# A $G$-FAMILY OF QUANDLES AND HANDLEBODY-KNOTS 

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#### Abstract

We introduce the notion of a $G$-family of quandles which is an algebraic system whose axioms are motivated by handlebody-knot theory, and use it to construct invariants for handlebody-knots. Our invariant can detect the chiralities of some handlebody-knots including unknown ones.


## 1. Introduction

A quandle [11], [15] is an algebraic system whose axioms are motivated by knot theory. Carter, Jelsovsky, Kamada, Langford and Saito [1] defined the quandle homology theory and quandle cocycle invariants for links and surface-links. The quandle chain complex in [1] is a subcomplex of the rack chain complex in [4]. The quandle cocycle invariant extracts information from quandle colorings by a quandle cocycle, and are used to detect the chirality of links in [3], [18].

In this paper, we introduce the notion of a $G$-family of quandles which is an algebraic system whose axioms are motivated by handlebody-knot theory, and use it to construct invariants for handlebody-knots. A handlebody-knot is a handlebody embedded in the 3 -sphere. A handlebody-knot can be represented by its trivalent spine, and the first author, in [6], gave a list of local moves connecting diagrams of spatial trivalent graphs which represent equivalent handlebody-knots. The axioms of a $G$-family of quandles are derived from the local moves.

A $G$-family of quandles gives us not only invariants for handlebody-knots but also a way to handle a number of quandles at once. We see that a $G$-family of quandles is indeed a family of quandles associated with a group $G$. Any

[^0]quandle is contained in some $G$-family of quandles as we see in Proposition 2.3. We introduce a homology theory for $G$-families of quandles. A cocycle of a $G$-family of quandles gives a family of cocycles of quandles. Thus it is efficient to find cocycles of a $G$-family of quandles, and indeed Nosaka [17] gave some cocycles together with a method to construct a cocycle of a $G$ family of quandles induced by a $G$-invariant group cocycle.

A $G$-family of quandles induces a quandle which contains all quandles forming the $G$-family of quandles as subquandles. This quandle, which we call the associated quandle, has a suitable structure to define colorings of a diagram of a handlebody-knot. Putting weights on colorings with a cocycle of a $G$-family of quandles, we define a quandle cocycle invariant for handlebody-knots. In [7], the first and second authors defined quandle colorings and quandle cocycle invariants for handlebody-links by introducing the notion of an $A$-flow for an abelian group $A$. Quandle cocycle invariants we define in this paper are nonabelian versions of the invariants. A usual knot can be regarded as a genus one handlebody-knot by taking its regular neighborhood, and some knot invariants have been modified and generalized to construct invariants for handlebody-knots. In [10], the third and fourth authors defined symmetric quandle colorings and symmetric quandle cocycle invariants for handlebodylinks by generalizing symmetric quandle cocycle invariants of classical knots given in [12], [13].

A table of genus two handlebody-knots with up to 6 crossings is given in [8], and the handlebody-knots $0_{1}, \ldots, 6_{16}$ in the table were proved to be mutually distinct by using the fundamental groups of their complements, quandle cocycle invariants in [7] and some topological arguments in [9], [14]. Our quandle cocycle invariant can distinguish the handlebody-knots $6_{14}$ and $6_{15}$ whose complements have isomorphic fundamental groups, and detect the chiralities of the handlebody-knots $5_{2}, 5_{3}, 6_{5}, 6_{9}, 6_{11}, 6_{12}, 6_{13}, 6_{14}, 6_{15}$. In particular, the chiralities of $5_{3}, 6_{5}, 6_{11}$ and $6_{12}$ were not known.

This paper is organized as follows. In Section 2, we give the definition of a $G$-family of quandles together with some examples. In Section 3, we describe colorings with a $G$-family of quandles for handlebody-links. We define the homology for a $G$-family of quandles in Section 4 and define several invariants for handlebody-links including quandle cocycle invariants in Section 5. In Section 6, we calculate quandle cocycle invariants for handlebody-knots with up to 6 crossings and show the chirality for some of the handlebody-knots. In Section 7, we prove that our invariants can be regarded as a generalization of the invariants defined in [7].

## 2. A $G$-family of quandles

A quandle [11], [15] is a non-empty set $X$ with a binary operation $*: X \times$ $X \rightarrow X$ satisfying the following axioms.

- For any $x \in X, x * x=x$.
- For any $x \in X$, the map $S_{x}: X \rightarrow X$ defined by $S_{x}(y)=y * x$ is a bijection.
- For any $x, y, z \in X,(x * y) * z=(x * z) *(y * z)$.

A rack is a non-empty set $X$ with a binary operation $*: X \times X \rightarrow X$ satisfying the second and third axioms. When we specify the binary operation $*$ of a quandle (resp. rack) $X$, we denote the quandle (resp. rack) by the pair $(X, *)$. An Alexander quandle $(M, *)$ is a $\Lambda$-module $M$ with the binary operation defined by $x * y=t x+(1-t) y$, where $\Lambda:=\mathbb{Z}\left[t, t^{-1}\right]$. A conjugation quandle $(G, *)$ is a group $G$ with the binary operation defined by $x * y=y^{-1} x y$.

Let $G$ be a group with identity element $e$. A $G$-family of quandles is a non-empty set $X$ with a family of binary operations $*^{g}: X \times X \rightarrow X(g \in G)$ satisfying the following axioms.

- For any $x \in X$ and any $g \in G, x *^{g} x=x$.
- For any $x, y \in X$ and any $g, h \in G$,

$$
x *^{g h} y=\left(x *^{g} y\right) *^{h} y \quad \text { and } \quad x *^{e} y=x .
$$

- For any $x, y, z \in X$ and any $g, h \in G$,

$$
\left(x *^{g} y\right) *^{h} z=\left(x *^{h} z\right) *^{h^{-1} g h}\left(y *^{h} z\right) .
$$

When we specify the family of binary operations $*^{g}: X \times X \rightarrow X(g \in G)$ of a $G$-family of quandles, we denote the $G$-family of quandles by the pair $\left(X,\left\{*^{g}\right\}_{g \in G}\right)$.

Proposition 2.1. Let $G$ be a group. Let $\left(X,\left\{*^{g}\right\}_{g \in G}\right)$ be a $G$-family of quandles.
(1) For each $g \in G$, the pair $\left(X, *^{g}\right)$ is a quandle.
(2) We define a binary operation $*:(X \times G) \times(X \times G) \rightarrow X \times G$ by

$$
(x, g) *(y, h)=\left(x *^{h} y, h^{-1} g h\right) .
$$

Then $(X \times G, *)$ is a quandle.
We call the quandle $(X \times G, *)$ in Proposition 2.1 the associated quandle of $X$. We note that the involution $f: X \times G \rightarrow X \times G$ defined by $f((x, g))=$ $\left(x, g^{-1}\right)$ is a good involution of the associated quandle $X \times G$, where we refer the reader to [12] for the definition of a good involution of a quandle. Before proving this proposition, we introduce a notion of a $Q$-family of quandles. Let $(Q, \triangleleft)$ be a quandle. A $Q$-family of quandles is a non-empty set $X$ with a family of binary operations $*^{a}: X \times X \rightarrow X(a \in Q)$ satisfying the following axioms.

- For any $x \in X$ and any $a \in Q, x *^{a} x=x$.
- For any $x \in X$ and any $a \in Q$, the map $S_{x, a}: X \rightarrow X$ defined by $S_{x, a}(y)=$ $y *^{a} x$ is a bijection.
- For any $x, y, z \in X$ and any $a, b \in Q,\left(x *^{a} y\right) *^{b} z=\left(x *^{b} z\right) *^{a \triangleleft b}\left(y *^{b} z\right)$.

Let $Q$ be a rack. A $Q$-family of racks is a non-empty set $X$ with a family of binary operations $*^{a}: X \times X \rightarrow X(a \in Q)$ satisfying the second and third axioms.

Lemma 2.2. Let $(Q, \triangleleft)$ be a quandle (resp. rack). Let $\left(X,\left\{*^{a}\right\}_{a \in Q}\right)$ be a $Q$-family of quandles (resp. racks). We define a binary operation $*:(X \times$ $Q) \times(X \times Q) \rightarrow X \times Q$ by

$$
(x, a) *(y, b)=\left(x *^{b} y, a \triangleleft b\right) .
$$

Then $(X \times Q, *)$ is a quandle (resp. rack).
Proof. The first axiom of a quandle follows from the equalities

$$
(x, a) *(x, a)=\left(x *^{a} x, a \triangleleft a\right)=(x, a) .
$$

For any $(x, a),(y, b) \in X \times Q$, there is a unique $(z, c) \in X \times Q$ such that $x=$ $z *^{b} y$ and $a=c \triangleleft b$. By the equalities $(x, a)=\left(z *^{b} y, c \triangleleft b\right)=(z, c) *(y, b)$, we have the second axiom of a quandle. The third axiom of a quandle follows from

$$
\begin{aligned}
((x, a) *(y, b)) *(z, c) & =\left(\left(x *^{b} y\right) *^{c} z,(a \triangleleft b) \triangleleft c\right) \\
& =\left(\left(x *^{c} z\right) *^{b \triangleleft c}\left(y *^{c} z\right),(a \triangleleft c) \triangleleft(b \triangleleft c)\right) \\
& =((x, a) *(z, c)) *((y, b) *(z, c)) .
\end{aligned}
$$

Conversely, we can prove the following. Let $\triangleleft$ be a binary operation on a non-empty set $Q$. Let $*^{a}$ be a binary operation on a non-empty set $X$ for $a \in Q$. We define a binary operation $*:(X \times Q) \times(X \times Q) \rightarrow X \times Q$ by

$$
(x, a) *(y, b)=\left(x *^{b} y, a \triangleleft b\right) .
$$

If $(X \times Q, *)$ is a quandle (resp. rack), then $(Q, \triangleleft)$ is a quandle (resp. rack) and $\left(X,\left\{*^{a}\right\}_{a \in Q}\right)$ is a $Q$-family of quandles (resp. racks).

Proof of Proposition 2.1. (1) The first and third axioms of a quandle are easily checked. The second axiom of a quandle follows from the equalities

$$
\left(x *^{g} y\right) *^{g^{-1}} y=\left(x *^{g^{-1}} y\right) *^{g} y=x
$$

Then $\left(X, *^{g}\right)$ is a quandle.
(2) Let $(G, \triangleleft)$ be the conjugation quandle. By Lemma $2.2,(X \times G, *)$ is a quandle.

The following proposition gives us many examples for a $G$-family of quandles.

Proposition 2.3. (1) Let $(X, *)$ be a quandle. Let $S_{x}: X \rightarrow X$ be the bijection defined by $S_{x}(y)=y * x$. Let $m$ be a positive integer such that $S_{x}^{m}=$ $\operatorname{id}_{X}$ for any $x \in X$ if such an integer exists. We define the binary operation $*^{i}: X \times X \rightarrow X$ by $x *^{i} y=S_{y}^{i}(x)$. Then $X$ is a $\mathbb{Z}$-family of quandles and a $\mathbb{Z}_{m}$-family of quandles, where $\mathbb{Z}_{m}=\mathbb{Z} / m \mathbb{Z}$.
(2) Let $R$ be a ring, and $G$ a group with identity element $e$. Let $X$ be a right $R[G]$-module, where $R[G]$ is the group ring of $G$ over $R$. We define the binary operation $*^{g}: X \times X \rightarrow X$ by $x *^{g} y=x g+y(e-g)$. Then $X$ is $a$ $G$-family of quandles.

Proof. (1) We verify the axioms of a $G$-family of quandles.

$$
\begin{aligned}
x *^{0} y & =S_{y}^{0}(x)=\operatorname{id}_{X}(x)=x \\
x *^{i} x & =S_{x}^{i}(x)=x \\
\left(x *^{i} y\right) *^{j} y & =S_{y}^{j}\left(S_{y}^{i}(x)\right)=S_{y}^{i+j}(x)=x *^{i+j} y
\end{aligned}
$$

For the last axiom of a $G$-family of quandles, we can prove

$$
\left(x *^{j} z\right) *^{i}\left(y *^{j} z\right)=\left(x *^{i} y\right) *^{j} z
$$

by induction.
(2) We verify the axioms of a $G$-family of quandles.

$$
\begin{aligned}
& x *^{e} y \\
& \quad=x e+y(e-e)=x, \\
& x *^{g} x \\
& \quad=x g+x(e-g)=x, \\
& \left(x *^{g} y\right) *^{h} y \\
& \quad=(x g+y-y g) h+y-y h=x *^{g h} y, \\
& \left(x *^{h} z\right) *^{h^{-1} g h}\left(y *^{h} z\right) \\
& \quad=(x h+z-z h) h^{-1} g h+(y h+z-z h)-(y h+z-z h) h^{-1} g h \\
& \quad=(x g+y-y g) h+z-z h \\
& \quad=\left(x *^{g} y\right) *^{h} z .
\end{aligned}
$$

## 3. Handlebody-links and $X$-colorings

A handlebody-link is a disjoint union of handlebodies embedded in the 3 -sphere $S^{3}$. Two handlebody-links are equivalent if there is an orientationpreserving self-homeomorphism of $S^{3}$ which sends one to the other. A spatial graph is a finite graph embedded in $S^{3}$. Two spatial graphs are equivalent if there is an orientation-preserving self-homeomorphism of $S^{3}$ which sends one to the other. When a handlebody-link $H$ is a regular neighborhood of a spatial graph $K$, we say that $K$ represents $H$, or $H$ is represented by $K$. In this paper, a trivalent graph may contain circle components. Then any handlebodylink can be represented by some spatial trivalent graph. A diagram of a handlebody-link is a diagram of a spatial trivalent graph which represents the handlebody-link.


Figure 1





$\stackrel{\text { R5 }}{\leftrightarrow}$



Figure 2

An $I H$-move is a local spatial move on spatial trivalent graphs as described in Figure 1, where the replacement is applied in a 3 -ball embedded in $S^{3}$. Then we have the following theorem.

Theorem 3.1 ([6]). For spatial trivalent graphs $K_{1}$ and $K_{2}$, the following are equivalent.

- $K_{1}$ and $K_{2}$ represent an equivalent handlebody-link.
- $K_{1}$ and $K_{2}$ are related by a finite sequence of IH-moves.
- Diagrams of $K_{1}$ and $K_{2}$ are related by a finite sequence of the moves depicted in Figure 2.

Let $D$ be a diagram of a handlebody-link $H$. We set an orientation for each edge in $D$. Then $D$ is a diagram of an oriented spatial trivalent graph $K$. We may represent an orientation of an edge by a normal orientation, which is obtained by rotating a usual orientation counterclockwise by $\pi / 2$ on the diagram. We denote by $\mathcal{A}(D)$ the set of arcs of $D$, where an arc is a piece of a curve each of whose endpoints is an undercrossing or a vertex. For an arc $\alpha$ incident to a vertex $\omega$, we define $\varepsilon(\alpha ; \omega) \in\{1,-1\}$ by

$$
\varepsilon(\alpha ; \omega)= \begin{cases}1 & \text { if the orientation of } \alpha \text { points to } \omega \\ -1 & \text { otherwise }\end{cases}
$$

Let $X$ be a $G$-family of quandles, and $Q$ the associated quandle of $X$. Let $p_{X}$ (resp. $p_{G}$ ) be the projection from $Q$ to $X$ (resp. $G$ ). An $X$-coloring of $D$ is a map $C: \mathcal{A}(D) \rightarrow Q$ satisfying the following conditions at each crossing $\chi$ and each vertex $\omega$ of $D$ (see Figure 3).


Figure 3

- Let $\chi_{1}, \chi_{2}$ and $\chi_{3}$ be respectively, the under-arcs and the over-arc at a crossing $\chi$ such that the normal orientation of $\chi_{3}$ points from $\chi_{1}$ to $\chi_{2}$. Then

$$
C\left(\chi_{2}\right)=C\left(\chi_{1}\right) * C\left(\chi_{3}\right) .
$$

- Let $\omega_{1}, \omega_{2}, \omega_{3}$ be the arcs incident to a vertex $\omega$ arranged clockwise around $\omega$. Then

$$
\begin{aligned}
& \left(p_{X} \circ C\right)\left(\omega_{1}\right)=\left(p_{X} \circ C\right)\left(\omega_{2}\right)=\left(p_{X} \circ C\right)\left(\omega_{3}\right) \\
& \left(p_{G} \circ C\right)\left(\omega_{1}\right)^{\varepsilon\left(\omega_{1} ; \omega\right)}\left(p_{G} \circ C\right)\left(\omega_{2}\right)^{\varepsilon\left(\omega_{2} ; \omega\right)}\left(p_{G} \circ C\right)\left(\omega_{3}\right)^{\varepsilon\left(\omega_{3} ; \omega\right)}=e
\end{aligned}
$$

We denote by $\operatorname{Col}_{X}(D)$ the set of $X$-colorings of $D$. We call $C(\alpha)$ the color of $\alpha$. For two diagrams $D$ and $E$ which locally differ, we denote by $\mathcal{A}(D, E)$ the set of arcs that $D$ and $E$ share.

Lemma 3.2. Let $X$ be a $G$-family of quandles. Let $D$ be a diagram of an oriented spatial trivalent graph. Let $E$ be a diagram obtained by applying one of the R1-R6 moves to the diagram $D$ once, where we choose orientations for $E$ which agree with those for $D$ on $\mathcal{A}(D, E)$. For $C \in \operatorname{Col}_{X}(D)$, there is a unique $X$-coloring $C_{D, E} \in \operatorname{Col}_{X}(E)$ such that $\left.C\right|_{\mathcal{A}(D, E)}=\left.C_{D, E}\right|_{\mathcal{A}(D, E)}$.

Proof. The colors of arcs in $\mathcal{A}(E)-\mathcal{A}(D, E)$ are uniquely determined by those of arcs in $\mathcal{A}(D, E)$, since we have

$$
a *^{g} a=a
$$

for the R1, R4 moves, and

$$
\left(a *^{g} b\right) *^{g^{-1}} b=a *^{e} b=a
$$

for the R2 move, and

$$
\left(a *^{g} b\right) *^{h} c=\left(a *^{h} c\right) *^{h^{-1} g h}\left(b *^{h} c\right)
$$

for the R3 move, and

$$
\left(\left(b *^{g} a\right) *^{h} a\right) *^{(g h)^{-1}} a=a *^{e} b=b
$$

for the R5 move, and only the coloring condition for the R6-move.
Let $X$ be a $G$-family of quandles, and $Q$ the associated quandle of $X$. An $X$-set is a non-empty set $Y$ with a family of maps $*^{g}: Y \times X \rightarrow Y$ satisfying the following axioms, where we note that we use the same symbol $*^{g}$ as the binary operation of the $G$-family of quandles.

- For any $y \in Y, x \in X$, and any $g, h \in G$,

$$
y *^{g h} x=\left(y *^{g} x\right) *^{h} x \quad \text { and } \quad y *^{e} x=y .
$$

- For any $y \in Y, x_{1}, x_{2} \in X$, and any $g, h \in G$,

$$
\left(y *^{g} x_{1}\right) *^{h} x_{2}=\left(y *^{h} x_{2}\right) *^{h^{-1} g h}\left(x_{1} *^{h} x_{2}\right) .
$$

Put $y *(x, g):=y *^{g} x$ for $y \in Y,(x, g) \in Q$. Then the second axiom implies that $\left(y * q_{1}\right) * q_{2}=\left(y * q_{2}\right) *\left(q_{1} * q_{2}\right)$ for $q_{1}, q_{2} \in Q$. Any $G$-family of quandles $\left(X,\left\{*^{g}\right\}_{g \in G}\right)$ itself is an $X$-set with its binary operations. We call it the primitive $X$-set. Any singleton set $\{y\}$ is also an $X$-set with the maps $*^{g}$ defined by $y *^{g} x=y$ for $x \in X$ and $g \in G$, which is a trivial $X$-set.

Let $D$ be a diagram of an oriented spatial trivalent graph. We denote by $\mathcal{R}(D)$ the set of complementary regions of $D$. Let $X$ be a $G$-family of quandles, and $Y$ an $X$-set. Let $Q$ be the associated quandle of $X$. An $X_{Y^{-}}$ coloring of $D$ is a map $C: \mathcal{A}(D) \cup \mathcal{R}(D) \rightarrow Q \cup Y$ satisfying the following conditions.

- $C(\mathcal{A}(D)) \subset Q, C(\mathcal{R}(D)) \subset Y$.
- The restriction $\left.C\right|_{\mathcal{A}(D)}$ of $C$ on $\mathcal{A}(D)$ is an $X$-coloring of $D$.
- For any arc $\alpha \in \mathcal{A}(D)$, we have

$$
C\left(\alpha_{1}\right) * C(\alpha)=C\left(\alpha_{2}\right)
$$

where $\alpha_{1}, \alpha_{2}$ are the regions facing the $\operatorname{arc} \alpha$ so that the normal orientation of $\alpha$ points from $\alpha_{1}$ to $\alpha_{2}$ (see Figure 4).
We denote by $\operatorname{Col}_{X}(D)_{Y}$ the set of $X_{Y}$-colorings of $D$.
For two diagrams $D$ and $E$ which locally differ, we denote by $\mathcal{R}(D, E)$ the set of regions that $D$ and $E$ share. Since colors of regions are uniquely determined by those of arcs and one region, Lemma 3.2 implies the following lemma.


Figure 4

Lemma 3.3. Let $X$ be a $G$-family of quandles, $Y$ an $X$-set. Let $D$ be a diagram of an oriented spatial trivalent graph. Let $E$ be a diagram obtained by applying one of the R1-R6 moves to the diagram $D$ once, where we choose orientations for $E$ which agree with those for $D$ on $\mathcal{A}(D, E)$. For $C \in \operatorname{Col}_{X}(D)_{Y}$, there is a unique $X_{Y}$-coloring $C_{D, E} \in \operatorname{Col}_{X}(E)_{Y}$ such that $\left.C\right|_{\mathcal{A}(D, E)}=\left.C_{D, E}\right|_{\mathcal{A}(D, E)}$ and $\left.C\right|_{\mathcal{R}(D, E)}=\left.C_{D, E}\right|_{\mathcal{R}(D, E)}$.

## 4. A homology

Let $X$ be a $G$-family of quandles, and $Y$ an $X$-set. Let $(Q, *)$ be the associated quandle of $X$. Let $B_{n}(X)_{Y}$ be the free Abelian group generated by the elements of $Y \times Q^{n}$ if $n \geq 0$, and let $B_{n}(X)_{Y}=0$ otherwise. We put

$$
\left(\left(y, q_{1}, \ldots, q_{i}\right) * q, q_{i+1}, \ldots, q_{n}\right):=\left(y * q, q_{1} * q, \ldots, q_{i} * q, q_{i+1}, \ldots, q_{n}\right)
$$

for $y \in Y$ and $q, q_{1}, \ldots, q_{n} \in Q$. We define a boundary homomorphism $\partial_{n}: B_{n}(X)_{Y} \rightarrow B_{n-1}(X)_{Y}$ by

$$
\begin{aligned}
\partial_{n}\left(y, q_{1}, \ldots, q_{n}\right)= & \sum_{i=1}^{n}(-1)^{i}\left(y, q_{1}, \ldots, q_{i-1}, q_{i+1}, \ldots, q_{n}\right) \\
& -\sum_{i=1}^{n}(-1)^{i}\left(\left(y, q_{1}, \ldots, q_{i-1}\right) * q_{i}, q_{i+1}, \ldots, q_{n}\right)
\end{aligned}
$$

for $n>0$, and $\partial_{n}=0$ otherwise. Then $B_{*}(X)_{Y}=\left(B_{n}(X)_{Y}, \partial_{n}\right)$ is a chain complex (see [1], [2], [4], [5]).

Let $D_{n}(X)_{Y}$ be the subgroup of $B_{n}(X)_{Y}$ generated by the elements of

$$
\bigcup_{i=1}^{n-1}\left\{\begin{array}{l|l}
\left(y, q_{1}, \ldots, q_{i-1},(x, g),(x, h), q_{i+2}, \ldots, q_{n}\right) & \begin{array}{l}
y \in Y, x \in X, g, h \in G, \\
q_{1}, \ldots, q_{n} \in Q
\end{array}
\end{array}\right\}
$$

and

$$
\bigcup_{i=1}^{n}\left\{\begin{array}{l|l}
\left(y, q_{1}, \ldots, q_{i-1},(x, g h), q_{i+1}, \ldots, q_{n}\right) & y \in Y, x \in X \\
-\left(y, q_{1}, \ldots, q_{i-1},(x, g), q_{i+1}, \ldots, q_{n}\right) & g, h \in G, \\
-\left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, g),(x, h), q_{i+1}, \ldots, q_{n}\right) & q_{1}, \ldots, q_{n} \in Q
\end{array}\right\} .
$$

We remark that

$$
\left(y, q_{1}, \ldots, q_{i-1},(x, e), q_{i+1}, \ldots, q_{n}\right)
$$

and

$$
\begin{aligned}
& \left(y, q_{1}, \ldots, q_{i-1},(x, g), q_{i+1}, \ldots, q_{n}\right) \\
& \quad+\left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, g),\left(x, g^{-1}\right), q_{i+1}, \ldots, q_{n}\right)
\end{aligned}
$$

belong to $D_{n}(X)_{Y}$.
Lemma 4.1. For $n \in \mathbb{Z}$, we have $\partial_{n}\left(D_{n}(X)_{Y}\right) \subset D_{n-1}(X)_{Y}$. Thus $D_{*}(X)_{Y}=\left(D_{n}(X)_{Y}, \partial_{n}\right)$ is a subcomplex of $B_{*}(X)_{Y}$.

Proof. It is sufficient to show the equalities

$$
\begin{aligned}
& \partial_{n}\left(y, q_{1}, \ldots, q_{i-1},(x, g),(x, h), q_{i+2}, \ldots, q_{n}\right)=0 \\
& \partial_{n}\left(y, q_{1}, \ldots, q_{i-1},(x, g h), q_{i+1}, \ldots, q_{n}\right) \\
& \quad=\partial_{n}\left(y, q_{1}, \ldots, q_{i-1},(x, g), q_{i+1}, \ldots, q_{n}\right) \\
& \quad+\partial_{n}\left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, g),(x, h), q_{i+1}, \ldots, q_{n}\right)
\end{aligned}
$$

in $B_{n-1}(X)_{Y} / D_{n-1}(X)_{Y}$. We verify the first equality in the quotient group.

$$
\begin{aligned}
\partial_{n}(y, & \left.q_{1}, \ldots, q_{i-1},(x, g),(x, h), q_{i+2}, \ldots, q_{n}\right) \\
= & (-1)^{i}\left(y, q_{1}, \ldots, q_{i-1},(x, h), q_{i+2}, \ldots, q_{n}\right) \\
& +(-1)^{i+1}\left(y, q_{1}, \ldots, q_{i-1},(x, g), q_{i+2}, \ldots, q_{n}\right) \\
& -(-1)^{i}\left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, g),(x, h), q_{i+2}, \ldots, q_{n}\right) \\
& -(-1)^{i+1}\left(\left(y, q_{1}, \ldots, q_{i-1},(x, g)\right) *(x, h), q_{i+2}, \ldots, q_{n}\right) \\
= & (-1)^{i}\left(y, q_{1}, \ldots, q_{i-1},(x, h), q_{i+2}, \ldots, q_{n}\right) \\
& +(-1)^{i+1}\left(y, q_{1}, \ldots, q_{i-1},(x, g h), q_{i+2}, \ldots, q_{n}\right) \\
& -(-1)^{i+1}\left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, h),\left(x, h^{-1} g h\right), q_{i+2}, \ldots, q_{n}\right) \\
= & 0
\end{aligned}
$$

where the first equality follows from

$$
\left(\left(y, q_{1}, \ldots, q_{i-1},(x, g),(x, h), q_{i+2}, \ldots, q_{j-1}\right) * q_{j}, q_{j+1}, \ldots, q_{n}\right)=0
$$

We verify the second equality in the quotient group.

$$
\begin{aligned}
& \partial_{n}\left(y, q_{1}, \ldots, q_{i-1},(x, g h), q_{i+1}, \ldots, q_{n}\right) \\
& \quad=\sum_{j<i}(-1)^{j}\left(y, q_{1}, \ldots, q_{j-1}, q_{j+1}, \ldots, q_{i-1},(x, g), q_{i+1}, \ldots, q_{n}\right) \\
& \quad+\sum_{j<i}(-1)^{j}\left(\left(y, q_{1}, \ldots, q_{j-1}, q_{j+1}, \ldots, q_{i-1}\right) *(x, g),(x, h), q_{i+1}, \ldots, q_{n}\right) \\
& \quad+(-1)^{i}\left(y, q_{1}, \ldots, q_{i-1}, q_{i+1}, \ldots, q_{n}\right)
\end{aligned}
$$

$$
\begin{aligned}
& +\sum_{j>i}(-1)^{j}\left(y, q_{1}, \ldots, q_{i-1},(x, g), q_{i+1}, \ldots, q_{j-1}, q_{j+1}, \ldots, q_{n}\right) \\
& +\sum_{j>i}(-1)^{j}\left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, g),(x, h), q_{i+1}, \ldots, q_{j-1}, q_{j+1}, \ldots, q_{n}\right) \\
& -\sum_{j<i}(-1)^{j}\left(\left(y, q_{1}, \ldots, q_{j-1}\right) * q_{j}, q_{j+1}, \ldots, q_{i-1},(x, g), q_{i+1}, \ldots, q_{n}\right) \\
& -\sum_{j<i}(-1)^{j}\left(\left(\left(y, q_{1}, \ldots, q_{j-1}\right) * q_{j}, q_{j+1}, \ldots, q_{i-1}\right)\right. \\
& \left.*(x, g),(x, h), q_{i+1}, \ldots, q_{n}\right) \\
& -(-1)^{i}\left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, g h), q_{i+1}, \ldots, q_{n}\right) \\
& -\sum_{j>i}(-1)^{j}\left(\left(y, q_{1}, \ldots, q_{i-1},(x, g h), q_{i+1}, \ldots, q_{j-1}\right) * q_{j}, q_{j+1}, \ldots, q_{n}\right) \\
& =\partial_{n}\left(y, q_{1}, \ldots, q_{i-1},(x, g), q_{i+1}, \ldots, q_{n}\right) \\
& +\partial_{n}\left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, g),(x, h), q_{i+1}, \ldots, q_{n}\right)
\end{aligned}
$$

where the last equality follows from

$$
\begin{aligned}
& \left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, g h), q_{i+1}, \ldots, q_{n}\right) \\
& \quad=\left(\left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, g)\right) *(x, h), q_{i+1}, \ldots, q_{n}\right)
\end{aligned}
$$

and

$$
\begin{aligned}
& \left(\left(y, q_{1}, \ldots, q_{i-1},(x, g h), q_{i+1}, \ldots, q_{j-1}\right) * q_{j}, q_{j+1}, \ldots, q_{n}\right) \\
& \quad=\left(\left(y, q_{1}, \ldots, q_{i-1},(x, g), q_{i+1}, \ldots, q_{j-1}\right) * q_{j}, q_{j+1}, \ldots, q_{n}\right) \\
& \quad+\left(\left(\left(y, q_{1}, \ldots, q_{i-1}\right) *(x, g),(x, h), q_{i+1}, \ldots, q_{j-1}\right) * q_{j}, q_{j+1}, \ldots, q_{n}\right) .
\end{aligned}
$$

Then $\partial_{n}\left(D_{n}(X)_{Y}\right) \subset D_{n-1}(X)_{Y}$.
We put $C_{n}(X)_{Y}=B_{n}(X)_{Y} / D_{n}(X)_{Y}$. Then $C_{*}(X)_{Y}=\left(C_{n}(X)_{Y}, \partial_{n}\right)$ is a chain complex. For an Abelian group $A$, we define the cochain complex $C^{*}(X ; A)_{Y}=\operatorname{Hom}\left(C_{*}(X)_{Y}, A\right)$. We denote by $H_{n}(X)_{Y}$ the $n$th homology group of $C_{*}(X)_{Y}$.

## 5. Cocycle invariants

Let $X$ be a $G$-family of quandles, and $Y$ an $X$-set. Let $D$ be a diagram of an oriented spatial trivalent graph. For an $X_{Y}$-coloring $C \in \operatorname{Col}_{X}(D)_{Y}$, we define the weight $w(\chi ; C) \in C_{2}(X)_{Y}$ at a crossing $\chi$ of $D$ as follows. Let $\chi_{1}, \chi_{2}$ and $\chi_{3}$ be respectively, the under-arcs and the over-arc at a crossing $\chi$ such that the normal orientation of $\chi_{3}$ points from $\chi_{1}$ to $\chi_{2}$. Let $R_{\chi}$ be the region facing $\chi_{1}$ and $\chi_{3}$ such that the normal orientations $\chi_{1}$ and $\chi_{3}$ point
from $R_{\chi}$ to the opposite regions with respect to $\chi_{1}$ and $\chi_{3}$, respectively. Then we define

$$
w(\chi ; C)=\varepsilon(\chi)\left(C\left(R_{\chi}\right), C\left(\chi_{1}\right), C\left(\chi_{3}\right)\right)
$$

where $\varepsilon(\chi) \in\{1,-1\}$ is the sign of a crossing $\chi$. We define a chain $W(D ; C) \in$ $C_{2}(X)_{Y}$ by

$$
W(D ; C)=\sum_{\chi} w(\chi ; C)
$$

where $\chi$ runs over all crossings of $D$.
Lemma 5.1. The chain $W(D ; C)$ is a 2-cycle of $C_{*}(X)_{Y}$. Further, for cohomologous 2-cocycles $\theta, \theta^{\prime}$ of $C^{*}(X ; A)_{Y}$, we have $\theta(W(D ; C))=$ $\theta^{\prime}(W(D ; C))$.

Proof. It is sufficient to show that $W(D ; C)$ is a 2-cycle of $C_{2}(X)_{Y}$. We denote by $\mathcal{S} \mathcal{A}(D)$ the set of curves obtained from $D$ by removing (small neighborhoods of) crossings and vertices. We call a curve in $\mathcal{S} \mathcal{A}(D)$ a semiarc of $D$. We note that a semi-arc is obtained by dividing an over-arc at all crossings. We denote by $\mathcal{S} \mathcal{A}(D ; \xi)$ the set of semi-arcs incident to $\xi$, where $\xi$ is a crossing or a vertex of $D$.

We define the orientation and the color of a semi-arc by those of the arc including the semi-arc. For a semi-arc $\alpha$, there is a unique region $R_{\alpha}$ facing $\alpha$ such that the orientation of $\alpha$ points from the region $R_{\alpha}$ to the opposite region with respect to $\alpha$. For a semi-arc $\alpha$ incident to a crossing or a vertex $\chi$, we define

$$
\varepsilon(\alpha ; \chi):= \begin{cases}1 & \text { if the orientation of } \alpha \text { points to } \chi \\ -1 & \text { otherwise }\end{cases}
$$

Let $\chi_{1}, \chi_{2}$ be the semi-arcs incident to a crossing $\chi$ such that they originate from the under-arcs at $\chi$ and that the normal orientation of the over-arc points from $\chi_{1}$ to $\chi_{2}$. Let $\chi_{3}, \chi_{4}$ be the semi-arcs incident to a crossing $\chi$ such that they originate from the over-arc at $\chi$ and that the normal orientation of the under-arcs points from $\chi_{3}$ to $\chi_{4}$ (see Figure 5). Then we have

$$
\begin{aligned}
\partial_{2}(w(\chi ; C))= & -\varepsilon(\chi)\left(C\left(R_{\chi_{1}}\right), C\left(\chi_{3}\right)\right)+\varepsilon(\chi)\left(C\left(R_{\chi_{1}}\right), C\left(\chi_{1}\right)\right) \\
& +\varepsilon(\chi)\left(C\left(R_{\chi_{1}}\right) * C\left(\chi_{1}\right), C\left(\chi_{3}\right)\right) \\
& -\varepsilon(\chi)\left(C\left(R_{\chi_{1}}\right) * C\left(\chi_{3}\right), C\left(\chi_{1}\right) * C\left(\chi_{3}\right)\right) \\
= & \sum_{\alpha \in \mathcal{S A}(D ; \chi)} \varepsilon(\alpha ; \chi)\left(C\left(R_{\alpha}\right), C(\alpha)\right) .
\end{aligned}
$$



Figure 5

Since $\sum_{\alpha \in \mathcal{S A}(D ; \omega)} \varepsilon(\alpha ; \omega)\left(C\left(R_{\alpha}\right), C(\alpha)\right)$ is an element of $D_{1}(X)_{Y}$ for a vertex $\omega$, we have

$$
\begin{aligned}
\partial_{2}\left(\sum_{\chi} w(\chi ; C)\right)= & \sum_{\chi} \sum_{\alpha \in \mathcal{S A}(D ; \chi)} \varepsilon(\alpha ; \chi)\left(C\left(R_{\alpha}\right), C(\alpha)\right) \\
= & \sum_{\chi} \sum_{\alpha \in \mathcal{S A}(D ; \chi)} \varepsilon(\alpha ; \chi)\left(C\left(R_{\alpha}\right), C(\alpha)\right) \\
& +\sum_{\omega} \sum_{\alpha \in \mathcal{S A}(D ; \omega)} \varepsilon(\alpha ; \omega)\left(C\left(R_{\alpha}\right), C(\alpha)\right) \\
= & \sum_{\alpha \in \mathcal{S A}(D)}\left(\left(C\left(R_{\alpha}\right), C(\alpha)\right)-\left(C\left(R_{\alpha}\right), C(\alpha)\right)\right) \\
= & 0
\end{aligned}
$$

in $C_{1}(X)_{Y}$, where $\chi$ and $\omega$, respectively run over all crossings and vertices of $D$.

We recall that, for $C \in \operatorname{Col}_{X}(D)_{Y}$, there is a unique $X_{Y}$-coloring $C_{D, E} \in$ $\operatorname{Col}_{X}(E)$ such that $\left.C\right|_{\mathcal{A}(D, E)}=\left.C_{D, E}\right|_{\mathcal{A}(D, E)}$ and $\left.C\right|_{\mathcal{R}(D, E)}=\left.C_{D, E}\right|_{\mathcal{A}(R, E)}$ by Lemma 3.3.

Lemma 5.2. Let $D$ be a diagram of an oriented spatial trivalent graph. Let $E$ be a diagram obtained by applying one of the R1-R6 moves to the diagram $D$ once, where we choose orientations for $E$ which agree with those for $D$ on $\mathcal{A}(D, E)$. For $C \in \operatorname{Col}_{X}(D)_{Y}$ and $C_{D, E} \in \operatorname{Col}_{X}(E)_{Y}$ such that $\left.C\right|_{\mathcal{A}(D, E)}=\left.C_{D, E}\right|_{\mathcal{A}(D, E)}$ and $\left.C\right|_{\mathcal{R}(D, E)}=\left.C_{D, E}\right|_{\mathcal{R}(D, E)}$, we have $[W(D ; C)]=$ [W $\left.\left(E ; C_{D, E}\right)\right]$ in $H_{2}(X)_{Y}$.

Proof. We have the invariance under the R1, R4 and R5 moves, since the difference between $[W(D ; C)]$ and $\left[W\left(E ; C_{D, E}\right)\right]$ is an element of $D_{2}(X)_{Y}$. The invariance under the R2 move follows from the signs of the crossings which appear in the move. We have the invariance under the R3 move, since
the difference between $[W(D ; C)]$ and $\left[W\left(E ; C_{D, E}\right)\right]$ is an image of $\partial_{3}$. We have the invariance under the R6 move, since no crossings appear in the move.

We denote by $G_{H}$ (resp. $G_{K}$ ) the fundamental group of the exterior of a handlebody-link $H$ (resp. a spatial graph $K$ ). When $H$ is represented by $K$, the groups $G_{H}$ and $G_{K}$ are identical. Let $D$ be a diagram of an oriented spatial trivalent graph $K$. By the definition of an $X_{Y}$-coloring $C$ of $D$, the $\left.\operatorname{map} p_{G} \circ C\right|_{\mathcal{A}(D)}$ represents a homomorphism from $G_{K}$ to $G$, which we denote by $\rho_{C} \in \operatorname{Hom}\left(G_{K}, G\right)$. For $\rho \in \operatorname{Hom}\left(G_{K}, G\right)$, we define

$$
\operatorname{Col}_{X}(D ; \rho)_{Y}=\left\{C \in \operatorname{Col}_{X}(D)_{Y} \mid \rho_{C}=\rho\right\}
$$

For a 2-cocycle $\theta$ of $C^{*}(X ; A)_{Y}$, we define

$$
\begin{aligned}
\mathcal{H}(D) & :=\left\{[W(D ; C)] \in H_{2}(X)_{Y} \mid C \in \operatorname{Col}_{X}(D)_{Y}\right\}, \\
\Phi_{\theta}(D) & :=\left\{\theta(W(D ; C)) \in A \mid C \in \operatorname{Col}_{X}(D)_{Y}\right\}, \\
\mathcal{H}(D ; \rho) & :=\left\{[W(D ; C)] \in H_{2}(X)_{Y} \mid C \in \operatorname{Col}_{X}(D ; \rho)_{Y}\right\}, \\
\Phi_{\theta}(D ; \rho) & :=\left\{\theta(W(D ; C)) \in A \mid C \in \operatorname{Col}_{X}(D ; \rho)_{Y}\right\}
\end{aligned}
$$

as multisets.
Lemma 5.3. Let $D$ be a diagram of an oriented spatial trivalent graph $K$. For $\rho, \rho^{\prime} \in \operatorname{Hom}\left(G_{K}, G\right)$ such that $\rho$ and $\rho^{\prime}$ are conjugate, we have $\mathcal{H}(D ; \rho)=$ $\mathcal{H}\left(D ; \rho^{\prime}\right)$ and $\Phi_{\theta}(D ; \rho)=\Phi_{\theta}\left(D ; \rho^{\prime}\right)$.

Proof. Let $g_{0}$ be an element of $G$ such that $\rho^{\prime}(x)=g_{0}^{-1} \rho(x) g_{0}$ for any $x \in$ $G_{K}$. Fix $x_{0} \in X$. We set $q_{0}:=\left(x_{0}, g_{0}\right)$. Let $f: \operatorname{Col}_{X}(D ; \rho)_{Y} \rightarrow \operatorname{Col}_{X}\left(D ; \rho^{\prime}\right)_{Y}$ be the bijection defined by $f(C)(x)=C(x) * q_{0}$ (see Figure 6).

We prove $[W(D ; C)]=[W(D ; f(C))] \in H_{2}(X)_{Y}$ for $C \in \mathrm{Col}_{X}(D ; \rho)_{Y}$. We assume that spatial trivalent graphs are drawn in $\mathbb{R}^{2}\left(\subset S^{2}\right)$. Let $D^{\prime}$ be a diagram obtained from $D$ by putting an oriented loop $\gamma$ in the outermost region $R_{\infty}$ so that the loop bounds a disk, where the loop is oriented counterclockwise (see Figure 7). Let $C^{\prime}$ be the $X_{Y}$-coloring of $D^{\prime}$ defined by $C^{\prime}(\gamma)=q_{0}$


Figure 6


## Figure 7

and $C^{\prime}=C$ on $\mathcal{A}\left(D, D^{\prime}\right) \cup \mathcal{R}\left(D, D^{\prime}\right)$. Then we note that $C^{\prime}\left(R_{\infty}^{\prime}\right)=C\left(R_{\infty}\right) * q_{0}$ for the region $R_{\infty}^{\prime}$ surrounded by the loop $\gamma$ in $D^{\prime}$. We deform the diagram $D^{\prime}$ by using R2, R3 and R5 moves so that the loop passes over all arcs of $D$ exactly once. Then we denote by $D^{\prime \prime}$ and $C^{\prime \prime} \in \operatorname{Col}_{X}\left(D^{\prime \prime}\right)_{Y}$ the resulting diagram and the corresponding $X_{Y}$-coloring of $D^{\prime \prime}$, respectively. We obtain the $X_{Y}$-coloring $f(C)$ from $C^{\prime \prime}$ by removing the loop from $D^{\prime \prime}$, which also implies that $f$ is well-defined.

Since no crossings increase or decrease when we add or remove the loop $\gamma$, we have

$$
[W(D ; C)]=\left[W\left(D^{\prime} ; C^{\prime}\right)\right]=\left[W\left(D^{\prime \prime} ; C^{\prime \prime}\right)\right]=[W(D ; f(C))]
$$

where the second equality follows from Lemma 5.2. Then we have $\mathcal{H}(D ; \rho)=$ $\mathcal{H}\left(D ; \rho^{\prime}\right)$ and $\Phi_{\theta}(D ; \rho)=\Phi_{\theta}\left(D ; \rho^{\prime}\right)$.

We denote by Conj $\left(G_{K}, G\right)$ the set of conjugacy classes of homomorphisms from $G_{K}$ to $G$. By Lemma 5.3, $\mathcal{H}(D ; \rho)$ and $\Phi_{\theta}(D ; \rho)$ are well-defined for $\rho \in \operatorname{Conj}\left(G_{K}, G\right)$.

Lemma 5.4. Let $D$ be a diagram of an oriented spatial trivalent graph $K$. Let $E$ be a diagram obtained from $D$ by reversing the orientation of an edge $e$. For $\rho \in \operatorname{Hom}\left(G_{K}, G\right)$, we have $\mathcal{H}(D)=\mathcal{H}(E), \Phi_{\theta}(D)=\Phi_{\theta}(E), \mathcal{H}(D ; \rho)=$ $\mathcal{H}(E ; \rho)$ and $\Phi_{\theta}(D ; \rho)=\Phi_{\theta}(E ; \rho)$.

Proof. It is sufficient to show that $\mathcal{H}(D ; \rho)=\mathcal{H}(E ; \rho)$. We define a bijection $f: \operatorname{Col}_{X}(D ; \rho)_{Y} \rightarrow \operatorname{Col}_{X}(E ; \rho)_{Y}$ by $f(C)(\alpha)=\left(p_{X}(C(\alpha)), p_{G}(C(\alpha))^{-1}\right)$ if $\alpha$ is an arc originates from the edge $e, f(C)(\alpha)=C(\alpha)$ otherwise. We remark that $\rho_{f(C)}=\rho_{C}=\rho$. The map $f$ is well-defined, since $z_{1} *(x, g)=z_{2}$ is equivalent to $z_{2} *\left(x, g^{-1}\right)=z_{1}$. Then we have $w(\chi ; C)=w(\chi ; f(C))$ for every crossing $\chi$, since we have

$$
\begin{aligned}
\left(y,\left(x_{1}, g_{1}\right),\left(x_{2}, g_{2}\right)\right) & =-\left(y *\left(x_{1}, g_{1}\right),\left(x_{1}, g_{1}^{-1}\right),\left(x_{2}, g_{2}\right)\right) \\
& =-\left(y *\left(x_{2}, g_{2}\right),\left(x_{1}, g_{1}\right) *\left(x_{2}, g_{2}\right),\left(x_{2}, g_{2}^{-1}\right)\right) \\
& =\left(\left(y *\left(x_{1}, g_{1}\right)\right) *\left(x_{2}, g_{2}\right),\left(x_{1}, g_{1}^{-1}\right) *\left(x_{2}, g_{2}\right),\left(x_{2}, g_{2}^{-1}\right)\right)
\end{aligned}
$$

in $C_{2}(X)_{Y}$ (see Figure 8). Then we have $\mathcal{H}(D ; \rho)=\mathcal{H}(E ; \rho)$.


Figure 8

By Lemma 5.4, $\mathcal{H}(D), \Phi_{\theta}(D), \mathcal{H}(D ; \rho)$ and $\Phi_{\theta}(D ; \rho)$ are well-defined for a diagram $D$ of an unoriented spatial trivalent graph, which is a diagram of a handlebody-link. For a diagram $D$ of a handlebody-link $H$, we define

$$
\begin{aligned}
\mathcal{H}^{\text {hom }}(D) & :=\left\{\mathcal{H}(D ; \rho) \mid \rho \in \operatorname{Hom}\left(G_{H}, G\right)\right\} \\
\Phi_{\theta}^{\text {hom }}(D) & :=\left\{\Phi_{\theta}(D ; \rho) \mid \rho \in \operatorname{Hom}\left(G_{H}, G\right)\right\} \\
\mathcal{H}^{\mathrm{conj}}(D) & :=\left\{\mathcal{H}(D ; \rho) \mid \rho \in \operatorname{Conj}\left(G_{H}, G\right)\right\} \\
\Phi_{\theta}^{\mathrm{conj}}(D) & :=\left\{\Phi_{\theta}(D ; \rho) \mid \rho \in \operatorname{Conj}\left(G_{H}, G\right)\right\}
\end{aligned}
$$

as "multisets of multisets." We remark that, for $X_{Y}$-colorings $C$ and $C_{D, E}$ in Lemma 5.2, we have $\rho_{C}=\rho_{C_{D, E}}$. Then, by Lemmas 5.1-5.4, we have the following theorem.

Theorem 5.5. Let $X$ be a $G$-family of quandles, $Y$ an $X$-set. Let $\theta$ be a 2-cocycle of $C^{*}(X ; A)_{Y}$. Let $H$ be a handlebody-link represented by a diagram $D$. Then the following are invariants of a handlebodylink $H$.
$\mathcal{H}(D), \quad \Phi_{\theta}(D), \quad \mathcal{H}^{\text {hom }}(D), \quad \Phi_{\theta}^{\text {hom }}(D), \quad \mathcal{H}^{\text {conj }}(D), \quad \Phi_{\theta}^{\text {conj }}(D)$.
We denote the invariants of $H$ given in Theorem 5.5 by

$$
\begin{array}{lc}
\mathcal{H}(H), & \Phi_{\theta}(H), \quad \mathcal{H}^{\mathrm{hom}}(H) \\
\Phi_{\theta}^{\mathrm{hom}}(H), & \mathcal{H}^{\mathrm{conj}}(H), \quad \Phi_{\theta}^{\mathrm{conj}}(H),
\end{array}
$$

respectively.

Let $\{y\}$ be a trivial $X$-set. For the trivial 2-cocycle 0 of $C^{*}(X ; A)_{\{y\}}$, we have

$$
\begin{aligned}
\Phi_{0}(H) & =\left\{0 \mid C \in \operatorname{Col}_{X}(D)_{\{y\}}\right\}, \\
\Phi_{0}^{\mathrm{hom}}(H) & =\left\{\left\{0 \mid C \in \operatorname{Col}_{X}(D ; \rho)_{\{y\}}\right\} \mid \rho \in \operatorname{Hom}\left(G_{H}, G\right)\right\}, \\
\Phi_{0}^{\operatorname{conj}}(H) & =\left\{\left\{0 \mid C \in \operatorname{Col}_{X}(D ; \rho)_{\{y\}}\right\} \mid \rho \in \operatorname{Conj}\left(G_{H}, G\right)\right\} .
\end{aligned}
$$

Thus

$$
\begin{aligned}
\# \operatorname{Col}_{X}(H) & :=\# \operatorname{Col}_{X}(D)_{\{y\}}, \\
\# \operatorname{Col}_{X}^{\operatorname{hom}}(H) & :=\left\{\# \operatorname{Col}_{X}(D ; \rho)_{\{y\}} \mid \rho \in \operatorname{Hom}\left(G_{H}, G\right)\right\}, \\
\# \operatorname{Col}_{X}^{\operatorname{conj}}(H) & :=\left\{\# \operatorname{Col}_{X}(D ; \rho)_{\{y\}} \mid \rho \in \operatorname{Conj}\left(G_{H}, G\right)\right\}
\end{aligned}
$$

are invariants of a handlebody-link $H$ represented by a diagram $D$, where $\# S$ denotes the cardinality of a multiset $S$. We remark that these invariants do not depend on the choice of the singleton set $\{y\}$.

We denote by $H^{*}$ the mirror image of a handlebody-link $H$. Then we have the following theorem.

Theorem 5.6. For a handlebody-link $H$, we have

$$
\begin{aligned}
\mathcal{H}\left(H^{*}\right) & =-\mathcal{H}(H), & \Phi_{\theta}\left(H^{*}\right)=-\Phi_{\theta}(H), \\
\mathcal{H}^{\text {hom }}\left(H^{*}\right) & =-\mathcal{H}^{\text {hom }}(H), & \Phi_{\theta}^{\text {hom }}\left(H^{*}\right)=-\Phi_{\theta}^{\text {hom }}(H), \\
\mathcal{H}^{\text {conj }}\left(H^{*}\right) & =-\mathcal{H}^{\text {conj }}(H), & \Phi_{\theta}^{\text {conj }}\left(H^{*}\right)=-\Phi_{\theta}^{\text {conj }}(H),
\end{aligned}
$$

where $-S=\{-a \mid a \in S\}$ for a multiset $S$.
Proof. Let $D$ be a diagram of a handlebody-link $H$. We suppose that $D$ is depicted in an $x y$-plane $\mathbb{R}^{2}$. Let $\varphi: \mathbb{R}^{2} \rightarrow \mathbb{R}^{2}$ be the involution defined by $\varphi(x, y)=(-x, y)$. Let $\widetilde{\varphi}: S^{3} \rightarrow S^{3}$ be the involution defined by $\varphi(x, y, z)=(-x, y, z)$ and $\varphi(\infty)=\infty$, where we regard the 3 -sphere $S^{3}$ as $\mathbb{R}^{3} \cup\{\infty\}$. Then $\varphi(D)$ is a diagram of the handlebody-link $H^{*}=\widetilde{\varphi}(H)$. For $\rho \in \operatorname{Hom}\left(G_{H}, G\right)$ and $C \in \operatorname{Col}_{X}(D ; \rho)_{Y}$, we have $\widetilde{\varphi}_{*}(\rho) \in \operatorname{Hom}\left(G_{H^{*}}, G\right)$ and $C \circ \varphi \in \operatorname{Col}_{X}\left(\varphi(D) ; \widetilde{\varphi}_{*}(\rho)\right)_{Y}$, where $\widetilde{\varphi}_{*}$ is the isomorphism induced by $\widetilde{\varphi}$. For each crossing $\chi$ of $D, \varepsilon(\chi)=-\varepsilon(\varphi(\chi))$, and hence we have $w(\varphi(\chi), C \circ \varphi)=$ $-w(\chi, C)$. Then $[W(\varphi(D) ; C \circ \varphi)]=-[W(D ; C)]$, which implies the equalities in this theorem.

## 6. Applications

In this section, we calculate cocycle invariants defined in the previous section for the handlebody-knots $0_{1}, \ldots, 6_{16}$ in the table given in [8], by using a 2 -cocycle given by Nosaka [17]. This calculation enables us to distinguish some of handlebody-knots from their mirror images, and a pair of handlebody-knots whose complements have isomorphic fundamental groups.

Let $G=S L\left(2 ; \mathbb{Z}_{3}\right)$ and $X=\left(\mathbb{Z}_{3}\right)^{2}$. Then $X$ is a $G$-family of quandles with the proper binary operation as given in Proposition 2.3(2). Let $Y$ be the trivial $X$-set $\{y\}$. We define a map $\theta: Y \times(X \times G)^{2} \rightarrow \mathbb{Z}_{3}$ by

$$
\theta\left(y,\left(x_{1}, g_{1}\right),\left(x_{2}, g_{2}\right)\right):=\lambda\left(g_{1}\right) \operatorname{det}\left(x_{1}-x_{2}, x_{2}\left(1-g_{2}^{-1}\right)\right)
$$

where the Abelianization $\lambda: G \rightarrow \mathbb{Z}_{3}$ is given by

$$
\lambda\left(\begin{array}{ll}
a & b \\
c & d
\end{array}\right)=(a+d)(b-c)(1-b c)
$$

By [17], the map $\theta$ is a 2-cocycle of $C^{*}\left(X ; \mathbb{Z}_{3}\right)_{Y}$. Table 1 lists the invariant $\Phi_{\theta}^{\text {conj }}(H)$ for the handlebody-knots $0_{1}, \ldots, 6_{16}$. We represent the multiplicity of elements of a multiset by using subscripts. For example, $\left\{\left\{0_{2}, 1_{3}\right\}_{1},\left\{0_{3}\right\}_{2}\right\}$ represents the multiset $\{\{0,0,1,1,1\},\{0,0,0\},\{0,0,0\}\}$.

From Table 1, we see that our invariant can distinguish the handlebodyknots $6_{14}, 6_{15}$, whose complements have the isomorphic fundamental groups. Together with Theorem 5.6, we also see that handlebody-knots $5_{2}, 5_{3}, 6_{5}, 6_{9}$, $6_{11}, 6_{12}, 6_{13}, 6_{14}, 6_{15}$ are not equivalent to their mirror images. In particular,

## TABLE 1

| $H$ | $\Phi_{\theta}^{\text {conj }}(H)$ |
| :--- | :--- |
| $0_{1}$ | $\left\{\left\{0_{9}\right\}_{76}\right\}$ |
| $4_{1}$ | $\left\{\left\{0_{9}\right\}_{83},\left\{0_{27}\right\}_{22},\left\{0_{81}\right\}_{3}\right\}$ |
| $5_{1}$ | $\left\{\left\{0_{9}\right\}_{76}\right\}$ |
| $5_{2}$ | $\left\{\left\{0_{9}\right\}_{95},\left\{0_{27}\right\}_{6},\left\{0_{81}\right\}_{1},\left\{0_{9}, 1_{18}\right\}_{4},\left\{0_{27}, 1_{54}\right\}_{2}\right\}$ |
| $5_{3}$ | $\left\{\left\{0_{9}\right\}_{102},\left\{0_{27}\right\}_{4},\left\{0_{27}, 2_{54}\right\}_{2}\right\}$ |
| $5_{4}$ | $\left\{\left\{0_{9}\right\}_{74},\left\{0_{81}\right\}_{2}\right\}$ |
| $6_{1}$ | $\left\{\left\{0_{9}\right\}_{91},\left\{0_{27}\right\}_{16},\left\{0_{81}\right\}_{1}\right\}$ |
| $6_{2}$ | $\left\{\left\{0_{9}\right\}_{106},\left\{0_{45}, 1_{18}, 2_{18}\right\}_{2}\right\}$ |
| $6_{3}$ | $\left\{\left\{0_{9}\right\}_{74},\left\{0_{27}\right\}_{2}\right\}$ |
| $6_{4}$ | $\left\{\left\{0_{9}\right\}_{76}\right\}$ |
| $6_{5}$ | $\left\{\left\{0_{9}\right\}_{74},\left\{0_{9}, 1_{18}\right\}_{2}\right\}$ |
| $6_{6}$ | $\left\{\left\{0_{9}\right\}_{72},\left\{0_{27}\right\}_{4}\right\}$ |
| $6_{7}$ | $\left\{\left\{0_{9}\right\}_{85},\left\{0_{27}\right\}_{16},\left\{0_{81}\right\}_{3},\left\{0_{45}, 1_{18}, 2_{18}\right\}_{4}\right\}$ |
| $6_{8}$ | $\left\{\left\{0_{9}\right\}_{76}\right\}$ |
| $6_{9}$ | $\left\{\left\{0_{9}\right\}_{91},\left\{0_{27}\right\}_{6},\left\{0_{81}\right\}_{1},\left\{0_{9}, 1_{18}\right\}_{6},\left\{0_{27}, 1_{54}\right\}_{2},\left\{0_{27}, 2_{54}\right\}_{2}\right\}$ |
| $6_{10}$ | $\left\{\left\{0_{9}\right\}_{76}\right\}$ |
| $6_{11}$ | $\left\{\left\{0_{9}\right\}_{70},\left\{0_{9}, 1_{18}\right\}_{6}\right\}$ |
| $6_{12}$ | $\left\{\left\{0_{9}\right\}_{97},\left\{0_{81}\right\}_{1},\left\{0_{9}, 1_{18}\right\}_{8},\left\{0_{9}, 1_{36}, 2_{36}\right\}_{2}\right\}$ |
| $6_{13}$ | $\left\{\left\{0_{9}\right\}_{95},\left\{0_{27}\right\}_{6},\left\{0_{81}\right\}_{1},\left\{0_{9}, 2_{18}\right\}_{4},\left\{0_{27}, 2_{54}\right\}_{2}\right\}$ |
| $6_{14}$ | $\left\{\left\{0_{9}\right\}_{119},\left\{0_{27}\right\}_{6},\left\{0_{81}\right\}_{11},\left\{0_{9}, 1_{18}\right\}_{12},\left\{0_{27}, 1_{54}\right\}_{24}\right\}$ |
| $6_{15}$ | $\left\{\left\{0_{9}\right\}_{119},\left\{0_{27}\right\}_{6},\left\{0_{81}\right\}_{11},\left\{0_{9}, 2_{18}\right\}_{12},\left\{0_{27}, 1_{54}\right\}_{24}\right\}$ |
| $6_{16}$ | $\left\{\left\{0_{9}\right\}_{44},\left\{0_{81}\right\}_{32}\right\}$ |

## Table 2

|  | Chirality | M | II | LL | IKO | IIJO |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\overline{0_{1}}$ | $\bigcirc$ |  |  |  |  |  |
| $4_{1}$ | $\bigcirc$ |  |  |  |  |  |
| 51 | $\times$ |  |  | $\checkmark$ |  |  |
| $5{ }_{2}$ | $\times$ |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ |
| 53 | $\times$ |  |  |  |  | $\checkmark$ |
| 54 | $\times$ |  |  |  | $\checkmark$ |  |
| 61 | $\times$ | $\checkmark$ |  |  |  |  |
| $6_{2}$ | ? |  |  |  |  |  |
| 63 | ? |  |  |  |  |  |
| 64 | $\times$ |  |  | $\checkmark$ |  |  |
| $6_{5}$ | $\times$ |  |  |  |  | $\checkmark$ |
| $6_{6}$ | $\bigcirc$ |  |  |  |  |  |
| $6_{7}$ | $\bigcirc$ |  |  |  |  |  |
| 68 | ? |  |  |  |  |  |
| 69 | $\times$ |  | $\checkmark$ |  |  | $\checkmark$ |
| $6_{10}$ | ? |  |  |  |  |  |
| 611 | $\times$ |  |  |  |  | $\checkmark$ |
| 612 | $\times$ |  |  |  |  | $\checkmark$ |
| 613 | $\times$ |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ |
| $6_{14}$ | $\times$ |  |  |  | $\checkmark$ | $\checkmark$ |
| $6_{15}$ | $\times$ |  |  |  | $\checkmark$ | $\checkmark$ |
| $\underline{616}$ | $\bigcirc$ |  |  |  |  |  |

the chiralities of $5_{3}, 6_{5}, 6_{11}$ and $6_{12}$ were not known. Table 2 shows us known facts on the chirality of handlebody-knots in [8] so far. In the column of "chirality", the symbols $\bigcirc$ and $\times$ mean that the handlebody-knot is amphichiral and chiral, respectively, and the symbol ? means that it is not known whether the handlebody-knot is amphichiral or chiral. The symbols $\checkmark$ in the right five columns mean that the handlebody-knots can be proved chiral by using the method introduced in the papers corresponding to the columns. Here, M, II, LL, IKO and IIJO denote the papers [16], [7], [14], [9] and this paper, respectively.

## 7. A generalization

In this section, we show that our invariant is a generalization of the invariant $\Phi_{\theta}^{\mathrm{I}}(H)$ defined by the first and second authors in [7]. We refer the reader to [7] for the details of the invariant $\Phi_{\theta}^{\mathrm{I}}(H)$. We recall the definition of the chain complex for the invariant $\Phi_{\theta}^{\mathrm{I}}(H)$.

Let $X$ be a $\mathbb{Z}_{m}$-family of quandles, $Y$ an $X$-set. Let $B_{n}^{\mathrm{I}}(X)_{Y}$ be the free Abelian group generated by the elements of $Y \times X^{n}$ if $n \geq 0$, and let $B_{n}^{\mathrm{I}}(X)_{Y}=0$ otherwise. We put

$$
\left(\left(y, x_{1}, \ldots, x_{i}\right) *^{j} x, x_{i+1}, \ldots, x_{n}\right):=\left(y *^{j} x, x_{1} *^{j} x, \ldots, x_{i} *^{j} x, x_{i+1}, \ldots, x_{n}\right)
$$

for $y \in Y, x, x_{1}, \ldots, x_{n} \in X$ and $j \in \mathbb{Z}_{m}$. We define a boundary homomorphism $\partial_{n}: B_{n}^{\mathrm{I}}(X)_{Y} \rightarrow B_{n-1}^{\mathrm{I}}(X)_{Y}$ by

$$
\begin{aligned}
\partial_{n}\left(y, x_{1}, \ldots, x_{n}\right)= & \sum_{i=1}^{n}(-1)^{i}\left(y, x_{1}, \ldots, x_{i-1}, x_{i+1}, \ldots, x_{n}\right) \\
& -\sum_{i=1}^{n}(-1)^{i}\left(\left(y, x_{1}, \ldots, x_{i-1}\right) *^{1} x_{i}, x_{i+1}, \ldots, x_{n}\right)
\end{aligned}
$$

for $n>0$, and $\partial_{n}=0$ otherwise. Then $B_{*}^{\mathrm{I}}(X)_{Y}=\left(B_{n}^{\mathrm{I}}(X)_{Y}, \partial_{n}\right)$ is a chain complex. Let $D_{n}^{\mathrm{I}}(X)_{Y}$ be the subgroup of $B_{n}^{\mathrm{I}}(X)_{Y}$ generated by the elements of

$$
\bigcup_{i=1}^{n-1}\left\{\left(y, x_{1}, \ldots, x_{i-1}, x, x, x_{i+2}, \ldots, x_{n}\right) \mid y \in Y, x, x_{1}, \ldots, x_{n} \in X\right\}
$$

and

$$
\bigcup_{i=1}^{n}\left\{\sum_{j=0}^{m-1}\left(\left(y, x_{1}, \ldots, x_{i-1}\right) *^{j} x_{i}, x_{i}, \ldots, x_{n}\right) \mid y \in Y, x_{1}, \ldots, x_{n} \in X\right\}
$$

Then $D_{*}^{\mathrm{I}}(X)_{Y}=\left(D_{n}^{\mathrm{I}}(X)_{Y}, \partial_{n}\right)$ is a chain complex.
We put $C_{n}^{\mathrm{I}}(X)_{Y}=B_{n}^{\mathrm{I}}(X)_{Y} / D_{n}^{\mathrm{I}}(X)_{Y}$. Then $C_{*}^{\mathrm{I}}(X)_{Y}=\left(C_{n}^{\mathrm{I}}(X)_{Y}, \partial_{n}\right)$ is a chain complex. For an Abelian group $A$, we define the cochain complex $C_{\mathrm{I}}^{*}(X ; A)_{Y}=\operatorname{Hom}\left(C_{*}^{\mathrm{I}}(X)_{Y}, A\right)$. We denote by $H_{n}^{\mathrm{I}}(X)_{Y}$ the $n$th homology group of $C_{*}^{\mathrm{I}}(X)_{Y}$.

Proposition 7.1. For $n \in \mathbb{Z}$, we have

$$
H_{n}^{\mathrm{I}}(X)_{Y} \cong H_{n}(X)_{Y}
$$

Proof. The homomorphism $f_{n}: C_{n}^{\mathrm{I}}(X)_{Y} \rightarrow C_{n}(X)_{Y}$ defined by

$$
f_{n}\left(\left(y, x_{1}, \ldots, x_{n}\right)\right)=\left(y,\left(x_{1}, 1\right), \ldots,\left(x_{n}, 1\right)\right)
$$

is an isomorphism, since the homomorphism $g_{n}: C_{n}(X)_{Y} \rightarrow C_{n}^{\mathrm{I}}(X)_{Y}$ defined by

$$
\begin{aligned}
& g_{n}\left(y,\left(x_{1}, s_{1}\right), \ldots,\left(x_{n}, s_{n}\right)\right) \\
& \quad=\sum_{i_{1}=0}^{s_{1}-1} \sum_{i_{2}=0}^{s_{2}-1} \cdots \sum_{i_{n}=0}^{s_{n}-1}\left(\cdots\left(\left(y *^{i_{1}} x_{1}, x_{1}\right) *^{i_{2}} x_{2}, x_{2}\right) \cdots *^{i_{n}} x_{n}, x_{n}\right)
\end{aligned}
$$

is the inverse map of $f_{n}$. It is easy to see that $f=\left\{f_{n}\right\}$ is a chain map from $C_{*}^{\mathrm{I}}(X)_{Y}$ to $C_{*}(X)_{Y}$. Therefore, $H_{n}^{\mathrm{I}}(X)_{Y} \cong H_{n}(X)_{Y}$.

For a 2-cocycle $\theta$ of $C_{\mathrm{I}}^{*}(X ; A)_{Y}$, the composition $\theta \circ g_{2}$ is a 2-cocycle of $C^{*}(X ; A)_{Y}$, and we have

$$
\Phi_{\theta}^{\mathrm{I}}(H)=\Phi_{\theta \circ g_{2}}^{\mathrm{hom}}(H),
$$

where $g_{2}$ is the map defined in Proposition 7.1. Then our invariant is a generalization of the invariant introduced in [7].

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