# LOCALLY COMPLETE INTERSECTION STANLEY–REISNER IDEALS

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ABSTRACT. In this paper, we prove that the Stanley–Reisner ideal of any connected simplicial complex of dimension  $\geq 2$  that is locally complete intersection is a complete intersection ideal.

As an application, we show that the Stanley–Reisner ideal whose powers are Buchsbaum is a complete intersection ideal.

### Introduction

By a simplicial complex  $\Delta$  on a vertex set  $V = [n] = \{1, 2, ..., n\}$ , we mean that  $\Delta$  is a nonvoid family of subsets of V such that (i)  $\{v\} \in \Delta$  for every  $v \in V$ , and (ii)  $F \in \Delta$ ,  $G \subseteq F$  imply  $G \in \Delta$ . Let  $S = K[X_1, ..., X_n]$  be a polynomial ring over a field K. The *Stanley-Reisner ideal* of  $\Delta$ , denoted by  $I_{\Delta}$ , is the ideal of S generated by all squarefree monomials  $X_{i_1} \cdots X_{i_p}$ such that  $1 \leq i_1 < \cdots < i_p \leq n$  and  $\{i_1, \ldots, i_p\} \notin \Delta$ . The *Stanley-Reisner ring* of  $\Delta$  over K is the K-algebra  $K[\Delta] = S/I_{\Delta}$ . Any squarefree monomial ideal I with  $I \subseteq (X_1, \ldots, X_n)^2$  is a Stanley-Reisner ideal  $I_{\Delta}$  for some simplicial complex  $\Delta$  on V = [n].

An element  $F \in \Delta$  is called a *face* of  $\Delta$ . A maximal face of  $\Delta$  with respect to inclusion is called a *facet* of  $\Delta$ . The *dimension* of  $\Delta$ , denoted by dim  $\Delta$ , is the maximum of the dimensions dim  $F = \sharp(F) - 1$ , where F runs through all faces F of  $\Delta$  and  $\sharp(F)$  denotes the cardinality of F. Note that the Krull dimension of  $K[\Delta]$  is equal to dim  $\Delta + 1$ . A simplicial complex is called *pure* if all facets have the same dimension. See [1], [6] for more information on Stanley–Reisner rings.

A homogeneous ideal I in  $S = K[X_1, \ldots, X_n]$  is said to be a *locally complete* intersection ideal if  $I_P$  is a complete intersection ideal (that is, generated by a regular sequence) for any prime  $P \in \operatorname{Proj}(S/I)$ . A simplicial complex  $\Delta$ on V is said to be a *locally complete intersection* complex if  $I_{\operatorname{link}_{\Delta}(\{v\})}$  is a

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complete intersection ideal for every  $v \in V$ . Then  $\Delta$  is a locally complete intersection complex if and only if  $I_{\Delta}$  is a locally complete intersection ideal. Note that a locally complete intersection ideal I is called a *generalized complete intersection* ideal in the sense of Goto–Takayama (see [3]) if  $I = I_{\Delta}$  is the Stanley–Reisner ideal for some pure simplicial complex  $\Delta$ .

In Section 1, we consider the structure of simplicial complexes which are locally complete intersection. This is the main purpose of the paper. One can easily see that if a Stanley–Reisner ideal I is a complete intersection ideal, then it can be written as

$$I = (X_{11} \cdots X_{1q_1}, \dots, X_{c1} \cdots X_{cq_c}),$$

where  $c \ge 0$  and  $q_i$  is a positive integer with  $q_i \ge 2$  for i = 1, ..., c and all  $X_{ij}$  are distinct variables.

A complete intersection simplicial complex  $\Delta$  is connected if dim  $\Delta \geq 1$ , and it is a locally complete intersection complex. When dim  $\Delta \geq 2$ , the converse is also true, which is a main result in this paper.

THEOREM 1 (See also Theorems 1.5, 1.15). Let  $\Delta$  be a connected simplicial complex with dim  $\Delta \geq 2$  (resp. dim  $\Delta = 1$ ). If it is a locally complete intersection complex, then it is a complete intersection complex (resp. an n-gon for  $n \geq 3$  or an n-pointed path for some  $n \geq 2$ ).

Let  $\Delta$  be a connected simplicial complex on V with dim  $\Delta \geq 2$ . Our main theorem says that if link<sub> $\Delta$ </sub>({x}) is a complete intersection complex for every vertex  $x \in V$  then so is  $\Delta$ . If we also assume Serre's condition ( $S_2$ ), then we can obtain a stronger result. That is, when  $K[\Delta]$  satisfies ( $S_2$ ),  $\Delta$  is a complete intersection complex if and only if link<sub> $\Delta$ </sub>(F) is a complete intersection complex for any face  $F \in \Delta$  with dim link<sub> $\Delta$ </sub> F = 1; see Corollary 1.10 for more details.

In Section 2, we discuss Buchsbaumness for powers of Stanley–Reisner ideals. Let us explain our motivation briefly. Let A be a Cohen–Macaulay local ring. If I is a complete intersection ideal of A, then  $A/I^{\ell}$  is Cohen–Macaulay for every  $\ell \geq 1$  because  $I^{\ell}/I^{\ell+1}$  is a free A/I-module. In [2], Cowsik and Nori proved the converse. That is, if I is a generically complete intersection ideal (i.e.,  $I_P$  is a complete intersection ideal for all minimal prime divisors P of I) and  $A/I^{\ell}$  is Cohen–Macaulay for all (sufficiently large)  $\ell \geq 1$ , then I is a complete intersection ideal. Note that one can apply this result to Stanley–Reisner ideals:  $I_{\Delta}$  is a complete intersection ideal if and only if  $S/I_{\Delta}^{\ell+1}$  is Cohen–Macaulay for every  $\ell \geq 1$ .

A standard graded ring A = S/I with homogeneous maximal ideal  $\mathfrak{m}$  is said to be *Buchsbaum* (resp. (FLC)) if the canonical map

$$H^{i}(\mathfrak{m}, A) \to H^{i}_{\mathfrak{m}}(A) = \varinjlim \operatorname{Ext}_{S}^{i}(S/\mathfrak{m}^{\ell}, A)$$

is surjective (resp. if  $H^i_{\mathfrak{m}}(A)$  has finite length) for all  $i < \dim A$ , where  $H^i(\mathfrak{m}, A)$  (resp.  $H^i_{\mathfrak{m}}(A)$ ) denotes the *i*th Koszul cohomology module (resp.

*i*th local cohomology module); see [8, Chapter I, Theorem 2.15]. Then we have the following implications:

Complete intersection  $\implies$  Locally complete intersection

∜

↓ if pure

 $\begin{array}{rcl} {\rm Cohen-Macaulay} & \Longrightarrow & {\rm Buchsbaum} & \Longrightarrow & ({\rm FLC}). \end{array}$ 

Goto and Takayama [3] proved that  $I_{\Delta}$  is a pure locally complete intersection ideal if and only if  $S/I_{\Delta}^{\ell+1}$  is (FLC) for every  $\ell \geq 1$  as an analogue of Cowsik–Nori theorem.

Let S be a polynomial ring and I a squarefree monomial ideal of S. Then S/I is Buchsbaum if and only if it is (FLC); see e.g., [6, p. 73, Theorem 8.1]. But a similar statement is no longer true for nonsquarefree monomial ideals. The following is a natural question.

QUESTION 2. When is  $S/I^{\ell}_{\Delta}$  Buchsbaum for every  $\ell \geq 1$ ?

As an application of our main theorem and the lower bound formula on the multiplicity of Buchsbaum homogeneous K-algebras in [4], we can prove the following theorem.

THEOREM 3. Put  $S = K[X_1, ..., X_n]$ . Let  $\Delta$  be a simplicial complex on V = [n]. Then the following conditions are equivalent:

- (1)  $I_{\Delta}$  is generated by a regular sequence;
- (2)  $S/I_{\Delta}^{\ell}$  is Cohen–Macaulay for all  $\ell \geq 1$ ;
- (3)  $S/I_{\Delta}^{\ell}$  is Buchsbaum for all  $\ell \geq 1$ ;
- $(3)' \ \sharp\{\ell \in \mathbb{Z}_{>1} : S/I^{\ell}_{\Lambda} \text{ is Buchsbaum}\} = \infty.$

We do not know whether a similar statement is true for general homogeneous ideals.

## 1. Connected complexes which are locally complete intersection

Throughout this paper, let  $\Delta$  be a simplicial complex on V. For a face F of  $\Delta$  and  $W \subseteq V$ , we put

$$link_{\Delta}(F) = \{ G \in \Delta : G \cup F \in \Delta, F \cap G = \emptyset \},\$$
$$\Delta_W = \{ G \in \Delta : G \subseteq W \}.$$

These complexes are the *link* of F, and, the *restriction* to W of  $\Delta$ , respectively.

Let  $\mathcal{H}$  be a subset of  $2^V$ . The minimum simplicial complex  $\Gamma \subseteq 2^V$  which contains  $\mathcal{H}$  as a subset, denoted by  $\langle \mathcal{H} \rangle$ , is said to be the *simplicial complex* spanned by  $\mathcal{H}$  on V.

Suppose that  $V = V_1 \cup \cdots \cup V_r$  is a disjoint union. Let  $\Delta_i$  be a simplicial complex on  $V_i$  for each  $i = 1, \ldots, r$ . Then  $\Delta = \Delta_1 \cup \cdots \cup \Delta_r$  is a simplicial

complex on V. We call  $\Delta$  "a *disjoint union* of  $\Delta_i$ 's" by abuse of language although  $\Delta_i \cap \Delta_j = \{\emptyset\}$  for  $i \neq j$ .

A simplicial complex  $\Delta$  is a *complete intersection* complex if the Stanley–Reisner ideal  $I_{\Delta}$  is generated by a regular sequence. Now, let us define the notion of locally complete intersection for complexes.

DEFINITION 1.1. A simplicial complex  $\Delta$  on V is said to be a *locally complete intersection* complex if  $I_{\text{link}_{\Delta}(\{v\})}$  is a complete intersection ideal for all vertex  $v \in V$ .

A simplicial complex  $\Delta$  is a locally complete intersection complex if and only if its Stanley–Reisner ideal  $I_{\Delta}$  is a locally complete intersection ideal.

LEMMA 1.2. For a Stanley-Reisner ideal  $I = I_{\Delta}$ , the following conditions are equivalent:

(1)  $\Delta$  is a locally complete intersection complex;

(2)  $K[\Delta]_{X_i}$  is a complete intersection ring for all  $i \in V$ ;

(3)  $I_P$  is a complete intersection ideal for all prime  $P \in \operatorname{Proj}(S/I_{\Delta})$ .

*Proof.* The equivalence of (1) and (2) immediately follows from the fact that

$$K[\operatorname{link}_{\Delta}(\{i\})][X_i, X_i^{-1}] \cong K[\Delta]_{X_i}.$$

 $(2) \Longrightarrow (3)$  is clear. In order to show the converse, we suppose that  $K[\Delta]_{X_1}$  is not a complete intersection ring. Without loss of generality, we may assume that

$$\{X_i : 2 \le i \le m\} = \{X_i : i \in \text{link}_{\Delta}(\{1\})\}.$$

Since  $X_1X_j \in I_\Delta$  for  $m+1 \leq j \leq n$ , one has that  $X_j \in I_\Delta S_{X_1}$ . If we put  $P = (X_2, \ldots, X_m)$ , then we can easily see that  $I_\Delta S_P$  is not a complete intersection ideal by assumption. Hence, we obtain  $(3) \Longrightarrow (2)$ .

COROLLARY 1.3. If  $\Delta$  is a connected locally complete intersection complex, then it is pure.

*Proof.* Suppose that  $\Delta$  is not pure. Since  $\Delta$  is connected, there exist a vertex  $i \in V$  and facets  $F_1$ ,  $F_2$  such that  $i \in F_1 \cap F_2$  and  $\sharp(F_1) < \sharp(F_2)$ . This implies that  $\text{link}_{\Delta}(\{i\})$  is not pure. This contradicts the assumption that  $\text{link}_{\Delta}(\{i\})$  is Cohen–Macaulay. Hence,  $\Delta$  must be pure.  $\Box$ 

REMARK 1.4. A pure locally complete intersection complex is called a generalized complete intersection complex in [3].

The main purpose of this section is to prove the following theorem.

THEOREM 1.5. Let  $\Delta$  be a connected simplicial complex on V with dim  $\Delta \geq 2$ . If  $\Delta$  is a locally complete intersection complex, then it is a complete intersection complex.

Let  $\Delta$  be a connected complex of dimension d-1. Suppose that  $\Delta$  is a locally complete intersection complex, but not a complete intersection complex. Note that  $\Delta$  is pure and thus a generalized complete intersection complex. Let  $G(I_{\Delta}) = \{m_1, \ldots, m_{\mu}\}$  denote the minimal set of monomial generators of  $I_{\Delta}$ . Then  $\mu \geq 2$  and deg  $m_i \geq 2$  for every  $i = 1, 2, \ldots, \mu$ , and that there exist i, j $(1 \leq i < j \leq n)$  such that  $gcd(m_i, m_j) \neq 1$ .

LEMMA 1.6. In the above notation, we may assume that  $\deg m_i = \deg m_j = 2$ .

*Proof.* Take  $m_j$ ,  $m_k$   $(j \neq k)$  such that  $gcd(m_j, m_k) \neq 1$ . If  $deg m_j = deg m_k = 2$ , then there is nothing to prove.

Now suppose that  $\deg m_k \geq 3$ . By [3, Lemmas 3.4, 3.5], we may assume that  $\deg m_j = 2$  and  $\gcd(m_j, m_k) = X_p$ . Write  $m_k = X_p X_{i_1} \cdots X_{i_r}$  and  $m_j = X_p X_q$ . Then [3, Lemma 3.6] implies that  $X_{i_1} X_q \in G(I_\Delta)$ . Set  $m_i = X_{i_1} X_q \in I_\Delta$ . Then  $\deg m_i = \deg m_j = 2$  and  $\gcd(m_i, m_j) = X_q \neq 1$ , as required.  $\Box$ 

The following lemma is simple but important. We use the following convention in this section: the vertices x, y, z etc. correspond to the indeterminates X, Y, Z etc., respectively.

LEMMA 1.7. Let  $x_1, x_2, y$  be distinct vertices such that  $X_1Y, X_2Y \in I_{\Delta}$ . For any  $z \in V \setminus \{x_1, x_2, y\}$ , at lease one of monomials  $X_1Z, X_2Z$  and YZ belongs to  $I_{\Delta}$ .

*Proof.* Note that  $K[\operatorname{link}_{\Delta}(\{z\})$  is obtained from  $K[\Delta]$  by setting Z = 1. Then the assertion follows from the fact that  $K[\operatorname{link}_{\Delta}(\{z\})]$  is a complete intersection ring.

In what follows, we prove Theorem 1.5. In order to do that, let  $\Delta$  be a connected simplicial complex of dimension  $d-1 \geq 1$ . Moreover, assume that  $\Delta$  is a locally complete intersection complex and that there exist vertices  $x_1, x_2, y$  such that  $X_1Y, X_2Y \in I_{\Delta}$  (we assign a variable  $X_i$  for a vertex  $x_i$ ). Then we must show that dim  $\Delta(=d-1)=1$ . Let us begin with proving the following key lemma.

LEMMA 1.8. Under the above notation, there exist some integers  $k, \ell \geq 2$  such that

(1)  $V = \{x_1, \dots, x_k, y_1, \dots, y_\ell\};$ (2)  $X_1Y_1, \dots, X_kY_1 \in I_\Delta;$ (3)  $\sharp\{i: 1 \le i \le k, X_iY_j \notin I_\Delta\} \le 1$  holds for each  $j = 2, \dots, \ell.$ 

*Proof.* By assumption, there exist vertices  $x_1, x_2, y_1 \in V$  such that  $X_1Y_1$ ,  $X_2Y_1 \in I_{\Delta}$ . Thus, one can write  $V = \{x_1, \ldots, x_k, y_1, \ldots, y_\ell\}$  such that

$$X_1Y_1, X_2Y_1, \dots, X_kY_1 \in I_\Delta, Y_1Y_2, Y_1Y_3, \dots, Y_1Y_\ell \notin I_\Delta.$$

If  $\ell = 1$ , then  $\Delta = \Delta_{\{y_1\}} \cup \Delta_{\{x_1,\dots,x_k\}}$  is a disjoint union since  $\{y_1, x_i\} \notin \Delta$  for all *i*. This contradicts the connectedness of  $\Delta$ . Hence,  $\ell \geq 2$ . Thus, it is enough to show (3) in this notation.

Now, suppose that there exists an integer j with  $2 \le j \le \ell$  such that

$$\sharp\{i: 1 \le i \le k, X_i Y_j \notin I_\Delta\} \ge 2$$

When k = 2, we have  $X_1Y_j$ ,  $X_2Y_j \notin I_\Delta$ . On the other hand, as  $X_1Y_1$ ,  $X_2Y_1 \in I_\Delta$  and  $Y_j \neq X_1, X_2, Y_1$ , we obtain that at least one of  $X_1Y_j$ ,  $X_2Y_j$ ,  $Y_1Y_j$  belongs to  $I_\Delta$ . It is impossible. So we may assume that  $k \ge 3$  and  $X_{k-1}Y_j, X_kY_j \notin I_\Delta$ . Then  $\{x_{k-1}\}, \{x_k\}$  and  $\{y_1\}$  belong to  $\text{link}_\Delta(\{y_j\})$ , and  $X_{k-1}Y_1, X_kY_1$  form part of the minimal system of generators of  $I_{\text{link}_\Delta(\{y_j\})}$ . This contradicts the assumption that  $\text{link}_\Delta(\{y_j\})$  is a complete intersection complex.

In what follows, we fix the notation as in Lemma 1.8. First, we suppose that there exists an  $i_0$  with  $1 \le i_0 \le k$  such that

$$\sharp\{j: 1 \le j \le \ell, X_{i_0}Y_j \notin I_\Delta\} = 1.$$

In this case, we may assume that  $X_1Y_2 \notin I_{\Delta}$  and  $X_1Y_j \in I_{\Delta}$  for all  $3 \leq j \leq \ell$ without loss of generality. Note that  $X_2Y_2, \ldots, X_kY_2 \in I_{\Delta}$  by Lemma 1.8. We claim that  $\{x_1, y_2\}$  is a facet of  $\Delta$ . As  $X_iY_2 \in I_{\Delta}$  for each  $i = 2, \ldots, k$ , it follows that  $\{x_1, y_2, x_i\} \notin \Delta$ . Similarly,  $\{x_1, y_2, y_j\} \notin \Delta$  since  $X_1Y_j \in I_{\Delta}$  for j = 1 or  $3 \leq j \leq \ell$ . Hence  $\{x_1, y_2\}$  is a facet of  $\Delta$ , and dim  $\Delta = 1$  because  $\Delta$ is pure.

By the observation as above, we may assume that for every *i* with  $1 \le i \le k$ ,

$$\sharp\{j: 1 \le j \le \ell, X_i Y_j \notin I_\Delta\} \ge 2$$

or  $X_i Y_j \in I_{\Delta}$  holds for all  $j = 1, \ldots, \ell$ .

Now, suppose that there exist  $j_1, j_2$  with  $1 \leq j_1 < j_2 \leq \ell$  such that  $X_i Y_{j_1}$ ,  $X_i Y_{j_2} \notin I_{\Delta}$ . Then  $X_r Y_{j_1}$ ,  $X_r Y_{j_2} \in I_{\Delta}$  for all  $r \neq i$  by Lemma 1.8. It follows that  $X_r X_i \in I_{\Delta}$  from Lemma 1.7. Then we can relabel  $x_i$  (say  $y_{\ell+1}$ ). Repeating this procedure, we can get one of the following cases:

**Case 1:**  $V = \{x_1, \ldots, x_r, y_1, \ldots, y_s\}$  such that  $X_i Y_j \in I_\Delta$  for all i, j with  $1 \le i \le r, 1 \le j \le s$ .

**Case 2:**  $V = \{x_1, x_2, y_1, \dots, y_m, z_1, \dots, z_p, w_1, \dots, w_q\}$  such that

ſ	$X_1 Y_j \in I_\Delta,$	$X_2 Y_j \in I_\Delta$	$(j=1,\ldots,m),$
{	$X_1 Z_j \notin I_\Delta,$	$X_2 Z_j \in I_\Delta$	$(j=1,\ldots,p),$
	$X_1 W_j \in I_\Delta,$	$X_2 W_j \notin I_\Delta$	$(j=1,\ldots,q),$

holds for some  $m \ge 1$ ,  $p, q \ge 2$ .

If Case 1 occurs, then  $\Delta = \Delta_{\{x_1,\ldots,x_r\}} \cup \Delta_{\{y_1,\ldots,y_s\}}$  is a disjoint union. This contradicts the assumption. Thus, Case 2 must occur. If  $\{x_1, x_2\} \in \Delta$ , then it is a facet and so dim  $\Delta = 1$ . Hence, we may assume that  $\{x_1, x_2\} \notin \Delta$ . However, since  $\Delta$  is connected, there exists a path between  $x_1$  and  $x_2$ .

**Cases (2-a):** the case where  $\{z_1, w_k\} \in \Delta$  for some k with  $1 \le k \le q$ .

We may assume that  $\{z_1, w_1\} \in \Delta$ . Now suppose that  $\dim \Delta \geq 2$ . Then since  $\{z_1, w_1\}$  is not a facet, there exists a vertex  $u \in V \setminus \{x_1, x_2\}$  such that  $\{z_1, w_1, u\} \in \Delta$ . If  $u = z_j$   $(2 \leq j \leq p)$  (resp.  $u = y_i$   $(1 \leq i \leq m)$ ), then  $G(I_{\text{link}\Delta(\{w_1\})})$  contains  $X_2Z_1$  and  $X_2Z_j$  (resp.  $X_2Y_i$ ); see Figure 1. It is impossible since  $\text{link}_{\Delta}(\{w_1\})$  is a complete intersection complex. When  $u = w_k$ , we can obtain a contradiction by a similar argument as above. Therefore,  $\dim \Delta = 1$ .

**Cases (2-b):** the case where  $\{z_j, w_k\} \notin \Delta$  for all j, k.

Then we may assume that (i)  $\{z_1, y_1\} \in \Delta$  and (ii)  $\{y_1, y_2\} \in \Delta$  or  $\{y_1, w_1\} \in \Delta$ . Now suppose that dim  $\Delta \geq 2$ . Then since  $\{z_1, y_1\}$  is not a facet, we have

 $\{z_1,y_1,y_i\}\in\Delta,\qquad \{z_1,y_1,w_k\}\in\Delta\quad \text{or}\quad \{z_1,y_1,z_j\}\in\Delta.$ 

When  $\{z_1, y_1, y_i\} \in \Delta$ , we obtain that  $X_1Y_1, X_1Y_i \in G(I_{\text{link}_{\Delta}(\{z_1\})})$ . This is a contradiction. When  $\{z_1, y_1, w_k\} \in \Delta$ , we can obtain a contradiction by a similar argument as in Case (2-a). Thus, it is enough to consider the case  $\{z_1, y_1, z_j\} \in \Delta$ .

First, we suppose that  $\{y_1, y_2\} \in \Delta$  (see Figure 2).

Then  $\operatorname{link}_{\Delta}(\{y_1\})$  contains  $\{z_1, z_j\}$  and  $\{y_2\}$ . Since  $\operatorname{link}_{\Delta}(\{y_1\})$  is also connected, we can find vertices  $z_{\alpha}$ ,  $y_{\beta}$  such that  $\{z_{\alpha}, y_{\beta}\} \in \operatorname{link}_{\Delta}(\{y_1\})$ . In particular,  $\{z_{\alpha}, y_{\beta}, y_1\} \in \Delta$ . This yields a contradiction because  $X_1Y_1, X_1Y_{\beta}$  are contained in  $G(I_{\operatorname{link}_{\Delta}(\{z_{\alpha}\})})$ .

Next, suppose that  $\{y_1, w_1\} \in \Delta$  (see Figure 3).

Then  $\operatorname{link}_{\Delta}(\{y_1\})$  contains  $\{z_1, z_j\}$  and  $\{w_1\}$ . Since  $\operatorname{link}_{\Delta}(\{y_1\})$  is also connected, we can also find vertices  $z_{\alpha}$ ,  $y_{\beta}$  such that  $\{z_{\alpha}, y_{\beta}\} \in \operatorname{link}_{\Delta}(\{y_1\})$  (notice that  $\{z_j, w_k\} \notin \Delta$ ). In particular,  $\{z_{\alpha}, y_1, y_{\beta}\} \in \Delta$ . This yields a contradiction because  $X_1Y_1, X_1Y_{\beta}$  are contained in  $G(I_{\operatorname{link}_{\Delta}(\{z_{\alpha}\}))$ .

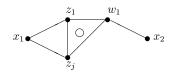


FIGURE 1. The case  $\{z_1, z_j, w_1\} \in \Delta$  in Case (2-a).

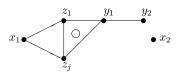


FIGURE 2. The case  $\{z_1, y_1, z_j\}, \{y_1, y_2\} \in \Delta$  in Case (2-b).

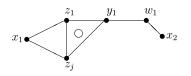


FIGURE 3. The case  $\{z_1, y_1, z_j\}, \{y_1, w_1\} \in \Delta$  in Case (2-b).

Therefore, we have dim  $\Delta = 1$ . So, we have finished the proof of Theorem 1.5.

An arbitrary Noetherian ring R is said to satisfy Serre's condition  $(S_2)$  if depth  $R_P \ge \min\{\dim R_P, 2\}$  for every prime P of R. A Stanley–Reisner ring  $K[\Delta]$  satisfies  $(S_2)$  if and only if  $\Delta$  is pure and  $\operatorname{link}_{\Delta}(F)$  is connected for every face F with dim $\operatorname{link}_{\Delta}(F) \ge 1$ ; see e.g., [10, p. 454]. In particular, if  $K[\Delta]$ satisfies  $(S_2)$ , then  $\Delta$  is pure and connected if dim  $\Delta \ge 1$ .

Let  $\Delta$  be a connected simplicial complex on V with dim  $\Delta \geq 2$ . Our main theorem says that if link<sub> $\Delta$ </sub>({x}) is a complete intersection complex for every  $x \in V$  then so is  $\Delta$  itself. Thus, it is natural to ask the following question:

QUESTION 1.9. Does there exist a proper subset  $W \subseteq V$  for which "link<sub> $\Delta$ </sub>({x}) is a complete intersection complex for all  $x \in W$ " implies that  $\Delta$  is a complete intersection complex?

The following corollary gives an answer to the above question in the  $(S_2)$  case.

COROLLARY 1.10. Let  $\Delta$  be a simplicial complex with dim  $\Delta \geq 2$ . Assume that  $K[\Delta]$  satisfies  $(S_2)$ . Then the following conditions are equivalent:

- (1)  $K[\Delta]$  is a complete intersection ring;
- (2) For any face F with dim link<sub> $\Delta$ </sub>(F) = 1, link<sub> $\Delta$ </sub>(F) is a complete intersection complex;
- (3) There exists  $W \subseteq V$  such that  $\dim \Delta_{V \setminus W} \leq \dim \Delta 3$  which satisfies the following condition:

"link $_{\Delta}(\{x\})$  is a complete intersection complex for all  $x \in W$ ."

*Proof.* Note that  $\Delta$  is pure. Put  $d = \dim \Delta + 1$ .

 $(1) \Longrightarrow (3)$ : It is enough to put W = V.

 $(3) \Longrightarrow (2)$ : Let  $W \subseteq V$  be a subset that satisfies the condition (3). Let F be a face with dim link<sub> $\Delta$ </sub>(F) = 1. Since  $\Delta$  is pure,  $\sharp(F) = d - 1 - \dim \lim_{\Delta} (F) = d - 2$ . As dim  $\Delta_{V \setminus W} \leq d - 4$ , F is not contained in  $V \setminus W$ . Thus, there exists a vertex  $i \in F$  such that  $i \in W$ . Then since  $\lim_{\Delta} (\{i\})$  is a complete intersection complex by assumption,  $\lim_{\Delta} (F)$  is also a complete intersection complex, as required.

 $(2) \Longrightarrow (1)$ : We use an induction on  $d \ge 3$ . First, suppose that d = 3. Then for each  $i \in V$ , since dim link<sub> $\Delta$ </sub>( $\{i\}$ ) = 1, link<sub> $\Delta$ </sub>( $\{i\}$ ) is a complete intersection complex by the assumption (2). Hence,  $K[\Delta]$  is a complete intersection ring by Theorem 1.5.

Next, suppose that  $d \ge 4$ . Let  $i \in V$ . Since  $K[\Delta]$  satisfies  $(S_2)$ , we have that  $\Gamma = \text{link}_{\Delta}(\{i\})$  is connected and  $\dim \Gamma = d - 2 \ge 2$ . Moreover, for any face G in  $\Gamma$  with  $\dim \text{link}_{\Gamma}(G) = 1$ ,  $\text{link}_{\Gamma}(G) = \text{link}_{\Delta}(G \cup \{i\})$  is a complete intersection complex by assumption. Hence, by the induction hypothesis,  $K[\text{link}_{\Delta}(\{i\})]$  is a complete intersection ring. Therefore,  $K[\Delta]$  is a complete intersection ring by Theorem 1.5 again.  $\Box$ 

To complete the proof of Theorem 1, we must consider the case dim  $\Delta = 1$ . In this case, there exist connected locally complete intersection complexes which are not complete intersection.

Let  $\Delta$  be a one-dimensional simplicial complex on V = [n].  $\Delta$  is said to be the *n*-gon for  $n \geq 3$  (resp. the *n*-pointed path for  $n \geq 2$ ) if  $\Delta$  is pure and its facets consist of  $\{i, i+1\}$  (i = 1, 2, ..., n-1) and  $\{n, 1\}$  (resp. its facets consists of  $\{i, i+1\}$  (i = 1, 2, ..., n-1)) after suitable change of variables.

PROPOSITION 1.11. Let  $\Delta$  be a 1-dimensional connected complex. Then the following conditions are equivalent:

- (1)  $\Delta$  is a locally complete intersection complex;
- (2)  $\Delta$  is locally Gorenstein (i.e.,  $K[\operatorname{link}_{\Delta}(\{i\})]$  is Gorenstein for every  $i \in V$ );
- (3)  $\Delta$  is isomorphic to either one of the following:
  - (a) the n-gon for  $n \ge 3$ ;
  - (b) the *n*-pointed path for  $n \ge 2$ .

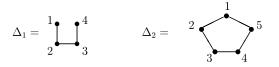
*Proof.* Note that  $(1) \Longrightarrow (2)$  is clear.

Suppose that  $\Delta$  is a locally Gorenstein. Then since  $link_{\Delta}(\{i\})$  is a zerodimensional Gorenstein complex, it consists of at most two points. Such a complex is isomorphic to either one of the *n*-gon  $(n \ge 3)$  or the *n*-pointed path  $(n \ge 2)$ .

Conversely, if  $\Delta$  is isomorphic to either *n*-gon or *n*-pointed path, then  $link_{\Delta}(\{i\})$  is a complete intersection complex. Hence,  $\Delta$  is locally complete intersection.

REMARK 1.12. Let  $\Delta$  be a connected simplicial complex on V = [n] of dim  $\Delta = 1$ . Then  $\Delta$  is a locally complete intersection complex but not a complete intersection complex if and only if it is isomorphic to the *n*-gon for some  $n \geq 5$  or the *n*-pointed path for some  $n \geq 4$ .

EXAMPLE 1.13. Let K be a field. The Stanley-Reisner ring of the 4-pointed path  $\Delta_1$  is  $K[X_1, X_2, X_3, X_4]/(X_1X_3, X_1X_4, X_2X_4)$ . The Stanley-Reisner ring of the 5-gon  $\Delta_2$  is  $K[X_1, X_2, X_3, X_4, X_5]/(X_1X_3, X_1X_4, X_2X_4, X_2X_5, X_3X_5)$ .



REMARK 1.14. When dim  $\Delta \geq 2$ , there are many examples of locally Gorenstein complexes which are not locally complete intersection complexes.

In the last of this section, we give a structure theorem for locally complete intersection complexes.

THEOREM 1.15. Let  $\Delta$  be a simplicial complex on V such that  $V \neq \emptyset$ . Then  $\Delta$  is a locally complete intersection complex if and only if it is a finitely many disjoint union of the following connected complexes:

(a) a complete intersection complex  $\Gamma$  with dim  $\Gamma \geq 2$ ;

(b) *m*-gon  $(m \ge 3)$ ;

(c) m'-pointed path  $(m' \ge 2)$ ;

(d) a point.

When this is the case,  $K[\Delta]$  is Cohen-Macaulay (resp. Buchsbaum ) if and only if dim  $\Delta = 0$  or  $\Delta$  is connected (resp. pure).

To prove the theorem, it suffices to show the following lemma.

LEMMA 1.16. Assume that  $V = V_1 \cup V_2$  such that  $V_1 \cap V_2 = \emptyset$ . Let  $\Delta_i$  be a simplicial complex on  $V_i$  for i = 1, 2. If  $\Delta_1$  and  $\Delta_2$  are both locally complete intersection complexes, then so is  $\Delta_1 \cup \Delta_2$ .

*Proof.* Put  $\Delta = \Delta_1 \cup \Delta_2$  and  $V_1 = [m]$  and  $V_2 = [n]$ . If we write

$$K[\Delta_1] = K[X_1, \dots, X_m] / I_{\Delta_1}$$
 and  $K[\Delta_2] = K[Y_1, \dots, Y_n] / I_{\Delta_2}$ ,

then

 $K[\Delta] \cong K[X_1, \dots, X_m, Y_1, \dots, Y_n] / (I_{\Delta_1}, I_{\Delta_2}, \{X_i Y_j\}_{1 \le i \le m, 1 \le j \le n}).$ 

Hence,  $K[\Delta]_{X_i} \cong K[\Delta_1]_{X_i}$  and  $K[\Delta]_{Y_j} \cong K[\Delta_2]_{Y_j}$  are complete intersection rings. Thus,  $\Delta$  is also a locally complete intersection complex by Lemma 1.2.

REMARK 1.17. In the above lemma, we suppose that both  $\Delta_1$  and  $\Delta_2$  are generalized complete intersection complexes. Then  $\Delta_1 \cup \Delta_2$  is a generalized complete intersection complexes if and only if dim  $\Delta_1 = \dim \Delta_2$ .

EXAMPLE 1.18. Let  $\Delta$  be the disjoint union of the standard (m-1)-simplex and the standard (n-1)-simplex. Then  $\Delta$  is a locally complete intersection complex by Lemma 1.16. Moreover,  $K[\Delta]$  is isomorphic to

$$K[X_1, \dots, X_m, Y_1, \dots, Y_n]/(X_i Y_j : 1 \le i \le m, 1 \le j \le n)$$

and it is a generalized complete intersection complex if and only if m = n.

### 2. Buchsbaumness of powers for Stanley–Reisner ideals

The Stanley–Reisner ring  $K[\Delta]$  has (FLC) if and only if  $\Delta$  is pure and  $K[\operatorname{link}_{\Delta}(\{v\})]$  is Cohen–Macaulay for every  $v \in V$ . Then  $H^{i}_{\mathfrak{m}}(K[\Delta]) = [H^{i}_{\mathfrak{m}}(K[\Delta])]_{0}$  for all  $i < \dim K[\Delta]$  and so that  $K[\Delta]$  is Buchsbaum. See [6, p. 73, Theorem 8.1].

Let  $\ell \geq 2$  be an integer. Suppose that  $S/I_{\Delta}^{\ell}$  is Buchsbaum. In [5], Herzog, Takayama, and the first author showed that this condition implies that  $S/I_{\Delta}$  is Buchsbaum. The converse is not true. What can we say about the structure of  $\Delta$ ? This gives a motivation of our study in this section.

The main result in this section is the following theorem, which is an analogue of the Cowsik–Nori theorem in [2], and the Goto–Takayama theorem in [3].

THEOREM 2.1. Put  $S = K[X_1, ..., X_n]$ . Let  $I_{\Delta}$  denote the Stanley-Reisner ideal of a simplicial complex  $\Delta$  on V = [n]. Then the following conditions are equivalent:

(1)  $I_{\Delta}$  is generated by a regular sequence;

(2)  $S/I^{\ell}_{\Delta}$  is Cohen–Macaulay for all  $\ell \geq 1$ ;

(3)  $S/I_{\Delta}^{\ell}$  is Buchsbaum for all  $\ell \geq 1$ ;

 $(3)' \ \sharp\{\ell \in \mathbb{Z}_{\geq 1} : S/I_{\Delta}^{\ell} \ is \ Buchsbaum\} = \infty.$ 

Note that  $(1) \iff (2)$  is a special case of the Cowsik–Nori theorem and  $(2) \implies (3) \implies (3)'$  is trivial. Thus, our contribution is  $(3)' \implies (1)$ .

In what follows, we put  $d = \dim S/I_{\Delta}$ ,  $c = \operatorname{height} I_{\Delta}(= \operatorname{codim} I_{\Delta}) = n - d$ . Put  $q = \operatorname{indeg} I_{\Delta} \geq 2$ , the *initial degree* of I, that is, q is the least degree of the minimal generators of I, in other words,  $q = \min\{\sharp(F) : F \in 2^V \setminus \Delta\}$ . Put  $e = e(S/I_{\Delta})$ , the *multiplicity* of  $I_{\Delta}$ , which is equal to the number of facets of dimension d - 1. Note that for any homogeneous ideal I of S, the following formula for multiplicities is known:

$$e(S/I) = \sum_{P \in \operatorname{Assh}_S(S/I)} e(S/P) \cdot \lambda_{S_P}(S_P/IS_P),$$

where  $\operatorname{Assh}_{S}(S/I) = \{P \in \operatorname{Min}_{S}(S/I) : \dim S/P = \dim S/I\}$  and  $\lambda_{R}(M)$  denotes the length of an *R*-module *M* over an Artinian local ring *R*.

In order to prove the theorem, it suffices to show that if  $S/I_{\Delta}^{\ell}$  is Buchsbaum for infinitely many  $\ell \geq 1$ , then  $\Delta$  is a complete intersection complex.

First, we give a formula for multiplicities of  $S/I^{\ell}_{\Lambda}$  for every  $\ell \geq 1$ .

LEMMA 2.2. Under the above notation, we have

$$e(S/I_{\Delta}^{\ell}) = e \cdot {\binom{c+\ell-1}{c}}.$$

*Proof.* Let  $P \in \text{Assh}_S(S/I_{\Delta}^{\ell})$ . Then P is a minimal prime over  $I_{\Delta}$  such that S/P is isomorphic to a polynomial ring in d variables and  $S_P$  is a regular

local ring of dimension c. Thus, we get

$$e(S/I_{\Delta}^{\ell}) = \sum_{P \in \operatorname{Assh} S/I_{\Delta}} e(S/P) \cdot \lambda_{S_P}(S_P/I_{\Delta}^{\ell}S_P) = e \cdot \binom{c+\ell-1}{c},$$
nired.

as required.

We recall the following theorem, which gives a lower bound on multiplicities for homogeneous Buchsbaum algebras:

LEMMA 2.3 ([4, Theorem 3.2]). Assume that S/I is a homogeneous Buchsbaum K-algebra. Put  $c = \operatorname{codim} I \ge 2$ ,  $q = \operatorname{indeg} I \ge 2$  and  $d = \dim S/I \ge 1$ . Then

$$e(S/I) \ge \binom{c+q-2}{c} + \sum_{i=1}^{d-1} \binom{d-1}{i-1} \cdot \dim_K H^i_{\mathfrak{m}}(S/I).$$

Applying this formula to  $S/I^{\ell}_{\Delta}$ , yields the following corollary.

COROLLARY 2.4. If  $S/I_{\Delta}^{\ell}$  is Buchsbaum, then

$$e(S/I_{\Delta}^{\ell}) \ge \binom{c+q\ell-2}{c}.$$

In particular, we have

$$e(S/I_{\Delta}) \ge \frac{\binom{c+q\ell-2}{c}}{\binom{c+\ell-1}{c}} = \frac{(q\ell+c-2)\cdots(q\ell+1)q\ell(q\ell-1)}{(\ell+c-1)\cdots(\ell+1)\ell}.$$

In the above corollary, if we fix c, q and let  $\ell$  tend to  $\infty$ , then the limit of the right hand side in the last inequality tends to  $q^c$ . Therefore, if  $S/I_{\Delta}^{\ell}$ is Buchsbaum for infinitely many  $\ell \geq 1$ , then  $e(S/I_{\Delta}) \geq q^c$ . For instance, if  $I_{\Delta} = (m_1, \ldots, m_c)$  is a complete intersection ideal, then this inequality holds because

$$e(S/I_{\Delta}) = \deg m_1 \cdots \deg m_c \ge q^c.$$

However, if I is a locally complete intersection ideal but not a complete intersection ideal, then this is not true. This is a key point in the proof of Theorem 2.1. Namely we have the following proposition.

PROPOSITION 2.5. Assume that  $\Delta$  is pure and a locally complete intersection complex but not a complete intersection complex. Then

$$e(K[\Delta]) < 2^c.$$

*Proof.* First, we consider the case d = 1. Then  $\Delta$  consists of n points, and so that c = n - 1, e = n. As  $\Delta$  is not a complete intersection complex, we have  $n \geq 3$ . Then  $e = n < 2^c = 2^{n-1}$  is clear.

Next, we consider the case d = 2. By assumption,  $\Delta$  is isomorphic to the following complexes:

(a) the *n*-gon for  $n \ge 5$ ;

- (b) the *n*-pointed path for  $n \ge 4$ ;
- (c) the disjoint union of k connected complexes  $\Delta_1, \ldots, \Delta_k$  for some  $k \ge 2$ , where each  $\Delta_i$  is isomorphic to the m-gon for some  $m \ge 3$  or the m-pointed path for  $m \ge 2$ .

In particular, we have  $e \le n$  and c = n - 2. If  $n \ge 5$ , then  $e \le n < 2^{n-2} = 2^c$  is clear. So we may assume that  $3 \le n \le 4$ . Then  $\Delta$  is isomorphic to either the 4-pointed path or two disjoint union of the 2-pointed paths. In any case, we have  $e \le 3 < 4 = 2^c$ .

Finally, we consider the case  $d \ge 3$ . Theorem 1.5 implies that  $\Delta$  is disconnected, and so that  $c \ge d$ . Then we consider the following three cases:

- (a) the case c = d;
- (b) the case c = d + 1;
- (c) the case  $c \ge d+2$ .

When c = d,  $\Delta$  is a disjoint union of two (d-1)-simplices. Then  $e = 2 < 2^3 \le 2^c$ , as required. When c = d + 1,  $\Delta$  has just two connected components. One of components is a (d-1)-simplex and the other one is a pure (d-1)-subcomplex of the boundary complex of a *d*-simplex. In particular,  $e \le d+2 < 2^c = 2^{d+1}$ .

So we may assume that  $c \ge d+2$ . Then  $\Delta$  is a disjoint union of complete intersection complexes of dimension d-1 (say,  $\Delta_1, \ldots, \Delta_k$ ) by Theorem 1.15, where  $k \le \frac{n}{d} = 1 + \frac{c}{d}$ . Moreover, since  $c \ge d+2$ , we obtain that  $c(d-1) \ge (d+2)(d-1) > d^2$ , and thus  $d + \frac{c}{d} < c$ . Hence,

$$e(K[\Delta]) = \sum_{i=1}^{k} e(K[\Delta_i]) \le 2^d \cdot k \le 2^d \cdot \left(1 + \frac{c}{d}\right) \le 2^d \cdot 2^{\frac{c}{d}} = 2^{d + \frac{c}{d}} < 2^c,$$

where the first inequality follows from the lemma below.

LEMMA 2.6. Assume that  $\Delta$  is a complete intersection complex of dimension d-1. Then  $e(K[\Delta]) \leq 2^d$ .

*Proof.* Write 
$$I_{\Delta} = (m_1, \dots, m_c)$$
, where deg  $m_i = h_i$   $(i = 1, \dots, c)$ . Then  
 $e(K[\Delta]) = h_1 \cdots h_c \leq 2^{h_1 - 1} \cdots 2^{h_c - 1} = 2^{h_1 + \dots + h_c - c} \leq 2^{n-c} = 2^d$ ,

as required.

We are now ready to prove Theorem 2.1.

Proof of Theorem 2.1. It suffices to show that  $I_{\Delta}$  is a complete intersection ideal whenever  $S/I_{\Delta}^{\ell}$  is Buchsbaum for infinitely many  $\ell \geq 1$ .

By assumption and the above observation,  $e(K[\Delta]) \geq 2^c$ . On the other hand,  $S/I_{\Delta}$  is Buchsbaum and thus pure by [5, Theorem 2.6]. We also have that  $\Delta$  is a locally complete intersection complex by the Goto–Takayama theorem.

 $\square$ 

Suppose that  $\Delta$  is not a complete intersection complex. Then by Proposition 2.5, we have that  $e(K[\Delta]) < 2^c$ . This is a contradiction. Hence,  $\Delta$  must be a complete intersection complex.

EXAMPLE 2.7. Let  $\Delta = \Delta_n$  be the n-gon for  $n \ge 5$  (or the n-pointed path for  $n \ge 4$ ). Then  $S/I_{\Delta}^{\ell}$  is not Buchsbaum for  $\ell \ge 6$ .

*Proof.* We consider the case of *n*-gons only. Set  $I = I_{\Delta} = (X_1 X_3, X_1 X_4, \dots, X_{n-2} X_n)$ . Then e = e(S/I) = n,  $c = \operatorname{codim} I = n-2$  and  $q = \operatorname{indeg} I = 2$ . Suppose that  $S/I_{\Delta}^{\ell}$  is Buchsbaum. By Corollary 2.4,

$$n = e(S/I) \ge \frac{(2\ell + n - 4) \cdots (2\ell + 1) 2\ell (2\ell - 1)}{(\ell + n - 3) \cdots (\ell + 1)\ell}.$$

Fix  $n \ge 5$  and put  $f(\ell)$  to be the right-hand side of the above inequality. Then one can easily see that  $f(\ell)$  is an increasing function of  $\ell$ . Thus if  $\ell \ge 6$ , then

$$1 \ge \frac{(n+8)\cdots 12\cdot 11}{(n+3)\cdots 7\cdot 6} \times \frac{1}{n} = \frac{(n+8)(n+7)(n+6)(n+5)(n+4)}{10\cdot 9\cdot 8\cdot 7\cdot 6\cdot n}$$

Put g(n) to be the right-hand side of the above inequality. Then since

$$g(n+1)/g(n) = \frac{n^2 + 9n}{n^2 + 5n + 4} \ge 1$$
 and  $g(5) = 1.02 \dots > 1$ 

we get a contradiction.

It is difficult to determine the Buchsbaumness for  $S/I^{\ell}$ .

EXAMPLE 2.8. Let  $S = K[X_1, X_2, X_3, X_4, X_5]$  be a polynomial ring. Let  $I = (X_1X_3, X_1X_4, X_2X_4, X_2X_5, X_3X_5)$  be the Stanley-Reisner ideal (of height 3) of the 5-gon. Then  $S/I^2$  is Cohen-Macaulay with dim  $S/I^2 = 2$ . Indeed, Macaulay 2 yields the following minimal free resolution of  $S/I^2$ :

$$0 \to S^{10}(-6) \to S^{24}(-5) \to S^{15}(-4) \to S \to S/I^2 \to 0.$$

On the other hand, depth  $S/I^3 = 0$  since  $X_1X_2X_3X_4X_5 \in I^3$ :  $\mathfrak{m} \setminus I^3$ . We do not know whether  $S/I^3$  is Buchsbaum or not.

In the following, we give an example of the simplicial complex  $\Delta$  for which  $S/I_{\Delta}^2$  is Buchsbaum but *not* Cohen–Macaulay (and this implies that  $\Delta$  is not a complete intersection complex). In order to do that, we use an extension of Hochster's formula describing the local cohomology of a monomial ideal; see [9]. Fix  $\ell \geq 1$  and set  $G(I_{\Delta}^{\ell}) = \{m_1, \ldots, m_{\mu}\}$ . Write  $m = X_1^{\nu_1(m)} \cdots X_n^{\nu_n(m)}$  for any monomial m in  $S = K[X_1, \ldots, X_n]$ . For a vector  $\mathbf{a} = (a_1, \ldots, a_n) \in \mathbb{Z}^n$ , we put

$$G_a = \{i \in V : a_i < 0\}.$$

Then we define the simplicial complex  $\Delta_{\mathbf{a}}(I_{\Delta}^{\ell}) \subseteq \Delta$  by

 $\Delta_{\mathbf{a}}(I^{\ell}_{\Delta}) = \{L \setminus G_a : G_a \subseteq L \in \Delta, L \text{ satisfies the condition } (*)\},\$ 

where

(\*) for all 
$$m \in G(I^{\ell}_{\Lambda})$$
, there exists an  $i \in V \setminus L$  such that  $\nu_i(m) > a_i \geq 0$ .

For a graded S-module M,  $F(A, \mathbf{t}) = \sum_{\mathbf{a} \in \mathbb{Z}^n} \dim_K A_{\mathbf{a}} \mathbf{t}^{\mathbf{a}}$  is called the Hilbert– Poincaré series of M. Then Hochster–Takayama formula (see [9]) says that

$$F(H^{i}_{\mathfrak{m}}(S/I^{\ell}_{\Delta}), \mathbf{t}) = \sum_{F \in \Delta} \sum_{\substack{\mathbf{a} \in \mathbb{Z}^{n} \\ G_{a} = F, a_{i} \leq \ell - 1}} \dim_{K} \widetilde{H}_{i-\sharp(F)-1}(\Delta_{\mathbf{a}}(I^{\ell}_{\Delta}); K) \mathbf{t}^{\mathbf{a}},$$

where  $\widetilde{H}_i(\Delta; K)$  denotes the *i*th simplicial reduced homology of  $\Delta$  with values in K. In particular, we have

$$F(H^{1}_{\mathfrak{m}}(S/I^{\ell}_{\Delta}),\mathbf{t}) = \sum_{\mathbf{a}\in\mathcal{A}} \dim_{K} \widetilde{H}_{0}(\Delta_{\mathbf{a}}(I^{\ell}_{\Delta});K)\mathbf{t}^{\mathbf{a}} + \sum_{i=1}^{n} \sum_{\mathbf{a}\in\mathcal{A}_{i}} \mathbf{t}^{\mathbf{a}},$$

where

$$\mathcal{A} = \{ \mathbf{a} \in \mathbb{Z}^n : 0 \le a_1, \dots, a_n \le \ell - 1, \Delta_{\mathbf{a}}(I_{\Delta}^{\ell}) \text{ is disconnected} \}; \\ \mathcal{A}_i = \{ \mathbf{a} \in \mathbb{Z}^n : 0 \le a_1, \dots, \widehat{a_i} \dots, a_n \le \ell - 1, \Delta_{\mathbf{a}}(I_{\Delta}^{\ell}) = \{ \emptyset \} \}$$

for each  $i = 1, \ldots, n$ .

EXAMPLE 2.9. Let  $S = K[X_1, X_2, X_3, X_4]$  be a polynomial ring over a field K. Let  $I = (X_1X_3, X_1X_4, X_2X_4)$  be the Stanley-Reisner ideal of the 4-pointed path  $\Delta$ .

Then  $S/I^2$  is Buchsbaum but not Cohen-Macaulay. In fact, dim  $S/I^2 = 2$ , depth  $S/I^2 = 1$  and dim<sub>K</sub>  $H^1_{\mathfrak{m}}(S/I^2) = 1$ .

*Proof.* The ideal I can be considered as the edge ideal of some bipartite graph G. Thus we have  $I^2 = I^{(2)}$ , the second symbolic power of I, by [7, Section 5], and so  $H^0_{\mathfrak{m}}(S/I^2) = 0$ .



Hence, it suffices to show that  $\mathfrak{m}H^1_{\mathfrak{m}}(S/I^2) = 0$  and  $H^1_{\mathfrak{m}}(S/I^2) \neq 0$ . We first show the following claim. Put  $\Delta_{\mathbf{a}} = \Delta_{\mathbf{a}}(I^2)$  for simplicity.

Claim 1:  $\mathcal{A} = \{(1,0,0,1)\}$  and  $\Delta_{(1,0,0,1)}$  is spanned by  $\{\{(1,2)\},\{3,4\}\}$ . (This implies that  $Kt_1t_4 \subseteq H^1_{\mathfrak{m}}(S/I^2)$ .)

First of all, we define monomials  $m_1, \ldots, m_6$  as in Table 1: Namely,

$$G(I^2) = \{X_1^2 X_3^2, X_1^2 X_3 X_4, X_1^2 X_4^2, X_1 X_2 X_3 X_4, X_1 X_2 X_4^2, X_2^2 X_4^2\}.$$

Fix  $\mathbf{a} = (a_1, a_2, a_3, a_4) \in (\mathbb{Z} \cap \{0, 1\})^4$ . As  $\nu_3(m_4) = \nu_4(m_4) = 1$ , it follows that  $\{1, 2\} \in \Delta_{\mathbf{a}}$  if and only if  $a_3 = 0$  or  $a_4 = 0$ . Similarly,  $\{3, 4\} \in \Delta_{\mathbf{a}}$  if and only

TABLE 1.	
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	$m_1$	$m_2$	$m_3$	$m_4$	$m_5$	$m_6$
$\nu_1(m)$	2	2	2	1	1	0
$\nu_2(m)$	0	0	0	1	1	2
$\nu_3(m)$	2	1	0	1	0	0
$\nu_4(m)$	0	1	2	1	2	2

if  $a_1 = 0$  or  $a_2 = 0$ . If  $\sharp\{i : 1 \le i \le 4, a_i = 1\} \ge 3$ , then  $\Delta_{\mathbf{a}} = \emptyset$ . So, we may assume that  $\sharp\{i : 1 \le i \le 4, a_i = 1\} \le 2$  and  $a_1 \ge a_4$ .

If  $\{2,3\} \notin \Delta_{\mathbf{a}}$ , then  $a_1 = a_4 = 1$ . That is  $\mathbf{a} = (1,0,0,1)$ . Indeed,  $\Delta_{(1,0,0,1)} = \langle \{1,2\}, \{3,4\} \rangle$  is disconnected. Otherwise,  $\{2,3\} \in \Delta_{\mathbf{a}}(I^2)$ . Then  $(a_1,a_4) = (0,0)$  or (1,0). In these cases, we have

$$\Delta_{(0,*,*,0)} = \Delta_{(1,0,0,0)} = \Delta_{(1,0,1,0)} = \Delta, \qquad \Delta_{(1,1,0,0)} = \langle \{1,2\}, \{2,3\} \rangle.$$

In particular,  $\Delta_{\mathbf{a}}$  is connected in any case. Therefore, we proved Claim 1. Next, we show the following claim.

Claim 2:  $A_1 = A_2 = A_3 = A_4 = \emptyset$ .

To see  $\mathcal{A}_1 = \emptyset$ , let  $\mathbf{a} = (a_1, a_2, a_3, a_4) \in \mathbb{Z}^4$  such that  $a_1 < 0, 0 \le a_2, a_3, a_4 \le 1$ . Note that

$$\Delta_{\mathbf{a}}(I^2) = \left\{ L \setminus \{1\} : \{1\} \subseteq L \in \Delta, L \text{ satisfies } (*) \right\}$$

and that  $\{1\} \subseteq L \in \Delta$  if and only if  $L = \{1\}$  or  $\{1, 2\}$ . By a similar argument as in the proof of the claim 1, we obtain that

$$\{2\} = \{1,2\} \setminus \{1\} \in \Delta_{\mathbf{a}}(I^2) \quad \Longleftrightarrow \quad a_3 = 0 \quad \text{or} \quad a_4 = 0.$$

Then  $\Delta_{\mathbf{a}}(I^2) = \{\emptyset, \{2\}\} \neq \{\emptyset\}.$ 

Now suppose that  $a_3 = a_4 = 1$ . Then  $\emptyset \notin \Delta_{\mathbf{a}}(I^2)$  because  $m_2 = X_1^2 X_3 X_4 \in G(I^2)$ . This yields that  $\Delta_{\mathbf{a}}(I^2) \neq \{\emptyset\}$ . Therefore,  $\mathcal{A}_1 = \emptyset$ . Similarly, one has  $\mathcal{A}_2 = \mathcal{A}_3 = \mathcal{A}_4 = \emptyset$ .

The above two claims imply that  $H^1_{\mathfrak{m}}(S/I^2) \cong Kt_1t_4$ , as required.  $\Box$ 

QUESTION 2.10. Can you replace Buchsbaumness with quasi-Buchsbaumness in Theorem 2.1?

QUESTION 2.11. Let I be a generically complete intersection homogeneous ideal of a polynomial ring S. If  $S/I^{\ell}$  is Buchsbaum for all  $\ell \geq 1$ , then is I a complete intersection ideal?

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