# SOME REMARKS ON FOLIATIONS WITH MINIMAL LEAVES

# ANDRÉ HAEFLIGER

Let  $\mathcal{F}$  be a foliation on a manifold X of dimension n = p + q, the leaves being submanifolds of dimension p and codimension q. Everything will be assumed to be of class  $C^{\infty}$ . The question of the existence of a riemannian metric on X for which all the leaves are minimal submanifolds has been discussed by Rummler [5] and Sullivan [7]. Assume for simplicity that the tangent bundle  $T\mathcal{F}$  of the leaves of the foliation is orientable and oriented. They prove the following criterion.

**Theorem** (Rummler-Sullivan.) Let  $g_0$  be a smooth scalar product on  $T\mathfrak{F}$ . It is induced by a riemannian metric g on X for which the leaves are minimal submanifolds iff the volume p-form  $\omega_0$  on the leaves defined by  $g_0$  (and the orientation) is the restriction to the leaves of a p-form  $\omega$  on X which is relatively closed, namely,  $d\omega(\xi_1, \dots, \xi_{p+1}) = 0$  if the first p vector fields  $\xi_i$  are tangent to the leaves.

Using the above criterion Rummler and Sullivan proved the existence or the nonexistence of such a metric in many interesting cases. Our goal is to prove that for a compact X the above condition depends only on the transverse structure of  $\mathcal{F}$ , and to deduce from this some consequences.

We first give a short proof of the Rummler-Sullivan criterion. Let  $\nu$  be a vector field on a small open set U of X such that the local flow  $\varphi_t$  generated by  $\nu$  maps leaves to leaves. Let  $K_0 \subset U$  be a piece of a leaf and let  $K_t = \varphi_t(K_0)$ . Consider a p-form  $\omega$  on X extending  $\omega_0$ . Then for t = 0

$$\frac{d}{dt}(\text{volume } K_t) = \frac{d}{dt} \int_{K_t} \omega = \frac{d}{dt} \int_{K_0} \varphi_t^* \omega = \int_{K_0} \nu . \omega = \int_{K_0} di_{\nu} \omega + i_{\nu} d\omega,$$

where  $v.\omega$  is the Lie derivative of  $\omega$  in the direction of v. Assume there is a metric g extending  $g_0$  such that all the leaves are minimal. Define  $\omega$  such that  $i_{\nu}\omega$  vanishes on each leaf for  $\nu$  orthogonal to the leaves. For such a  $\nu$ ,  $(d/dt)(\text{volume } K_t) = 0$ , hence the above formula shows that  $di_{\nu}\omega$  vanishes on the leaves, so  $\omega$  is relatively closed.

Received March 20, 1979, and, in revised form, November 24, 1979.

Conversely, let  $\omega$  be a relatively closed form extending  $\omega_0$ . At a point  $x \in X$ , the vectors  $\xi$  such that  $i_{\xi}\omega = 0$  form a vector subspace  $N_x$  of  $T_xX$  complementary to the tangent space of the leaf through x. Consider any metric g extending  $g_0$  and such that  $N_x$  is orthogonal to the tangent space of the leaf at x, for all  $x \in X$ . Then the above formula shows that for  $\nu$  orthogonal to the leaves, the first variation (d/dt)(volume  $K_i$ ) is zero for any piece  $K_0$  in a leaf. So each leaf is a minimal submanifold.

# 1. FORMS AND CURRENTS ON THE TRANSVERSE STRUCTURE OF A FOLIATION

# 1.1. Morphisms of pseudogroups

Recall that a pseudogroup H of diffeomorphisms of a manifold T is a collection of diffeomorphisms of open sets of T on open sets of T, which contains the identity map of T and is closed under composition (whenever it is defined), inverses, restrictions to open sets, and unions.

Consider two pseudogroups H and H' of diffeomorphisms of T and T' respectively. A morphism  $\Phi: H \to H'$  is a collection  $\Phi$  of diffeomorphism of open sets of T on open sets of T' such that:

- (i) the sources of the  $\varphi \in \Phi$  cover T,
- (ii) if  $h \in H$  and  $\varphi_1, \varphi_2 \in \Phi$ , then  $\varphi_1 h \varphi_2^{-1} \in H'$ ,
- (iii) if  $h \in H$ ,  $h' \in H'$ ,  $\varphi \in \Phi$ , then  $h'\varphi h \in \Phi$ ,
- (iv)  $\Phi$  is closed under unions.

Any collection  $\Phi_0$  such that

(a) the *H*-orbit of each point of *T* intersects the source of a  $\varphi \in \Phi$ ,

(b) if  $h \in H$ , and  $\varphi_1, \varphi_2 \in \Phi_0$ , then  $\varphi_1 h \varphi_2^{-1} \in H'$  can be uniquely completed as a collection  $\Phi$  satisfying (i)-(iv) by considering all unions of elements of the form  $h'\varphi h$ ,  $\varphi \in \Phi$ ,  $h \in H$ ,  $h' \in H'$ . Such a  $\Phi_0$  will be called an atlas generating the morphism  $\Phi$ .

If  $\Phi'$  is a morphism of H' in H'', then the collection of all  $\varphi'.\varphi, \varphi \in \Phi$ ,  $\varphi \in \Phi'$ , generates a morphism of H in H''. Under this composition, morphisms form a category.  $\Phi_0$  generates an isomorphism (or an equivalence) of H on H' iff the union of the targets of the  $\varphi \in \Phi_0$  intersects each orbit of H'and  $\varphi_2^{-1}h'\varphi_1 \in H$  for any  $\varphi_1, \varphi_2 \in \Phi_0, h' \in H$ . In that case we say that H is equivalent to H'. For instance, let U be an open subset of T and let  $H_U$  be the pseudogroup of diffeomorphisms of U whose elements are the restriction to U of the elements of H. Then the inclusion of U in T generates a morphism of  $H_U$  in H, and is an isomorphism iff U meets each orbit of H. In the case where the space T/H of H-orbits is a differentiable manifold, the

 $\mathbf{270}$ 

natural projection  $p: T \rightarrow T/H$  being locally a diffeomorphism, H is equivalent to the trivial pseudogroup on T/H (generated by the identity).

## 1.2. Forms and currents on T/H

Let  $\Omega_c^p(T)$  be the vector space of smooth *p*-forms on *T* with compact support, and denote by  $\Omega_c^p(T/H)$  the quotient of  $\Omega_c^p(T)$  by the vector subspace generated by elements of the form  $\alpha - h^*\alpha$ , where  $h \in H$ , and  $\alpha$  is a *p*-form with compact support in the range of *h*. On  $\Omega_c^p(T/H)$  we consider the topology obtained by taking the quotient of the usual  $C^{\infty}$ -topology on  $\Omega_c^p(T)$ . In general this topology is not Hausdorff (see examples below).

The exterior differential  $d: \Omega_c^p(T) \to \Omega_c^{p+1}(T)$  induces a continuous differential

$$d: \Omega_c^p(T/H) \to \Omega_c^{p+1}(T/H).$$

Thus we associated to H a differential graded topological vector space  $\Omega_c^*(T/H)$ . We shall see below that it depends only on the equivalence class of H, and its dual is naturally isomorphic to the space of invariant currents on T. Indeed let  $C_p(T)$  be the space of *p*-currents on T, namely, the vector space of continuous linear forms on  $\Omega_c^p(T)$ . A *p*-current *c* is invariant by H if for any  $h \in H$  and any *p*-form  $\alpha$  with support in the range of *h*, then  $c(\alpha) = c(h^*\alpha)$ . So it defines a continuous linear form on the quotient  $\Omega_c^p(T/H)$ . If  $\alpha \in \Omega_c^p(T)$  is such that  $c(\alpha) = 0$  for all invariant current *c*, the class of  $\alpha$  in  $\Omega_c^p(T/H)$  is not zero in general, but is in the closure of the kernel of the projection  $\Omega_c^p(T) \to \Omega_c^p(T/H)$ . For this reason, it is in general easier to describe the space  $C^p(T)^H$  of invariant currents.

**Proposition.** A morphism  $\Phi$  of H in H' induces functorially a continuous morphism of differential graded vector spaces

$$\Phi^*: \Omega^*_c(T/H) \to \Omega^*_c(T'/H').$$

*Proof.* We can express each  $\alpha \in \Omega_c^p(T)$  as a finite sum

$$\alpha = \sum_{\varphi \in \Phi} \alpha_{\varphi},$$

where  $\alpha_{\varphi}$  is a *p*-form with compact support in the source  $U_{\varphi}$  of  $\varphi \in \Phi$ , and is zero except for a finite number of  $\phi$ .

The map  $\Phi^*$  associates to the class of  $\alpha$  the class  $\sum_{\omega} (\varphi^{-1})^* \alpha_{\omega}$  in  $\Omega_c^p(T'/H')$ .

We have to check that this definition is independent of the choice of the decomposition of  $\alpha$  and the choice of  $\alpha$  in its class. For the first part, it is sufficient to note that if  $\lambda_{\varphi}$  is a partition of unity subordinated to the covering

 $U_{\varphi}$  of T, then

$$\sum_{\varphi} \left( \varphi^{-1} \right)^* \alpha_{\varphi} = \sum_{\varphi, \psi \in \Phi} \left( \varphi^{-1} \right)^* \lambda_{\psi} \alpha_{\varphi}$$

is equivalent to

$$\sum_{\varphi,\psi} (\varphi\psi^{-1})^* (\varphi^{-1})^* \lambda_{\psi} \alpha_{\varphi} = \sum_{\varphi,\psi} (\psi^{-1})^* \lambda_{\psi} \alpha_{\varphi} = \sum_{\psi} (\psi^{-1})^* \lambda_{\psi} \alpha$$

because  $\varphi \psi^{-1} \in H'$ .

Assume now that  $\alpha = \beta - h^*\beta$ , where  $\beta$  has its support in the range of  $h \in H$ . We can express  $\beta$  as a finite sum  $\sum \beta_{\varphi}$ , where the support of  $\beta_{\varphi}$  is in the source of  $\varphi$ , and the support of  $h^*\beta_{\varphi}$  is in the source of some  $\psi \in \Phi$  (for this, it is sufficient to multiply  $\beta$  by a partition of unity subordinated to the covering of the range of h by the intersections  $U_{\varphi} \cap h(U_{\psi})$ ,  $\varphi, \psi \in \Phi$ ). Then  $\alpha = \sum_{\varphi} \beta_{\varphi} - h^*\beta_{\varphi}$  can be mapped on

$$\sum (\varphi^{-1})^* \beta_{\varphi} - \sum (\psi^{-1})^* h^* \beta_{\varphi} = \sum (\varphi^{-1})^* \beta_{\varphi} - \sum (\psi^{-1})^* h^* \varphi^* (\varphi^{-1})^* \beta_{\varphi},$$

which is equivalent to zero because  $\varphi h \psi^{-1} \in H'$ .

It is straightforward to check that  $\Phi^*$  commutes with d and is continuous. Corollary. An isomorphism of H on H' induces a topological isomorphism of

 $\Omega_c^*(T/H)$  on  $\Omega_c^*(T'/H')$ .

In particular, if H acts on T in a properly discontinuous way, i.e., if the map  $T \to T/H$  is locally a diffeomorphism, then  $\Omega_c^p(T/H)$  is just isomorphic to the vector space of p-forms with compact support on T/H.

Also if each point x of T has a neighborhood V such that the restriction of H to V is generated by a finite group of diffeomorphisms of V, then T/H is a manifold in the sense of Satake, and  $\Omega_c^p(T)$  is what is usually called the space of differential forms on T/H.

#### **1.3.** The holonomy pseudogroup of a foliation

Let  $\mathfrak{F}$  be a foliation of codimension q on a manifold X. A transversal submanifold T is a manifold of dimension q together with an immersion  $t: T \to X$  which is transversal to the leaves.

Given two points  $x_1$ ,  $x_2$  in T such that  $t(x_1)$  and  $t(x_2)$  are in the same leaf L, then a homotopy class of paths  $\gamma$  joining  $t(x_1)$  to  $t(x_2)$  in L determines a germ at  $x_1$  of a diffeomorphism h of a neighborhood of  $x_1$  on a neighborhood of  $x_2$ , called the holonomy defined by the path  $\gamma$ : if x is close to  $x_1$ , there is a path close to  $\gamma$  and contained in a leaf, joining t(x) to t(hx). The holonomy pseudogroup induced by  $\mathcal{F}$  on T is the pseudogroup whose elements are local diffeomorphisms of T whose germs at each point are determined in this way.

The transversal submanifold  $t: T \to X$  will be said to be *complete* if t(T) cuts every leaf of  $\mathfrak{F}$ . If  $t': T' \to X$  is another complete transversal submanifold, then the holonomy pseudogroup H' induced on T' is canonically equivalent to H. Indeed the set  $\Phi$  of elements of the holonomy pseudogroup induced on the disjoint union of T and T' with source in T and range in T' is a morphism of H in H'. Hence to each foliation  $\mathfrak{F}$  we can associate a well defined equivalence class of pseudogroups, namely, the class of any holonomy pseudogroup H induced by  $\mathfrak{F}$  on a complete transversal submanifold T. By abuse of language, such an H will be called *the (transverse) holonomy pseudogroup of*  $\mathfrak{F}$ .

**Definition.** We shall denote by  $\Omega_c^*(Tr \mathcal{F})$  the topological differential graded vector space of forms on T/H, where H is the holonomy pseudogroup induced on a complete transversal submanifold T. This definition is independent of the choice of the transversal T, because if H' is the holonomy pseudogroup induced on a complete transversal submanifold T', then  $\Omega_c^*(T/H)$  is canonically isomorphic to  $\Omega_c^*(T'/H')$ .

A continuous linear form on  $\Omega_c^k(\operatorname{Tc} \mathfrak{F})$  will be called an holonomy invariant k-current. In other words, it is a k-current defined on every transversal submanifold, and is invariant by holonomy. The vector space of invariant k-currents will be denoted by  $C_k(\operatorname{Tr} \mathfrak{F})$ . This is the natural generalization of the concept of holonomy invariant measure (cf. [2]). An invariant o-current will also be called an invariant distribution.

Let  $f: X' \to X$  be a differentiable map transverse to  $\mathfrak{F}$ , and let  $\mathfrak{F}' = f^{-1}(\mathfrak{F})$  be the foliation on X' inverse image by f of  $\mathfrak{F}$ . An immersion  $t: T \to X'$  is a transversal submanifold to  $\mathfrak{F}'$  iff  $f \circ t$  is a transversal submanifold to  $\mathfrak{F}$ . One has a well-defined morphism of the holonomy pseudogroup induced by  $\mathfrak{F}'$  on T in the holonomy pseudogroup induced by  $\mathfrak{F}$  on  $f \circ T$ , hence a functorial morphism

$$\Omega^*_c(\operatorname{Tr} f^{-1}\mathfrak{F}) \to \Omega^*_c(\operatorname{Tr} \mathfrak{F}).$$

A regular covering of  $\mathcal{F}$  will be a covering of X by open sets  $U_i$  such that:

(i) The space of leaves of the foliation  $\mathcal{F}_i$  induced by  $\mathcal{F}$  on  $U_i$  is a q-manifold  $T_i$ , the natural projection  $f_i : U_i \to T_i$  being a submersion. The inverse images  $f^{-1}(y), y \in T_i$ , are the plaques in  $U_i$ .

(ii) Each plaque  $f_i^{-1}(y_i)$  in  $U_i$  meets at most one plaque  $f_y^{-1}(y_j)$ .

Let  $h_{ji}$  be the diffeomorphism mapping  $y_i$  on  $y_j$ ; it is a diffeomorphism of an open set of  $T_i$  on an open set of  $T_j$ . Let T be the disjoint union of the  $T_i$ , and let H be the pseudogroup generated by the  $h_{ij}$ . It is easy to see that it is equivalent to the holonomy pseudogroup of  $\mathcal{F}$ ; it will be called *the holonomy pseudogroup associated to the regular covering*  $\{U_i\}$ .

#### 2. EXAMPLES

## 2.1. Foliations given by closed 1-forms

Let T be the circle R/Z, and let H be the pseudogroup generated by a rotation  $x \mapsto x + \rho$ , where  $\rho$  is an irrational number. The Lebesgue measure is invariant by H, and any invariant distribution (or *o*-current) is a multiple of this measure. Any invariant 1-current is a multiple of the current defined by integration on H. Hence  $C_0(T)^H$  and  $C_1(T)^H$  are 1-dimensional.

Suppose that  $\rho$  satisfies a diophantine condition: namely, there are positive numbers s and c such that

$$|m\rho + n| \geq \frac{c}{\left(1 + m^2\right)^s},$$

for any integers  $m, n \neq (0, 0)$ . Then  $\Omega^0(T/H)$  and  $\Omega^1(T/H)$  are isomorphic to R. Otherwise,  $\rho$  is called a Liouville number; then  $\Omega^0(T/H)$  and  $\Omega^1(T/H)$  are not Hausdorff, but their quotient by the closure of 0 is still isomorphic to R.

The proof of these facts is a standard argument using Fourier series expansion. A function f on T with Fourier series  $\sum_{m} f_{m} e^{2i\pi mx}$  is  $C^{\infty}$  iff for each positive integer k, there is a constant c such that

$$|f_m| < \frac{c}{\left(1 + m^2\right)^k}.$$

f is 0 in  $\Omega^0(T/H)$  iff there is a  $C^{\infty}$ -function g such that  $f(x) = g(x) - g(x + \rho)$ . A necessary condition is  $\int_T f(x) dx = f_0 = 0$ , and the Fourier coefficients  $g_m, m \neq 0$ , are uniquely defined ( $\rho$  is irrational). If  $\rho$  satisfies a diophantine condition,  $g_m$  will be the Fourier coefficients of a  $C^{\infty}$ -function g; if  $\rho$  is a Liouville number, this will not be the case for a general f.

Let  $\mathcal{F}$  be a foliation given on a compact manifold by a closed 1-form  $\omega$ ; the cohomology class of  $\omega$  defines a homomorphism of  $H_1(X, Z)$  in R whose image is called the group P of periods of  $\omega$ . The holonomy pseudogroup is equivalent to the pseudogroup of T generated by the rotations  $x \to x + \alpha/\alpha_0$ , where  $\alpha_0$  is a fixed nonzero period and  $\alpha \in P$ . The rank of P is at least one and is larger than one iff every leaf is dense.

More generally, suppose that  $\mathcal{F}$  is given by q independent closed 1-forms. They define a homomorphism of  $H_1(X, Z)$  in  $\mathbb{R}^q$  whose image P (the group of periods) is of rank q over  $\mathbb{R}$ . If X is compact, the holonomy pseudogroup is equivalent to the pseudogroup of transformations of  $\mathbb{R}^q$  generated by the translations belonging to P. For everywhere-dense leaves, this is equivalent to the existence of periods  $\alpha, \beta_1, \dots, \beta_q \in P$  such that  $\beta_1, \dots, \beta_q$  are linearly independent over  $\mathbb{R}$ , and  $\alpha = a_1\beta_1 + \dots + a_q\beta_q$ , where the real numbers

 $\mathbf{274}$ 

1,  $a_1, \dots, a_q$  are linearly independent over the rationals Q. The space  $C_k(\operatorname{Tr} \mathfrak{F})$  of k-invariant currents is isomorphic to the space of (q - k)-forms on  $\mathbb{R}^q$  invariant by all translations. The quotient of  $\Omega^k(\operatorname{Tr} \mathfrak{F})$  by the closure of 0 is isomorphic to the invariant k-forms on  $\mathbb{R}^q$ , namely, to the dual of the k-exterior power  $\Lambda^k \mathbb{R}^q$  of  $\mathbb{R}^q$ . However, if  $(a_1, \dots, a_q)$  satisfies a diophantine condition (cf. for instance Hermann [1]), then  $\Omega^k(\operatorname{Tr} \mathfrak{F})$  is actually isomorphic to the dual of  $\Lambda^k \mathbb{R}^q$ .

The previous examples are particular cases of transversely homogeneous foliations. Let G/H be a homogeneous space, where H is a closed subgroup of the Lie group G. We assume that G acts effectively on G/H and that G/H is simply connected. A transversely homogeneous foliation  $\mathcal{F}$  on X is given by an open covering  $\{U_i\}$  and local submersions  $f_i: U_i \to G/H$  such that the transition diffeomorphisms  $h_{ij}$  are restrictions of translations of G/H by elements of G. To such a foliation is associated a homomorphism

$$\Phi:\pi_1(X,x)\to G$$

whose image  $\Gamma$  is called the global holonomy group of  $\mathfrak{F}$ . On the covering  $\tilde{X}$  of X corresponding to the kernel of  $\Phi$ , the induced foliation  $\tilde{\mathfrak{F}}$  is given by a submersion  $f: \tilde{X} \to G/H$  which is  $\Gamma$ -equivariant,  $\Gamma$  acting on X by covering translations (cf. Haefliger, Comment. Math. Helv. **32** (1958) 280–281).

If X and H are compact, it is easy to see that f is a fiber map with connected fibers. Then it follows that the holonomy pseudogroup of  $\mathcal{F}$  is generated by  $\Gamma$  acting on G/H.

In general, for an homogeneous space G/H of dimension *n* (for which the action of G preserves an orientation), the k-currents invariant by G are given by the G-invariant (n - k)-forms on G/H (such a form  $\alpha$  defines the current c associating to a k-form  $\omega$  with compact support on G/H the number  $\int \alpha \wedge \omega$ ).

If X and H are compact,  $\mathcal{F}$  has an everywhere-dense leaf iff  $\Gamma$  is dense in G. In that case the holonomy invariant currents are precisely the G-invariant forms on G/H.

#### 2.2. Reeb component

Let R be the solid torus  $S^1 \times D^2$  with a Reeb foliation such that the infinitesimal holonomy group of  $\partial R$  is nontrivial. Then the holonomy pseudogroup is equivalent to the pseudogroup H of transformations of  $T = [0, \infty[$  generated by  $h: x \to \lambda x$ , where  $0 < \lambda < 1$ . Thus  $\Omega_c^0(T/H)$  is isomorphic to the space of h-invariant  $C^\infty$  functions on  $]0, \infty[$  (which is itself isomorphic to space of  $C^\infty$  functions on the circle). The isomorphism maps the class of  $f \in \Omega_c^0(T)$  on the function on ]0,  $\infty$ [ given by

$$x \to \sum_{m=-\infty}^{+\infty} \lambda^m x f'(\lambda^m x).$$

Similarly  $\Omega_c^1(T/H)$  is isomorphic to the space of *h*-invariant 1-forms on  $]0, \infty[$ .

## 3. INTEGRATION ALONG THE LEAVES

**3.1. Theorem.**  $\mathcal{F}$  be a foliation on X with leaves of dimension p, and assume that the tangent bundle to the leaves is oriented. Then there is a continuous open surjective linear map

$$\int_{\mathfrak{F}}: \Omega_c^{p+k}(X) \to \Omega_c^k(\mathrm{Tr}\ \mathfrak{F})$$

which commutes with d.

*Proof.* The construction is directly inspired by the construction of the Ruelle-Sullivan current associated to an invariant measure [4].

First recall that if  $f: X \to Y$  is a submersion of a (p + q)-manifold X in a q-manifold Y, the fibers  $f^{-1}(y)$  being coherently oriented, there is a continuous map

$$\int_{\mathfrak{F}}:\Omega^{p+k}_c(X)\to\Omega^k_c(Y)$$

commuting with d. If  $\omega$  has its support in a coordinate neighborhood where f is expressed as the linear projection

$$f(x', \cdots, x^{p}, y', \cdots, y^{q}) = (y', \cdots, y^{q}),$$
$$\omega = \sum_{J} a_{J}(x, y) dy^{J} \wedge dx^{i} \wedge \cdots \wedge dx^{p}$$

+ terms of degree < p in the  $x^i$ ,

then

$$\int_{f} \omega = \left( \sum \int a_{J}(x, y) \, dx^{1} \cdots \, dx^{p} \right) dy^{J}.$$

Let  $\{U_i\}$  be a regular covering of X for  $\mathfrak{F}$ , with projections  $f_i : U_i \to T_i$ . Let T be the disjoint union of the  $T_i$ , and H the induced holonomy pseudogroup generated by the  $h_{ij}$  (cf. §1.3.). Given  $\omega \in \Omega_c^{p+k}(X)$ , we can express it as a finite sum  $\omega = \Sigma \omega_i$ , where the support of  $\omega_i$  is in  $U_i$ .  $\int_{\mathfrak{F}} \omega$  will be defined as the class in  $\Omega_c^k(T/H) = \Omega_c^k(\operatorname{Tr} \mathfrak{F})$  of  $\Sigma \overline{\omega}_i$ , where  $\overline{\omega}_i = \int_{f_i} \omega$ . The class of  $\Sigma \overline{\omega}_i$ is independent of the decomposition of  $\omega$ . Indeed, if  $\{\lambda_i\}$  is a partition of

unity subordinated to  $\{U_i\}$ , then

$$\sum_{i} \int_{f_i} \omega_i = \sum_{i,j} \int_{f_i} \lambda_j \omega_i$$

is equivalent to

$$\sum_{i,j} \int_{f_j} \lambda_j \omega_i = \sum_j \int_{f_j} \lambda_j \omega,$$

because if the support of  $\alpha$  is in  $U_i \cap U_i$ , then

$$\int_{f_i} \alpha = h_{ij}^* \int_{f_j} \alpha.$$

It is obvious that this map is continuous and commutes with d. One easily shows that it is independent of the choice of the regular covering (by passing to common refinements).

**Corollary.** The transpose of  $\int_{\mathfrak{F}}$  gives a linear map

$$C_k(\operatorname{Tr}\,\mathfrak{F})\to C_{k+p}(X)$$

of the space of holonomy invariant k-currents in the space of (p + k)-currents on X. This map commutes with the boundary operator  $\delta$ .

This is a straightforward generalization of the construction of Ruelle-Sullivan [4] associating to an invariant measure a p-current on X.

To see an example of a *p*-current on X arising from a holonomy invariant distribution which is not a measure, consider a Reeb foliation like in Example 2.2. Let L be a noncompact leaf, and  $\xi$  a vector field along L invariant by holonomy (i.e., projectable with respect to local projections). Let  $\omega$  be a 2-form on X, and denote by  $\xi.\omega$  its derivative in the direction of  $\xi$  (restricted to L). Then  $\int_L \xi.\omega$  is finite and defines a 2-current on X which arises from a holonomy invariant distribution of order one.

## **3.2.** The kernel of $\int_{\mathfrak{F}}$

Following the terminology of [5], a (p + k)-form is  $\mathcal{F}$ -trivial if for any sequence  $\xi_1, \dots, \xi_{p+k}$  of vector fields such that p of them are tangent to  $\mathcal{F}$ , then  $\omega(\xi_1, \dots, \xi_{p+k}) = 0$ .

**Theorem.** The kernel of  $\int_{\mathfrak{F}}$  is the vector subspace generated by  $\mathfrak{F}$ -trivial forms and differential of  $\mathfrak{F}$ -trivial forms.

*Proof.* We first prove the assertion in the particular case of the foliation given by the natural linear submersion  $f: \mathbb{R}^q \times \mathbb{R}^p \to \mathbb{R}^q$ , where f(x, y) = x. Any (p + k)-form  $\omega$  with compact support can be written as  $\omega = \alpha + \beta$ ,

where  $\beta$  is  $\mathcal{F}$ -trivial, and

$$\alpha = \sum a_I dx^I \wedge dy^1 \wedge \cdots \wedge dy^p,$$

where  $dx^{I} = dx^{i_{1}} \wedge \cdots \wedge dx^{i_{k}}$ ,  $1 \leq i_{1} < \cdots < i_{k} \leq q$ . By assumption, for each I,  $\int_{\mathbb{R}^{p}} a_{I}(x, y) dy^{1} \wedge \cdots \wedge dy^{p} = 0$ . Hence there are smooth (p - 1)forms  $\gamma_{I}$  on  $\mathbb{R}^{p}$  depending smoothly on the parameter x, such that  $d\gamma_{I} = a_{i}dy^{1} \wedge \cdots \wedge dy^{p}$  (cf. [3] where a smooth homotopy operator is constructed). Let  $\gamma = (-1)^{k} \sum dx^{i} \wedge \gamma_{I}$ . Then  $d\gamma = \alpha + \beta'$ , where  $\beta'$  is  $\mathcal{F}$ trivial. Hence  $\omega = d\gamma - \beta' + \beta$  where  $\gamma, \beta$  and  $\beta'$  are  $\mathcal{F}$ -trivial.

We now consider the general case. To construct  $\int_{\mathfrak{F}}$  we use as before a regular covering of X such that each  $f_i: U_i \to T_i$  is diffeomorphic to a linear projection as above. If  $\omega$  is  $\mathcal{F}$ -trivial, then using a partition of unity we can express it as a finite sum of  $\mathcal{F}$ -trivial forms  $\omega_i$  with support in  $U_i$ . Thus it is clear that

$$\int_{f_i} \omega_i = 0, \ \int_{f_i} d\omega_i = 0.$$

Conversely, assume that  $\int_{\mathfrak{F}} \omega = 0$ . This means that there are k-forms  $\beta_{ji}$  with compact support in  $T_i$  such that

$$\sum_{i} \int_{f_i} \omega_i = \sum_{i,j} h_{ij}^*(\beta_{ji}) - \beta_{ji}.$$

Hence

$$\int_{f_i} \omega_i = \sum_j h_{ji}^*(\beta_{ij}) - \beta_{ji}$$

Let  $\alpha_{ji}$  be (p + k)-forms with compact support in  $U_i \cap U_j$  such that

$$\int_{f_i} \alpha_{ji} = \beta_{ji}.$$

Note that

$$\int_{f_i} \alpha_{ji} = h_{ij}^* (\beta_{ji}),$$

hence

$$\int_{f_i} \tilde{\omega}_i = 0,$$

where

$$\tilde{\omega}_i = \omega_i - \sum_j (\alpha_{ij} - \alpha_{ji}).$$

 $\mathbf{278}$ 

It follows from the particular case that each  $\tilde{\omega}_i$  is the sum of a  $\mathcal{F}$ -trivial form and the differential of a  $\mathcal{F}$ -trivial form. But this is also true for  $\omega$  because  $\omega = \sum \tilde{\omega}_i$ .

# **3.3.** Interpretation of $\Omega_c^0(\operatorname{tr} \mathfrak{F})$

Let  $\Omega'_c(\mathfrak{F})$  be the vector space of smooth *r*-forms along the leaves (namely, the smooth sections of the *r*th exterior power of the cotangent bundle of the leaves). The differential  $\Omega'_c(\mathfrak{F}) \to \Omega'_c^{+1}(\mathfrak{F})$  along the leaves will be denoted by  $d_0$ . If we denote by  $X_{\mathfrak{F}}$  the set X which is the union of the leaves of  $\mathfrak{F}$  and considered as a manifold of dimension *p*, then the identity map  $j: X_{\mathfrak{F}} \to X$  is an immersion.  $\Omega'_c(\mathfrak{F})$  is the image in  $\Omega'(X_{\mathfrak{F}})$  by  $j^*$  of  $\Omega'_c(X)$ , and  $d_0$  is the restriction to  $\Omega'_c(\mathfrak{F})$  of the differential in  $\Omega'(X_{\mathfrak{F}})$ . Let  $H'(\mathfrak{F})$  be the *r*-th cohomology group of  $\Omega^*_c(\mathfrak{F})$ . This is (almost by definition) the *r*-th cohomology group of X with value in the sheaf of germs of smooth functions which are constant on the leaves.

**Corollary.**  $\Omega^0(\operatorname{Tr} \mathfrak{F})$  is canonically isomorphic to  $H^p_c(\mathfrak{F})$ , where  $p = \dim \mathfrak{F}$ . Indeed,  $\Omega^p_c(\mathfrak{F})$  is just the quotient of  $\Omega^p_c(X)$  by  $\mathfrak{F}$ -trivial forms. Also  $j^* d\Omega^{p-1}_c(X) = d_0 \Omega^{p-1}_c(\mathfrak{F})$ .

#### 4. APPLICATIONS TO FOLIATIONS BY MINIMAL LEAVES

Throughout this section we assume X to be compact and  $\mathcal{F}$  oriented. The following theorem is a direct consequence of the preceding section.

**4.1. Theorem.** A p-form  $\omega_0$  along  $\mathfrak{F}$  with compact support is the restriction of a relatively closed form  $\omega$  with compact support if  $d \int_{\mathfrak{F}} \omega_0 = 0$  in  $\Omega_c^1(\operatorname{Tr} \mathfrak{F})$ .

*Proof.* Let  $\tilde{\omega}$  be a *p*-form with compact support in X such that  $\omega_0 = j^* \tilde{\omega}$ . As  $\int_{\mathfrak{F}} \omega_0 = \int_{\mathfrak{F}} \tilde{\omega}$  and  $d \int_{\mathfrak{F}} \tilde{\omega} = \int_{\mathfrak{F}} d\tilde{\omega} = 0$ , by §3.2 there is a *p*-form  $\alpha \in \Omega_c^p(X)$  which is  $\mathfrak{F}$ -trivial (i.e.,  $j^* \alpha = 0$ ) such that  $d\tilde{\omega} - d\alpha$  is  $\mathfrak{F}$ -trivial. Then  $\omega = \tilde{\omega} - \alpha$  is relatively closed and  $j^* \omega = \omega_0$ .

**Corollary.** Let  $\mathfrak{F}$  be an oriented foliation on a compact manifold X. Let  $g_0$  be a smooth riemannian metric along the leaves and let  $\omega_0$  be the volume form along the leaves defined by  $g_0$  and the orientation of  $\mathfrak{F}$ . Then there is a riemannian metric g on X inducing  $g_0$  on the leaves and for which the leaves are minimal submanifolds iff  $d \int_{\mathfrak{F}} \omega_0 = 0$ .

This follows from the above theorem and the theorem of Rummler-Sullivan mentioned in the introduction (cf. [5] and [6]).

**Corollary 2** (Rummler [6]). Suppose that the foliation is a generalized Seifert bundle. Then the metric  $g_0$  along the leaves extends to a riemannian metric g on X for which all the leaves are minimal iff the volume of each generic leaf L is constant.

**Corollary 3.** Let  $\mathcal{F}$  be a foliation on a compact manifold X given by a closed 1-form  $\omega$ , and assume that there are at least two Q-independent periods of  $\omega$ . Then any riemannian metric on the leaves can be approximated in the  $C^{\infty}$ -topology by a metric which is the restriction to the leaves of a riemannian metric for which the leaves are minimal. If there are two periods whose ratio satisfies a diophantine condition, then any smooth metric on the leaves is the restriction of a metric on X for which the leaves are minimal.

This follows from the considerations in Example 2.1.

**Corollary 4.** Assume there is no holonomy invariant distribution. Then any riemannian metric  $g_0$  on the leaves is close in the  $C^{\infty}$ -topology to a metric which is the restriction of a riemannian metric on X for which the leaves are minimal.

*Proof.* Let  $\omega_0 \in \Omega^p(\mathfrak{F})$  be the volume form of  $g_0$ . In any neighborhood of  $\omega_0$  there is a form  $\overline{\omega}_0$  such that  $\int \overline{\omega}_0 = 0$ , because the map  $\int_{\mathfrak{F}}$  is open and, by assumption, 0 is dense in  $\Omega_c^0(\operatorname{Tr} \mathfrak{F})$ . Now  $\overline{\omega}_0$  is the volume form of a riemannian metric on the leaves close to  $g_0$ . So we can apply Corollary 1.

**Remark.** More generally, the conclusion of the first part of Corollary 3 is still valid for a transversely G/H-homogeneous foliation  $\mathcal{F}$  on a compact manifold X with an everywhere dense leaf, assuming G compact connected. In that case, it follows from §2.1 that the space of holonomy invariant distributions is isomorphic to **R**. Thus the quotient of  $\Omega^0(\text{Tr }\mathcal{F})$  by the closure of zero is isomorphic to **R**, representative for its element being constant functions on G/H (which is compact by assumption). Hence, if  $\omega_0$  is a volume form on the leaves, then there is a constant c such that  $\int \omega_0 - c$  is adherent to zero. So we can replace  $\omega_0$  as above by an arbitrary close form  $\overline{\omega}_0$ such that  $\int \overline{\omega}_0$  is equivalent to the constant c, and hence has zero differential.

**Corollary 5.** Let  $g_0$  be a riemannian metric on the leaves of an oriented foliation  $\mathcal{F}$  on a compact manifold X. A necessary condition for  $g_0$  to be arbitrarily close to the restriction to the leaves of a metric for which the leaves are minimal is that

$$\left\langle c, d \int_{\mathfrak{F}} \omega_0 \right\rangle = 0$$

for each holonomy invariant 1-current c, where  $\omega_0$  is the volume form on the leaves defined by  $g_0$ . This condition is also sufficient if  $\mathcal{F}$  is transversely oriented and of codimension 1.

*Proof.* The necessity follows from Corollary 1, and the sufficiency is implied by the following assertion.

**Claim.** Let *H* be a pseudogroup of orientation-preserving local diffeomorphisms of a 1-dimensional manifold *T*. Assume that *T* has a finite number of connected components, and let *f* be a smooth function with compact support on *T* such that  $\langle c, df \rangle = 0$  for each *H*-invariant 1-current *c*. Then arbitrarily close to *f* in the  $C^{\infty}$ -topology, there is a smooth function *g* such that dg = 0 in  $\Omega_c^1(T/H)$ .

To prove this we can assume that H is irreducible in the following sense: we can order the connected components  $T_i$  of T so that for each i there is  $h_i \in H$  with source an open set in  $\bigcup_{j < i} T_j$  and target in  $T_i$ . By assumption, there is a sequence  $\alpha_n \in \Omega_c^1(T)$  such that  $\alpha_n$  converges to df in the  $C^{\infty}$ -topology and  $\alpha_n = 0$  in  $\Omega_c^1(T/H)$ . This implies that  $\int_T \alpha_n = 0$ , because integration on T gives an invariant current. If the integral of  $\alpha_n$  on each  $T_i$  would be zero, then  $\alpha_n$  would be the differential of a function  $f_n$  with compact support on T, and the sequence  $f_n$  (modified by suitable constants on the compact components  $T_i$ ) would converge to f.

To achieve this condition, we argue by descending induction on r. Assume that  $\int_{T_i} \alpha_n = 0$  for each i > r. Then one can find a sequence  $\alpha'_n$  such that  $\alpha'_n$  converges to df,  $\alpha'_n$  is zero in  $\Omega_c^1(T/H)$  and  $\int_{T_i} \alpha_n = 0$  for i > r - 1. Indeed, choose a 1-form  $\gamma$  with compact support in the target of  $h_r$  such that  $\int_T \gamma = 1$ . Then we define

$$\alpha'_n = \alpha_n - c_n \gamma + h_r(c_n \gamma),$$

where  $c_n = \int_{T_r} \alpha_n$ . Note that  $c_n$  tends to zero because  $\int_{T_r} \alpha_n$  converges to  $\int_T df = 0$ .

**Remark.** Corollary 5 implies the following. Let  $\mathcal{F}$  be an oriented and transversely oriented foliation of codimension one. Then any metric on the leaves is arbitrarily close to the restriction of a metric on X for which all the leaves are minimal if and only if  $\partial C_1(\operatorname{Tr} \mathcal{F}) = 0$ , where  $\partial : C_1(\operatorname{Tr} \mathcal{F}) \to C_0(\operatorname{Tr} \mathcal{F})$  is the dual of d.

As an example (besides the one given in Corollary 3), assume that the holonomy pseudogroup of  $\mathcal{F}$  is equivalent to the pseudogroup generated by a cocompact subgroup  $\Gamma$  of  $PSl_2(\mathbb{R})$  acting as usual on  $S^1$  identified with the boundary of the Poincaré disk D. (For instance,  $\mathcal{F}$  might be the Anosov foliation associated to the geodesic flow on a compact riemann surface with constant negative curvature). The only  $\Gamma$ -invariant 1-current on  $S^1$  are the multiple of the current defined by integration on  $S^1$ . Indeed any 1-current c on  $S^1$  is the restriction to  $S^1$  of a harmonic function f on D, and if c is  $\Gamma$ -invariant, then f is also  $\Gamma$ -invariant, and hence constant because  $\Gamma \setminus D$  is compact. So any  $\Gamma$ -invariant 1-current has a trivial boundary.

**4.1. Theorem.** On the compact manifold X there is a metric such that the leaves of  $\mathcal{F}$  are minimal submanifolds iff for a representative H of the holonomy pseudogroup acting on a q-manifold T, there is a smooth positive function f with compact support, which is strictly positive on a set intersecting each orbit, and satisfies that df = 0 in  $\Omega_c^1(T/H)$ .

Before giving the proof of this theorem, we state two corollaries.

**Corollary 1.** The existence of a riemannian metric for which the leaves are minimal depends only on the holonomy pseudogroup of  $\mathcal{F}$ .

**Corollary 2.** If there is a representative H for the holonomy pseudogroup acting on a compact manifold T, then there is a metric for which the leaves are minimal.

Indeed we can choose  $f \equiv 1$ . For instance this is the case if the holonomy pseudogroup is generated by a discrete subgroup of a Lie group acting on a compact manifold. Such an example is given by a foliation defined by q independent closed 1-forms.

*Proof of the theorem.* First we note that the existence of such an f is independent of the representative for the holonomy pseudogroup.

More precisely, let H' be a pseudogroup acting on T' which is equivalent to H by an isomorphism  $\Phi: H' \to H$ . Let K' be a compact set intersecting each orbit of H'. Then there is a positive smooth function f' with compact support equivalent to f and which is strictly positive on K'. To see that, we choose a finite number of  $\varphi_i \in \Phi$ ,  $i = 1, \dots, r$ , whose domains  $U_i$  cover K'such that f is strictly positive on  $\varphi_i(U_i)$ . One can find a covering of K by compact sets  $K_i \subset K' \cap U_i$ . Let  $\varphi_j$ , r < j < s be elements of  $\Phi$  such that the ranges of the  $\varphi_k$ ,  $1 \le k \le s$ , cover the support S of f. Choose a partition of unity  $\lambda_k$  subordinated to the covering of T by the ranges of the  $\varphi_k$ ,  $1 \le k \le s$ (and also the complement of S). We can choose the  $\lambda_i$  strictly positive on the  $\varphi_i(K_i)$  for  $1 \le i \le r$ . Then

$$f' = \sum_{1}^{s} \varphi_i^*(\lambda_i f)$$

is the desired function.

Let  $\{U_i\}$  be a finite regular covering of X for  $\mathcal{F}$  with local projections  $f_i$ :  $U_i \to T_i$ . We can assume that the  $f_i$  are diffeomorphic to natural projections  $U_i = T_i \times R^q \to T_i$ . Let  $\{V_i\}$  be a covering of X by compact sets  $V_i$  contained in  $U_i$ . In each  $U_i$  we can construct a closed p-form  $\alpha_i$ , whose restriction to each plaque P of  $U_i$  has compact support, is strictly positive on  $P \cap V_i$ , and satisfies  $\int_P \alpha_i = 1$ . Let H be the holonomy pseudogroup induced on T = union of  $T_i$ . By hypothesis and the preceding considerations, we can find a smooth positive function f with compact support on T, which is strictly

 $\mathbf{282}$ 

positive on each  $K_i = f_i(V_i)$  and satisfies that df = 0 in  $\Omega^1(T/H)$ . Let  $g_i$  be the restriction of f to  $T_i$ .

Then  $\omega = \sum \omega_i$ , where  $\omega_i = f_i^*(g_i)\alpha_i$  is a *p*-form on *X*, which is positive on the leaves and whose integral over  $\mathfrak{F}$  is equivalent to *f*. Then we can apply Corollary 1 of Theorem 4.1.

# 4.3. Examples of foliations having no riemannian metric for which the leaves are minimal

This will be in particular the case for a foliation  $\mathcal{F}$  having a positive holonomy invariant measure which is the boundary of an invariant 1-current (cf. [7]). Indeed in this case, for any *p*-form  $\omega_0$  positive on the leaves, we have

$$c\left(d\int_F\omega_0\right)=\partial c\left(\int_F\omega_0\right)>0.$$

For instance in the case of codimension 1, let R be a Reeb component with boundary  $\delta R$ ; a transversal curve entering R cannot cross the boundary again. The 1-current defined by the integral on positively oriented transversal curves is an invariant current whose boundary is the Dirac measure corresponding to  $\delta R$ .

In the case of the horocycle flow (cf. Sullivan [6]), one has on the transverse submanifold a positive invariant 2-form which is the exterior differential of an invariant 1-form (defining an invariant 1-current). This example can be generalized as follows. Let G be a semisimple Lie group acting on a manifold M of dimension n so that the induced action on the space  $T_0^*M$  of nonzero cotangent vectors is transtive. For instance, G might be the conformal group 0(n + 1, 1) acting on the *n*-sphere  $S^n$  or the linear group Sl(n + 1, R) acting on  $S^n$  identified to the rays in  $R^{n+1}$ .

On  $T^*M$ , one has the canonical 1-form  $\omega$  which is invariant by the differential of any diffeomorphism of M, and whose exterior differential  $d\omega$  is the canonical symplectic form. Then  $(d\omega)^n$  is a volume form on  $T_0^*M$ , which is the differential of  $\omega \wedge (d\omega)^{n-1}$ . This form defines a 1-current invariant by the differential of any diffeomorphism, and its boundary is the invariant measure defined by  $(d\omega)^n$ .

Let  $\Gamma$  be a discrete subgroup of G such that  $\Gamma \setminus G$  is compact. Let H be the subgroup of G leaving a given covector fixed. Then the cosets gH are the leaves of a foliation on G parametrized by the space  $T_0^*M$ . This foliation is invariant by the left action of  $\Gamma$  on G. So we get on  $\Gamma \setminus G$  a foliation whose transverse structure is  $T_0^*M$ , the holonomy pseudogroup being generated by  $\Gamma$ .

## References

- M. R. Hermann, Sur le groupe des difféomorphismes du tore, Ann. Inst. Fourier (Grenoble) 23 (1973) 75-86.
- [2] J. Plante, Foliations with measure preserving holonomy, Ann. of Math. 102 (1975) 327-361.
- [3] G. de Rahm, La théorie des formes différentielles extérieures et l'homologie des variétés différientiables, Rend. Mat. 20 (1961) 105-146.
- [4] D. Ruelle & D. Sullivan, Currents, flows and diffeomorphisms, Topology 14 (1975) 319-327.
- [5] H. Rummler, Quelques notions simples en géométrie riemannienne et leurs applicationsn aux feuilletages compacts, Comment. Math. Helv. 54 (1979) 224-239.
- [6] \_\_\_\_\_, Kompakte Blätterungen durch Minimalflächen, Habilitationsschrift, Freiburg Universität.
- [7] D. Sullivan, A homological characterization of foliations consisting of minimal surfaces, Comment. Math. Helv. 54 (1979) 218-223.

UNIVERSITY OF GENEVA, SWITZERLAND