

## A PUSHING UP THEOREM FOR CHARACTERISTIC 2 TYPE GROUPS

BY

MICHAEL ASCHBACHER<sup>1</sup>

### 1. Introduction

A finite group  $G$  is of *characteristic 2 type* if  $F^*(M) = O_2(M)$  for each 2-local subgroup  $M$  of  $G$ . It seems probable that in the near future the problem of classifying the finite simple groups will be reduced to the classification of groups of characteristic 2 type. With the exception of certain sporadic groups, the simple groups of characteristic 2 type are the Chevalley groups over fields of even order. The structure of these groups is determined by the maximal parabolics, that is the maximal 2 locals containing a Sylow 2-subgroup. Hence given a simple group  $G$  of characteristic 2 type it appears advisable to study the set  $\mathcal{M}$  of maximal 2-local subgroups of  $G$  and attempt to force  $\mathcal{M}$  to resemble the collection of maximal parabolics in some Chevalley group.

Let  $M \in \mathcal{M}$  and  $T \in \text{Syl}_2(M)$ . If  $G$  is indeed a Chevalley group then  $N_G(T) \leq M$ . Ideally one would like to show this holds in general, modulo a set of known exceptions. In practice  $M = N_G(L)$  for some subgroup  $L$  of  $G$  with the property that  $M$  is the unique maximal 2-local containing  $LT$ . Hence  $N_G(B) \leq M$  for each nontrivial normal subgroup  $B$  of  $LT$ . In particular neither  $J(T)$  nor  $Z(T)$  is normal in  $LT$ . In many interesting cases  $L/O_2(L)$  is simple, so that the Thompson factorization fails. This seems to force  $L/O_2(L)$  to be a Chevalley group of even characteristic. Perhaps the most troublesome case occurs when  $L/O_2(L)$  is isomorphic to  $L_2(2^e)$ . The main result of this paper deals with that case.

**THEOREM 1.** *Let  $G$  be a finite group of characteristic 2 type,  $H \leq G$ ,  $M = N_G(O^2(H))$  and  $T \in \text{Syl}_2(H)$ . Assume  $H^* = O^2(H/O_2(H)) \cong Z_3$  or  $L_2(2^n)$ ,  $O_2(H) \in \text{Syl}_2(C_M(H^*))$ , and  $M$  is the unique maximal 2-local subgroup of  $G$  containing  $H$ . Then either*

$$(1) \quad N_G(T) \leq M,$$

or

$$(2) \quad G \text{ has sectional 2-rank at most 4.}$$

The largest Janko group is an example where  $N(T)$  is not contained in  $M$ . G. Mason called this to the author's attention.

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Theorems 2 and 3 in Sections 3 and 4 are also of independent interest. For example Theorem 2 figures in the proof of [1].

Many of the ideas used here are due to Glauberman and Sims. The author would like to thank Professor Glauberman in particular for generously sharing some of these ideas. A recent result of Harada [4] is also quite useful.

Most of the notation used here is reasonable standard. In addition given a group  $G$ , denote by  $\mathcal{A}(G)$  the set of elementary abelian 2-subgroups of  $G$  of maximal order and  $J(G) = \langle \mathcal{A}(G) \rangle$ .  $\tilde{Z}(G) = \Omega_1(Z(J(G)))$  in case  $G$  is a 2-group.  $\mathcal{M}$  is the set of maximal 2-local subgroups of  $G$  and for  $X \leq G$ ,  $\mathcal{M}(X)$  is the set of members of  $\mathcal{M}$  containing  $X$ .

### 2. Preliminary lemmas

(2.1) *Let  $G$  be a group with  $F^*(G) = O_2(G) = Q$  and  $G/Q \cong S_3$ . Let  $T \in \text{Syl}_2(G)$ . Then either*

- (1) *there is a nontrivial characteristic subgroup of  $T$  normal in  $G$ ,*
- or
- (2) *there is a unique noncentral chief factor of  $G$  contained in  $Q$ .*

*Proof.* See [3].

(2.2) *Let  $G$  be a group with  $F^*(G) = O_2(G) = Q$  and  $G/Q \cong S_3$ . Let  $T \in \text{Syl}_2(G)$ ,  $S = C_T(\tilde{Z}(T))$  and  $H = \langle S^G \rangle$ . Then  $S \in \text{Syl}_2(H)$ , or  $\Omega_1(Z(T)) \leq Z(G)$ .*

*Proof.* See 2.11.1.4 in [7].

(2.3) *Let  $G$  be a group with  $F^*(G) = O_2(G) = Q$  and  $G/Q \cong S_5$ . Let  $V = \Omega_1(Z(O_2(G)))$ ,  $T \in \text{Syl}_2(G)$ ,  $Z = \Omega_1(Z(T))$ ,  $Y = O^2(C_G(Z))$ , and  $X$  the preimage in  $G$  of the centralizer in  $G/Q$  of a transposition in  $T/Q$ . Assume some element of  $T$  induces a transvection on  $V$ . Then:*

- (1)  $[V, G]$  *is the natural module for  $O_4^-(2)$ .*
- (2)  $TY/O_2(YT) \cong S_3$  *and  $J(O_2(YT)) = J(Q)$ .*
- (3)  $J(T \cap X) = J(T)$  *and  $X/O_2(X) \cong S_3$ .*

*Proof.* This is an easy calculation. See [1] for example.

(2.4) *Let  $G$  be a group with  $F^*(G) = O_2(G) = Q$ . Let  $T \in \text{Syl}_2(G)$ ,  $L = O^2(G)$ , and  $V = \Omega_1(Z(Q))$ . Assume  $L/O_2(L) \cong L_2(2^e)$  or  $Z_3$ ,  $[V, L] \neq 1$ , and  $\mathcal{A}(G) \not\subseteq Q$ . Then either*

- (1)  $G/Q \cong S_5$  *and some involution induces a transvection on  $V$ ,*
- or
- (2)  $V/C_V(L)$  *is the natural module for  $L_2(2^e)$  and if  $G$  is not solvable and  $A \in \mathcal{A}(G) - \mathcal{A}(Q)$  then  $AQ = T \cap LQ$ .*

*Proof.* This follows easily from some elementary facts about the 2-modular representations of  $L_2(2^e)$ . See [1] for example for details.

(2.5) Let  $G \cong O_4^-(2)$ ,  $V$  a  $GF(2)$  module for  $G$ , and  $U$  a submodule of  $V$  with  $|V:U| = 2$  and  $U$  the natural module for  $G$ . Then  $V = C_V(G) \oplus U$ .

*Proof.* Assume not. Then there exists  $v \in V - U$  with  $|v^G| = 6$ . Hence  $V$  is a homomorphic image of the permutation module for  $G$  on 6 letters. But then  $U = [V, G]$  is the natural module for  $L_2(4)$ .

### 3. $L_3(2^e)$

In this section we assume  $G$  to be a finite group of characteristic 2 type.  $L_i$ ,  $i = 1, 2$ , are distinct subgroups of  $G$  such that  $V_i = O_2(L_i)$  is the natural module for  $L_i/V_i \cong L_2(q)$ ,  $q = 2^e > 2$ , with  $V_1 V_2 = J$  Sylow in  $L_1$  and  $L_2$ . Assume  $M_i = N_G(L_i)$  is a maximal 2-local of  $G$ ,  $T \in \text{Syl}_2(M_1)$  and  $O_2(\langle T, L_1, L_2 \rangle) = 1$ .

**THEOREM 2.** Under the hypothesis above either

(1)  $G$  has sectional 2-rank 4,

or

(2)  $F^*(G) \cong L_3(q)$ .

Throughout this section take  $G$  to be a counter example to Theorem 2. Let  $M = M_1$ ,  $L = L_1$ ,  $V = V_1$ ,  $Z = V \cap V_2$ , and  $X$  a Hall 2'-group of  $N_L(J)$ .

(3.1) (1)  $\mathcal{A}(J) = \{V, V_2\}$ .

(2)  $L$  splits over  $V$ .

(3)  $J$  is of type  $L_3(q)$ .

*Proof.* Straightforward.

(3.2)  $V = O_2(LT)$ .

*Proof.* Let  $Q = O_2(LT)$ . Then  $QJ = C_T(J/Z)$  and as  $L$  acts irreducibly on  $V$ ,  $Q = C_{QJ}(V)$ . Hence  $QJ = V_2 Q = V_2 C_{QJ}(V)$ . By 3.1.1,  $T \in \text{Syl}_2(M_2)$ , so by symmetry  $QJ = VC_{QJ}(V_2)$ . Therefore  $QJ = JC_{QJ}(J)$ . By a Frattini argument  $QJ = JC_Q(XJ)$ . Let  $t$  be an involution in  $L$  inverting  $X$ . Then  $L = \langle J, t \rangle$  acts on  $C_Q(XJ) = C_Q(X)$  so that  $LQ = L \times C_Q(L)$  with  $C_Q(L) = C_Q(XJ)$ . By 3.1.1,  $X \leq N(V_2) \leq M_2$  so  $X$  acts on  $C_{QJ}(L_2)$  and centralizes  $QJ/J$ . Therefore  $C_Q(L) = C_Q(L_2)$ , so as  $O_2(\langle LT, L_2 \rangle) = 1$  we conclude  $C_Q(L) = 1$ .

(3.3) (1)  $T$  is the split extension of  $J$  by cyclic group  $F = N_T(X)$  inducing field automorphisms on  $L/V$ .

(2) If  $f$  is an involution in  $F$  then all involutions in  $fJ$  are fused to  $f$  in  $T$ . Moreover  $C_L(f)$  is the split extension of  $C_V(f)$  by  $L_2(2^{e/2})$  acting naturally on  $C_V(f)$ .

(3)  $J = J(T)$ .

*Proof.* Part (1) follows from 3.2 and a Frattini argument on  $X$ . An easy calculation supplies the remaining parts.

Let  $S \in \text{Syl}_2(G)$  with  $T \leq S$ .

- (3.4) (1)  $|S : T| \leq 2$  and if  $s \in S - T$  then  $V^s = V_2$ .
- (2) If  $s$  is an involution in  $S - T$  then  $C_J(s)$  is of type  $L_2(q)$  or  $U_3(q^{1/2})$ .
- (3)  $J = J(S)$ .

*Proof.* Let  $R = N_S(T)$ . As  $T$  is Sylow in  $M = N(V)$ , 3.3.3 and 3.1.1 imply  $R = T\langle s \rangle$  where  $V^s = V_2$ . Assume  $s$  is an involution.  $s$  either inverts or centralizes a cyclic subgroup of  $\text{Aut}_G(Z)$  acting irreducibly on  $Z$ , so  $|C_Z(s)| = q$  or  $q^{1/2}$ . Moreover  $\langle s, J \rangle / Z$  is wreathed. Hence either  $Z = C_J(s)$  is of type  $L_2(q)$  or  $C_Z(s) = \Omega_1(C_J(s))$  with  $|C_J(s)| = q^{3/2}$ , and we refer to this latter group as of type  $U_3(q^{1/2})$ .

From this information we conclude  $J = J(R)$ . Hence  $R = S$ .

(3.5)  $q > 4$ .

*Proof.* If  $q = 4$  then by Theorem 3 in [4],  $G$  has sectional 2-rank 4.

(3.6)  $Z^G \cap V = Z^M$ .

*Proof.* By 3.4.3 and 3.1.1,  $N(Z)$  is transitive on  $V^G \cap C(Z)$ , so  $N(V)$  is transitive on  $Z^G \cap C(V)$ .

(3.7)  $Z^G \cap S \subseteq V \cup V_2$ .

*Proof.* Let  $A = Z^g \in Z^G \cap S$ . By 3.3 and 3.4,  $m(S/J) \leq 2$ , so as  $q > 4$ ,  $A \cap J \neq 1$ . As each involution in  $J$  is in  $V \cup V_2$  we may take  $A \cap J = A \cap V$ . Moreover  $m(A \cap V) \geq e - 2$ .

Suppose  $a \in A$  induces a field automorphism on  $L/V$ . Set  $B = \langle a \rangle(A \cap V)$ .  $C_V(a) = [V, a]$  so  $N_V(B)$  is of index at most  $|A : A \cap V| \leq 4$  in  $V$ . Let

$$N_V(B) \leq R \in \text{Syl}_2(N(B)).$$

As  $q > 4$  and  $a$  induces a field automorphism on  $L/V$ ,  $e \geq 4$ . Hence by 3.3.2 and 3.4.2 every abelian subgroup of  $S$  of rank  $2e - 2$  is contained in  $J$ . Therefore  $N_V(B) \leq J(R) \leq C(B)$ , a contradiction.

Therefore either  $A \leq J$  or  $|A : A \cap V| = 2$  and  $a \in A - V$  induces a graph or graph-field automorphism on  $J$ . In the first case  $A \leq V$  and we are done. So take  $a \in A - V$ . As  $m(A \cap V) = e - 1$  and  $A \cap V$  centralizes  $a$ , 3.4.2 implies  $Z = C_J(a)$ . Then  $A \cap V \leq C_J(a) = Z$ . Set  $D = [J, a]$ .  $a$  inverts  $D$  so that  $Z = [D, a]$  and as  $|Z : Z \cap A| = 2$ ,

$$m(N_{DA}(A)/C_{DA}(a)) = e - 1.$$

Let  $N_{DA}(A) \leq R \in \text{Syl}_2(N(A))$ . Then

$$e - 1 = m(N_{DA}(A)/C_{DA}(A)) \leq m(C_R(A)/A) \leq 1,$$

a contradiction.

(3.8)  $Z$  is a  $TI$ -set in  $G$ .

*Proof.* Let  $z \in Z^*$  and  $Q = O_2(C(z))$ . Without loss we take  $z \in Z(S)$ . As  $G$  is of characteristic 2 type  $C_S(Q) \leq Q$ . Of course  $Q \trianglelefteq S$ . These two facts and the structure of  $S$  force  $Z \leq Q$ .

Suppose  $z \in Z \cap Z^g$ . As  $Z \leq Q$ ,  $\langle Z, Z^g \rangle$  is a 2-group. But then as  $Z$  is a  $TI$ -set in  $M$ , 3.6 and 3.7 imply  $Z = Z^g$ . Hence  $Z$  is a  $TI$ -set in  $G$ .

(3.9) If  $a$  is an involution in  $S$  and  $a^g \in J$ , then  $a \in J$ .

*Proof.* Assume  $a \in S - J$ . Then we may take  $a = f$  or  $a \in S - T$ . Moreover we may take  $b = a^g \in Z(C_J(a))$ . Set  $h = g^{-1}$ .

Assume first that  $[a, Z] \neq 1$ . Then by 3.3 and 3.4,  $C_J(a)$  is of type  $L_3(q^{1/2})$  or  $U_3(q^{1/2})$ . Let  $C_S(a) \leq R \in \text{Syl}_2(C(a))$ . The structure of  $S$  and  $C_J(a)$  forces  $b \in Z(J(R))$ . But by 3.8,  $Z(J(R)) = Z^h$  so  $b \in Z \cap Z^h$ , contradicting 3.8.

Hence  $C_J(a) = Z$ . As  $Z$  is a  $TI$ -set,  $[Z, Z^h] = 1$ . Set  $Q = O_2(C(Z))$ .

$$B = \langle a \rangle J \in \text{Syl}_2(C(Z))$$

and as  $G$  is of characteristic 2 type,  $C_B(Q) \leq Q$ . This forces  $Q = B, J$ , or  $\langle a^J \rangle$ . Thus either  $J \trianglelefteq C(Z)$  or  $\Omega_1(J \cap Q) = Z$  and  $a \in Q$ . But as  $Z^h \leq C(Z)$ , 3.7 implies  $a^x \in Z^{hx} \leq J$  for some  $x \in C(Z)$ , a contradiction.

(3.10)  $J = T$ .

*Proof.* If not then by 3.3 there is an involution  $f \in F$ . By 3.9, 3.3, and 3.4,

$$R = C_S(f) \in \text{Syl}_2(C(f)).$$

As  $G$  is of characteristic 2 type,  $Z(R) \leq Q = O_2(C(f))$ . Then by 3.3,  $C_V(f) = [Z(R), C_L(f)] \leq Q$ . But  $C_V(f) \not\leq O_2(L_2 \cap C(f))$ , a contradiction.

(3.11)  $J = S$ .

*Proof.* Assume  $S \neq J$ . By 3.10 and 3.4,  $|S:J| = 2$ . An easy argument shows  $S - J$  contains an involution. Now 3.9 and Thompson transfer implies  $G \neq O^2(G)$ . As  $J \leq L \leq O^2(G)$ , we get a contradiction by induction.

(3.12)  $J \trianglelefteq N(Z)$ .

*Proof.*  $J/Z$  is abelian so as  $G$  is of characteristic 2 type  $J = O_2(C(Z)) \trianglelefteq N(Z)$ .

(3.13)  $F^*(G) \cong L_3(q)$ .

*Proof.* By 3.8 and 3.12,  $C(z)$  is 2-closed for each involution  $z$  in  $G$ . Now appeal to the main theorem of [6].

This completes the proof of Theorem 2.

#### 4. $Sp_4(q)$

In this section we assume  $G$  to be a finite group of characteristic 2 type  $L_i$ ,  $i = 1, 2$ , are distinct subgroups of  $G$ ,  $M_i = N_G(L_i)$ ,  $T \in \text{Syl}_2(M_i)$ ,  $V_i = O_2(L_i T)$ ,  $\Phi(V_i) = 1$ ,  $L_i V_i/V_i \cong L_2(q)$ ,  $q = 2^e > 2$ ,  $V_i/(V_i \cap C(L_i))$  is the natural module for  $L_2(q)$ ,  $J = V_1 V_2 \in \text{Syl}_2(L_i V_i)$  and  $\{M_i\} = \mathcal{M}(L_i T)$ .

**THEOREM 3.** *Under the hypothesis above either*

- (1)  $F^*(G) \cong L_3(q)$  or  $Sp_4(q)$ , or
- (2)  $G$  has sectional 2-rank 4.

Throughout this section take  $G$  to be a counter example to Theorem 3. Let  $M = M_1$ ,  $L = L_1$ ,  $V = V_1$ ,  $Z = C_V(L)$  and  $Y_i$  a Hall  $2'$ -group of  $L_i \cap N(J)$ .  $Z_2 = V_2 \cap C(L_2)$ .

- (4.1) (1)  $\mathcal{A}(J) = \{V, V_2\}$ .
- (2)  $L$  splits over  $V$ .
- (3)  $|Z| = q$  and  $Y_1$  is transitive on  $Z_2^*$ .
- (4)  $Z_2 \leq [L, V]$ .

*Proof.*  $Z(J) = C_V(V_2) = V \cap V_2$  with  $|V_i : V \cap V_2| = q$ . So  $|V| = |V_2|$ . Moreover all involutions in  $J$  are in  $V \cup V_2$ , so (1) holds. There is a complement in  $V_2$  to  $V$ , so (2) holds. By (1),  $Y_1 \leq N(V_2) \leq M_2$ . Hence  $Y_1$  acts on  $Z_2$ . Also  $LT$  and  $L_2$  act on  $Z \cap Z_2$  so as  $\mathcal{M}(LT) = \{M\}$ ,  $Z \cap Z_2 = 1$ . Finally  $Z_2 \leq C(V) \cap V_2 = V \cap V_2$ . Hence as  $Y_1$  is transitive on  $((V \cap V_2)/Z)^*$ ,  $Y_1$  is transitive on  $Z_2^*$ ,  $Z_2 = [Z_2, Y_1] \leq [L, V]$  and  $|Z_2| = q$  or 1. Now Theorem 2 completes part (3).

- (4.2) (1)  $V = [V, L]$ .
- (2)  $[V, V_2] = V \cap V_2 = ZZ_2$ .

*Proof.* Let  $U = [V, V_2]$ . By 4.1.3 either  $Z \leq U$  or  $Z \cap U = 1$ . Assume the latter. Then  $|U| = q = |[V_2/Z_2, V]$  so that  $U \cap Z_2 = 1$ . Let  $h \in L - M_2$ . Then  $[V, L] = U \times U^h$  so that  $[V, L] \cap V_2 = U$ . But by 4.1.4,  $Z_2 \leq V_2 \cap [V, L]$ , a contradiction.

So  $Z \leq U$ . By symmetry  $Z_2 \leq U$ . As  $|ZZ_2| = q^2 = |V \cap V_2|$ , (2) holds. Also  $Z \leq [V, L]$  so as  $V/Z = [L, V/Z]$ , (1) holds.

By 4.1,  $Y_2 \leq M$  so we may choose  $Y_2$  to normalize  $Y_1$ . By symmetry,  $Y_1$  normalizes  $Y_2$ .  $Y_2$  is regular on  $Z^*$  while  $Y_1 \leq L \leq C(Z)$ , so  $Y_1 \cap Y_2 = 1$ . Hence

for this choice of  $Y_i$  we have:

$$(4.3) \quad Y = Y_1 Y_2 \cong Y_1 \times Y_2 \cong Z_{q-1} \times Z_{q-1}.$$

$$(4.4) \quad Y = Y_1 \times C_Y(L/V) \text{ and } YL/V \text{ acts naturally as } GL_2(q) \text{ on } V/Z.$$

*Proof.*  $Y$  acts on  $L/V$  and centralizes  $Y_1$ , so  $YL/V \cong GL_2(q)$ . As  $L/V$  acts irreducibly on  $V/Z$ , the ring  $D$  of endomorphisms of  $V/Z$  commuting with  $L/V$  is a division ring and then  $C_Y(L/V)$  is a subfield isomorphic to  $GF(q)$ . Hence  $YL/V$  acts naturally on  $V/Z$ .

Set  $F = N_T(Y)$  and let  $S$  be a Sylow 2-subgroup of  $G$  containing  $T$ .

(4.5) (1)  $T$  is the split extension of  $J$  by  $F$  and  $F$  induces a group of field automorphisms on  $L/V$ .

(2) If  $f$  is an involution in  $F$  then all involutions in  $fJ$  fuse to  $f$  in  $T$ . Moreover  $C_{LY}(f)$  is the split extension of  $C_V(f)$  by  $GL_2(q^{1/2})$  acting naturally on  $C_V(f)/C_Z(f)$ .  $|C_Z(f)| = q^{1/2}$ .

*Proof.* Part (1) follows by a Frattini argument on  $Y$ . Then an easy calculation supplies (2).

$$(4.6) (1) \quad J = J(S).$$

$$(2) \quad |S : T| \leq 2.$$

(3) If  $s$  is an involution in  $S - T$  then  $C_J(s)$  is of type  $Sz(q)$ .

*Proof.* From 4.5 we conclude  $J = J(T)$ . Let  $R = N_S(T)$ . Then  $|R : T| \leq 2$  with  $V^s = V_2$  for  $s \in R - T$ . Assume  $s$  is an involution.  $Z^s = Z_2$  so  $\langle s \rangle(V \cap V_2)$  and  $R/(V \cap V_2)$  are wreathed. Hence  $|C_J(s)| = q^2$  and  $V \cap V_2 \cap C(s) = Z(C_J(s)) = \Omega_1(C_J(s))$  is of order  $q$ . We say such a 2-group is of type  $Sz(q)$ .

It follows that  $J(R) \neq J$  and hence  $S = R$ .

(4.7) Let  $z \in Z^*$ ,  $z_2 \in Z_2^*$ , and  $u = zz_2$ . Then:

(1) All involutions in  $J$  are fused to  $z$ ,  $z_2$ , or  $u$  in  $G$ .

(2)  $u \notin z^G \cup z_2^G$ .

*Proof.* All involutions in  $V$  are fused to  $z$ ,  $z_2$  or  $u$  in  $LY$ . As all involutions in  $J$  are in  $V \cup V_2$ , (1) follows with the symmetry between  $V$  and  $V_2$ .

By 4.6.1,  $\{V, V_2\}$  is weakly closed in  $S$ , so  $S \cup M$  controls fusion in  $V$ , yielding (2).

(4.8)  $Z$  is a  $TI$ -set.

*Proof.*  $\{M\} = \mathcal{M}(LT)$ , so  $C_G(z) \leq M$  for each  $z \in Z \cap Z(T)$ . As  $Y$  is transitive on  $Z^*$  and  $Z \trianglelefteq M$  we conclude  $Z$  is a  $TI$ -set.

$$(4.9) \quad Z^G \cap S \subseteq J.$$

*Proof.* Let  $Z^\theta \leq S$ . Then  $1 \neq Z^\theta \cap T = Z^\theta \cap N(Z)$ , so as  $\langle Z, Z^\theta \rangle$  is a 2-group and  $Z$  is a TI-set,  $Z^\theta \leq C_S(Z) = J$ .

$$(4.10) \quad J \leq C_G(u).$$

*Proof.* We may assume  $u \in Z(S)$ . Let  $Q = O_2(C(u))$ . As  $J = J(S)$  it suffices to show  $J \leq Q$ . As  $G$  is of characteristic 2 type  $C_S(Q) \leq Q$ . Of course  $Q \leq S$ . These two facts force  $V \cap V_2 \leq Q$ . Set  $W = \langle (Z^G \cup Z_2^G) \cap C_Q(Z^G \cup Z_2^G) \rangle$ . By 4.9,  $W = V, V_2$  or  $V \cap V_2$ . If  $W = V$  then  $C(u) = C_M(u) \leq N(J)$ , so take  $W = V \cap V_2$ . By 4.7,  $Z^G \cap W = \{Z\}$  or  $\{Z, Z_2\}$ , so  $C(u) = C_M(u)S \leq N(J)$ .

$$(4.11) \quad \text{If } a \text{ is an involution in } S \text{ and } a^\theta \in J \text{ then } a \in J.$$

*Proof.* Assume  $a \in S - J$ . Then we may take  $a = f$  or  $a \in S - T$ . Thus by 4.5 and 4.6,  $C_J(a)$  is of type  $Sp_4(q^{1/2})$  or  $Sz(q)$ . Now we may take

$$b = a^\theta \in Z(C_J(a)) \quad \text{and} \quad C_J(a) \leq R \in \text{Syl}_2(C(a)).$$

Next the structure of  $S$  and  $C_J(a)$  force  $b \in Z(J(R))$ . But  $b$  is fused to  $z, z_2$  or  $u$ , so  $J \in \text{Syl}_2(\langle J^{C(b)} \rangle)$  and hence is strongly closed in  $S$  with respect to  $C(b)$ . As  $a \in S - J$  while  $a \in J(R)$ , this is a contradiction.

$$(4.12) \quad J = T.$$

*Proof.* If not then by 4.5 there is an involution  $f$  in  $F$ . By 4.5, 4.6, and 4.11,

$$R = C_S(f) \in \text{Syl}_2(C_G(f)).$$

As  $G$  is of characteristic 2 type,  $Z(R) \leq Q = O_2(C(f))$ . Then by 4.5,

$$C_V(f) = [Z(R), C_L(f)] \leq Q.$$

As  $C_V(f) \not\leq O_2(L_2 \cap C(f))$ , this is a contradiction.

$$(4.13) \quad J = S.$$

*Proof.* Assume not. By 4.12 and 4.6,  $|S : J| = 2$ . Let  $s \in S - J$ .  $V^s = V_2$  so  $(S/(V \cap V_2))$  is wreathed and we may take  $s^2 \in V \cap V_2$ . Next  $\langle s \rangle(V \cap V_2)$  is wreathed so we may take  $s$  to be an involution. Now 4.11 and Thompson transfer imply  $G \neq O^2(G)$ . As  $J \leq L \leq O^2(G)$  we get a contradiction by induction.

At this point the 2-local structure of  $G$  is determined, so that any of a number of methods show  $F^*(G)$  to be isomorphic to  $Sp_4(q)$ . For completeness we sketch a geometric proof of this fact.

As  $V_i$  is weakly closed in  $S = T = J$  we get:

$$(4.14) \quad Z^G \cap V_i = Z^{M_i} \text{ for } i = 1 \text{ and } 2.$$

In particular:

(4.15)  $Z$  is weakly closed in  $V$  so  $Z_2 \notin Z^G$ .

Let  $X = C_V(L/O_2(L))$ ,  $W = Y_1$ ,  $K = C_L(X)$  and  $A = T \cap K$ . By 4.4,  $C_V(X) = 1$ , so by a Frattini argument:

(4.16)  $K \cong L_2(q)$ .

Next  $X$  acts on  $Z_2A \leq V_2$  and hence on  $Z^G \cap Z_2A = \{A_1\}$ . Thus  $Z_2$  and  $A_1$  are the only  $X$ -invariant subgroups of  $Z_2A$  of order  $q$ , so that:

(4.17)  $A \in Z^G$ .

(4.18)  $Z^G \cap M = \{Z\} \cup A^M$ .

*Proof.* By 4.14,  $Z^G \cap T = Z^G \cap V_2 = \{Z\} \cup A^V$ .

Set  $Z * A = \{Z\} \cup A^V$ . Then

(4.19)  $\langle Z * A \rangle = V_2$  is abelian.

(4.20) For  $h \in M$  either  $A^h \in Z * A$  or  $\langle A, A^h \rangle \in K^M$ .

*Proof.*  $|A^M| = |L: V_2W| = q(q+1)$  so there are  $q^3(q+1)$  pairs  $(A^r, A^s)$  with  $r, s \in M$  and  $A^s \notin Z * A^r$ . Also  $|L^M| = |M: LZ| = q^2$ , so there are  $q^3(q+1)$  pairs  $(A^r, A^s)$  with  $\langle A^r, A^s \rangle \in K^M$ .

Set  $I = O^{2'}(C(AW))$ .

(4.21)  $I \in K^G$  and  $W \in X^G$ .

*Proof.* Let  $A = Z^g$ .  $W \leq N(A) \leq M^g$ . Also  $W$  centralizes  $Z$ , so  $[W, I^g] \leq V^g$ . Hence  $W \in X^G$  and  $I \in K^G$ .

(4.22)  $I = O^{2'}(C(W))$ .

*Proof.*  $Z \in \text{Syl}_2(C(W\langle z \rangle))$  for each  $z \in Z^*$ , so the result follows from [6].

(4.23)  $[I, K] = 1$ .

*Proof.* Let  $B \in A^K - \{A\}$ . By 4.22,  $O^{2'}(C(BW)) = O^{2'}(C(W)) = I$ , so  $K = \langle A, B \rangle \leq C(I)$ .

Set  $\Sigma(Z^g) = Z^G \cap M^g - \{Z^g\}$  and let  $\mathcal{D}$  be the graph with vertex set  $Z^G$  and  $Z^g$  joined to the vertices in  $\Sigma(Z^g)$ .

(4.24)  $\mathcal{D}$  is connected.

*Proof.* Let  $\Gamma$  be the connected component of  $\mathcal{D}$  containing  $Z$ . With 4.7 and 4.10,  $C_G(t) \leq N(\Gamma)$  for each  $t \in T^*$ , so if  $\Gamma \neq Z^G$  then  $N(\Gamma)$  is strongly embedded in  $G$ . As  $G$  has more than one class of involutions, this is impossible.

(4.25) (1)  $\mathcal{D}$  has diameter 2.

(2) If  $[Z, Z^\theta] \neq 1$  then  $\langle Z, Z^\theta \rangle \in K^G$  and  $|\Sigma(K^\theta) \cap Z * A| = 1$  for each  $A \in \Sigma(Z)$ .

*Proof.* If  $\langle Z, Z^\theta \rangle \in K^G$  then by 4.23,  $|\Sigma(Z^\theta) \cap Z * A| = 1$  for each  $A \in \Sigma(Z)$ . Suppose  $ZABZ^\theta$  is a chain in  $\mathcal{D}$  from  $Z$  to  $Z^\theta$ . By 4.20,  $\langle A, Z^\theta \rangle \in K^G$ , so  $Z * A \cap \Sigma(Z^\theta) = \{C\}$  and hence  $Z^\theta$  is of distance 2 from  $Z$  in  $\mathcal{D}$ . This yields (1). Now 4.20 completes the proof.

(4.26)  $F^*(G) \cong Sp_4(q)$ .

*Proof.* For  $B \in Z^G$  set  $B^\perp = \{B\} \cup \Sigma(B)$ . Let  $\mathcal{B}$  be the block design with point set  $Z^G$ , block set  $\{B^\perp : B \in Z^G\}$ , and incidence defined by inclusion. From 4.18 and 4.25 an easy calculation shows  $\mathcal{B}$  is a symmetric block design with  $k = q(q + 1) + 1$  and  $l = q + 1$ . For  $C \in Z^G - B^\perp$  define  $B * C = Z^G \cap \langle B, C \rangle$ . Then  $B * C$  is defined for each pair of distinct points  $B$  and  $C$ , and one checks that  $B * C$  is the line

$$\bigcap_{D \in B^\perp \cap C^\perp} D^\perp$$

through  $B$  and  $C$  in  $\mathcal{B}$ . Hence [2] implies  $\mathcal{B}$  is 3-dimensional projective space over  $GF(q)$ . Moreover for  $z \in Z^*$ ,  $z^G$  is the set of elations of  $\mathcal{B}$  commuting with the symplectic polarity  $B \leftrightarrow B^\perp$  of  $\mathcal{B}$ . Therefore  $F^*(G) = \langle z^G \rangle \cong Sp_4(q)$ .

This completes the proof of Theorem 3.

### 5. Theorem 4

In this section we assume the hypothesis of Theorem 1. Set

$$V = [H, \Omega_1(Z(O_2(H)))]$$

and assume some element of  $T$  induces a transvection on  $V$ . Assume  $H/O_2(H) \cong S_5$ .

**THEOREM 4.** *Under the hypothesis of this section either*

(1)  $N_G(T) \leq M$ ,

or

(2)  $G$  is of sectional 2-rank 4.

Throughout this section  $G$  is a counterexample to Theorem 4. Set

$$Z = \Omega_1(Z(T)), \quad Y = O^2(C_H(Z)),$$

and let  $X$  be the preimage of the centralizer in  $H/O_2(H)$  of a transposition in  $T/O_2(H)$ .  $L = O^2(H)$ . From 2.3 we conclude:

(5.1) (1)  $V$  is the natural module for  $O_4^-(2)$ .

(2)  $TY/O_2(YT) \cong S_3$  and  $J(O_2(YT)) = J(O_2(H))$ .

(3)  $J(T \cap X) = J(T)$  and  $X/O_2(X) \cong S_3$ .

- (5.2) (1) If  $1 \neq B \trianglelefteq H$  then  $N_G(B) \leq M$ .  
 (2) If  $1 \neq B$  is characteristic in  $T$  then  $B$  is not normal in  $H$ .

*Proof.*  $N(T) \not\leq M$  while  $\{M\} = \mathcal{M}(H)$ .

The next lemma is the key to Theorem 4 and is essentially due to G. Glauberman.

$$(5.3) \quad V = [O_2(H), L].$$

*Proof.* Set  $R = T \cap X$ . By 5.1,  $|T: R| = 2$  and  $J(R) = J(T)$ . By 2.2 there is a normal subgroup  $A$  of  $X$  with  $R \cap A = C_R(\bar{Z}(R))$ . Hence  $R \cap A$  is characteristic in  $T$ , since  $C_T(\bar{Z}(T)) = C_R(\bar{Z}(R))$ . Moreover if  $B$  is a characteristic subgroup of  $R \cap A$  normal in  $A$  then  $B$  is characteristic in  $T$  and  $B \trianglelefteq \langle T, X \rangle = H$ . Hence by 5.2,  $B = 1$ . We conclude from 2.1 that  $A$  has a unique noncentral chief factor in  $O_2(A)$ . As  $A \trianglelefteq X$  the same holds for  $X$ . Thus if  $x$  is an element of order 3 in  $X$  then  $[x, O_2(H)] = [x, O_2(X)] = [x, V]$ . Hence  $V = [O_2(H), L]$ .

$$(5.4) \quad N_G(T) \cap N(Y) \leq M.$$

*Proof.*  $N(T) \cap N(Y) \leq N(YT) \leq N(J(O_2(YT))) = N(J(O_2(H))) \leq M$  by 5.1 and 5.2.

Set  $Q = O_2(H)$ ,  $D = C_Q(L)$ ,  $F = C_M(L)$ ,  $E = C_F(Z)$ , and  $g \in N(T) - M$ .

$$(5.5) \quad Q = V \times D.$$

*Proof.* Let  $P \trianglelefteq H$  with  $[P, L] = 1$ , and subject to these conditions choose  $P$  maximal. Set  $\bar{H} = H/P$  and assume  $Q \neq 1$ . Let  $\bar{U}/\bar{V}$  be a subgroup of order 2 in  $Z(\bar{T}/\bar{V}) \cap \bar{Q}/\bar{V}$ . By 5.3,  $U \trianglelefteq H$ . As  $H$  acts irreducibly on  $V$ ,  $\Phi(\bar{U}) = 1$ . Now by 2.5,  $\bar{U} = \bar{V} \times C_{\bar{V}}(\bar{H})$ , contradicting the maximality of  $P$ .

So  $Q = VC_Q(L)$  and as  $C_V(L) = 1$ , the product is direct.

$$(5.6) \quad D \neq 1.$$

*Proof.* If  $D = 1$  then by Theorem 3 in [4],  $G$  has sectional 2-rank 4.

$$(5.7) \quad O_2(C_G(Z)) = (T \cap L)O_2(E).$$

*Proof.* As  $D \neq 1$ ,  $Z \cap D \neq 1$ , so  $C_G(Z) \leq C_G(Z \cap D) \leq M$ .  $M = TLF$  with  $T \leq C(Z)$ , so

$$C(Z) = C(Z) \cap TLF = TC_{LF}(Z).$$

$Y(T \cap L)F$  is a maximal subgroup of  $LF$  with  $C_{LF/F}(Z) \leq Y(T \cap L)F/F$  and  $Y(T \cap L) \leq C(Z)$ , so

$$C_{LF}(Z) = Y(T \cap L)C_F(Z) = Y(T \cap L)E.$$

Hence  $C_G(Z) = TYE$ . Now  $O_2(TYE/E) = (T \cap L)E/E$  and  $T \cap L \leq O_2(TYE)$

so

$$O_2(C(Z)) = (T \cap L)O_2(E).$$

$$(5.7) \quad J(O_2(C(Z))) = VJ(O_2(E)) \cong H.$$

*Proof.* Let  $A \in \mathcal{A}(O_2(C(Z)))$ . If  $A \not\leq VE$  then  $m(A/A \cap E) < 4$ . Hence

$$m(A) \geq m(V(A \cap E)) = 4 + m(A \cap E) > m(A),$$

a contradiction. Thus  $A \leq VE = V \times E$ , so  $AV$  is elementary abelian. Hence  $V \leq A$  and then  $A = V \times (A \cap E)$  with  $A \cap E \in \mathcal{A}(O_2(E))$ .

Set  $J = J(O_2(C(Z)))$ . By 5.7,  $\langle H, g \rangle \leq N(J)$  contradicting  $\{M\} = \mathcal{M}(H)$ . This completes the proof of Theorem 4.

### 6. Graphs

In this section  $G$  is a transitive permutation group on a set  $\Omega$ ,  $\alpha \in \Omega$ ,  $H = G_\alpha$ , and  $\Delta = \Delta(\alpha)$  is an orbit of  $H$  on  $\Omega$ .  $\mathcal{G} = \mathcal{G}(\Delta)$  is a directed graph on  $\Omega$  with edges  $(\alpha^g, \beta^g)$ ,  $g \in G$ ,  $\beta \in \Delta$ . Set  $\Delta(\alpha^g) = \Delta^g$ .

Most of the results in this section are due to Sims and come from [5].

The *connected component* of  $\mathcal{G}$  containing  $\alpha$  is the collection of vertices  $\beta$  for which there exists a path  $\alpha = \alpha_0, \dots, \alpha_n = \beta$  between  $\alpha$  and  $\beta$  such that for each  $i$  either  $(\alpha_i, \alpha_{i+1})$  or  $(\alpha_{i+1}, \alpha_i)$  is an edge.

(6.1) *Let  $\Sigma$  be the connected component of  $\mathcal{G}$  containing  $\alpha$ . Then  $\Sigma$  consists of those vertices  $\beta$  for which there exists a directed path  $\alpha = \alpha_0, \dots, \alpha_n = \beta$  from  $\alpha$  to  $\beta$  with  $(\alpha_i, \alpha_{i+1})$  and edge for each  $i$ .*

*Proof.* See 3.1 in [5].

(6.2) *If  $G = \langle H, g \rangle$  for  $\alpha^g \in \Delta$  then  $\mathcal{G}$  is connected.*

*Proof.* Let  $\Sigma$  be the connected component of  $\mathcal{G}$  containing  $\alpha$ . Then  $\Sigma$  is the equivalence class of a  $G$ -invariant equivalence relation, so if  $\alpha^x \in \Sigma$  then  $x \in N(\Sigma)$ . As  $G = \langle H, g \rangle$  is transitive on  $\Omega$  the lemma follows.

In the remainder of this section assume  $\mathcal{G}$  is connected,  $\beta \in \Delta$ , and  $D = G_{\alpha\beta}$ .

(6.3) *If  $A \leq D$  with  $A^G \cap D \subseteq D_{\Delta(\beta)}$  then  $A = 1$ .*

*Proof.* Let  $\gamma \in \Delta(\beta)$ . Then there exists  $g \in G$  with  $(\alpha, \beta)^g = (\beta, \gamma)$ .  $A \leq D^g$  so by hypothesis  $A \leq D_{\Delta(\gamma)}^g$ . As  $\mathcal{G}$  is connected and  $G$  is faithful on  $\Omega$  we conclude  $A = 1$ .

Assume now that  $\Gamma = \Gamma(\alpha, \beta)$  is a nontrivial orbit of  $D$  on  $\Delta(\beta)$ . Let  $\Omega_s$  be the set of sequences  $\alpha_0 \alpha_1 \cdots \alpha_s$  with  $\alpha_0 \in \Omega$ ,  $\alpha_1 \in \Delta(\alpha_0)$ , and for  $i > 1$ ,

$$\alpha_i \in \Gamma(\alpha_{i-2}, \alpha_{i-1}).$$

$\Omega_s$  is the set of  $s$ -arcs. A subarc of  $\bar{\alpha} = \alpha_0 \alpha_1 \cdots \alpha_s \in \Omega_s$  is a  $t$ -arc  $\alpha_i \alpha_{i+1} \cdots \alpha_{i+t}$ . A successor or predecessor of  $\bar{\alpha}$  is an  $s$ -arc

$$\alpha_1 \alpha_2 \cdots \alpha_s \alpha_{s+1} \quad \text{or} \quad \alpha_{-1} \alpha_0 \cdots \alpha_{s-1},$$

respectively. Define the graph  $\mathcal{G}_s$  with vertex set  $\Omega_s$  and edges  $(\bar{\alpha}, \bar{\beta})$  where  $\bar{\beta}$  is a successor of  $\bar{\alpha}$ . Then  $\mathcal{G} = \mathcal{G}_0$  and  $G$  acts on  $\Omega_s$ .

(6.4) *Let  $\bar{\alpha}$  and  $\bar{\beta}$  be  $s$ -arcs with a common 1-subarc. Then  $\bar{\alpha}$  and  $\bar{\beta}$  are in the same connected component of  $\mathcal{G}_s$ .*

*Proof.* See 5.9 in [5].

(6.5) *Assume  $\mathcal{G}_1$  is connected. Then  $\mathcal{G}_s$  is connected for all  $s \geq 0$ .*

*Proof.* Assume  $\mathcal{G}_s$  is not connected. Then  $s > 1$ . Let  $\Sigma$  be a connected component of  $\mathcal{G}_s$  and  $\theta$  the collection of 1-arcs which are subarcs of some  $s$ -arc in  $\Sigma$ . Claim  $\theta = \Omega_1$ . For if not then as  $\mathcal{G}_1$  is connected there exists  $\alpha\beta \in \theta$ , and  $\beta\gamma \in \Omega_1 - \theta$  with  $\gamma \in \Gamma$ . Let  $\bar{\alpha} = \alpha_0 \alpha_1 \cdots \alpha\beta \in \Sigma$ . Then  $\bar{\beta} = \alpha_1 \cdots \alpha\beta\gamma$  is a successor of  $\bar{\alpha}$  and hence in  $\Sigma$ , a contradiction.

So  $\theta = \Omega_1$ . But now the lemma follows from 6.4.

(6.6) *Assume  $\gamma\alpha\beta \in \Omega_2$  and  $H = \langle D, G_{\gamma x} \rangle$ . Then  $\mathcal{G}_s$  is connected for all  $s \geq 0$ .*

*Proof.* By 6.5 it suffices to show  $\mathcal{G}_1$  is connected. Let  $\Sigma$  be the connected component of  $\mathcal{G}_1$  containing  $\alpha\beta$ . Then  $H = \langle D, G_{\gamma x} \rangle \leq N(\Sigma)$ . If  $\Sigma \neq \Omega_1$  then as  $\mathcal{G}$  is connected we may assume  $\alpha\delta \in \Omega_1 - \Sigma$ . But as  $H \leq N(\Sigma)$  and  $\alpha\delta$  is conjugate to  $\alpha\beta$  under  $H$ , this is impossible.

(6.7) *Let  $\bar{\alpha} = \alpha_0 \alpha_1 \cdots \alpha_s \in \Omega_s$  and  $K$  the stabilizer in  $G$  of  $\bar{\alpha}$ . Assume  $G$  is transitive on  $\Omega_s$  and  $\mathcal{G}_i$  is connected for all  $i \geq 0$ . Let  $A \leq K$  with  $A^G \cap K \subseteq \Gamma(\alpha_{s-1}, \alpha_s)$ . Then  $A = 1$ .*

*Proof.* As  $G$  is transitive on  $\Omega_s$  this follows from 6.3 applied to the action of  $G$  on  $\Omega_{s-1}$  with respect to the orbit of the stabilizer of  $\alpha_0 \alpha_1 \cdots \alpha_{s-1}$  on its successors.

### 7. Theorem 1

In this section we take  $G$  to be a counter example to Theorem 1. Most of the ideas in this section are due to Glauberman and Sims.

(7.1) (1) *If  $1 \neq B \trianglelefteq H$  then  $N_G(B) \leq M$ .*

(2) *If  $1 \neq B$  is characteristic in  $T$  then  $B$  is not normal in  $H$ .*

*Proof.*  $\{M\} = \mathcal{M}(H)$  while  $N(T) \not\leq M$ .

Set  $V = \Omega_1(Z(O_2(H)))$ . Let  $L = H$  if  $e = 1$  and  $L = O^2(H)O_2(H)$  otherwise. Let  $x \in N(T) - M$  and  $X = \langle x, H \rangle$ .

$$(7.2) \quad O_2(X) = 1.$$

*Proof.*  $\{M\} = \mathcal{M}(H)$ .

Represent  $X$  on the collection  $\Omega$  of cosets of  $H$  in  $X$ . By 7.2 this representation is faithful. Let  $\alpha = H$ ,  $\beta = Hx$ , and  $\Delta = \beta^H$ . Adopt the notation of Section 6.

$T \leq D = G_{\alpha\beta} < H^x$ , so as  $N = N_G(L^x \cap T) \cap H^x$  is the unique maximal subgroup of  $H^x$  containing  $T$ ,  $D \leq N$ .  $T \leq D$ , so  $N$  is transitive on its subgroups isomorphic to  $D$ . Hence:

(7.3)  $D$  is the stabilizer in  $X$  of  $\beta$  and some point  $\alpha' \in \Delta(\beta)$ .

Next  $L^x \cap T$  has a complement  $C$  in  $D$  and  $C$  normalizes a second Sylow 2-subgroup  $(L^x \cap T)^y$  of  $L^x$  for some  $y \in N(C)$ . Set  $\Gamma = \Gamma(\alpha, \beta) = ((\alpha')^y)^D$  and define  $\mathcal{G}_i$  with respect to  $\Gamma$ . Let  $q = 2^e$ . Notice:

$$(7.4) \quad |\Gamma| = q.$$

$$H^x = \langle D, D^y \rangle \text{ so by 6.6:}$$

(7.5)  $\mathcal{G}_i$  is connected for each  $i$ .

(7.6) If  $g \in X$  with  $\alpha^g = \alpha^x$  then  $X = \langle H, g \rangle$ .

*Proof.* Set  $Y = \langle H, g \rangle$  and  $\Sigma = \alpha^Y$ .  $\Delta = (\alpha^g)^H \subseteq \Sigma$  so as  $Y$  is transitive on  $\Sigma$ ,  $\Sigma$  is the union of connected components of  $\mathcal{G}$ . Therefore by 7.5,  $\Omega = \Sigma$ . That is  $Y$  is transitive on  $\Omega$ . Thus  $X = YH = Y$ .

Define

$$s = \max \{i: X \text{ is transitive on } \Omega_i\}$$

Let  $\alpha_{-1}\alpha_0\alpha_1 \cdots \alpha_s \in \Omega_{s+1}$  with  $\alpha = \alpha_0$  and  $\beta = \alpha_1$ . By definition of  $s$  there exists  $g \in X$  with  $\alpha_i^g = \alpha_{i-1}$ ,  $0 \leq i \leq s$ . Define  $\alpha_{s+j} = \alpha_s^{g^{-j}}$  for each integer  $j$ . As

$$\alpha_s \in \Gamma(\alpha_{s-2}, \alpha_{s-1}), \quad \alpha_{s+i} \in \Gamma(\alpha_{s+i-2}, \alpha_{s+i-1}),$$

so  $\alpha_j\alpha_{j+1} \cdots \alpha_k$  is a  $k - j$  arc for each  $k \geq j$ . Define  $H_j$  to be the stabilizer in  $X$  of  $\alpha_j$ ,  $D_j = H_{j-1} \cap H_j$ ,  $K_j = D_j \cap H_{j+1}$ ,  $V_j = \Omega_1(Z(O_2(H_j)))$  and  $L_j = L^{g^{-j}}$ . For  $j \geq 0$  define  $G_j = H_0 \cap \cdots \cap H_j$ . Set  $K = K_0$ . Define

$$v = \max \{i: m(G_i) = m(T)\}$$

if this maximum exists and set  $v = \infty$  otherwise.

(7.7) (1)  $\mathcal{A}(H) \subseteq L$  but  $\mathcal{A}(H) \not\subseteq O_2(H)$ .

(2)  $\mathcal{A}(K) = \mathcal{A}(O_2(H))$ .

(3) Let  $A \in \mathcal{A}(D) - \mathcal{A}(K)$ . Then  $(A \cap K)V \in \mathcal{A}(K) \subseteq \mathcal{A}(H)$  and  $D = AK$ .

(4)  $V/C_V(L)$  is the natural module for  $L_2(q)$ .

*Proof.* By 7.1 neither  $\Omega_1(Z(T))$  nor  $J(T)$  is normal in  $H$ , so as  $\Omega_1(Z(T)) \leq V$ ,  $[L, V] \neq 1$  and  $\mathcal{A}(H) \not\subseteq O_2(H)$ . Therefore by 2.4 and Theorem

3,  $V/C_V(L)$  is the natural module for  $L_2(q)$  and if  $A \in \mathcal{A}(H) - \mathcal{A}(O_2(H))$  then  $AO_2(H) = T \cap L$ . This yields (1) and (4).

Next as  $K$  fixes  $\alpha_{-1}$ ,  $\alpha$ , and  $\beta$ ,  $K/O_2(H)$  is a complement of  $(T \cap L)/O_2(H)$  in  $D/O_2(H)$ . Hence (2) is a consequence of (1) and (3). Finally

$$(A \cap K)V = (A \cap O_2(H))V \in \mathcal{A}(H)$$

as  $L/O_2(L)$  acts naturally on  $V/C_V(L)$ . So  $\mathcal{A}(K) \subseteq \mathcal{A}(H)$  and as  $AO_2(H) = T \cap L$ ,  $D = AK$ .

(7.8) *Let  $1 \leq i \leq v$ . Then*

- (1)  $\mathcal{A}(G_i) - \mathcal{A}(G_{i+1})$  is nonempty.
- (2) Let  $A \in \mathcal{A}(G_i) - \mathcal{A}(G_{i+1})$ . Then  $G_i = AG_{i+1}$ .
- (3)  $G$  is transitive on  $\Omega_{i+1}$ .

*Proof.* Let  $i$  be a minimal counter example. As  $i \leq v$ ,  $\mathcal{A}(G_i) \subseteq \mathcal{A}(D)$ . If  $\mathcal{A}(G_i) \subseteq \mathcal{A}(G_{i+1})$  then by 7.7.1,  $\mathcal{A}(G_i) \subseteq G_{i+1} \cap L_i \subseteq O_2(H_i)$ , and hence fixes  $\Gamma(\alpha_{i-1}, \alpha_i)$  pointwise. But by minimality of  $i$ ,  $G$  is transitive on  $\Omega_i$ , so 6.7 yields a contradiction. So let  $A \in \mathcal{A}(G_i) - \mathcal{A}(G_{i+1})$ . Then  $A \in \mathcal{A}(D_i) - \mathcal{A}(K_i)$ , so  $D_i = AK_i$  by 7.7.3. Thus

$$G_i = D_i \cap G_i = AK_i \cap G_i = A(K_i \cap G_i) = AG_{i+1}.$$

$G$  is transitive on  $\Omega_i$  and as  $D_i = AK_i$ ,  $A$  is transitive on  $\Gamma(\alpha_{i-1}, \alpha_i)$ . So  $G$  is transitive on  $\Omega_{i+1}$ .

(7.9)  $v < s$ .

*Proof.* 7.8.3.

(7.10)  $G_{i+1}^g = K \cap G$  for  $i \geq 0$ .

(7.11) *Let  $1 \leq i \leq v$  and  $Y = Y^g \trianglelefteq G_{i+1}$ . Then:*

- (1)  $\mathcal{A}(K \cap G_i) \subseteq \mathcal{A}(G_i)$ .
- (2)  $\mathcal{A}(K \cap G_i) \not\subseteq \mathcal{A}(G_{i+1})$ .
- (3)  $Y = 1$ .

*Proof.* As  $i < v$ ,  $\mathcal{A}(G_{i+1}) \subseteq \mathcal{A}(G_i)$ . Thus part (1) is a consequence of 7.10. Suppose  $\mathcal{A}(K \cap G_i) = \mathcal{A} \subseteq \mathcal{A}(G_{i+1})$ . Then by 7.10,  $\mathcal{A} = \mathcal{A}(G_{i+1})$  and hence  $\mathcal{A} = \mathcal{A}^g$ . But  $\mathcal{A} \subseteq K$  so  $\mathcal{A} = \mathcal{A}(K \cap G_{i+1})$  and then  $\mathcal{A}^g = \mathcal{A} = \mathcal{A}(G_{i+2})$ , contradicting 7.8.1. Finally  $Y = Y^g$  is normal in  $G_{i+1}$  and  $K \cap G_i$  by 7.10. By (2) and 7.8,  $G_i = (K \cap G_i)G_{i+1}$ , so  $Y \trianglelefteq G_i$ . Now by induction,  $Y \trianglelefteq G_1$ . Then  $Y = Y^g \trianglelefteq \langle G_1, g \rangle = \langle H, g \rangle = G$ , so as  $G$  is faithful on  $\Omega$ ,  $Y = 1$ .

(7.12) *Let  $1 \leq i < v$ . Then  $V \leq \tilde{Z}(K \cap G_i)$  and for  $A \in \mathcal{A}(G_i) -$*

$$\mathcal{A}(K \cap G_i),$$

$$(A \cap K)V \in \mathcal{A}(K \cap G_i) \text{ and } A(K \cap G_i) = G_i.$$

*Proof.* By 7.11,  $\mathcal{A}(K \cap G_i) \subseteq \mathcal{A}(G_i)$ . By 7.7,  $V \leq \tilde{Z}(K)$ , so  $V \leq \tilde{Z}(K \cap G_i)$ . By 7.8 and 7.10 there exists  $A \in \mathcal{A}(G_i) - \mathcal{A}(K \cap G_i)$ . By 7.7,

$$D = AK \text{ and } V(A \cap K) \in \mathcal{A}(K).$$

$V(A \cap K) \leq K \cap G_i$  so  $V(A \cap K) \in \mathcal{A}(K \cap G_i)$ . Also  $G_i = G_i \cap D = G_i \cap AK = A(G_i \cap K)$ .

$$(7.13) \quad V_{v-1} \not\leq K \text{ and } G_{v-1} = V_{v-1}(K \cap G_{v-1}) \text{ with } V_{v-1} \leq A \in \mathcal{A}(G_{v-1}).$$

*Proof.* Set  $P = G_{v-1}$ ,  $Q = K \cap P$ ,  $R = G_v$ , and  $U = V_{v-1}$ . By 7.11 there exists  $A \in \mathcal{A}(Q) - \mathcal{A}(R)$ . Set  $B = (A \cap R)U$ . By 7.7,  $B \in \mathcal{A}(R)$ . By definition of  $v$ ,  $m(G_{v+1}) < m(B)$ , so by 7.12,  $P = BQ = U(A \cap R)Q = UQ$ . So  $U \not\leq Q$  and hence  $U \not\leq K$ .

$$(7.14) \quad (1) \quad \mathcal{A}(G_v) = \{A\} \text{ and } \mathcal{A}(G_{v-1}) = \{A, A^g\} \text{ with } V \leq A^g.$$

$$(2) \quad \text{If } v = 2 \text{ then } O_2(H) = V, \mathcal{A}(T) = \{V, V^x\} \text{ and } J(T) \in \text{Syl}_2(L).$$

*Proof.* Let  $T \cap G_{v-1} \leq S \in \text{Syl}_2(G_{v-1})$  and  $U = V_{v-1}$ . By 7.13 and 7.7,  $S \cap L = UC_S(V)$ . Let  $s \in S \cap L$ . Then  $s = ut$ ,  $u \in U$ ,  $t \in C_S(V)$ . By symmetry  $S \cap L_{v-1} = VC_S(U)$ , so  $t$  centralizes  $(S \cap L_{v-1})/C_S(U)$ . Hence  $t \in L_{v-1}$ . Of course  $u \in U \leq L_{v-1}$ , so  $S \cap L = S \cap L_{v-1}$ .

Let  $P = UV$ ,  $Q = S \cap L$ . Then  $Q = UC_Q(V) = VC_Q(U)$ , so

$$C_Q(V) = C_Q(V) \cap VC_Q(U) = VC_Q(P).$$

Hence  $Q = PC_Q(P)$ . Now  $\Phi(C_Q(V)) = \Phi(C_Q(P)) = \Phi(C_Q(U))$ .

Suppose  $v = 2$ . Then  $C_Q(V) = O_2(H)$  and  $C_Q(U) = O_2(H^x)$ . Hence  $\Phi(C_Q(P)) \leq \langle H, x \rangle = G$ , and therefore  $\Phi(C_Q(P)) = 1$ . Thus  $O_2(H) = C_Q(V) = VC_Q(P)$  is elementary abelian, so  $O_2(H) = V$ . Also  $Q \in \text{Syl}_2(L)$  and  $Q = UV$  with  $\mathcal{A}(Q) = \{U, V\}$ . Then by 7.7,  $Q = J(T)$ . The proof of (2) is complete.

Let  $Y = J(G_{v-1})$ . By 7.13,  $U \leq A \in \mathcal{A}(Y)$  and by symmetry between  $H$  and  $H_{v-1}$ ,  $V \leq Y$ . By 7.7,  $Y \leq Q$ . Hence  $Y = PC_Y(P)$ .  $J(G_v) \leq Y$ , so  $J(G_v) = UJ(C_Y(P))$ . Thus  $\Phi(J(G_v)) = \Phi(J(C_Y(P)))$ .  $G_v^g = K \cap G_{v-1}$ , so a similar argument shows  $\Phi(J(G_v^g)) = \Phi(J(C_Y(P)))$ . Now by 7.11.3,  $J(C_Y(P))$  is elementary abelian. Thus  $J(G_v) = A$ ,  $J(K \cap G_{v-1}) = B$ . Also if  $C \in \mathcal{A}(Y)$  then  $C \leq UC_Y(P) \leq G_v$  or  $VC_Y(P) \leq K \cap G_{v-1}$ , so  $\mathcal{A}(Y) = \{A, B\}$ . The proof is complete.

$$(7.15) \quad \text{Assume } v > 2. \text{ Then } A \trianglelefteq G_{v-2}.$$

*Proof.*  $A = O_2(L_{v-1}) \cap \mathcal{A}(G_{v-1})$  is normalized by  $G_{v-1}$ . Hence as  $\mathcal{A}(G_{v-1}) = \{A, A^g\}$ ,  $G_{v-1}$  normalizes  $A^g$ . As  $v > 2$ ,  $G_{v-2} = G_{v-1}G_{v-1}^g$  by 7.8.2.

Hence  $A^\theta \trianglelefteq G_{v-2}$ . Also

$$\mathcal{A}(G_{v-1}) = \mathcal{A}(G_{v-2}) \cap O_2(L_{v-2})$$

is  $G_{v-2}$  invariant so as  $\mathcal{A}(G_{v-1}) = \{A, A^\theta\}$ ,  $A \trianglelefteq G_{v-2}$ .

Set  $Z = \Omega_1(Z(T))$  and  $W = C_V(H)$ . As  $H = \langle T, T^\theta \rangle = \langle V_{v-1}, T^\theta \rangle$  by 7.13, we conclude:

$$(7.16) \quad Z^\theta \leq V \text{ and } Z^\theta \cap C(V_{v-1}) = W.$$

$$(7.17) \quad O_2(H) = V, \mathcal{A}(T) = \{V, V^x\} \text{ and } J(T) \in \text{Syl}_2(L).$$

*Proof.* By 7.14 we may take  $v > 2$ . Hence  $[Z^\theta, V_{v-1}] \leq V_{v-1} \leq C(V_v)$ . So

$$W_0 = [Z^\theta, V_{v-1}] \cap Z \leq C(V_v) \cap Z = W^{\theta^{-1}}$$

by 7.16.  $T = C_T(V)Y$  where  $Y = T \cap T^\theta$ . Let  $U \leq V_{v-1}$  with  $UC_T(V)/C_T(V) = Z(T/C_T(V))$ . Then

$$|Z:W| = |UC_T(V):C_T(V)| \leq |[Z^\theta, u]|.$$

Also  $[Y, Z^\theta] = 1$  and  $[U, Y] \leq C_T(V) \leq C(Z^\theta)$ , so by the 3-subgroup lemma,  $[Z^\theta, U, Y] = 1$ . Thus  $[Z^\theta, U] \leq W_0$ , so  $|W_0| \geq |Z:W|$ . But  $\langle H, H^x \rangle$  centralizes  $W \cap W^x$ , so as  $\{M\} = \mathcal{M}(H)$ ,  $W \cap W^x = 1$ . Hence  $|Z:W| \geq |W| \geq |W_0| \geq |Z:W|$ . We conclude  $W^{\theta^{-1}} = W^x = W_0$  is of order  $|Z:W|$ .

Assume  $v \geq 4$ . Then  $W_0 \leq V_{v-1} \leq C(V_{v+1})$ . But  $Z^{\theta^{-1}} \cap C(V_{v+1}) = W_0^{\theta^{-1}}$ , whereas  $W_0^{\theta^{-1}} \neq W_0 \leq Z^{\theta^{-1}}$ , a contradiction.

Hence  $v = 3$ . Then by 7.14,  $\mathcal{A}(G_2) = \{A, A^\theta\}$ . But  $\mathcal{A}(G_2) = \mathcal{A}(O_2(H_1))$  and  $A \trianglelefteq G_1$  by 7.15. Therefore,  $A \trianglelefteq O^2(H_1)G_1 = H_1$ . Thus  $A^\theta$  is also normal in  $H_1$ . But now  $A^\theta \trianglelefteq \langle H_1, H_1^\theta \rangle = \langle H, H^x \rangle$  a contradiction.

$$(7.18) \quad q = 2.$$

*Proof.* If  $q > 2$  apply Theorem 3 to  $L, L^x$ , using 7.17. We conclude  $F^*(G) \cong L_3(q)$  or  $Sp_4(q)$ . Now we may choose  $x$  to induce an involutory outer automorphism on  $F^*(G)$ . But then  $F^*(C_G(x)) \neq O_2(C(x))$ , a contradiction.

$$(7.19) \quad q > 2.$$

*Proof.* Assume  $q = 2$ . Then  $Z(H)$  is a hyperplane of  $Z(T)$  such that  $\mathcal{M}(C(v)) \subseteq \mathcal{M}(H) = \{M\}$  for each  $v \in Z(H)^*$ . Therefore  $Z(H) \cap Z(H)^x = 1$ , so  $|Z(T)| \leq 4$ . As  $Z(T)$  is a hyperplane of  $V$ ,  $|V| \leq 8$ . Of course  $C_G(V) = C_M(V) = V$ . Hence by Theorem 2 in [4],  $G$  has sectional 2-rank at most 4.

Notice 7.18 and 7.19 complete the proof of Theorem 1.

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CALIFORNIA INSTITUTE OF TECHNOLOGY  
PASADENA, CALIFORNIA