial_\infty \tilde{Q}$  with the usual cone topology (see [1], p. 263). Recall that, for any  $q \in \tilde{Q}$ , the map  $\{v \in T_q \tilde{Q} : |v| = 1\} \rightarrow \partial_\infty \tilde{Q}$  given by  $v \mapsto [c_v]$  is a homeomorphism. Let  $\varsigma : [0, 1) \rightarrow [0, \infty)$  be a homeomorphism that is the identity near 0. We

also have that  $\overline{(\tilde{Q})} = \tilde{Q} \cup \partial_\infty \tilde{Q}$  can be given a topology such that the map  $\{v \in T_q \tilde{Q} : |v| \leq 1\} \rightarrow \partial_\infty \tilde{Q}$  given by  $v \mapsto \exp_q(\varsigma(|v|)\frac{v}{|v|})$ , for  $|v| < 1$  and  $v \mapsto [c_v]$  for  $v = 1$ , is a homeomorphism. We have some more facts or comments.

7. Given  $q \in \tilde{Q}$  and  $p \in \partial_\infty \tilde{Q}$ , there is a unique unit speed geodesic ray  $\beta$  beginning at  $q$  and converging to  $p$ .
8. Since  $\tilde{S}$  is convex in  $\tilde{Q}$ , every geodesic ray in  $\tilde{S}$  is a geodesic ray in  $\tilde{Q}$ . Therefore  $\partial_\infty \tilde{S} \subset \partial_\infty \tilde{Q}$ . For a quasi-geodesic ray  $\beta$  we have  $[\beta] \in \partial_\infty \tilde{Q} \setminus \partial_\infty \tilde{S}$  if and only if  $\beta$  diverges from  $\tilde{S}$ , that is,  $\tilde{d}(\beta(t), \tilde{S}) \rightarrow \infty$ , as  $t \rightarrow \infty$ .
9. For every  $p \in \partial_\infty \tilde{Q} \setminus \partial_\infty \tilde{S}$  there is a unique  $v \in \tilde{N}$  such that  $c_v$  converges to  $p$ . Moreover, the map  $\tilde{A} : \tilde{N} \rightarrow \partial_\infty \tilde{Q} \setminus \partial_\infty \tilde{S}$ , given by  $\tilde{A}(v) = [c_v]$  is a homeomorphism. Furthermore, we can extend  $\tilde{A}$  to a homeomorphism  $\tilde{W} \rightarrow \overline{(\tilde{Q})} \setminus \partial_\infty \tilde{S}$  by defining  $\tilde{A}(v) = \tilde{E}(\varsigma(|v|)\frac{v}{|v|}) = \exp_q(\varsigma(|v|)\frac{v}{|v|})$ , for  $|v| < 1$ ,  $v \in \tilde{W}_q$  (recall that  $\varsigma$  is the identity near zero).

**Lemma 2.2.** *Let  $\beta : [a, \infty) \rightarrow \tilde{Q}$ . The following are equivalent.*

- (i)  $\beta$  is a quasi-geodesic ray and diverges from  $\tilde{S}$ .
- (ii)  $p\beta$  is a quasi-geodesic ray, where  $p : \tilde{Q} \rightarrow Q$  is the covering projection.

*Proof.* First note that if a path  $\alpha(t)$ ,  $t \geq a$ , satisfies the  $(\lambda, \epsilon)$ -quasi-geodesic ray condition, for  $t \geq a' \geq a$ , then  $\alpha(t)$  satisfies the  $(\lambda, \epsilon')$ -quasi-geodesic ray condition, for all  $t \geq a$ , where  $\epsilon' = \epsilon + \text{diameter}(\alpha([a, a']))$ .

(i) implies (ii). Let  $\beta$  satisfy (i). Then there are  $\lambda \geq 1$ ,  $\epsilon \geq 0$  such that  $\frac{1}{\lambda}|t - t'| - \epsilon \leq \tilde{d}(\beta(t), \beta(t')) \leq \lambda|t - t'| + \epsilon$ , for every  $t, t' \geq a$ . Fix  $t, t' \geq a$  and let  $\alpha$  be the unit speed geodesic segment joining  $\beta(t)$  to  $\beta(t')$ . Then  $p\alpha$  joins  $p\beta(t)$  to  $p\beta(t')$ . Therefore  $d(p\beta(t), p\beta(t')) \leq \text{length}_g(p\alpha) = \text{length}_{\tilde{g}}(\alpha) = d(\beta(t), \beta(t')) \leq \lambda|t - t'| + \epsilon$ . We proved that  $d(p\beta(t), p\beta(t')) \leq \lambda|t - t'| + \epsilon$ .

We show the other inequality. By item 6,  $\beta$  is at finite Hausdorff distance (say,  $K \geq 0$ ) from a geodesic ray  $\alpha$ . Since  $\beta$  (hence  $\alpha$ ) gets far away from  $\tilde{S}$ , it converges to a point at infinity in  $\partial_\infty \tilde{Q} \setminus \partial_\infty \tilde{S}$ . Therefore we can assume that  $\alpha(t) = c_{\tilde{v}}(t) = \exp_{\tilde{z}}(t\tilde{v})$  for some  $\tilde{v} \in \tilde{R}_{\tilde{z}}$ , with  $|\tilde{v}| = 1$ . It follows that  $p\beta$  is at Hausdorff distance  $K' = K + d(\beta(a), \tilde{S})$  from  $c_v$ , where  $v \in R_z$  is the image of  $\tilde{v}$  by the derivative  $Dp(\tilde{z})$ , and  $z = p(\tilde{z})$ . Note that  $c_v$  is a geodesic ray in  $Q$  (see item 3). Let  $U$  denote the  $K$  neighborhood of  $c_v$  in  $Q$  and  $\tilde{U}$  the  $K$  neighborhood of  $c_{\tilde{v}}$  in  $\tilde{Q}$ . We claim that  $p : \tilde{U} \rightarrow U$  satisfies:  $d(p(x), p(y)) \geq \tilde{d}(x, y) - 4K$ , for  $x, y \in \tilde{U}$ . To prove this let  $t, t' \geq 0$  such that  $d(x, c(t)) = d(x, c_v) \leq K$  and  $d(y, c_{\tilde{v}}(t')) = d(y, c_{\tilde{v}}) \leq K$ . We have  $\tilde{d}(x, y) \leq \tilde{d}(x, c_{\tilde{v}}(t)) +$

$\tilde{d}(c_{\tilde{v}}(t), c_{\tilde{v}}(t')) + \tilde{d}(c_{\tilde{v}}(t'), y) \leq 2K + |t - t'| = 2K + d(c_v(t), c_v(t')) \leq 2K + d(c_v(t), p(x)) + d(p(x), p(y)) + d(p(y), c_v(t')) \leq 4K + d(p(x), p(y))$ . This proves our claim. Consequently,  $d(p\beta(t), p\beta(t')) \geq \tilde{d}(\beta(t), \beta(t')) - 4K \geq \frac{1}{\lambda}|t - t'| - (\epsilon + 4K)$ .

(ii) implies (i). Let  $\beta$  satisfy (ii). Since  $p\beta$  is a proper map, its distance to  $S$  must tend to infinity. Hence the distance of  $\beta$  to  $\tilde{S}$  also tends to infinity.

Let  $p\beta$  satisfy  $\frac{1}{\lambda}|t - t'| - \epsilon \leq d(p\beta(t), p\beta(t')) \leq \lambda|t - t'| + \epsilon$ , for some  $\lambda \geq 1, \epsilon \geq 0$ . Fix  $t, t' \geq a$  and let  $\alpha$  be the unit speed geodesic segment joining  $\beta(t)$  to  $\beta(t')$ . Then  $p\alpha$  joins  $p\beta(t)$  to  $p\beta(t')$ . Therefore  $\tilde{d}(\beta(t), \beta(t')) = \text{length}_{\tilde{g}}(\alpha) = \text{length}_g(p\alpha) \geq d(p\beta(t), p\beta(t')) \geq \frac{1}{\lambda}|t - t'| - \epsilon$ . It follows that  $\frac{1}{\lambda}|t - t'| - \epsilon \leq \tilde{d}(\beta(t), \beta(t'))$ .

We prove the other inequality. Since  $S$  is compact and by item 5, the radius of injectivity of a point in  $Q$  tends to infinity as the points get far from  $S$ . Hence there is  $a' \geq a$  such that for every  $t \geq a'$ , the ball of radius  $e = \lambda + \epsilon$  centered at  $\beta(t)$  is convex. Let  $t' > t > a'$  and  $n$  be an integer such that  $n < t' - t \leq n + 1$ . Let  $\alpha_k, k = 1, \dots, n$ , be the unit speed geodesic segment from  $p\beta(t+k-1)$  to  $p\beta(t+k)$ , and  $\alpha_{n+1}$  the unit speed geodesic segment from  $p\beta(t+n)$  to  $p\beta(t')$ . Note that  $\text{length}_g(\alpha_k) = d(p\beta(t+k-1), p\beta(t+k)) \leq \lambda + \epsilon = e$ . Therefore  $p\beta|_{[t+k-1, t+k]}$  is homotopic, rel endpoints, to  $\alpha_k$  (analogously for  $\alpha_{n+1}$ ). Let  $\alpha$  be the concatenation  $\alpha_1 * \dots * \alpha_{n+1}$ . Then  $\alpha$  is homotopic, rel endpoints, to  $p\beta|_{[t, t']}$ . Note that the length of  $\alpha$  is  $\leq (n + 1)e$ . Let  $\tilde{\alpha}$  be the lifting of  $\alpha$  beginning at  $\beta(a')$ . Then  $\tilde{\alpha}$  is homotopic, rel endpoints, to  $\beta|_{[t, t']}$ . Hence  $\tilde{d}(\beta(t), \beta(t')) \leq \text{length}(\tilde{\alpha}) \leq (n + 1)e = ne + e < e(t' - t) + e$ . We showed that  $\frac{1}{\lambda}|t - t'| - \epsilon \leq \tilde{d}(\beta(t), \beta(t')) < (\lambda + \epsilon)|t' - t| + (\lambda + \epsilon)$ . This proves the lemma. q.e.d.

Let  $Q_1, Q_2$  be two complete simply connected negatively curved manifolds. If  $\beta$  is a quasi-geodesic in  $Q_1$  and  $f : Q_1 \rightarrow Q_2$  is a quasi-isometry, then  $f(\beta)$  is also a quasi-geodesic. Also, if two subsets of  $Q_1$  have finite Hausdorff distance, their images under  $f$  will have finite Hausdorff distance as well. Therefore  $f$  induces a map  $f_\infty : \partial_\infty Q_1 \rightarrow \partial_\infty Q_2$ . Hence  $f$  extends to  $\bar{f} : \bar{Q}_1 \rightarrow \bar{Q}_2$  by  $\bar{f}|_{\partial_\infty Q_1} = f_\infty$  and  $\bar{f}|_{Q_1} = f$ . We have:

10. For every quasi-isometry  $f : Q_1 \rightarrow Q_2, f_\infty : \partial_\infty Q_1 \rightarrow \partial_\infty Q_2$  is a homeomorphism. In addition, if  $f$  is a homeomorphism, then  $\bar{f}$  is a homeomorphism.
11. Let  $g'$  be another complete Riemannian metric on  $\tilde{Q}$  whose sectional curvatures are also  $\leq c_2 < 0$ , and is such that there are constants  $a, b > 0$  with  $a^2 \leq g'(v, v) \leq b^2$  for every  $v \in T\tilde{Q}$  with  $\tilde{g}(v, v) = 1$ , and such that  $\tilde{S}$  is also a convex subset of  $(\tilde{Q}, g')$ . Then  $\partial_\infty \tilde{Q}$  is the same if defined using  $\tilde{g}$  or  $g'$ . Moreover item 9 above also holds for  $(\tilde{Q}, g')$  (with respect to all proper concepts defined using  $g'$  instead of  $\tilde{g}$ ). This is because the identity  $(\tilde{Q}, \tilde{g}) \rightarrow (\tilde{Q}, g')$

induces the homeomorphism  $\partial_\infty \tilde{Q} \rightarrow \partial_\infty \tilde{Q}$  that preserves  $\partial_\infty \tilde{S}$  (see Lemma 2.1 and item 10).

Since  $\Gamma$  acts by isometries on  $\tilde{Q}$ , we have that  $\Gamma$  acts on  $\partial_\infty \tilde{Q}$  (see item 10). Also, since  $\Gamma$  preserves  $\tilde{S}$ ,  $\Gamma$  also preserves  $\partial_\infty \tilde{S}$ . Hence  $\Gamma$  acts on  $\partial_\infty \tilde{Q} \setminus \partial_\infty \tilde{S}$ . Since  $S$  is closed, we have:

- 12. For every  $\gamma \in \Gamma$ ,  $\gamma : \partial_\infty \tilde{Q} \setminus \partial_\infty \tilde{S} \rightarrow \partial_\infty \tilde{Q} \setminus \partial_\infty \tilde{S}$  has no fixed points. Therefore the action of  $\Gamma$  on  $(\tilde{Q}) \setminus \partial_\infty \tilde{S}$  is free. Moreover, the action of  $\Gamma$  on  $(\tilde{Q}) \setminus \partial_\infty \tilde{S}$  is properly discontinuous.

We now define the space at infinity  $\partial_\infty Q$  of  $Q$  as  $\{quasi\text{-geodesic rays in } Q\} / \sim$ . As before, the relation  $\sim$  is given by  $\beta_1 \sim \beta_2$  if their Hausdorff distance is finite. We can define a topology on  $\partial_\infty Q$  in the same way as for  $\partial_\infty \tilde{Q}$ , but we can take advantage of the already-defined topology of  $\partial_\infty \tilde{Q}$ .

**Lemma 2.3.** *There is a one-to-one correspondence between  $\partial_\infty Q$  and  $(\partial_\infty \tilde{Q} \setminus \partial_\infty \tilde{S}) / \Gamma$ .*

*Proof.* By path lifting and Lemma 2.2 there is a one-to-one correspondence between the sets  $\{quasi\text{-geodesic rays in } Q\}$  and  $\{quasi\text{-geodesic rays in } \tilde{Q} \text{ that diverge from } \tilde{S}\} / \Gamma$ . Then the correspondence  $[\beta] \mapsto p(\beta)$ , for quasi-geodesic rays in  $\tilde{Q}$  that diverge from  $\tilde{S}$ , is one-to-one (see item 8). This proves the lemma. q.e.d.

We define then the topology of  $\partial_\infty Q$  such that the one-to-one correspondence mentioned in the proof of the lemma is a homeomorphism. Also, we define the topology on  $\bar{Q} = Q \cup \partial_\infty Q$  such that  $(\bar{Q}) \setminus \partial_\infty \tilde{S} / \Gamma \rightarrow \bar{Q}$  is a homeomorphism. It is straightforward to verify that  $Q$  and  $\partial_\infty Q$  are subspaces of  $\bar{Q}$  (see also item 12). The next lemma is a version of item 9 for  $Q$ .

**Lemma 2.4.** *For every  $p \in \partial_\infty Q$  there is a unique  $v \in N$  such that  $c_v$  converges to  $p$ . (Recall that  $N$  is the unit sphere bundle of the normal bundle  $S$ .) Moreover, the map  $A : N \rightarrow \partial_\infty Q$ , given by  $A(v) = [c_v]$ , is a homeomorphism. Furthermore, we can extend  $A$  to a homeomorphism  $W \rightarrow \partial_\infty Q$  by defining  $A(v) = E((\varsigma(|v|)\frac{v}{|v|}))$ , for  $|v| < 1$ . (Recall  $\varsigma$  is the identity near 0.) Also,  $\tilde{A}$  is a lifting of  $A$ .*

*Proof.* The first statement follows from items 4 and 5. Define  $A(v) = p\tilde{A}(\tilde{v})$ , where  $Dp(\tilde{v}) = v$ . Items 9 and 12 imply the lemma. See also item 4. q.e.d.

We will write  $\eta([c_v]) = \infty$  and  $E(\infty v) = [c_v]$ , for  $v \in N$  (see item 4).

**Lemma 2.5.** *Let  $v \in N$  and  $q_n = E(t_n v_n)$ ,  $t_n \in [0, \infty]$ ,  $v_n \in R$  and  $|v_n|$  bounded away from both 0 and  $+\infty$ . Then  $q_n \rightarrow [c_v]$  (in  $\partial_\infty Q$ ) if and only if  $t_n \rightarrow \infty$  and  $v_n \rightarrow v$ .*

*Proof.* It follows from Lemma 2.4. q.e.d.

We also have a version of item 11 for  $Q$ .

**Lemma 2.6.** *Let  $g'$  be another complete Riemannian metric on  $Q$  whose sectional curvatures are also  $\leq c_2 < 0$ , and such that there are constants  $a, b > 0$  with  $a^2 \leq g'(v, v) \leq b^2$  for every  $v \in TQ$  with  $g(v, v) = 1$ , and such that  $S$  is also a convex subset of  $(Q, g')$ . Then  $\partial_\infty Q$  is the same if defined using  $g$  or  $g'$ . Moreover Lemmas 2.4 and 2.5 above also hold for  $(Q, g')$  (with respect to all proper concepts defined using  $g'$  instead of  $g$ ).*

*Proof.* It follows from item 11 and Lemma 2.5. Note that the liftings  $\tilde{g}, \tilde{g}'$  of  $g$  and  $g'$  satisfy  $a^2 \leq \tilde{g}'(v, v) \leq b^2$  for every  $v \in T\tilde{Q}$  with  $\tilde{g}(v, v) = 1$ . This proves the lemma. q.e.d.

### 3. Proof of Theorem 1

Let the metric  $g$  and the closed simple curve  $\alpha$  be as in the statement of Theorem 1. Write  $N = \mathbb{S}^1 \times \mathbb{S}^{n-2}$  and  $\Sigma^M = \Lambda_g \Phi^M$ , where  $\Lambda_g : DIF F(M) \rightarrow \mathcal{MET}^{sec < 0}(M)$  and  $\Phi^M = \Phi^M(\alpha, V, r) : DIF F(\mathbb{S}^1 \times \mathbb{S}^{n-2} \times I, \partial) \rightarrow DIF F(M)$  are the maps defined in the Introduction. The base point of the  $k$ -sphere  $\mathbb{S}^k$  will always be the point  $u_0 = (1, 0, \dots, 0)$ . Let  $\theta : \mathbb{S}^k \rightarrow DIF F(N \times I, \partial)$ ,  $\theta(u_0) = 1_{N \times I}$ , represent an element in  $\pi_k(DIF F(N \times I, \partial))$ .

We will prove that if  $\pi_k(\Sigma^M)([\theta])$  is zero, then  $\pi_k(\iota_N)([\theta])$  is also zero. Equivalently, if  $\Sigma^M \theta$  extends to the  $(k + 1)$ -disc  $\mathbb{D}^{k+1}$ , then  $\iota_N \theta$  also extends to  $\mathbb{D}^{k+1}$ . So, suppose that  $\Sigma^M \theta : \mathbb{S}^k \rightarrow \mathcal{MET}^{sec < 0}(M)$  extends to a map  $\sigma' : \mathbb{D}^{k+1} \rightarrow \mathcal{MET}^{sec < 0}(M)$ . We can assume that this map is smooth.

**Remark.** Originally  $\sigma'$  may not be smooth, but it is homotopic to a smooth map. By “ $\sigma'$  is smooth” we mean that the map  $\mathbb{D}^{k+1} \times (TM \oplus TM) \rightarrow \mathbb{R}$ , given by  $(u, v_1, v_2) \mapsto \sigma'(u)_x(v_1, v_2)$ ,  $v_1, v_2 \in T_x M$ , is smooth. To homotope a given  $\sigma'$  to a smooth one  $\sigma''$ , we can use classical averaging techniques: just define  $\sigma_x(u)''(v_1, v_2) = \int_{\mathbb{R}^{k+1}} \eta(u - w) \sigma'(w)_x(v_1, v_2) dw$ , which is smooth. Here, (1)  $\eta$  is a smooth  $\epsilon$ -bump function, i.e.,  $\int_{\mathbb{R}^{k+1}} \eta = 1$  and  $\eta(w) = 0$ , for  $|w| \geq \epsilon$  and, (2) we are extending  $\sigma'$  (originally defined on  $\mathbb{D}^{k+1}$ ) to all  $\mathbb{R}^n$ , radially. Since  $\sigma'$  is continuous, the second-order derivatives of  $\sigma'_x(u)$  and  $\sigma'_x(u')$  are close for  $u$  close to  $u'$ . Therefore the second-order derivatives of  $\sigma'_x(u)$  are close to the second-order derivatives of  $\sigma''_x(u)$ . Hence, if  $\epsilon$  is sufficiently small, we will also have  $\sigma''(u) \in \mathcal{MET}^{sec < 0}(M)$ .

Also, by deforming  $\sigma'$ , we can assume that it is radial near  $\partial \mathbb{D}^{k+1}$ . Thus  $\sigma'(u)$ ,  $u \in \mathbb{D}^{k+1}$ , is a negatively curved metric on  $M$ . Also,  $\sigma'(u) = \Sigma^M \theta(u)$ , for  $u \in \mathbb{S}^k$ , and  $\sigma'(u_0) = g$ . Since  $\sigma'$  is continuous, there is a constant  $c_2 < 0$  such that all sectional curvatures of the

Riemannian manifolds  $(M, \sigma'(u))$ ,  $u \in \mathbb{D}^{k+1}$ , are less or equal  $c_2$ . Write  $\varphi_u = \Phi^M(\theta(u))$ ,  $u \in \mathbb{S}^k$ . Hence we have that  $\sigma'(u) = (\varphi_u)_*\sigma'(u_0) = (\varphi_u)_*g$ , for  $u \in \mathbb{S}^k$ . Note that  $\varphi_u$  is, by definition, the identity outside the closed normal geodesic tubular neighborhood  $U$  of width  $2r$  of  $\alpha$ . Also,  $\varphi_u$  is the identity on the closed normal geodesic tubular neighborhood of width  $r$  of  $\alpha$ . Note that  $\varphi_u : M \rightarrow M$  induces the identity at the  $\pi_1$ -level and hence  $\varphi_u$  is freely homotopic to  $1_M$ .

Since  $\sigma'$  is continuous and  $\mathbb{D}^{k+1}$  is compact, we can find constants  $a, b > 0$  such that  $a^2 \leq \sigma'(u)(v, v) \leq b^2$  for every  $v \in TM$  with  $g(v, v) = 1$ ,  $u \in \mathbb{D}^{k+1}$ .

Let  $Q$  be the covering space of  $M$  with respect to the infinite cyclic subgroup of  $\pi_1(M, \alpha(1))$  generated by  $\alpha$ . Denote by  $\sigma(u)$  the pull-back on  $Q$  of the metric  $\sigma'(u)$  on  $M$ . For the lifting of  $g$  on  $Q$  we use the same letter  $g$ . Note that  $\alpha$  lifts to  $Q$  and we denote this lifting also by  $\alpha$ . Let  $\phi_u : Q \rightarrow Q$  be diffeomorphism which is the unique lifting of  $\varphi_u$  to  $Q$  with the property that  $\phi_u|_\alpha$  is the identity. We have some comments.

- (i)  $\sigma(u) = (\phi_u)_*\sigma(u_0) = (\phi_u)_*g$ , for  $u \in \mathbb{S}^k$ .
- (ii) The tubular neighborhood  $U$  lifts to a countable number of components, with exactly one being diffeomorphic to  $U$ . We call this lifting also by  $U$ . All other components  $U_1, U_2, \dots$  are diffeomorphic to  $\mathbb{D}^{n-1} \times \mathbb{R}$ . Note that  $\phi_u$  is the identity outside the union of  $\bigcup U_i$  and  $U$  and inside the closed normal geodesic tubular neighborhood of width  $r$  of  $\alpha$ .
- (iii) Since  $\varphi_u : M \rightarrow M$  induces the identity at the  $\pi_1$ -level, and  $\mathbb{S}^k$  is compact, there is a constant  $C$  such that  $d_{\sigma(u')}(p, \phi_u(p)) < C$ , for any  $u, u' \in \mathbb{S}^k$ , where  $d_{\sigma(u')}$  denotes the distance in the Riemannian manifold  $(Q, \sigma(u'))$ .
- (iv)  $(\phi_u)|_U = [\Phi^Q(\alpha, V', r)\theta(u)]|_U$ , for  $u \in \mathbb{S}^k$ . Here  $V'$  is the lifting of  $V$ .
- (v) We have that  $a^2 \leq \sigma(u)(v, v) \leq b^2$  for every  $v \in TQ$  with  $g(v, v) = 1$ ,  $u \in \mathbb{D}^{k+1}$ . It follows that  $\frac{a^2}{b^2} \leq \sigma(u)(v, v) \leq \frac{b^2}{a^2}$  for every  $v \in TQ$  with  $\sigma(u')(v, v) = 1$ ,  $u, u' \in \mathbb{D}^{k+1}$ .
- (vi) All sectional curvatures of the Riemannian manifolds  $(Q, \sigma(u))$ ,  $u \in \mathbb{D}^{k+1}$ , are less or equal  $c_2$ .

Since  $(M, \sigma'(u))$  is a closed negatively curved manifold, it contains exactly one immersed closed geodesic which is freely homotopic to  $\alpha \subset M$ . Therefore  $(Q, \sigma(u))$  contains exactly one embedded closed geodesic  $\alpha_u$  which is freely homotopic to  $\alpha \subset Q$ . Note that  $\alpha_u$  is unique up to affine reparametrizations. Also,  $\alpha_u$  depends continuously on  $u$  (see [2] and [17]). Write  $\alpha_0 = \alpha_{u_0}$  and note that  $\alpha_u = \phi_u(\alpha_0)$ , for all  $u \in \mathbb{S}^k$ .

Since  $n \geq 5$ , we can find a compactly supported smooth isotopy  $s : Q \times I \rightarrow Q$  with  $s_0 = 1_Q$  and  $s_1(\alpha_0) = \alpha$ . Using  $s$ , we get a

homotopy  $(s_t)^{-1}\phi_u s_t$  between  $\phi_u$  and  $\psi_u = (s_1)^{-1}\phi_u s_1$ . Therefore we can assume that for  $u \in \mathbb{S}^k$  we have  $\sigma(u) = (\psi_u)_*g$ . Note that (ii) above still holds with  $U' = (s_1)^{-1}U$ ,  $U'_i = (s_1)^{-1}U_i$  instead of  $U$ ,  $U_i$ , respectively. Note that  $U'_i$  coincides with  $U_i$  outside a compact set. Also, since  $s$  is compactly supported, (iii) holds too. For (iv), we assume that  $U'$  is the closed normal geodesic tubular neighborhood of width  $2r$  of  $\alpha_0$  and  $s_1$  sends any geodesic of length  $2r$  beginning orthogonally at  $\alpha_0$  isometrically to geodesic of length  $2r$  beginning orthogonally at  $\alpha$  (we may have to consider a much smaller  $r > 0$  here). Note that (v) and (vi) still hold. The following version of (iv) is true:

(iv')  $(\psi_u)|_{U'} = [\Phi^Q(\alpha_0, V'', r)\theta(u)]|_{U'}$ , for  $u \in \mathbb{S}^k$ . Here  $V'' = (s_1^{-1})_*V'$ .

Now, by [6, Prop. 5.5]  $\alpha_u$  depends smoothly on  $u \in \mathbb{D}^{k+1}$ . Hence we have a smooth map  $h : \mathbb{D}^{k+1} \times \mathbb{S}^1 \rightarrow Q$ , given by  $h_u = \alpha_u$ . Note that  $h$  is radial near  $\partial$ . We have the following facts:

1. We can identify  $\mathbb{S}^1$  with its image  $\alpha_0$  and, using the exponential map orthogonal to  $\mathbb{S}^1$ , with respect to  $g = \sigma(u_0)$  and the trivialization  $V''$ , we can identify  $Q$  to  $\mathbb{S}^1 \times \mathbb{R}^{n-1}$ . With this identification  $V''$  becomes just the canonical base  $E = \{e_1, \dots, e_{n-1}\}$  and (iv') above has now the following form:  $(\psi_u)|_{U'} = [\Phi^Q(\alpha_0, E, r)\theta(u)]|_{U'}$ , for  $u \in \mathbb{S}^k$ .
2. Because of the argument above (using the homotopy  $s$ ), we cannot guarantee that all metrics  $\sigma(u)$  are lifted metrics from  $M$ , but we do have that all liftings of the  $\sigma(u)$  to the universal cover  $\tilde{Q} = \tilde{M}$  are all quasi-isometric.

The next claim says that we can assume all  $h_u = \alpha_u : \mathbb{S}^1 \rightarrow Q$  to be equal to  $\alpha_0$ .

**Claim 1.** We can modify  $\sigma$  (hence also  $\alpha_u$  and  $h$ ) on  $\text{int}(\mathbb{D}^{k+1})$  such that:

- a. The liftings of the metrics  $\sigma(u)$  to the universal cover  $\tilde{Q} = \tilde{M}$  are all quasi-isometric.
- b.  $\alpha_u = \alpha_0$ , for all  $u \in \mathbb{D}^{k+1}$ .

*Proof of Claim 1.* Let  $H$  be as in Lemma 1.4. Then the required new metrics are just  $[(H_u)_1]^*\sigma(u)$ , that is, the pull-backs of  $\sigma(u)$  by the inverse of the diffeomorphism given by the isotopy  $H_u$  at time  $t = 0$ . Note that the metrics do not change outside a compact set of  $Q$ . Just one more detail. In order to be able to apply Lemma 1.4 for  $k = 0$ , we have to know that the loop  $\beta : \mathbb{D}^1 \rightarrow Q$  given by  $\beta(u) = h(u, 1)$  is homotopy trivial. But if this is not the case, let  $l$  be such that  $\beta$  is homotopic (rel base point) to  $\alpha_0^{-l}$ . Then just replace  $h$  by  $h\vartheta$ , where  $\vartheta : \mathbb{D}^1 \times \mathbb{S}^1 \rightarrow \mathbb{D}^1 \times \mathbb{S}^1$ ,  $\vartheta(u, z) = (u, e^{\pi l(u+1)i} z)$ . Note that  $h_u$  and  $(h\vartheta)_u$

represent the same geodesic, but with different basepoint. This proves Claim 1.

Hence, from now on, we assume that all  $\alpha_u$  are equal to  $\alpha_0 : \mathbb{S}^1 \rightarrow Q$ . Note that the new metrics  $\sigma(u)$ ,  $u \in \text{int}(\mathbb{D}^{k+1})$ , are not necessarily pull-back from metrics in  $M$ . Recall that we are identifying  $Q$  with  $\mathbb{S}^1 \times \mathbb{R}^{n-1}$ , and the rays  $\{z\} \times \mathbb{R}^+v$ ,  $v \in \mathbb{S}^{n-2}$ , are geodesics (with respect to  $g = \sigma(u_0)$ ) emanating from  $z \in \mathbb{S}^1 \subset Q$  and normal to  $\mathbb{S}^1$ . Denote by  $W_\delta = \mathbb{S}^1 \times \mathbb{D}^{n-1}(\delta)$  the closed normal tubular neighborhood of  $\mathbb{S}^1$  in  $Q$  of width  $\delta > 0$ , with respect to the metric  $\sigma(u_0)$ . Note that  $\partial W_\delta = \mathbb{S}^1 \times \mathbb{S}^{n-2}(\delta)$ .

For each  $u \in \mathbb{D}^{k+1}$  and  $z \in \mathbb{S}^1$ , let  $T^u(z)$  be the orthogonal complement of the tangent space  $T_z\mathbb{S}^1 \subset T_zQ$  with respect to the  $\sigma(u)$  metric and denote by  $\text{exp}_z^u : T^u(z) \rightarrow Q$  the normal exponential map, also with respect to the  $\sigma(u)$  metric. Note that the map  $\text{exp}^u : T^u \rightarrow Q$  is a diffeomorphism, where  $T^u$  is the bundle over  $\mathbb{S}^1$  whose fibers are  $T^u(z)$ ,  $z \in \mathbb{S}^1$ . We will denote by  $N^u$  the sphere bundle of  $T^u$ . The orthogonal projection (with respect to the  $\sigma(u_0)$  metric) of the tangent vectors  $(z, e_1), \dots, (z, e_{n-1}) \in T_zQ = \{z\} \times \mathbb{R}^{n-1}$  (here  $e_1 = (1, 0, \dots, 0)$ ,  $e_2 = (0, 1, 0, \dots, 0), \dots$ ) into  $T^u(z)$  gives a base of  $T^u(z)$ . Applying the Gram-Schmidt orthogonalization process, we obtain an orthonormal base  $v_u^1(z), \dots, v_u^{n-1}(z)$  of  $T^u(z)$ . Clearly, these bases are continuous in  $z$ , and hence they provide a trivialization of the normal bundle  $T^u$ . We denote by  $\chi_u : T^u \rightarrow \mathbb{S}^1 \times \mathbb{R}^{n-1}$  the bundle trivializations given by  $\chi_u(v_u^i(z)) = (z, e_i)$ . Note that these trivializations are continuous in  $u \in \mathbb{D}^{k+1}$ .

For every  $(u, z, v) \in \mathbb{D}^{k+1} \times \mathbb{S}^1 \times (\mathbb{R}^{n-1} \setminus \{0\})$ , define  $\tau_u(z, v) = (z', v')$ , where  $\chi_u \circ (\text{exp}^u)^{-1}(z, v) = (z', w)$  and  $v' = \frac{w}{|w|}$ . Then  $\tau_u : \mathbb{S}^1 \times (\mathbb{R}^{n-1} \setminus \{0\}) \rightarrow \mathbb{S}^1 \times \mathbb{S}^{n-2}$  is a smooth map. The restriction of  $\tau_u$  to any  $\partial W_\delta \subset \mathbb{S}^1 \times \mathbb{R}^{n-1}$  will be denoted also by  $\tau_u$ . From now on we assume  $\delta < r$ .

**Claim 2** There is  $\delta > 0$  such that the map  $\tau_u : \partial W_\delta \rightarrow \mathbb{S}^1 \times \mathbb{S}^{n-2}$  is a diffeomorphism.

*Proof of Claim 2.* Just apply Lemma 1.6 to the map  $\chi_u \circ (\text{exp}^u)^{-1}$ . This proves Claim 2.

Note that  $\tau_u$  depends continuously on  $u$ . Note also that Claim 2 implies that every normal geodesic (with respect to any metric  $\sigma(u)$ ) emanating from  $\alpha_0$  intersects  $\partial W_\delta$  transversally in a unique point. Denote by  $\rho_u : \partial W_\delta \rightarrow (0, \infty)$  the smooth map given by  $\tau_u(z, v) = |w|$ , where we are using the notation before the statement of Claim 2.

To simplify our notation we take  $\delta = 1$  and write  $W = W_1$ . Thus  $\partial W = N = \mathbb{S}^1 \times \mathbb{S}^{n-2}$  and we write  $N \times [1, \infty) = Q \setminus \text{int} W$ . Now,

for each  $u \in \mathbb{D}^{k+1}$  we define a self-diffeomorphism  $f_u \in DIFF(N \times [1, \infty), N \times \{1\})$  by

$$f_u((z, v), t) = \exp_{z'}^u([\chi_u]^{-1}(z', \rho_u(z, v)tv'))$$

where  $\tau_u(z, v) = (z', v')$ . It is not difficult to show that  $f_u((z, v), 1) = ((z, v), 1)$  and that  $f_u$  is continuous in  $u \in \mathbb{D}^{k+1}$ .

Here is an alternative interpretation of  $f_u$ . For  $(u, z, v) \in \mathbb{D}^{k+1} \times \mathbb{S}^1 \times T^u(z)$ , denote by  $c_{(z,v)}^u : [0, \infty) \rightarrow Q$  the  $\sigma(u)$  geodesic ray given by  $c_{(z,v)}^u(t) = \exp_z^u(tv)$ . Then  $f_u$  sends  $c_{(z,v)}^{u_0}$  to  $c_{(z',s)}^u$ , where  $\exp_{z'}^u(s) = (z, v) \in Q$ . Explicitly, we have  $f_u(c_{(z,v)}^{u_0}(t)) = c_{(z',s)}^u(|s|t)$ , for  $t \geq 1$ . Using Claim 2, it is not difficult to prove that  $f_u(N \times [1, \infty)) = N \times [1, \infty)$  and that  $f_u$  is a diffeomorphism.

We denote by  $\partial_\infty Q$  the space at infinity of  $Q$  with respect to the  $\sigma(u_0)$  metric. Recall that the elements of  $\partial_\infty Q$  are equivalence classes  $[\beta]$  of  $\sigma(u_0)$  quasi-geodesic rays  $\beta : [a, \infty) \rightarrow Q = \mathbb{S}^1 \times \mathbb{R}^{n-1}$  (see Section 2). Note that, since all metrics  $\sigma(u)$  are quasi-isometric, a  $\sigma(u)$  quasi-geodesic ray is a  $\sigma(u')$  quasi-geodesic ray, for any  $u, u' \in \mathbb{D}^{k+1}$ . Hence  $\partial_\infty Q$  is independent of the metric  $\sigma(u)$  used (see (v) and Lemma 2.6). Still, the choice of a  $u \in \mathbb{D}^{k+1}$  gives canonical elements in each equivalence class in  $\partial_\infty Q$ : just choose the unique unit speed  $\sigma(u)$  geodesic ray that “converges” (that is, “belongs”) to the class, and that emanates  $\sigma(u)$ -orthogonally from  $\mathbb{S}^1 \subset Q$ . If we choose the  $\sigma(u_0)$  metric, this set of geodesic rays is in one-to-one correspondence with  $N = \mathbb{S}^1 \times \mathbb{S}^{n-2} \subset Q$ . We identify  $N \times \{\infty\}$  with  $\partial_\infty Q$  by  $((z, v), \infty) \mapsto [c_{(z,v)}^{u_0}]$ . Hence we can write now  $(Q \setminus \text{int } W) \cup \partial_\infty Q = (N \times [1, \infty)) \cup \partial_\infty Q = N \times [1, \infty]$  (see Lemma 2.5).

We now extend each  $f_u$  to a map  $f_u : N \times [1, \infty] \rightarrow N \times [1, \infty]$  in the following way. For  $((z, v), \infty) = [c_{(z,v)}^{u_0}]$ , define  $f_u([c_{(z,v)}^{u_0}]) = [f_u(c_{(z,v)}^{u_0})]$ . Recall that, as we mentioned before, we have  $f_u(c_{(z,v)}^{u_0}(t)) = c_{(z',s)}^u(|s|t)$ , for  $\exp_{z'}^u(s) = (z, v) \in Q$ ,  $t \geq 1$ . That is,  $f_u(c_{(z,v)}^{u_0})$  is a  $\sigma(u)$  geodesic ray, and hence it is a  $\sigma(u_0)$  quasi-geodesic ray. Therefore  $[f_u(c_{(z,v)}^{u_0})]$  is a well-defined element in  $\partial_\infty$ .

We will write  $\exp = \exp^{u_0}$ . Also, as in Section 2, we will write  $\exp(\infty v) = [c_v]$ , for  $v \in N$ .

**Claim 3.**  $f_u : N \times [1, \infty] \rightarrow N \times [1, \infty]$  is a homeomorphism.

*Proof of Claim 3.* Note that  $f_u$  is already continuous (even differentiable) on  $Q$ . We have to prove that  $f_u$  is continuous on points in  $\partial_\infty Q$ . Let  $q_n = \exp(t_n v_n) \rightarrow [c_v]$ ,  $v, v_n \in N$ ,  $t_n \in [0, \infty]$ . Then, by Lemma 2.5,  $v_n \rightarrow v$  and  $t_n \rightarrow \infty$ . Let  $u \in \mathbb{D}^{k+1}$  and write  $f = f_u$ . We have to prove that  $q'_n = f(q_n)$  converges to  $f([c_v]) = [f(c_v)]$ . Write  $w_n = (\exp^u)^{-1}(q_n)$ . Then  $w_n \rightarrow w = (\exp^u)^{-1}(v) \neq 0$ . Note that  $f([c_v]) = [f(c_v)] = [c_w^u]$ , where  $c_w^u$  is the  $\sigma(u)$  geodesic ray  $t \mapsto \exp^u(tw)$ .

Note also that, by definition,  $f(q_n) = \exp^u(t_n w_n)$ . The claim follows now from Lemmas 2.5 and 2.6.

**Claim 4.**  $f_u$  is continuous in  $u \in \mathbb{D}^{k+1}$ .

*Proof of Claim 4.* Note that we know that  $u \mapsto f_u|_Q$  is continuous. Let  $q_n = \exp(t_n v_n) \rightarrow [c_v]$ ,  $v, v_n \in N$ ,  $t_n \in [0, \infty]$ . Then, by Lemma 2.5,  $v_n \rightarrow v$  and  $t_n \rightarrow \infty$ . Let also  $u, u_n \in \mathbb{D}^{k+1}$  with  $u_n \rightarrow u$ . To simplify our notation we assume that  $u = u_0$  (the proof for a general  $u$  is obtained by properly writing the superscript  $u$  on some symbols; see also Lemma 2.6). Hence, by the previous identifications,  $\exp^{u_0} = \exp : T = Q \rightarrow Q$  is just the identity and  $f_{u_0}$  is also the identity. Write  $f_n = f_{u_n}$  and  $w_n = (\exp^{u_n})^{-1}(v_n)$ . Then  $w_n \rightarrow (\exp^{u_0})^{-1}(v) = v$ . We have to prove that  $q'_n = f_n(q_n) = \exp^{u_n}(t_n w_n) = c_{w_n}^{u_n}(t_n)$  converges to  $f([c_v]) = [c_v]$ . Note that  $c_{w_n}^{u_n}(1) = \exp^{u_n}(w_n) = v_n \rightarrow v$ . To prove that  $q'_n \rightarrow [c_v]$  we will work in  $\tilde{Q}$  instead of  $Q$ . Therefore we “lift” everything to  $\tilde{Q}$  and we express this by writing the superscript *tilde* over each symbol. Hence we have  $\tilde{v}, \tilde{w}_n \in \tilde{N}$ ,  $u, u_n \in \mathbb{D}^{k+1}$ ,  $t_n > 0$  satisfying

1.  $\tilde{w}_n \rightarrow \tilde{v}$  and  $c_{\tilde{w}_n}^{u_n}(1) = \exp^{u_n}(\tilde{w}_n) \rightarrow \tilde{v}$ ,
2.  $u_n \rightarrow u_0$ , hence  $\tilde{\sigma}(u_n) \rightarrow \tilde{\sigma}(u_0) = \tilde{g}$ .

We have then that  $c_{\tilde{v}}$  is a  $\tilde{g}$  geodesic ray and the  $c_{\tilde{w}_n}^{u_n}$  are  $\tilde{\sigma}(u)$  geodesic rays. Write  $c^n = c_{\tilde{w}_n}^{u_n}$  and  $\tilde{q}'_n = c^n(t_n)$ . We have to prove that  $\tilde{q}'_n \rightarrow [c_{\tilde{v}}]$ . Since  $u_n \rightarrow u_0$ , the maps  $\exp^{u_n} \rightarrow \exp = 1_{\tilde{Q}}$  (in the compact-open topology). Therefore

- (\*) for any  $r, \delta > 0$  there is  $n_0$  such that  $\tilde{d}(c^n(t), c_{\tilde{v}}(t)) < \delta$ , for  $t \leq r$ , and  $n \geq n_0$ .

Since  $c_{\tilde{v}}$  is a unit speed geodesic (i.e., a (1,0)-quasi-geodesic ray), by (1) and (2), for large  $n$  we have that  $c^n = c_{\tilde{w}_n}^{u_n}$  is a  $\tilde{\sigma}(u)$  (2,0)-quasi-geodesic ray. By (v) above and Lemma 2.1 the identity  $(\tilde{Q}, \tilde{\sigma}(u)) \rightarrow (\tilde{Q}, \tilde{g})$  is a  $(\lambda, 0)$ -quasi isometry, where  $\lambda = \max\{\frac{a^2}{b^2}, \frac{b^2}{a^2}\}$ . Therefore, we have that  $c^n$  is a  $\tilde{g}$  (2 $\lambda$ ,0)-quasi-geodesic ray. Let  $K = K(2\lambda, 0, c_2)$  be as in item 6 of Section 2, and  $c_2$  is as in (vi) above. Then there is a unit speed  $\tilde{g}$  geodesic ray  $\beta_n(t)$ ,  $t \in [1, a_n]$ , that is at  $K$  Hausdorff distance from  $c^n$ ,  $t \in [1, t_n]$ , and has the same endpoints:  $\beta_n(1) = c^n(1) \rightarrow \tilde{v}$  and  $\beta_n(a_n) = c^n(t_n) = \tilde{q}'_n$ . Note that  $a_n \rightarrow \infty$  because  $t_n \rightarrow \infty$ . We have that (\*) above (take  $\delta = 1$  in (\*)) implies that

- (\*\*) given an  $r > 0$  there is a  $n_0$  such that  $\tilde{d}(c_{\tilde{v}}(t), \beta_n) \leq C = K + 1$ , for  $t \leq r$  and  $n \geq n_0$ .

Since  $\tilde{Q}$  is complete and simply connected, we can extend each  $\beta_n$  to a geodesic ray  $\beta_n : [1, \infty] \rightarrow \tilde{Q}$ . Then  $[\beta_n] \in \partial_\infty \tilde{Q}$ . Let  $\beta'_n(t)$ ,  $t \in [1, \infty]$  be the unit speed  $\tilde{g}$  geodesic ray with  $\beta'_n(1) = \tilde{v}$ ,  $\beta'_n(\infty) = \beta_n(\infty)$ .

Therefore  $\tilde{d}(\beta_n(t), \beta'_n(t)) \leq \tilde{d}(\beta_n(1), \beta'_n(1)) = \tilde{d}(c^n(1), \tilde{v}) \rightarrow 0$ . We can assume then that  $\tilde{d}(\beta_n(t), \beta'_n(t)) \leq 1$ , for all  $n$  and  $t \geq 1$ . Hence, a version of (\*\*) holds with  $\beta'_n$  instead of  $\beta_n$  and  $C + 1$  instead of  $C$ . This new version of (\*\*) implies that  $[\beta'_n] \rightarrow [c_{\tilde{v}}]$ , and this together with condition (1) implies  $\beta'_n(t) \rightarrow c_{\tilde{v}}(t)$ , for every  $t \in [1, \infty]$ . Since  $[\beta'_n] \rightarrow [c_{\tilde{v}}]$  and  $a_n \rightarrow \infty$ , we have that  $\beta'_n(a_n) \rightarrow [c_{\tilde{v}}]$ . But  $\tilde{d}(\tilde{q}'_n, \beta'_n(a_n)) = \tilde{d}(\beta_n(a_n), \beta'_n(a_n)) \leq 1$ ; therefore  $\tilde{q}'_n \rightarrow [c_{\tilde{v}}]$ . This proves the claim.

**Claim 5.** For all  $u \in \mathbb{S}^k$  we have  $f_u|_{Q \setminus W} = (\psi_u)|_{Q \setminus W}$  and  $(f_u)|_{\partial_\infty} = 1_{\partial_\infty}$ .

*Proof of Claim 5.* Let  $u \in \mathbb{S}^k$ . Since  $\sigma(u) = g$  on  $W$ , then  $T^u = T^{u_0} = \mathbb{S}^1 \times \mathbb{R}^{n-1}$  and  $\exp_z^u(v) = (z, v)$  for all  $z \in \mathbb{S}^1$  and  $|v| \leq 1$ . It follows that  $f_u(c_{u_0}(z, v)(t)) = c_u(z, v)(t)$ , for  $t \geq 1$ . On the other hand, since  $\sigma(u) = (\phi_u)_* \sigma(u_0)$  we have that  $\psi : (Q, \sigma(u_0)) \rightarrow (Q, \sigma(u))$  is an isometry. Hence  $\psi_u(c_{u_0}(z, v)(t))$ ,  $t \geq 0$ , is a  $\sigma(u)$  geodesic. Since  $\psi_u$  is the identity in  $W \subset U'$ , we have  $\psi_u(z) = z$  and  $(\psi_u)_* v = v$ . Therefore  $\psi_u(c_{u_0}(z, v)(t))$ ,  $t \geq 0$  is the  $\sigma(u)$  geodesic that begins at  $z$  with direction  $v$ . Thus  $\psi_u(c_{u_0}(z, v)(t)) = c_u(z, v)(t)$ , for  $t \geq 0$ . Consequently,  $f_u(c_{u_0}(z, v)(t)) = \psi_u(c_{u_0}(z, v)(t))$ ,  $t \geq 1$ . This proves  $f_u|_{Q \setminus W} = (\psi_u)|_{Q \setminus W}$  because every point in  $Q \setminus W$  belongs to some  $\sigma(u_0)$  geodesic  $c_{u_0}(z, v)(t)$ . Now, since  $\psi_u$  is at bounded distance from the identity (recall that (iii) above holds for  $\psi$ ), then  $f_u(c_{u_0}(z, v))$  is at bounded distance from  $c_{u_0}(z, v)$ , and thus they define the same point in  $\partial_\infty$ . Therefore  $f_u([c_{u_0}(z, v)]) = [c_{u_0}(z, v)]$ . Hence  $(f_u)|_{\partial_\infty} = 1_{\partial_\infty}$ . This proves the claim.

By means of an orientation-preserving homeomorphism  $[1, \infty] \rightarrow [0, 1]$ , we can identify  $[1, \infty]$  with  $[0, 1]$ . It follows from Claim 3 that we can consider  $f_u \in P(N)$ . And we obtain, by Claim 4, a continuous map  $f : \mathbb{D}^{k+1} \rightarrow P(N)$ . We choose this identification map to be linear when restricted to the interval  $[r, 2r]$  with image the interval  $[\frac{1}{3}, \frac{2}{3}]$ . The next claim proves Theorem 1.

**Claim 6.**  $f|_{\mathbb{S}^k}$  is homotopic to  $\iota_N \theta$ .

*Proof of Claim 6.* Let  $u \in \mathbb{S}^k$ . Recall that  $\psi_u$  is the identity outside the union of  $\bigcup U'_i$  and  $U'$  and inside the closed normal geodesic tubular neighborhood of width  $r$  of  $\alpha_0 = \mathbb{S}^1$  (see (iii) above). In particular,  $\psi_u$  is the identity on  $W$ . From (iv') (and (1)) above we have

$$(\psi_u)|_{U'} = [\Phi^Q(\alpha_0, E, r)\theta(u)]|_{U'}, \text{ for } u \in \mathbb{S}^k.$$

Recall also that each  $U'_i$  is diffeomorphic to  $\mathbb{D}^{n-1} \times \mathbb{R}$ . Let  $\bar{\alpha}_0$  be the (not necessarily embedded) closed  $g$  geodesic which is the image of  $\alpha_0 \subset Q$  by the covering map  $Q \rightarrow M$ . Note that  $U_i$  is the  $2r$  normal geodesic

tubular neighborhood of a lifting  $\beta_i$  of  $\alpha \subset M$  which is diffeomorphic to  $\mathbb{R}$ . Since  $\alpha \subset M$  is freely homotopic to the closed geodesic  $\bar{\alpha}_0 \subset M$ , we have that  $\beta_i$  is at finite distance from some embedded geodesic line which is a lifting of  $\bar{\alpha}_0$ . Therefore the closure of  $U_i$  in  $Q \cup \partial_\infty$  is formed exactly by the two points at infinity determined by this geodesic line. Consequently, the closure  $\bar{U}_i$  of each  $U_i$  is homeomorphic to  $\mathbb{D}^n$  and intersects  $\partial_\infty$  in exactly two different points. Now, applying Alexander's trick to each  $\psi|_{\bar{U}_i}$ , we obtain an isotopy (rel  $U'$ ) that isotopes  $\phi_u$  to a map that is the identity outside  $U' \setminus \text{int}(W)$ , and coincides with  $\psi_u$  on  $U'$ , that is, coincides with  $\Phi^Q(\alpha_0, E[\frac{1}{3}, \frac{2}{3}], r)\theta(u)$  on  $U'$ . (Note that this isotopy can be defined because the diameters of the closed sets  $\bar{U}_i$  in  $(Q \setminus \text{int} W) \cup \partial_\infty = N \times [1, \infty]$  converge to zero as  $i \rightarrow \infty$ .) Here we refer to any metric compatible with the topology of  $N \times [1, \infty]$ .) Therefore  $\psi_u$  is canonically isotopic to a map  $\vartheta_u$  that is the identity outside  $U'$  and on  $U'$  coincides with  $\Phi^Q(\alpha_0, E, r)\theta(u)$ . In fact,  $\vartheta_u$  is the identity outside  $N \times [r, 2r] \subset U \setminus W \subset N \times [1, \infty]$ . That is, for  $t \in [1, r] \cup [2r, \infty]$ ,  $\vartheta_u((z, v), t) = ((z, v), t)$ ,  $(z, v) \in N$ .

On the other hand, we can deform  $\theta_u$  to  $\theta'_u$ , where  $\theta'_u$  is the identity on  $N \times ([0, \frac{1}{3}] \cup [\frac{2}{3}, 1])$  and  $\theta'_u((z, v), t) = \theta'_u((z, v), 3t - 1)$ , for  $t \in [\frac{1}{3}, \frac{2}{3}]$ . Finally, using the identification mentioned before this claim, we obtain that  $\theta' = \vartheta$ . This proves Claim 6 and Theorem 1.

#### 4. Proof of Theorem 2

First, we recall some definitions and introduce some notation. For a compact manifold  $M$ , the spaces of smooth and topological pseudo-isotopies of  $M$  are denoted by  $P^{diff}(M)$  and  $P(M)$ , respectively. Both  $P^{diff}(M)$  and  $P(M)$  are groups with composition as the group operation. We have stabilization maps  $\Sigma : P(M) \rightarrow P(M \times I)$ . The direct limit of the sequence  $P(M) \rightarrow P(M \times I) \rightarrow P(M \times I^2) \rightarrow \dots$  is called the space of stable topological pseudo-isotopies of  $M$ , and it is denoted by  $\mathcal{P}(M)$ . We define  $\mathcal{P}^{diff}(M)$  in a similar way. The inclusion  $P^{diff}(M) \rightarrow P(M)$  induces an inclusion  $\mathcal{P}^{diff}(M) \rightarrow \mathcal{P}(M)$ . We mention two important facts:

1.  $\mathcal{P}^{diff}(-), \mathcal{P}(-)$  are homotopy functors.
2. The maps  $\pi_k(P^{diff}(M)) \rightarrow \pi_k(\mathcal{P}^{diff}(M)), \pi_k(P(M)) \rightarrow \pi_k(\mathcal{P}(M))$  are isomorphisms for  $\max\{2k + 9, 3k + 7\} \leq \dim M$  (see [16]).

**Lemma 4.1.** *For every  $k$  and every compact smooth manifold  $M$ , the kernel and the cokernel of  $\pi_k(\mathcal{P}^{diff}(M)) \rightarrow \pi_k(\mathcal{P}(M))$  are finitely generated.*

*Proof.* We have a long exact sequence (see [13], p.12):  $\dots \rightarrow \pi_{k+1}(\mathcal{P}_S(M)) \rightarrow \pi_k(\mathcal{P}^{diff}(M)) \rightarrow \pi_k(\mathcal{P}(M)) \rightarrow \pi_k(\mathcal{P}_S(M)) \rightarrow \dots$ , where  $\mathcal{P}_S(M) = \lim_n \Omega^n \mathcal{P}(S^n M)$ . An important fact here is that  $\pi_*(\mathcal{P}_S(M))$  is a homology theory with coefficients in  $\pi_{*-1}(\mathcal{P}^{diff}(*))$ . Since these groups are finitely generated (see [4]), the lemma follows. q.e.d.

Lemma 4.1 together with (2) imply:

**Corollary 4.2.** *For every  $k$  and smooth manifold  $M^n$  the kernel and the cokernel of  $\pi_k(\mathcal{P}^{diff}(M)) \rightarrow \pi_k(\mathcal{P}(M))$  are finitely generated for  $\max\{2k + 9, 3k + 7\} \leq \dim M$ .*

Write  $\iota' : DIFF((\mathbb{S}^1 \times \mathbb{S}^{n-2}) \times I, \partial) \rightarrow P^{diff}(\mathbb{S}^1 \times \mathbb{S}^{n-2})$ . Since  $\iota_{\mathbb{S}^1 \times \mathbb{S}^{n-2}} : DIFF((\mathbb{S}^1 \times \mathbb{S}^{n-2}) \times I, \partial) \rightarrow P(\mathbb{S}^1 \times \mathbb{S}^{n-2})$  factors through  $\iota'$ , Corollary 4.2 implies that to prove Theorem 2 it is enough to prove:

**Theorem 4.3** *Let  $p$  be a prime integer ( $p \neq 2$ ) such that  $6p - 5 < n$ . Then for  $k = 2p - 4$  we have that  $\pi_k(DIFF(\mathbb{S}^1 \times \mathbb{S}^{n-2} \times I, \partial))$  contains  $(\mathbb{Z}_p)^\infty$  and  $\pi_k(\iota')$  restricted to  $(\mathbb{Z}_p)^\infty$  is one-to-one. When  $p = 2$ ,  $n$  needs to be  $\geq 10$ . Also, if  $n \geq 14$ , then  $\pi_1(DIFF(\mathbb{S}^1 \times \mathbb{S}^{n-2} \times I, \partial))$  contains  $(\mathbb{Z}_2)^\infty$  and  $\pi_1(\iota')$  restricted to  $(\mathbb{Z}_2)^\infty$  is one-to-one.*

We will need a little more structure. There is an involution “ $-$ ” defined on  $P^{diff}(M)$  by turning a pseudo-isotopy upside down. For  $M$  closed we can define this involution easily in the following way. Let  $f \in P^{diff}(M)$ . Define  $\bar{f} = ((f_1)^{-1} \times 1_I) \circ \hat{f}$ , where  $\hat{f} = r \circ f \circ r$ ,  $r(x, t) = (x, 1 - t)$ , and  $(f_1(x), 1) = f(x, 1)$ . This involution homotopy anti-commutes with the stabilization map  $\Sigma$ ; hence the involution can be extended to  $\mathcal{P}(M)$ . This involution induces an involution  $- : \pi_k(\mathcal{P}(M)) \rightarrow \pi_k(\mathcal{P}(M))$  at the  $k$ -homotopy level. We define now a map  $\Xi : P^{diff}(M) \rightarrow P^{diff}(M)$  by  $\Xi(f) = f \circ \bar{f}$ , and extend this map to  $\mathcal{P}^{diff}(M)$ . We have four comments:

- i. For  $f \in P^{diff}(M)$ ,  $\Xi(f)|_{M \times \{1\}} = 1_{M \times \{1\}}$ . Therefore  $\Xi(f) \in DIFF(M \times I, \partial)$ . Hence the map  $\Xi : P^{diff}(M) \rightarrow P^{diff}(M)$  factors through  $DIFF(M \times I, \partial)$ .
- ii. Since  $P^{diff}(M)$  is a topological group, for  $x \in \pi_k(P^{diff}(M))$  we have that  $\pi_k(\Xi)(x) = x + \bar{x}$ .
- iii. The following diagram commutes

$$\begin{array}{ccc} P^{diff}(M) & \rightarrow & P^{diff}(M) \\ \downarrow & & \downarrow \\ \mathcal{P}^{diff}(M) & \rightarrow & \mathcal{P}^{diff}(M) \end{array}$$

where the horizontal lines are both either “ $-$ ” or  $\Xi$ . Hence we have an analogous diagram at the homotopy group level.

- iv. We mentioned in (1) that  $\mathcal{P}^{diff}(-)$  is a homotopy functor. But the conjugation “ $-$ ” defined on  $\mathcal{P}^{diff}(M)$  depends on  $M$ . In any

event, we have that  $\mathcal{P}^{diff}(-)$  preserves the conjugation “ $-$ ” up to multiplication by  $\pm 1$ .

Note that (i) above implies that  $\pi_k(\Xi) : \pi_k(\mathcal{P}^{diff}(\mathbb{S}^1 \times \mathbb{S}^{n-2})) \rightarrow \pi_k(\mathcal{P}^{diff}(\mathbb{S}^1 \times \mathbb{S}^{n-2}))$  factors through  $\pi_k(DIFF((\mathbb{S}^1 \times \mathbb{S}^{n-2}) \times I, \partial))$ . Therefore, to prove Theorem 4.3 it is enough to prove:

**Proposition 4.4.** *For every  $k = 2p - 4$ ,  $p$  prime integer ( $p \neq 2$ ),  $6p - 5 < n$ , we have that  $\pi_k(\mathcal{P}^{diff}(\mathbb{S}^1 \times \mathbb{S}^{n-2}))$  contains  $(\mathbb{Z}_p)^\infty$ . Also  $\pi_1(\mathcal{P}^{diff}(\mathbb{S}^1 \times \mathbb{S}^{n-2}))$  contains  $(\mathbb{Z}_2)^\infty$ , provided  $n \geq 14$ , and  $\pi_0(\mathcal{P}^{diff}(\mathbb{S}^1 \times \mathbb{S}^{n-2}))$  contains  $(\mathbb{Z}_2)^\infty$ , provided  $n \geq 10$ . Moreover, in all cases above,  $\pi_k(\Xi)$  restricted these subgroups is one-to-one.*

By (2) and (iii), to prove Proposition 4.4 it is enough to prove the following stabilized version:

**Proposition 4.5.** *For every  $k = 2p - 4$ ,  $p$  prime integer ( $p \neq 2$ ),  $6p - 5 < n$ , we have that  $\pi_k(\mathcal{P}^{diff}(\mathbb{S}^1 \times \mathbb{S}^{n-2}))$  contains  $(\mathbb{Z}_p)^\infty$ . Also  $\pi_1(\mathcal{P}^{diff}(\mathbb{S}^1 \times \mathbb{S}^{n-2}))$  contains  $(\mathbb{Z}_2)^\infty$ , provided  $n \geq 14$ , and  $\pi_0(\mathcal{P}^{diff}(\mathbb{S}^1 \times \mathbb{S}^{n-2}))$  contains  $(\mathbb{Z}_2)^\infty$ , provided  $n \geq 10$ . Moreover, in all cases above,  $\pi_k(\Xi)$  restricted these subgroups is one-to-one.*

Since  $\mathbb{S}^1$  is a retract of  $\mathbb{S}^1 \times \mathbb{S}^{n-2}$ , (1) implies that  $\pi_k(\mathcal{P}^{diff}(\mathbb{S}^1))$  is a direct summand of  $\pi_k(\mathcal{P}^{diff}(\mathbb{S}^1 \times \mathbb{S}^{n-2}))$ . Therefore, by (ii) and (iv), to prove Proposition 4.5 it is enough to prove the following version for  $\mathbb{S}^1$ :

**Proposition 4.6.** *For every  $k = 2p - 4$ ,  $p$  prime integer, we have that  $\pi_k(\mathcal{P}^{diff}(\mathbb{S}^1))$  contains  $(\mathbb{Z}_p)^\infty$ . Also  $\pi_1(\mathcal{P}^{diff}(\mathbb{S}^1))$  contains  $(\mathbb{Z}_2)^\infty$ . Moreover, in these cases, the two group endomorphisms  $x \mapsto x + \bar{x}$  and  $x \mapsto x - \bar{x}$  are both one-to-one when restricted to these subgroups.*

*Proof.* For a finite complex  $X$ , Waldhausen [19] proved that the kernel of the split epimorphism

$$\zeta_k : \pi_k(A(X)) \rightarrow \pi_{k-2}(\mathcal{P}^{diff}(X))$$

is finitely generated. Recall that the conjugation in  $\mathcal{P}^{diff}(X)$  is defined by turning a pseudo-isotopy upside down. It is also possible to define a conjugation “ $-$ ” on  $A(X)$  such that  $\zeta_k$  preserves conjugation up to multiplication by  $\pm 1$  (see [18]). The induced map at the  $k$ -homotopy level will also be denoted by “ $-$ ”.

We recall a result proved in [14]. For a space  $X$ , we have that  $\pi_k(A(X \times \mathbb{S}^1))$  naturally decomposes as a sum of four terms,

$$\pi_k(A(X \times \mathbb{S}^1)) = \pi_k(A(X)) \oplus \pi_{k-1}(A(X)) \oplus \pi_k(N_- A(X)) \oplus \pi_k(N_+ A(X)),$$

and the conjugation leaves invariant the first two terms and interchanges the last two.

The following result is crucial to our argument:

**Theorem** ( $p$ -torsion of  $\pi_{2p-2}A(\mathbb{S}^1)$ ). For every prime  $p$  the subgroup of  $\pi_{2p-2}(A(\mathbb{S}^1))$  consisting of all elements of order  $p$  is isomorphic to  $(\mathbb{Z}_p)^\infty$ .

A proof of this result was given by J. Grunewald, J. R. Klein, and T. Macko in [11]. (It should be noted that in a personal communication Tom Goodwillie had previously given us a sketch of a proof of this theorem. We are grateful to him for this.)

Also, Igusa ([15], Part D, Theorem 2.1), building on work of Waldhausen [19], proved the following:

**Addendum.**  $\pi_3A(\mathbb{S}^1)$  contains  $(\mathbb{Z}_2)^\infty$ .

**Remark.** The special case of the  $p$ -torsion Theorem above, when  $p = 2$ , is also due to Igusa (see [15], Theorem 8.a.2).

Now, take  $X = *$  in the decomposition formula above. Recall that Dwyer showed that  $\pi_k(A(*))$  is finitely generated for all  $k$ . Therefore the theorem above implies that at least one of the summands  $\pi_k(N_-A(*))$ ,  $\pi_k(N_+A(*))$  in the above formula contains  $(\mathbb{Z}_p)^\infty$ , for  $k = 2p - 2$ , and contains  $(\mathbb{Z}_2)^\infty$  when  $k = 3$  by the addendum. Hence  $y \mapsto y + \bar{y}$  and  $y \mapsto y - \bar{y}$ ,  $y \in (\mathbb{Z}_p)^\infty$ , are both one-to-one. Since  $\zeta_k : \pi_k(A(X)) \rightarrow \pi_{k-2}(\mathcal{P}^{diff}(X))$  has finitely generated kernel, we can assume (by passing to a subgroup of finite index) that  $y \mapsto \zeta_k(y + \bar{y})$  and  $y \mapsto \zeta_k(y - \bar{y})$ ,  $y \in (\mathbb{Z}_p)^\infty$ , are also one-to-one. It follows that  $x \mapsto x + \bar{x}$  and  $x \mapsto x - \bar{x}$ ,  $x \in \zeta_k((\mathbb{Z}_p)^\infty)$ , are one-to-one. Finally, the same argument shows that  $x \mapsto x + \bar{x}$  and  $x \mapsto x - \bar{x}$ ,  $x \in \zeta_3((\mathbb{Z}_2)^\infty)$ , are one-to-one. q.e.d.

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