# COMMON BOUNDED UNIVERSAL FUNCTIONS FOR COMPOSITION OPERATORS

FRÉDÉRIC BAYART, SOPHIE GRIVAUX AND RAYMOND MORTINI

ABSTRACT. Let  $\mathcal{A}$  be the set of automorphisms of the unit disk with 1 as attractive fixed point. We prove that there exists a single Blaschke product that is universal for every composition operator  $C_{\phi}, \phi \in \mathcal{A}$ , acting on the unit ball of  $H^{\infty}(\mathbb{D})$ .

### 1. Introduction

This paper is devoted to the construction of common universal functions for some uncountable families of composition operators on the unit ball  $\mathcal{B}$  of  $H^{\infty}(\mathbb{D})$ . If  $\phi: \mathbb{D} \to \mathbb{D}$  is an analytic self-map of the unit disk  $\mathbb{D}$ , the composition operator  $C_{\phi}: f \mapsto f \circ \phi$  acts continuously on  $\mathcal{B}$  (note that  $\mathcal{B}$  will always be endowed with the topology of uniform convergence on compact sets). A function  $f \in \mathcal{B}$  is said to be  $\mathcal{B}$ -universal for  $C_{\phi}$  (or just universal, if no ambiguities arise) if  $\mathcal{O}(f) = \{f \circ \phi^{[n]}; n \geq 0\}$  is dense in  $\mathcal{B}$ , where  $\phi^{[n]} = \phi \circ \phi \circ \cdots \circ \phi$ denotes the *n*-th iterate of  $\phi$ . The operator  $C_{\phi}$  is  $\mathcal{B}$ -universal if it admits a  $\mathcal{B}$ -universal function, and this happens ([3]) if and only if  $\phi$  is a hyperbolic or parabolic automorphism of the unit disk. In this case, the universal function can be chosen to be a Blaschke product. Our aim in this paper is to construct common universal Blaschke products for some uncountable families of composition operators  $C_{\phi}$  acting on  $\mathcal{B}$ , the  $\phi$ 's being hyperbolic and parabolic automorphisms of  $\mathbb{D}$ .

Results on universal Blaschke products first appear in a paper by Heins [10]. A general theory of universal Blaschke products and their behaviour on the maximal ideal space of  $H^{\infty}$  was developed in [8] and [11]. Finally, these functions were the building blocks for studying *B*-universality for sequences of composition operators  $(C_{\phi_n})$  in [3].

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Our study of universal Blaschke products in the present paper is motivated by previous results on common hypercyclicity of [1], [4], and [5]. Indeed, the operators  $C_{\phi}$  act boundedly on different spaces, such as the space  $\mathcal{H}(\mathbb{D})$  of holomorphic functions on  $\mathbb{D}$ , or the Hardy spaces  $H^p(\mathbb{D})$ ,  $1 \leq p < +\infty$ , and when  $\phi$  is a hyperbolic or parabolic automorphism,  $C_{\phi}$  is hypercyclic on  $\mathcal{H}(\mathbb{D})$ (resp.  $H^p(\mathbb{D})$ ), i.e., there exists a function  $f \in \mathcal{H}(\mathbb{D})$  (resp.  $f \in H^p(\mathbb{D})$ ) such that  $\mathcal{O}(f)$  is dense in  $\mathcal{H}(\mathbb{D})$  (resp.  $H^p(\mathbb{D})$ ). It is then natural to ask about the existence of a function f which would be hypercyclic for all composition operators  $C_{\phi}$ . Since each function in  $H^p(\mathbb{D})$  has a radial limit almost everywhere on the unit circle  $\mathbb{T}$  [7], such a common hypercyclic function cannot exist on  $H^p(\mathbb{D})$ : if  $\mathcal{A}$  is a family of hyperbolic or parabolic automorphisms of  $\mathbb{D}$ , the fact that the family  $(C_{\phi})_{\phi \in \mathcal{A}}$  has a common hypercyclic vector necessarily implies that the subset B of  $\mathbb{T}$  consisting of all the attractive fixed points of the automorphisms  $\phi \in \mathcal{A}$  has Lebesgue measure zero. Hence, a natural family to consider is  $(C_{\phi})_{\phi \in \mathcal{A}_0}$ , where  $\mathcal{A}_0$  is the class of hyperbolic or parabolic automorphisms of  $\mathbb{D}$  with 1 as attractive fixed point. Then this restricted family of composition operators acting on  $H^p(\mathbb{D})$  admits a common hypercyclic vector ([4] or [5]).

We deal here with the same question, but our underlying space is now the unit ball  $\mathcal{B}$  of  $H^{\infty}(\mathbb{D})$ . The main difficulty in this new setting lies in the fact that all the techniques of [1], [4], or [5] are "additive" and strongly use the linearity of the space, making it difficult to control the  $H^{\infty}$ -norm of the functions which are constructed. We have to use "multiplicative" techniques instead to prove the following theorem, which is the main result of this paper.

THEOREM 1. There exists a Blaschke product B which is universal for all composition operators  $C_{\phi}$  associated to hyperbolic or parabolic automorphisms of  $\mathbb{D}$  with 1 as attractive fixed point.

The proof of this result uses an argument of Costakis and Sambarino [6]. The hyperbolic and parabolic cases will be treated separately in Sections 2 and 3, respectively, the hyperbolic case being as usual, easier than the parabolic one, since we have a better control of the rate of convergence of the iterates to the attractive fixed point.

## 2. The hyperbolic case

We first consider for  $\lambda > 1$  the family of hyperbolic automorphisms

$$z \mapsto \frac{z + \frac{\lambda - 1}{\lambda + 1}}{1 + z \frac{\lambda - 1}{\lambda + 1}}$$

of  $\mathbb{D}$  with 1 as attractive fixed point and -1 as repulsive fixed point. The action of such an automorphism is best understood when considered on the right half-plane  $\mathbb{C}_+ = \{w \in \mathbb{C}; \operatorname{Re} w > 0\}$ : if  $\sigma : \mathbb{D} \to \mathbb{C}_+$  is the Cayley map defined by  $\sigma(z) = \frac{1+z}{1-z}$ , such an automorphism is conjugated via  $\sigma$  to a dilation

 $\varphi_{\lambda}: w \mapsto \lambda w$ , where  $\lambda > 1$ , We will denote by  $\phi_{\lambda}$  the hyperbolic automorphism of  $\mathbb{D}$  such that  $\phi_{\lambda} = \sigma^{-1} \circ \varphi_{\lambda} \circ \sigma$ . A general hyperbolic automorphism with 1 as attractive fixed point has the form  $\phi_{\lambda,\beta} = \sigma^{-1} \circ \varphi_{\lambda,\beta} \circ \sigma$ , where  $\varphi_{\lambda,\beta}$  acts on  $\mathbb{C}_{+}$  as  $\varphi_{\lambda,\beta}(w) = \lambda(w - i\beta) + i\beta$ ,  $\lambda > 1$ ,  $\beta \in \mathbb{R}$ . We first show that the parameters  $\beta$  play essentially no role in this problem.

LEMMA 2. Let B be a Blaschke product which is universal for  $C_{\phi_{\lambda}}$ ,  $\lambda > 1$ . For any  $\beta \in \mathbb{R}$ , B is universal for  $C_{\phi_{\lambda,\beta}}$ .

*Proof.* Let  $f \in \mathcal{B}$  and let K be a compact subset of  $\mathbb{D}$ . For  $z \in K$ , we define

$$z_1(n) = \sigma^{-1} \left( \lambda^n \left( \sigma(z) - i\beta \right) + i\beta \right) = \phi_{\lambda,\beta}^{[n]}(z),$$
  
$$z_2(n) = \sigma^{-1} \left( \lambda^n \left( \sigma(z) - i\beta \right) \right) = \phi_{\lambda}^{[n]} \left( \sigma^{-1} \left( \sigma(z) - i\beta \right) \right)$$

It is easy to show that there exists a constant  $C_1$  which depends only on K and  $\beta$ , such that

$$|z_1(n) - z_2(n)| \le \frac{C_1}{\lambda^{2n}}.$$

In fact, if  $w_1(n) = \lambda^n(\sigma(z) - i\beta) + i\beta$  and  $w_2(n) = \lambda^n(\sigma(z) - i\beta)$ , then

$$|z_1(n) - z_2(n)| = \left| \int_{[w_1(n), w_2(n)]} \frac{2}{(1+w)^2} dw \right| \le |\beta| \max_{w \in [w_1(n), w_2(n)]} \frac{2}{|1+w|^2} \le |\beta| \frac{2}{\lambda^{2n} [\min\{\operatorname{Re}\sigma(z) : z \in K\}]^2} \le \frac{C_1}{\lambda^{2n}}.$$

On the other hand, there is another constant  $C_2$ , depending only on K and  $\beta$ , such that

$$|z_1(n)| \le 1 - \frac{C_2}{\lambda^n}$$
 and  $|z_2(n)| \le 1 - \frac{C_2}{\lambda^n}$ 

This can be seen in the following way:

$$|1 - z_j(n)| = 1 - \left|\frac{w_j(n) - 1}{w_j(n) + 1}\right| = 2/|w_j(n) + 1| \ge \frac{C_2}{\lambda^n}.$$

Since B belongs to  $H^{\infty}(\mathbb{D})$ , Cauchy's inequalities show that  $B(z_1(n)) - B(z_2(n))$  converges uniformly on K to 0. In fact,

$$\begin{aligned} |B(z_1(n)) - B(z_2(n))| &\leq \int_{[z_1(n), z_2(n)]} \frac{|B'(\xi)|(1 - |\xi|^2)}{1 - |\xi|^2} |d\xi| \\ &\leq |z_1(n) - z_2(n)| \frac{1}{\min\{1 - |z_1(n)|^2, 1 - |z_2(n)|^2\}} \\ &\leq \frac{C_3}{\lambda^n}. \end{aligned}$$

On the other hand, since B is universal, there exists a sequence  $(n_k)$  such that  $B \circ \phi_{\lambda}^{[n_k]}(\sigma^{-1}(\sigma - i\beta))$  converges uniformly to f on K (the map  $z \mapsto \sigma^{-1}(\sigma(z) - i\beta)$  is an automorphism of  $\mathbb{D}$ ). We conclude that  $B \circ \phi_{\lambda,\beta}^{[n_k]}$  converges uniformly on K to f.

In order to construct a common universal Blaschke product for all the  $C_{\phi_{\lambda}}$ 's, we will decompose  $]1, +\infty[$  as an increasing union of compact sub-intervals  $[a_k, b_k]$ . Following [6], we then decompose each interval [a, b] as [a, b] = $\bigcup_{j=1}^{q-1} [\lambda_j, \lambda_{j+1}] \text{ where } \lambda_1 = a, \ \lambda_2 = \lambda_1 + \frac{\delta}{2N}, \ \dots, \ \lambda_{j+1} = \lambda_j + \frac{\delta}{(j+1)N} \text{ if } \lambda_j + \frac{\delta}{(j+1)N} \leq b \text{ and } \lambda_{j+1} = b \text{ if } \lambda_j + \frac{\delta}{(j+1)N} > b. \text{ Here, } N \text{ is a positive integer}$ which will be chosen very large in the sequel, and  $\delta$  is a positive real number which will be chosen very small. The interval [a, b] has been divided into q successive sub-intervals (q depending on  $\delta$  and N, of course). The interest of such a decomposition of [a, b] in our context is explained in the following lemma. Recall that  $||f||_K$  denotes the supremum of the function f on the compact set K.

LEMMA 3. Let f be a finite Blaschke product such that f(1) = f(-1) = 1. For every compact subset K of  $\mathbb{D}$  and each interval  $[a,b] \subseteq ]1,\infty[$ , there exists a positive constant M depending on K, f and a such that for every  $j = 1, \ldots, q$ and every  $\lambda \in [\lambda_i, \lambda_{i+1}]$  the following assertions are true:

- (1) for every l < j,  $\|C_{\phi_{\lambda}}^{jN}C_{\phi_{\lambda_{l}}}^{-lN}(f) 1\|_{K} \le Ma^{-(j-l)N};$ (2) for every l > j,  $\|C_{\phi_{\lambda}}^{jN}C_{\phi_{\lambda_{l}}}^{-lN}(f) 1\|_{K} \le Ma^{-(l-j)N};$
- (3)  $\|C_{\phi_{\lambda}}^{jN}C_{\phi_{\lambda}}^{-jN}(f) f\|_{K} \leq M\delta.$

We will use repeatedly the following fact, which is a consequence of the Schwarz–Pick estimates.

LEMMA 4. Let  $u \in \mathcal{B}$ . Then for every  $z \in \mathbb{D}$ ,

$$|u(z) - 1| \le \frac{1 + |z|}{1 - |z|} |u(0) - 1|.$$

*Proof.* We obviously have that  $\frac{u(z)-u(0)}{1-\overline{u(0)}u(z)} = zg(z)$  for some  $g \in \mathcal{B}$ . Hence,

$$\begin{aligned} |u(z) - 1| &\leq |u(z) - u(0)| + |u(0) - 1| \leq |z||1 - u(0)u(z)| + |u(0) - 1| \\ &\leq |z| \left| \left( 1 - \overline{u(0)} \right) + \overline{u(0)} \left( 1 - u(z) \right) \right| + |u(0) - 1|. \end{aligned}$$

Therefore,  $|u(z) - 1|(1 - |z|) \le |1 - u(0)|(1 + |z|)$  for every  $z \in \mathbb{D}$ .

Thus, in order to prove Assertions 1 and 2 above, for instance, it suffices to control in a suitable way the quantities  $f(\phi_{\lambda_l}^{-[lN]}(\phi_{\lambda_l}^{[jN]}(0)))$ .

*Proof of Lemma* 3. For every  $z \in \mathbb{D}$  we have

$$C^{jN}_{\phi_{\lambda}}C^{-lN}_{\phi_{\lambda_{l}}}(f)(z) = f\bigg(\sigma^{-1}\bigg(\frac{\lambda^{jN}}{\lambda^{lN}_{l}}\sigma(z)\bigg)\bigg).$$

Since f(1) = 1 and f is Lipschitz with constant C up to the boundary of  $\mathbb{D}$ , we have

$$\left|f\left(\phi_{\lambda_{l}}^{-[lN]}\left(\phi_{\lambda}^{[jN]}(0)\right)\right)-1\right| \leq C \left|\sigma^{-1}\left(\frac{\lambda^{jN}}{\lambda_{l}^{lN}}\right)-1\right| = \frac{2C}{\frac{\lambda^{jN}}{\lambda_{l}^{lN}}+1}$$

Assertion 1 follows from this estimate: since l < j,

$$\frac{\lambda^{jN}}{\lambda_l^{lN}} \ge \frac{\lambda^{jN}}{\lambda_{j-1}^{lN}} \ge \lambda_{j-1}^{(j-l)N} \left(1 + \frac{\delta}{\lambda_{j-1}Nj}\right)^{Nj} \ge \lambda_{j-1}^{(j-l)N} \ge a^{(j-l)N}.$$

By Lemma 4, there exists a positive constant  $M_1$  such that

$$\|C_{\phi_{\lambda}}^{jN}C_{\phi_{\lambda_{l}}}^{-lN}(f) - 1\|_{K} \le \frac{M_{1}}{a^{(j-l)N}} \quad \text{for } l < j.$$

Assertion 2 is proved in the same fashion, using this time the fact that f(-1) = 1, so that

$$\left| f\left(\phi_{\lambda_l}^{-[lN]} \circ \phi_{\lambda}^{[jN]}(0)\right) - 1 \right| \le 2C \frac{\frac{\lambda^{jN}}{\lambda_l^{IN}}}{\frac{\lambda^{jN}}{\lambda_l^{IN}} + 1}$$

and that for l > j,

$$\frac{\lambda^{jN}}{\lambda_l^{lN}} \le \lambda_{j+1}^{(j-l)N} \le a^{(j-l)N}.$$

As to Assertion 3, we have for every  $z \in \mathbb{D}$ 

$$\begin{aligned} |C_{\phi_{\lambda}}^{jN}C_{\phi_{\lambda_{j}}}^{-jN}(f)(z) - f(z)| &\leq C \left| \sigma^{-1} \left( \frac{\lambda^{jN}}{\lambda_{j}^{jN}} \sigma(z) \right) - z \right| \\ &\leq C \left| \frac{\lambda^{jN}}{\lambda_{j}^{jN}} - 1 \right| \cdot \frac{2|\sigma(z)|}{|\frac{\lambda^{jN}}{\lambda_{j}^{jN}} \sigma(z) + 1|^2}. \end{aligned}$$

Since  $|\frac{\lambda^{jN}}{\lambda_j^{N}}\sigma(z)+1|$  is bigger than its real part, which is bigger than 1, and since  $0 \leq (\frac{\lambda}{\lambda_j})^{jN} - 1 \leq (1+\frac{\delta}{aNj})^{Nj} - 1 \leq 2\delta/a$  when  $\delta$  is small enough, we have

$$\|C_{\phi_{\lambda}}^{jN}C_{\phi_{\lambda_{j}}}^{-jN}(f) - f\|_{K} \le M_{3}\delta$$

for some positive constant  $M_3$ .

We need a last lemma.

LEMMA 5. The finite Blaschke products f such that f(1) = f(-1) = 1 are dense in  $\mathcal{B}$  (for the topology of uniform convergence on compact sets).

*Proof.* We use Carathéodory's theorem that the set of finite Blaschke products is dense in  $\mathcal{B}$ , as well as a special case of an interpolation result given in [9, Lemma 2.10]: for every  $\varepsilon > 0$ , every compact subset  $K \subseteq \mathbb{D}$  and  $\alpha, \beta \in \mathbb{T}$ there exists a finite Blaschke product  $B_1$  satisfying  $B_1(1) = \alpha, B_1(-1) = \beta$ 

and  $||B_1 - 1||_K < \varepsilon$ . Thus, given  $f \in \mathcal{B}$  and a finite Blaschke product  $B_0$  that is close to f on K, we solve the interpolation problem with  $\alpha = \overline{B_0(1)}$  and  $\beta = \overline{B_0(-1)}$  and set  $B = B_0 B_1$ , in order to get the desired Blaschke product.

With these two lemmas in hand, we prove the following proposition.

PROPOSITION 6. Let  $(f_k)_{k\geq 1}$  be a dense sequence of finite Blaschke products with  $f_k(1) = f_k(-1) = 1$ . Let  $(K_k)$  be an exhaustive sequence of compact subsets of  $\mathbb{D}$ , and  $([a_k, b_k])_{k\geq 1}$  an increasing sequence of compact intervals such that

$$\bigcup_{k\geq 1} [a_k, b_k] = ]1, +\infty[.$$

There exist

• a sequence  $(B_n)_{>1}$  of finite Blaschke products;

• an increasing sequence  $(p_n)_{n\geq 1}$  of positive integers

such that the following properties are satisfied for every  $k \ge 1$ :

(1)  $B_k(1) = 1;$ 

(2)  $||B_k - 1||_{K_k} < 2^{-k};$ 

(3) for every  $\lambda \in [a_k, b_k]$ , there exists an integer  $n_k(\lambda) \leq p_k$  such that for every  $i \geq k$ ,

(1) 
$$\left\|C^{n_k(\lambda)}_{\phi_\lambda}(B_1\cdots B_i) - f_k\right\|_{K_k} < 2^{-k}.$$

As a corollary, we obtain the corollary below.

COROLLARY 7. There exists a Blaschke product B which is universal for all the composition operators  $C_{\phi_{\lambda,\beta}}$ ,  $\lambda > 1$ ,  $\beta \in \mathbb{R}$ .

*Proof.* Consider  $B = \prod_{n=1}^{\infty} B_n$ : this is a convergent Blaschke product by Assertion 2 of Proposition 6, and going to the limit as *i* goes to infinity in equation (1) implies that for every  $\lambda \in [a, b]$  and *k* large enough  $([a, b] \subseteq [a_k, b_k])$ ,

$$\left\|C_{\phi_{\lambda}}^{n_{k}(\lambda)}(B) - f_{k}\right\|_{K_{k}} \leq 2^{-k}.$$

Since the family  $(f_k)_{k\geq 1}$  is locally uniformly dense in  $\mathcal{B}$ , this proves the universality of B for  $C_{\phi_{\lambda},\beta}$ .

We turn now to the proof of Proposition 6.

Proof of Proposition 6. The proof is done by induction on k. We consider a first partition  $a_1 = \lambda_1 < \lambda_2 < \cdots < \lambda_{q_1} = b_1$  of  $[a_1, b_1]$  with parameters  $N_1$ and  $\delta_1$ , and the finite Blaschke product

$$B_1 = \prod_{l=1}^{q_1} C_{\phi_{\lambda_l}}^{-lN_1}(f_1).$$

We have  $B_1(1) = 1$ . Since  $f_1(-1) = 1$ ,  $C_{\phi_{\lambda_l}}^{-lN_1}(f_1)$  tends to 1 uniformly on compact sets as  $N_1$  tends to infinity, and if  $N_1$  is large enough,

(2) 
$$||B_1 - 1||_{K_1} < 2^{-1}.$$

Since  $|\prod_{j=1}^{s} a_j - \prod_{j=1}^{s} b_j| \leq \sum_{j=1}^{s} |a_j - b_j|$  whenever  $a_j, b_j \in \mathbb{D}$ , we have for every  $j = 1, \ldots, q_1$ , every  $\lambda \in [\lambda_j, \lambda_{j+1}]$ , and any compact subset K of  $\mathbb{D}$ 

$$\begin{aligned} \|C_{\phi_{\lambda}}^{jN_{1}}(B_{1}) - f_{1}\|_{K} &\leq \sum_{l=1, l \neq j}^{q_{1}} \|C_{\phi_{\lambda}}^{jN_{1}}C_{\phi_{\lambda_{l}}}^{-lN_{1}}(f_{1}) - 1\|_{K} \\ &+ \|C_{\phi_{\lambda}}^{jN_{1}}C_{\phi_{\lambda_{j}}}^{-jN_{1}}(f_{1}) - f_{1}\|_{K}. \end{aligned}$$

But, by Lemma 3, the quantity on the right-hand side is less than

$$\sum_{i=1, l \neq j}^{q_1} \frac{M}{a_1^{|j-l|N_1}} + M\delta_1 \le 2M \sum_{k=1}^{\infty} \frac{1}{a_1^{kN_1}} + M\delta_1.$$

Thus, if  $N_1$  is large enough and  $\delta_1$  small enough

(3) 
$$\|C_{\phi_{\lambda}}^{jN_1}(B_1) - f_1\|_{K_1} < 2^{-1}.$$

1

We now fix  $N_1$  large enough and  $\delta_1$  small enough so that inequalities (2) and (3) are satisfied. It is easy to check that Assertions 2 and 3 of Proposition 6 are satisfied with  $p_1 = q_1 N_1$  and  $n_1(\lambda) = j N_1$  for  $\lambda \in [\lambda_j, \lambda_{j+1}]$ . This terminates the first step of the construction.

If now the construction has been carried out until step k-1, we consider again a partition  $a_k = \lambda_1 < \cdots < \lambda_{q_k} = b_k$  of  $[a_k, b_k]$  with parameters  $\delta_k$  and  $N_k$ , and set

$$B_k = \prod_{l=1}^{q_k} C_{\phi_{\lambda_l}}^{-lN_k}(f_k),$$

so that  $B_k$  is a finite Blaschke product with  $B_k(1) = 1$ . Just as above if  $N_k$  is large enough and  $\delta_k$  small enough, we have for every  $j \leq q_k$  and every  $\lambda \in [\lambda_j, \lambda_{j+1}]$ 

$$\|C_{\phi_{\lambda}}^{jN_{k}}(B_{k}) - f_{k}\|_{K_{k}} < 2^{-(k+1)}$$

and

$$||B_k - 1||_{K_k} < 2^{-k}.$$

Because  $B_1(1) = \cdots = B_{k-1}(1) = 1$ , we can also choose simultaneously  $N_k$  large enough so that  $C_{\phi_{\lambda}}^{jN_k}(B_1 \cdots B_{k-1})$  is very close to 1 on  $K_k$ . This gives (1) for i = k.

It remains to check that if  $r \leq k - 1$ ,  $\lambda \in [a_r, b_r]$ ,

$$\left\| C_{\phi_{\lambda}}^{n_{r}(\lambda)}(B_{1}\cdots B_{k-1}B_{k}) - f_{r} \right\|_{K_{r}} < 2^{-r}$$

We already know that

$$\|C_{\phi_{\lambda}}^{n_{r}(\lambda)}(B_{1}\cdots B_{k-1}) - f_{r}\|_{K_{r}} < 2^{-r},$$

and since  $B_k$  can be made arbitrarily close to 1 on any compact set if  $N_k$  is large enough, we also choose  $N_k$  so that  $||B_k - 1||_K$  is small enough, where

$$K = \bigcup_{r \le k-1, \lambda \in [a_r, b_r]} \phi_{\lambda}^{n_r(\lambda)}(K_r),$$

and then Assertions 2 and 3 are satisfied at step k.

#### 3. The parabolic case

We consider now the family of parabolic automorphisms of  $\mathbb{D}$  with 1 as attractive fixed point. If  $T_{\lambda}: \mathbb{C}_+ \to \mathbb{C}_+$  is the translation defined by  $w \mapsto$  $w+i\lambda, \lambda \in \mathbb{R} \setminus \{0\}$ , then such parabolic automorphisms have the form  $\psi_{\lambda}(z) =$  $\sigma^{-1} \circ T_{\lambda} \circ \sigma$ . Our aim in this section is to construct a Blaschke product which is universal for all composition operators  $(C_{\psi_{\lambda}}), \lambda > 0$ . This is more difficult than the hyperbolic case because we have no suitable analog of Lemma 3: the estimate we get has the form

$$\|C_{\psi_{\lambda}}^{jN}C_{\psi_{\lambda_{l}}}^{-lN}(f) - 1\|_{K} \le \frac{M}{|j-l|N} \text{ for } l \neq j,$$

and the series on the right-hand side is not convergent when we sum over all  $l \neq j$ .

In other words, if K is any compact set, the sets  $\psi_{\lambda}^{[n]}(K)$  go towards the point 1 at a rate of 1/n, which is too slow. This difficulty was tackled for the study of common hypercyclicity on the Hardy space  $H^2(\mathbb{D})$  by using either a fine analysis of properties of disjointness in [4] or probabilistic ideas in [5]. Here, we use in a crucial way the tangential convergence of the sequence  $(\psi_{\lambda}^{[n]}(0))$  to the boundary. Indeed, the series  $\sum_{n} (1 - |\psi_n(0)|)$  is summable, whereas the series  $\sum_{n} |1 - \psi_n(0)|$  is not. The following lemma will play the same role as Lemma 3 in the hyperbolic case. We keep the notation of Section 2 and use the same kind of decomposition  $a = \lambda_1, \ldots, \lambda_q = b$  of a compact sub-interval [a, b] of  $]0, +\infty[$ .

LEMMA 8. Let f be a finite Blaschke product such that f(1) = 1. For every compact subset K of  $\mathbb{D}$ , there exists a positive constant M depending on K, f and a such that for every j = 1, ..., q and every  $\lambda \in [\lambda_i, \lambda_{i+1}]$  the following assertions are true:

- (1) for every l < j,  $|||C_{\psi_{\lambda}}^{jN}C_{\psi_{\lambda_{l}}}^{-lN}(f)| 1||_{K} \le \frac{M}{(j-l)^{2}N^{2}};$ (2) for every l > j,  $|||C_{\psi_{\lambda}}^{jN}C_{\psi_{\lambda_{l}}}^{-lN}(f)| 1||_{K} \le \frac{M}{(l-j)^{2}N^{2}};$
- (3)  $\|C_{\psi_{\lambda}}^{jN}C_{\psi_{\lambda}}^{-jN}(f) f\|_{K} \leq M\delta.$

*Proof.* In order to prove Assertions 1 and 2, it suffices to work at the point 0. Since the modulus of f is equal to 1 on  $\mathbb{T}$ , and since the operators

commute, we have

$$\begin{split} \left| \left| f\left(\psi_{\lambda_{l}}^{-[lN]} \circ \psi_{\lambda}^{[jN]}(0)\right) \right| - 1 \right| &= \left| \left| f\left(\psi_{\lambda}^{[jN]} \circ \psi_{\lambda_{l}}^{-[lN]}(0)\right) \right| \\ &- \left| f\left(\frac{\psi_{\lambda}^{[jN]} \circ \psi_{\lambda_{l}}^{-[lN]}(0)}{|\psi_{\lambda}^{[jN]} \circ \psi_{\lambda_{l}}^{-[lN]}(0)|}\right) \right| \right|. \end{split}$$

Since f is C-Lipschitz on  $\overline{\mathbb{D}}$  for some positive constant C, this quantity is less than

(4) 
$$C \left| \psi_{\lambda}^{[jN]} \circ \psi_{\lambda_{l}}^{-[lN]}(0) - \frac{\psi_{\lambda}^{[jN]} \circ \psi_{\lambda_{l}}^{-[lN]}(0)}{|\psi_{\lambda}^{[jN]} \circ \psi_{\lambda_{l}}^{-[lN]}(0)|} \right| = C \left( 1 - \left| \psi_{\lambda}^{[jN]} \circ \psi_{\lambda_{l}}^{-[lN]}(0) \right| \right).$$

An easy computation shows that

$$\psi_{\lambda}^{[jN]} \circ \psi_{\lambda_l}^{[-lN]}(0) = \frac{iN(j\lambda - l\lambda_l)}{2 + iN(j\lambda - l\lambda_l)}$$

Observe that  $|j\lambda - l\lambda_l| \ge |j - l|a$ . This gives

$$\begin{split} 1 - \left| \psi_{\lambda}^{[jN]} \circ \psi_{\lambda_{l}}^{-[lN]}(0) \right| &\leq 1 - \left| \psi_{\lambda}^{[jN]} \circ \psi_{\lambda_{l}}^{-[lN]}(0) \right|^{2} \\ &\leq 1 - \frac{(j\lambda - l\lambda_{l})^{2}N^{2}}{4 + (j\lambda - l\lambda_{l})^{2}N^{2}} \\ &\leq \frac{C_{1}}{N^{2}(j-l)^{2}} \end{split}$$

for some positive constant  $C_1$  which does not depend on  $\lambda$ . Now, equation (4) implies that for  $l \neq j$ ,

$$\left|\left|f\left(\psi_{\lambda}^{[jN]}\circ\psi_{\lambda_{l}}^{-[lN]}(0)\right)\right|-1\right|\leq\frac{C_{2}}{N^{2}(j-l)^{2}}$$

This proves Assertions 1 and 2 of Lemma 8. Assertion 3 is proved in the same way as in Lemma 3, writing for  $\lambda \in [\lambda_j, \lambda_{j+1}]$  and  $z \in \mathbb{D}$ 

$$|C_{\psi_{\lambda}}^{jN}C_{\psi_{\lambda_{j}}}^{-jN}f(z) - f(z)| \le CjN|\lambda_{j} - \lambda| \le CjN\frac{\delta}{(j+1)N} \le C'\delta.$$

The following proposition is the main ingredient of the proof.

PROPOSITION 9. Let f be a finite Blaschke product,  $[a,b] \subset ]0, +\infty[, m_0 a$ positive integer, K a compact subset of  $\mathbb{D}$  and  $\varepsilon > 0$ . There exist an integer m, integers  $(n(\lambda))_{\lambda \in [a,b]}$  with  $n(\lambda) \in [m_0,m]$  and a finite Blaschke product Bsuch that

(1) B(1) = 1;

(2)  $||B-1||_K < \varepsilon;$ 

(3)  $C_{\psi_{\lambda}}^{n(\lambda)}(B) = u_{\lambda}v_{\lambda}$  where  $u_{\lambda}$  and  $v_{\lambda}$  belong to  $\mathcal{B}$ ,  $||u_{\lambda} - f||_{K} < \varepsilon$  and  $|v_{\lambda}(0)| > 1 - \varepsilon$ .

*Proof.* We use again the decomposition  $\lambda_1 = a, \lambda_2 = a + \frac{\delta}{2N}, \dots, \lambda_q = b$ , where  $\delta > 0$  and  $N \ge m_0$  will be fixed during the proof. Consider the Blaschke product

$$B_1 = \prod_{l=1}^q C_{\psi_{\lambda_l}}^{-lN}(f).$$

For  $\lambda \in [\lambda_j, \lambda_{j+1}]$ , we have

$$C^{jN}_{\psi_{\lambda}}(B_1) = C^{jN}_{\psi_{\lambda}} C^{-jN}_{\psi_{\lambda_j}}(f) \left(\prod_{l \neq j} C^{jN}_{\psi_{\lambda}} C^{-lN}_{\psi_{\lambda_l}}(f)\right) := u_{1,\lambda} v_{1,\lambda}$$

with  $u_{1,\lambda} = C_{\psi_{\lambda}}^{jN} C_{\psi_{\lambda_{j}}}^{-jN}(f)$  and  $v_{1,\lambda} = \prod_{l \neq j} C_{\psi_{\lambda}}^{jN} C_{\psi_{\lambda_{l}}}^{-lN}(f)$ . Set  $n(\lambda) = jN$  for  $\lambda \in [\lambda_{j}, \lambda_{j+1}[$ . By Assertion 3 of Lemma 8,  $||u_{1,\lambda} - f||_{K} \leq M\delta < \varepsilon$  if  $\delta$  is small enough. Moreover, still by Lemma 8,

$$\begin{aligned} 1 - |v_{1,\lambda}(0)| &= 1 - \prod_{l \neq j} \left| f\left(\psi_{\lambda}^{[jN]} \circ \psi_{\lambda_l}^{-[lN]}(0)\right) \right| \\ &\leq \sum_{l \neq j} \left( 1 - \left| f\left(\psi_{\lambda}^{[jN]} \circ \psi_{\lambda_l}^{-[lN]}(0)\right) \right| \right) \\ &\leq \frac{C'}{N^2} \end{aligned}$$

for some positive constant C'. Thus, if N is large enough,  $|v_{1,\lambda}(0)| > 1 - \varepsilon$ . To conclude, it remains to observe that the same proof leads to

$$|B_1(0)| \ge 1 - \frac{C''}{N^2}$$

for some positive constant C'', so that using Lemma 4 and adjusting N large enough, there exists a real number  $\theta$  such that  $||e^{i\theta}B_1 - 1||_K < \varepsilon$ . If we set  $B_2 = e^{i\theta}B_1$ , then  $B_2$  satisfies the conclusions of the proposition (setting  $u_{2,\lambda} = u_{1,\lambda}$  and  $v_{2,\lambda} = e^{i\theta}v_{1,\lambda}$ ), except that we are not sure that  $B_2(1) = 1$ . To conclude, let F be a finite Blaschke product such that F is very close to 1 on a big compact set  $L \subset \mathbb{D}$  and  $F(1) = \overline{B_2(1)}$ . Then  $B = FB_2$  is the finite Blaschke product we are looking for. Indeed, setting  $u_{\lambda} = u_{2,\lambda}$  and  $v_{\lambda} = C_{\psi_{\lambda}}^{n(\lambda)}(F)v_{2,\lambda}$ , Assertion 3 is satisfied, provided L is big enough to contain all the points  $\psi_{\lambda}^{n(\lambda)}(0), \lambda \in [a, b]$ .

We can now proceed with the construction.

PROPOSITION 10. Let  $(f_k)_{k\geq 1}$  be a dense sequence of finite Blaschke products with  $f_k(1) = 1$ . Let  $(K_k)$  be an exhaustive sequence of compact subsets of  $\mathbb{D}$ , and  $([a_k, b_k])_{k\geq 1}$  an increasing sequence of compact intervals such that

$$\bigcup_{k\geq 1} [a_k, b_k] = ]1, +\infty[.$$

There exist finite Blaschke products  $(B_k)$ , integers  $(m_k)$ , and other integers  $(n_k(\lambda))_{\lambda \in [a_k, b_k]}$  with  $n_k(\lambda) \leq m_k$  such that

- (1)  $B_k(1) = 1;$
- (2)  $||B_k 1||_{K_k} < 2^{-k};$
- (3) for every j < k, every  $\lambda \in [a_k, b_k]$ ,  $|B_j \circ \psi_{\lambda}^{[n_k(\lambda)]}(0) 1| < 2^{-k}$ ;
- (4) for every j < k, every  $\lambda \in [a_j, b_j]$ ,  $|B_k \circ \psi_{\lambda}^{[n_j(\lambda)]}(0) 1| < 2^{-k}$ ;
- (5) for every  $\lambda \in [a_k, b_k]$ ,  $C_{\psi_{\lambda}}^{n_k(\lambda)}(B_k) = u_{k,\lambda}v_{k,\lambda}$  where

$$||u_{k,\lambda} - f_k||_{K_k} < 2^{-k}$$
 and  $|v_{k,\lambda}(0)| > 1 - 2^{-k}$ .

*Proof.* The first step of the construction follows directly from Proposition 9. Now, we assume that the construction has been done until step k-1 and show how to complete step k. By continuity at the point 1 of the functions  $(B_j)_{j < k}$ , we choose an integer m such that for every  $\lambda \in [a_k, b_k]$ , for any  $n \ge m$ ,  $|B_j \circ \psi_{\lambda}^{[n]}(0) - 1| < 2^{-k}$ . We then set

$$K = K_k \cup \bigcup_{j < k, \lambda \in [a_j, b_j], n \le m_j} \left\{ \psi_{\lambda}^{[n]}(0) \right\}.$$

The function  $B_k$  is then given immediately by Proposition 9.

COROLLARY 11. There exists a Blaschke product B which is universal for all the composition operators  $C_{\psi_{\lambda}}$ ,  $\lambda > 0$ .

Proof. Set

$$B = \prod_{l \ge 1} B_l$$

which is a convergent Blaschke product by Assertion 2 of Proposition 10. We claim that B is  $\mathcal{B}$ -universal with respect to every composition operator  $C_{\psi_{\lambda}}$ . Indeed, fix  $\lambda > 0$  and  $k_0$  such that  $\lambda \in [a_{k_0}, b_{k_0}]$ . Let g be a universal function for *this* particular operator  $C_{\psi_{\lambda}}$ . Using the notation of Proposition 10, let  $(p_k)$  be an increasing sequence of integers such that  $f_{p_k}$  converges uniformly to g on compact subsets of  $\mathbb{D}$ . Now, we decompose

$$C_{\psi_{\lambda}}^{n_{p_{k}}(\lambda)}(B) = C_{\psi_{\lambda}}^{n_{p_{k}}(\lambda)}(B_{p_{k}}) \left(\prod_{j \neq p_{k}} B_{j} \circ \psi_{\lambda}^{[n_{p_{k}}(\lambda)]}\right) := u_{p_{k},\lambda} v_{p_{k},\lambda} w_{p_{k},\lambda}$$

where  $C_{\psi_{\lambda}}^{[n_{p_k}(\lambda)]}(B_{p_k}) = u_{p_k,\lambda}v_{p_k,\lambda}$  is the decomposition of Proposition 9. From Assertions 3 and 4 of Proposition 10, we get that  $w_{p_k,\lambda}(0)$  tends to 1 (see [2] for details), so that (cf. Fact 4)  $w_{p_k,\lambda}$  converges uniformly on compacta to 1. Taking a subsequence if necessary, we can assume that  $v_{p_k,\lambda}(0)$  converges to some unimodular number  $e^{i\theta}$ , and by Fact 4, again we have uniform convergence on compacta. Thus,  $C_{\psi_{\lambda}}^{n_{p_k}(\lambda)}(B)$  converges uniformly to the function  $e^{i\theta}g$  on compacta. Since the function  $e^{i\theta}g$  is universal for  $C_{\psi_{\lambda}}$ , this implies that B is universal for  $C_{\psi_{\lambda}}$  too, and this terminates the proof of Corollary 11.

The proof of Theorem 1 is now concluded by "intertwining" the two proofs of the hyperbolic and parabolic cases: the common universal Blaschke product has the form

$$B = \prod_{l \ge 1} B_l$$

where the  $B_l$ 's are finite Blaschke products satisfying a number of properties:  $B_1$  is constructed using Proposition 6, then  $B_2$  using Proposition 10, then  $B_3$  using Proposition 6 again, etc...taking care at each step not to destroy what has been done previously. Details are left to the reader.

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Frédéric Bayart, Laboratoire de Mathématiques, Université Blaise Pascal,

CAMPUS DES CÉZEAUX, 63177 AUBIERE CEDEX, FRANCE

*E-mail address*: bayart@math.univ-bpclermont.fr

Sophie Grivaux, Laboratoire Paul Painlevé, UMR 8524, Université des Sciences et Technologies de Lille, Cité Scientifique, 59655 Villeneuve d'Ascq Cedex, France

*E-mail address:* grivaux@math.univ-lille1.fr

Raymond Mortini, Laboratoire de Mathématiques et Applications de Metz, UMR 7122, Université Paul Verlaine, Ile du Saulcy, 57045 Metz, France

E-mail address: mortini@math.univ-metz.fr