

Conjugates of $(p, q; r)$ -Absolutely Summing Operators

Mikio KATO

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§1. Introduction

By K. Miyazaki [4] a linear operator T from a Banach space E into another Banach space F is said to be $(p, q; r)$ -absolutely summing for $1 \leq p, q, r \leq \infty$ if there exists a constant c such that for every finite sequence $\{x_i\}$ in E the inequality

$$\left\{ \sum_i (i^{1/p-1/q} \|Tx_i\|^*)^q \right\}^{1/q} \leq c \sup_{\|x'\| \leq 1} \left(\sum_i |\langle x_i, x' \rangle|^r \right)^{1/r}$$

is satisfied. Here $\{\|Tx_i\|^*\}$ denotes the non-increasing rearrangement of $\{\|Tx_i\|\}$, and as usual $\{\sum_i (\dots)^q\}^{1/q}$ and $(\sum_i |\dots|^r)^{1/r}$ are supposed to mean sup for $q = \infty$ and $r = \infty$ respectively. Especially, $(p, p; r)$ -absolutely summing operators are exactly (p, r) -absolutely summing operators which were defined by B. Mitjagin and A. Pełczyński [3] and $(p, p; p)$ -absolutely summing operators coincide with absolutely p -summing operators which are due to A. Pietsch [6]. The conjugates of absolutely p -summing operators have been investigated by several authors and especially characterized by J. S. Cohen [1] as strongly p' -summing operators where $1/p + 1/p' = 1$. The purpose of this paper is to investigate the conjugates of $(p, q; r)$ -absolutely summing operators.

We shall introduce the notion of $(r; p, q)$ -strongly summing operators and show that the conjugates of $(p, q; r)$ -absolutely summing operators are $(r'; p', q')$ -strongly summing operators where $1/p + 1/p' = 1/q + 1/q' = 1/r + 1/r' = 1$ and that the converse holds under a certain assumption. As a consequence of this result, we shall characterize the conjugates of (p, q) -absolutely summing operators.

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§2. Conjugates of $(p, q; r)$ -absolutely summing operators

Let E and F be Banach spaces and let E' and F' be their continuous dual spaces. Let K be the real or complex field.

For $1 \leq p \leq \infty$ a sequence $\{x_i\}$ with values in E is called weakly p -summable provided for any $x' \in E'$ the sequence $\{\langle x_i, x' \rangle\}$ belongs to l_p . The space $l_p(E)$ of weakly p -summable sequences is a normed space with the norm

$$\varepsilon_p(\{x_i\}) = \begin{cases} \sup_{\|x'\| \leq 1} \left(\sum_{i=1}^{\infty} |\langle x_i, x' \rangle|^p \right)^{1/p} & (1 \leq p < \infty), \\ \sup_i \|x_i\| & (p = \infty). \end{cases}$$

For $1 \leq p \leq \infty$ a sequence $\{x_i\}$ with values in E is called strongly p -summable ([1]) provided for every sequence $\{x'_i\} \in l_p(E')$ ($1/p + 1/p' = 1$) the series $\sum_{i=1}^{\infty} \langle x_i, x'_i \rangle$ converges. The space $l_p\langle E \rangle$ of strongly p -summable sequences is a normed space with the norm

$$\sigma_p(\{x_i\}) = \sup_{\varepsilon_{p'}(\{x'_i\}) \leq 1} \sum_{i=1}^{\infty} |\langle x_i, x'_i \rangle|.$$

For $1 \leq p, q \leq \infty$ a sequence $\{x_i\}$ with values in E is called (p, q) -absolutely summable provided the sequence $\{\|x_i\|\}$ belongs to $l_{p,q}$. The space $l_{p,q}\{E\}$ of (p, q) -absolutely summable sequences is a quasi-normed space with the quasi-norm

$$\alpha_{p,q}(\{x_i\}) = \begin{cases} \left(\sum_{i=1}^{\infty} i^{q/p-1} \|x_i\|^{*q} \right)^{1/q} & (1 \leq q < \infty), \\ \sup_i i^{1/p} \|x_i\|^* & (q = \infty), \end{cases}$$

where $\{\|x_i\|^*\}$ denotes the non-increasing rearrangement of $\{\|x_i\|\}$.

For $1 \leq p, q, r \leq \infty$ an operator T mapping E into F is called $(p, q; r)$ -absolutely summing in K . Miyazaki [4] provided there exists a constant $c \geq 0$ such that for every finite sequence $\{x_i\}$ in E the inequality

$$\alpha_{p,q}(\{Tx_i\}) \leq c\varepsilon_r(\{x_i\})$$

is satisfied. The smallest number c for which the above inequality is satisfied is denoted by $\Pi_{p,q;r}(T)$. In particular, $(p, p; r)$ -absolutely summing operators are exactly (p, r) -absolutely summing operators which were defined by B. Mitjagin and A. Pełczyński [3] and $(p, p; p)$ -absolutely summing operators coincide with absolutely p -summing operators which are due to A. Pietsch [6].

We now introduce the notion of $(r; p, q)$ -strongly summing operators in the following

DEFINITION. For $1 \leq p, q, r \leq \infty$ we call an operator T mapping E into F $(r; p, q)$ -strongly summing provided there exists a constant $c \geq 0$ such that for every finite sequence $\{x_i\}$ in E the inequality

$$\sigma_r(\{Tx_i\}) \leq c\alpha_{p,q}(\{x_i\})$$

is satisfied. The smallest number c for which the above inequality is satisfied is called the $(r; p, q)$ -strongly summing norm of T and denoted by $D_{r;p,q}(T)$.

In particular we say $(r; p, p)$ -strongly summing operators to be (r, p) -

strongly summing operators and denote $D_{r;p,p}(T)$ by $D_{r,p}(T)$.

(p, p) -strongly summing operators are exactly strongly p -summing operators which were introduced by J. S. Cohen [1].

Now we suppose that $n \geq 1$ and that N is a norm or a quasi-norm on K^n . If $(x_1, \dots, x_n) \in E^n$ we write

$$\begin{aligned} \|(x_1, \dots, x_n)\|_N &= \sup_{\|x'_i\| \leq 1} N(\langle x_1, x'_1 \rangle, \dots, \langle x_n, x'_n \rangle), \\ \|(x_1, \dots, x_n)\|^N &= \sup_{\|x'_i\| \leq 1} N(\langle x_1, x'_1 \rangle, \dots, \langle x_n, x'_n \rangle). \end{aligned}$$

Then we have the following two lemmas.

LEMMA 1. Let M be a quasi-norm on K^n which satisfies

$$M(\{\lambda_i\}) \leq c_1 \max_{1 \leq i \leq n} |\lambda_i|$$

for a certain positive number c_1 and for every $\{\lambda_i\} \in K^n$. Let c_0 be a positive number which satisfies

$$M(\{\lambda_i + \mu_i\}) \leq c_0 [M(\{\lambda_i\}) + M(\{\mu_i\})]$$

for any $\{\lambda_i\}, \{\mu_i\} \in K^n$. Then for every $(x'_1, \dots, x'_n) \in (E')^n$,

$$\|(x'_1, \dots, x'_n)\|^M \leq c_0 \sup_{\substack{\|x_i\| \leq 1 \\ x_i \in E}} M(\langle x_1, x'_1 \rangle, \dots, \langle x_n, x'_n \rangle).$$

PROOF. Let $(x'_1, \dots, x'_n) \in (E')^n$. Let $(x''_1, \dots, x''_n) \in (E'')^n$ and $\|x''_i\| \leq 1$. Then for any $\varepsilon > 0$ there exists an element $(x_1, \dots, x_n) \in E^n$ with $\|x_i\| \leq 1$ such that

$$\max_{1 \leq i \leq n} |\langle x'_i, x''_i - J_E x_i \rangle| \leq \varepsilon / c_0 c_1$$

where J_E denotes the canonical injection of E into E'' , since the canonical image of the unit ball of E is $\sigma(E'', E')$ -dense in the unit ball of E'' . Therefore we have

$$\begin{aligned} &M(\langle x'_1, x''_1 \rangle, \dots, \langle x'_n, x''_n \rangle) \\ &\leq c_0 M(\langle x'_1, J_E x_1 \rangle, \dots, \langle x'_n, J_E x_n \rangle) \\ &\quad + c_0 M(\langle x'_1, x''_1 - J_E x_1 \rangle, \dots, \langle x'_n, x''_n - J_E x_n \rangle) \\ &\leq c_0 M(\langle x_1, x'_1 \rangle, \dots, \langle x_n, x'_n \rangle) + c_0 c_1 \max_{1 \leq i \leq n} |\langle x'_i, x''_i - J_E x_i \rangle| \\ &\leq c_0 \sup_{\|x_i\| \leq 1} M(\langle x_1, x'_1 \rangle, \dots, \langle x_n, x'_n \rangle) + \varepsilon, \end{aligned}$$

which shows that

$$\|(x'_1, \dots, x'_n)\|^M \leq c_0 \sup_{\|x_i\| \leq 1} M(\langle x_1, x'_1 \rangle, \dots, \langle x_n, x'_n \rangle).$$

LEMMA 2. Let M be a quasi-norm on K^n which satisfies

$$M(\{\lambda_i\}) \leq c_1 \max_{1 \leq i \leq n} |\lambda_i|$$

for a certain positive number c_1 and for every $\{\lambda_i\} \in K^n$ and let c_0 be a positive number which satisfies

$$M(\{\lambda_i + \mu_i\}) \leq c_0 [M(\{\lambda_i\}) + M(\{\mu_i\})]$$

for any $\{\lambda_i\}, \{\mu_i\} \in K^n$. Let N be a norm on K^n . Let $T: E \rightarrow F$ be a linear operator such that for a certain positive number c and for every $(x_1, \dots, x_n) \in E^n$

$$\|(Tx_1, \dots, Tx_n)\|^M \leq c \|(x_1, \dots, x_n)\|_N.$$

Then for $T'': E'' \rightarrow F''$ and for every $(x''_1, \dots, x''_n) \in (E'')^n$,

$$\|(T''x''_1, \dots, T''x''_n)\|^M \leq c_0^2 c \|(x''_1, \dots, x''_n)\|_N.$$

PROOF. Let $(y'_1, \dots, y'_n) \in (E')^n$ and $\|y'_i\| \leq 1$. Then for any $(x_1, \dots, x_n) \in E^n$ we have

$$\begin{aligned} M(\langle T'y'_1, J_E x_1 \rangle, \dots, \langle T'y'_n, J_E x_n \rangle) \\ &= M(\langle Tx_1, y'_1 \rangle, \dots, \langle Tx_n, y'_n \rangle) \\ &\leq \|(Tx_1, \dots, Tx_n)\|^M \\ &\leq c \|(x_1, \dots, x_n)\|_N. \end{aligned}$$

Therefore, if $\|(x_1, \dots, x_n)\|_N \leq 1$, then

$$M(\langle T'y'_1, J_E x_1 \rangle, \dots, \langle T'y'_n, J_E x_n \rangle) \leq c.$$

Now let $(x''_1, \dots, x''_n) \in (E'')^n$ and $\|(x''_1, \dots, x''_n)\|_N \leq 1$. Then for any $\varepsilon > 0$ there exists an element $(x_1, \dots, x_n) \in E^n$ with $\|(x_1, \dots, x_n)\|_N \leq 1$ such that

$$\max_{1 \leq i \leq n} |\langle T'y'_i, x''_i - J_E x_i \rangle| \leq \varepsilon / c_0 c_1,$$

since the set $\{(J_E x_1, \dots, J_E x_n) \in (E'')^n: \|(x_1, \dots, x_n)\|_N \leq 1\}$ is $\sigma((E'')^n, (E'')^n)$ -dense in the set $\{(x''_1, \dots, x''_n) \in (E'')^n: \|(x''_1, \dots, x''_n)\|_N \leq 1\}$ ([7]). Consequently

$$\begin{aligned} M(\langle T'y'_1, x''_1 \rangle, \dots, \langle T'y'_n, x''_n \rangle) \\ &\leq c_0 M(\langle T'y'_1, J_E x_1 \rangle, \dots, \langle T'y'_n, J_E x_n \rangle) \\ &\quad + c_0 M(\langle T'y'_1, x''_1 - J_E x_1 \rangle, \dots, \langle T'y'_n, x''_n - J_E x_n \rangle) \end{aligned}$$

$$\begin{aligned} &\leq c_0c + c_0c_1 \max_{1 \leq i \leq n} | \langle T'y'_i, x''_i - J_E x_i \rangle | \\ &\leq c_0c + \varepsilon. \end{aligned}$$

Hence, if $\|(x''_1, \dots, x''_n)\|_N \leq 1$, then

$$M(\langle y'_1, T''x''_1 \rangle, \dots, \langle y'_n, T''x''_n \rangle) \leq c_0c,$$

which implies that for any $(x''_1, \dots, x''_n) \in (E'')^n$

$$M(\langle y'_1, T''x''_1 \rangle, \dots, \langle y'_n, T''x''_n \rangle) \leq c_0c \|(x''_1, \dots, x''_n)\|_N.$$

Therefore by Lemma 1 we have the desired inequality

$$\|(T''x''_1, \dots, T''x''_n)\|^M \leq c_0^2c \|(x''_1, \dots, x''_n)\|_N.$$

THEOREM 1. *Let $1 \leq p, q, r \leq \infty$. If an operator $T: E \rightarrow F$ is $(p, q; r)$ -absolutely summing, then $T'': E'' \rightarrow F''$ is $(p, q; r)$ -absolutely summing and*

$$\Pi_{p,q;r}(T'') \leq \max(2^{2/p}, 2^{2/q}) \Pi_{p,q;r}(T).$$

PROOF. If $n \geq 1$, we define M and N respectively by

$$M(\lambda_1, \dots, \lambda_n) = \left(\sum_{i=1}^n i^{q/p-1} |\lambda_i|^{*q} \right)^{1/q}$$

and

$$N(\lambda_1, \dots, \lambda_n) = \left(\sum_{i=1}^n |\lambda_i|^r \right)^{1/r}$$

in the case where $1 \leq q, r < \infty$. Then, since

$$M(\{\lambda_i + \mu_i\}) \leq \max(2^{1/p}, 2^{1/q}) [M(\{\lambda_i\}) + M(\{\mu_i\})],$$

$$M(\{\lambda_i\}) \leq \left(\sum_{i=1}^n i^{q/p-1} \right)^{1/q} \max_{1 \leq i \leq n} |\lambda_i|,$$

$$\alpha_{p,q}(\{x_i\}_{1 \leq i \leq n}) = \|(x_1, \dots, x_n)\|^M$$

and

$$\varepsilon_r(\{x_i\}_{1 \leq i \leq n}) = \|(x_1, \dots, x_n)\|_N,$$

the statement in this case is an immediate consequence of Lemma 2. The other cases can be shown similarly.

THEOREM 2. *Let $1 \leq p, q, r \leq \infty$. If an operator $T: E \rightarrow F$ is $(p, q; r)$ -absolutely summing, then its conjugate operator $T': F' \rightarrow E'$ is $(r'; p', q')$ -strongly*

summing and

$$D_{r',p',q'}(T') \leq \max(2^{2/p}, 2^{2/q})\Pi_{p,q;r}(T)$$

where $1/p + 1/p' = 1/q + 1/q' = 1/r + 1/r' = 1$.

PROOF. Let $T \in \Pi_{p,q;r}(E, F)$. Then by the previous theorem $T'' \in \Pi_{p,q;r}(E'', F'')$ and $\Pi_{p,q;r}(T'') \leq \max(2^{2/p}, 2^{2/q})\Pi_{p,q;r}(T)$. Hence, if $\{y'_i\}_{1 \leq i \leq n}$ is a finite sequence in F' and if $\{x''_i\} \in l_r(E'')$, we have

$$\begin{aligned} \left| \sum_{i=1}^n \langle T'y'_i, x''_i \rangle \right| &\leq \sum_{i=1}^n \|T''x''_i\| \|y'_i\| \\ &\leq \alpha_{p,q}(\{T''x''_i\}_{1 \leq i \leq n}) \alpha_{p',q'}(\{y'_i\}_{1 \leq i \leq n}) \\ &\leq \Pi_{p,q;r}(T'') \varepsilon_r(\{x''_i\}) \alpha_{p',q'}(\{y'_i\}) \\ &\leq \max(2^{2/p}, 2^{2/q}) \Pi_{p,q;r}(T) \varepsilon_r(\{x''_i\}) \alpha_{p',q'}(\{y'_i\}), \end{aligned}$$

since

$$\|\{\xi_i \eta_i\}\|_{l_1} \leq \|\{\xi_i\}\|_{l_{p,q}} \|\{\eta_i\}\|_{l_{p',q'}}$$

for $\{\xi_i\} \in l_{p,q}$ and $\{\eta_i\} \in l_{p',q'}$ ([5]). Therefore,

$$\sigma_r(\{T'y'_i\}) \leq \max(2^{2/p}, 2^{2/q}) \Pi_{p,q;r}(T) \alpha_{p',q'}(\{y'_i\}),$$

which shows that $T' \in D_{r',p',q'}(F', E')$ and $D_{r',p',q'}(T') \leq \max(2^{2/p}, 2^{2/q}) \Pi_{p,q;r}(T)$.

REMARK 1. The converse of Theorem 2 holds under the assumption that $l_{p,q}\{F\}'$ and $l_{p',q'}\{F'\}$ are topologically isomorphic.

PROOF. Assume that

$$\alpha'_{p,q}(\{y'_j\}) \leq \alpha_{p',q'}(\{y'_j\}) \leq M \alpha'_{p,q}(\{y'_j\})$$

for a certain positive number M and for all $\{y'_j\} \in l_{p',q'}\{F'\} = l_{p,q}\{F\}'$ where $\alpha'_{p,q}$ denotes the norm of the dual space $l_{p,q}\{F\}'$ of $l_{p,q}\{F\}$. Let $T' \in D_{r',p',q'}(F', E')$. Then for an arbitrary finite sequence $\{x_i\}_{1 \leq i \leq n}$ in E and for any $\{y'_j\} \in l_{p',q'}\{F'\}$ we have

$$\begin{aligned} \left| \sum_{i=1}^n \langle Tx_i, y'_i \rangle \right| &= \left| \sum_{i=1}^n \langle x_i, T'y'_i \rangle \right| \\ &\leq \sigma_{r'}(\{T'y'_i\}_{1 \leq i \leq n}) \varepsilon_r(\{x_i\}_{1 \leq i \leq n}) \\ &\leq D_{r',p',q'}(T') \alpha_{p',q'}(\{y'_j\}) \varepsilon_r(\{x_i\}). \end{aligned}$$

Therefore, by our assumption we have

$$\begin{aligned} \alpha_{p,q}(\{Tx_i\}) &\leq \sup_{\alpha_{p',q'}(\{y_j\}) \leq M} \left| \sum_{i=1}^n \langle Tx_i, y'_i \rangle \right| \\ &\leq MD_{r',p',q'}(T') \varepsilon_r(\{x_i\}), \end{aligned}$$

which shows that $T \in \Pi_{p,q;r}(E, F)$ and

$$\Pi_{p,q;r}(T) \leq MD_{r',p',q'}(T')$$

as was asserted.

Since $l_p\{F\}'$ and $l_{p'}\{F'\}$ are isometrically isomorphic for $1 \leq p < \infty$, as an immediate consequence of Theorem 2 and Remark 1 we have the following

THEOREM 3. *Let $1 \leq p, q < \infty$. An operator $T: E \rightarrow F$ is (p, q) -absolutely summing if and only if its conjugate operator $T': F' \rightarrow E'$ is (q', p') -strongly summing. In this case $\Pi_{p,q}(T) = D_{q',p'}(T')$.*

EXAMPLES. (1) Since for $1 \leq q < r < p < \infty$ the identity operator I from $C[0, 1]$ into $L_q(0, 1)$ is $(p, q; r)$ -absolutely summing and not (q, r) -absolutely summing ([4]), its conjugate operator I' from $L_q(0, 1)$ into $M[0, 1]$ is $(r'; p', q')$ -strongly summing and not (r', q') -strongly summing by Theorems 2 and 3. Here $M[0, 1]$ denotes the Banach space of complex regular Borel measures on $[0, 1]$.

(2) Since for $1 < r \leq p < \infty$ the identity operator I from $C[0, 1]$ into $L_p(0, 1)$ is (p, r) -absolutely summing ([4]), its conjugate operator I' from $L_p(0, 1)$ into $M[0, 1]$ is (r', p') -strongly summing.

(3) It is known ([2]) that the identity operator in l_1 is $(2, 1)$ -absolutely summing. The operator is not absolutely p -summing for $1 \leq p < \infty$, which is a consequence of Dvoretzky-Rogers Theorem ([6]). Therefore, the identity operator in l_∞ is $(\infty, 2)$ -strongly summing, but not strongly 2-summing by Theorem 3.

§3. Operators whose conjugates are $(p, q; r)$ -absolutely summing

The properties of operators whose conjugates are $(p, q; r)$ -absolutely summing can be developed by similar discussions as in Section 2.

THEOREM 4. *Let $1 \leq p, q, r \leq \infty$. If $T: E \rightarrow F$ is an operator whose conjugate $T': F' \rightarrow E'$ is $(p, q; r)$ -absolutely summing, then T is $(r'; p', q')$ -strongly summing and $D_{r',p',q'}(T) \leq \Pi_{p,q;r}(T')$.*

The proof is similar to that of Theorem 2.

REMARK 2. The converse of Theorem 4 holds under the assumption that $l_{p',q'}\{E\}'$ and $l_{p,q}\{E'\}$ are topologically isomorphic.

This can be shown as in Remark 1.

As an immediate consequence of Theorem 4 and Remark 2 we have

THEOREM 5. *Let $1 < p < \infty$ and $1 \leq q < \infty$. An operator $T: E \rightarrow F$ is (q', p') -strongly summing if and only if its conjugate operator $T': F' \rightarrow E'$ is (p, q) -absolutely summing. In this case $D_{q', p'}(T) = \Pi_{p, q}(T')$.*

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*Department of Mathematics,
Faculty of Science,
Hiroshima University*