

Z-transforms and noetherian pairs

Shiroh ITOH

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Let A be a noetherian ring, and let Z be a subset of $\text{Spec}(A)$ which is stable under specialization. Assume that every element of Z is a regular prime ideal. Let M be an A -module such that every A -regular element is M -regular. The Z -transform $T(Z, M)$ of M is a subset of $M \otimes_A Q(A)$ defined as follows:

$$T(Z, M) = \{x \in M \otimes_A Q(A) \mid V(M :_A x) \subseteq Z\},$$

where $Q(A)$ is the total quotient ring of A , $M :_A x = \{a \in A \mid ax \in M\}$, and $V(M :_A x)$ is the set of prime ideals of A containing $M :_A x$. Since $A :_A(x+y)$ and $A :_A xy$ contain $(A :_A x)(A :_A y)$ for every x and y in $Q(A)$, $T(Z, A)$ is a subring of $Q(A)$ which contains A . It is easy to see that $T(Z, M)$ is a $T(Z, A)$ -module. Note that $T(Z, M) = \Gamma(X, \mathcal{H}_{X/Z}^0(\tilde{M}))$ where $X = \text{Spec}(A)$ and \tilde{M} is a quasi-coherent \mathcal{O}_X -module associated to M (cf. [2], Chap. IV, (5.9)).

In this paper, we shall give necessary and sufficient conditions on A so that $(A, T(Z, A))$ is a noetherian pair. For noetherian rings R and S with $R \subseteq S$, we say that (R, S) is a noetherian pair if every ring T , $R \subseteq T \subseteq S$, is noetherian. If Z is the set of all regular maximal ideals of A , then $T(Z, A)$ is the global transform A^g of A introduced by Matijevic in [3]. He proved that (A, A^g) is a noetherian pair if A is reduced.

Let $B = A/I$ where I is an ideal of A . Assume that $\text{Ass}_A(B) \subseteq \text{Ass}_A(A)$. Let $Z' = \{\mathfrak{p}/I \mid \mathfrak{p} \in Z \text{ and } \mathfrak{p} \supseteq I\}$. Then it is clear that every element of Z' is a regular prime ideal of B and $T(Z, B) = T(Z', B)$. Moreover we have a natural ring homomorphism $\phi: T(Z, A) \rightarrow T(Z, B)$ whose kernel is $T(Z, I) = T(Z, A) \cap IQ(A)$. It should be remarked that $\phi(x)z = xz$ for every $x \in T(Z, A)$ and $z \in T(Z, B)$. In the case that Z is the set of all regular maximal ideals of A , $T(Z, B)$ is not the global transform of B in general. However if every maximal ideal of A is regular, then $T(Z, B) = B^g$.

Our main result is the following

THEOREM. *Let A be a noetherian ring, and let Z be a subset of $\text{Spec}(A)$ which is stable under specialization. Assume that every element of Z is a regular prime ideal. Then the following conditions on A are equivalent.*

- (1) $(A, T(Z, A))$ is a noetherian pair.
- (2) (a) $T(Z, A/\mathfrak{p})$ is a finite A/\mathfrak{p} -module for every $\mathfrak{p} \in \text{Ass}_A(A)$ such that $A_{\mathfrak{p}}$ is not reduced, and

(b) $(A/\mathfrak{p}, T(Z, A/\mathfrak{p}))$ is a noetherian pair for every $\mathfrak{p} \in \text{Ass}_A(A)$.

If $A_{\mathfrak{p}}$ are not reduced for all associated prime ideal \mathfrak{p} of A , then the above conditions are equivalent to the following:

(3) $T(Z, A)$ is finite over A .

If $(A/\mathfrak{p})'$ (=the derived normal domain of A/\mathfrak{p}) is finite over A/\mathfrak{p} for every $\mathfrak{p} \in \text{Spec}(A)$, then the conditions (1) and (2) are also equivalent to the following:

(4) (a) If \mathfrak{p} is an associated prime ideal of A such that A is not reduced, then $(A/\mathfrak{p})'$ has no maximal ideals \mathfrak{m} of height one such that $\mathfrak{m} \cap (A/\mathfrak{p}) \in Z \cap \text{Spec}(A/\mathfrak{p})$, and

(b) $(A/\mathfrak{p}, T(Z, A/\mathfrak{p}))$ is a noetherian pair for every $\mathfrak{p} \in \text{Ass}_A(A)$.

If Z is the set of all regular maximal ideals of A , then $(A/\mathfrak{p}, T(Z, A/\mathfrak{p}))$ is a noetherian pair for every $\mathfrak{p} \in \text{Ass}_A(A)$, because $A/\mathfrak{p} \subseteq T(Z, A/\mathfrak{p}) \subseteq (A/\mathfrak{p})^g$ and $(A/\mathfrak{p}, (A/\mathfrak{p})^g)$ is a noetherian pair.

COROLLARY. Let A be a noetherian ring such that every maximal ideal of A is regular. Then (A, A^g) is a noetherian pair if and only if $(A/\mathfrak{p})^g$ is a finite A/\mathfrak{p} -module for every $\mathfrak{p} \in \text{Ass}_A(A)$ such that $A_{\mathfrak{p}}$ is not reduced.

In [1], D. D. Anderson proved that, for a noetherian ring A , if $A_{\mathfrak{m}}$ is reduced for every regular maximal ideal \mathfrak{m} of A , then (A, A^g) is a noetherian pair. The above theorem gives us another proof of his result. In fact, let Z be the set of all regular maximal ideals of A . If \mathfrak{p} is an associated prime ideal of A such that $A_{\mathfrak{p}}$ is not reduced, then $V(\mathfrak{p}) \cap Z = \emptyset$; hence $T(Z, A/\mathfrak{p}) = A/\mathfrak{p}$. This shows that the condition (2) in Theorem is satisfied. Therefore (A, A^g) is a noetherian pair.

To prove the theorem, we need several lemmas. The first one is a variance of [2], Chap. IV, (5.11.1.1).

LEMMA 1. Let A be a noetherian ring, and let $\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$ be the set of minimal prime ideals \mathfrak{p} of A such that $A_{\mathfrak{p}}$ is not reduced. Then we have the following statements.

(1) There is a chain of nilpotent ideals $M_n \supset \dots \supset M_0 = 0$ of A with the following properties:

(a) For each j ($0 \leq j < n$) there exists a \mathfrak{p}_i ($1 \leq i \leq r$) such that $\mathfrak{p}_i M_{j+1} \subseteq M_j$ and M_{j+1}/M_j is isomorphic to an ideal of A/\mathfrak{p}_i as A -modules.

(b) $\text{Ass}_A(A) = \text{Ass}_A(A/M_j)$ for $j = 0, \dots, n$.

(c) $(A/M_n)_{\mathfrak{p}}$ is reduced for every minimal prime ideal \mathfrak{p} of A .

(2) For each \mathfrak{p}_i ($1 \leq i \leq r$), there is a non-zero nilpotent ideal N_i of A such that $\text{Ass}_A(A) = \text{Ass}_A(A/N_i)$, $\mathfrak{p}_i N_i = 0$ and N_i is isomorphic to an ideal of A/\mathfrak{p}_i as A -modules.

PROOF. (1): Let $(0) = \mathfrak{q}_1 \cap \dots \cap \mathfrak{q}_m$ be an irredundant primary decomposi-

tion of (0) in A . We may assume that $\sqrt{q_i} = p_i$ for $i = 1, \dots, r$. We put $p_j = \sqrt{q_j}$ for $j = r + 1, \dots, m$. We may also assume that $\text{Min}(A) = \{p_1, \dots, p_n\}$ for some n with $r \leq n \leq m$. We put $Q = q_{n+1} \cap \dots \cap q_m$. For each p_i ($1 \leq i \leq r$), there is a chain of nilpotent ideals $p_i A_{p_i} = J_{i0} \supset \dots \supset J_{ie_i} = 0$ of A_{p_i} such that $p_i J_{ij} \subseteq J_{i,j+1}$ and $J_{ij}/J_{i,j+1} = Q(A/p_i)$. Let I_{ij} be the inverse image of J_{ij} by the map $A \rightarrow A_{p_i}$. Then I_{ij} is a p_i -primary ideal of A , $q_i \subseteq I_{ij} \subseteq p_i$ and $q_i = I_{ie_i}$. Moreover $I_{ij} \supset I_{i,j+1}$, $p_i I_{ij} \subseteq I_{i,j+1}$ and $I_{ij}/I_{i,j+1}$ is isomorphic to an ideal of A/p_i as A -modules. We now put $M_{ij} = q_1 \cap \dots \cap q_{i-1} \cap I_{ij} \cap p_{i+1} \cap \dots \cap p_n \cap Q$ ($1 \leq i \leq r, 1 \leq j \leq e_i$). In this way we have a chain of nilpotent ideals $p_1 \cap \dots \cap p_n \cap Q = M_{10} \supset \dots \supset M_{1e_1} = M_{20} \supset \dots \supset M_{re_r} = 0$. It is now easy to see that the above chain of ideals satisfies the properties (a), (b) and (c).

(2): For each p_i ($1 \leq i \leq r$), $N_i = q_1 \cap \dots \cap q_{i-1} \cap I_{ie_i-1} \cap q_{i+1} \cap \dots \cap q_m$ is a required nilpotent ideal.

LEMMA 2. *With the same A and Z as in Theorem, let p be a minimal prime ideal of A . If N is a non-zero nilpotent ideal of A such that $pN = 0$ and N is isomorphic to an ideal of A/p as A -modules, then $T(Z, N)$ is isomorphic to an ideal of $T(Z, A/p)$ as $T(Z, A)$ -modules.*

PROOF. Let $f: N \rightarrow A/p$ be an injective homomorphism of A -modules such that $f(N)$ is an ideal of A/p . It is clear that $T(Z, N)$ is isomorphic to $T(Z, f(N))$ as $T(Z, A)$ -modules and $T(Z, f(N))$ is an ideal of $T(Z, A/p)$.

The following lemma is essentially proved in the proof of [2], Chap. IV, (5.11.2).

LEMMA 3. *Let A be a noetherian domain such that A' is finite over A . Let Z be a proper subset of $\text{Spec}(A)$ which is stable under specialization. Then the following conditions on A are equivalent.*

- (1) $T(Z, A)$ is finite over A .
- (2) If \mathfrak{P} is a prime ideal of A' such that $\mathfrak{P} \cap A \in Z$, then $\text{ht}(\mathfrak{P}) \geq 2$.

PROOF. (2) \Rightarrow (1): Let $U = \text{Spec}(A) - Z$, and let V be the set of height one prime ideals of A' . Since $\mathfrak{Q} \cap A \notin Z$ for every $\mathfrak{Q} \in V$, we have $T(Z, A) = \bigcap_{p \in U} A_p \subseteq \bigcap_{\mathfrak{Q} \in V} A'_{\mathfrak{Q}} = A'$.

(1) \Rightarrow (2): Since A' is finite over A , there is a non-zero element t of A such that $tA' \subseteq A$. It is easy to see that $tT(Z, A') \subseteq T(Z, A)$. Therefore $T(Z, A')$ is finite over A , and hence $A' = T(Z, A')$. Let $Z' = \{\mathfrak{Q} \in \text{Spec}(A') \mid \mathfrak{Q} \cap A \in Z\}$. Then it is also easy to see that $T(Z, A') = T(Z', A')$. Suppose that there exists a prime ideal p of A' such that $\text{ht}(p) = 1$ and $p \in Z'$. Then there exist s ($\neq 0$) and a in A' such that $p = sA' :_A a$. In particular $a/s \notin A'$. On the other hand, $a/s \in T(Z', A') = A'$ because $p \in Z'$ and $(a/s)p \subseteq A'$. This is a contradiction.

LEMMA 4. Let A, B and C be domains with the same field of fractions such that (A, B) is a noetherian pair and C is finite over B . Then (A, C) is a noetherian pair.

PROOF. Let R be a ring such that $A \subseteq R \subseteq C$, and let t be a non-zero element of B such that $tC \subseteq B$. Since $Q(A) = Q(B)$, we may assume that t is an element of A . Then $tR \subseteq B \cap R$, and $B \cap R$ is noetherian; hence R is a finite $B \cap R$ -module. Therefore R is noetherian.

LEMMA 5. Let (A, B) be a noetherian pair. Then every nilpotent ideal of B is a finite A -module.

PROOF. Let J be a nilpotent ideal of B . Since $A[J]$ is noetherian, $J = \sum_{i=1}^e A[J]x_i = \sum_{i=1}^e Ax_i + J \sum_{i=1}^e Ax_i$. Therefore J is a finite A -module, because J is a nilpotent ideal.

We now prove the theorem: Let $(0) = q_1 \cap \cdots \cap q_m$ be an irredundant primary decomposition of (0) in A . Assume that $\text{Min}(A) = \{\sqrt{q_1}, \dots, \sqrt{q_s}\}$. We put $I = q_1 \cap \cdots \cap q_s$ and $J = T(Z, A) \cap IQ(A)$. It is easy to see that J is the kernel of the homomorphism $T(Z, A) \rightarrow T(Z, A/I)$. We first show that (1) is equivalent to the following:

(2') J is a finite A -module and $T(Z, A/\mathfrak{p})$ is finite over A/\mathfrak{p} for every minimal prime ideal \mathfrak{p} of A such that $A_{\mathfrak{p}}$ is not reduced. Moreover $(A/\mathfrak{p}, T(Z, A/\mathfrak{p}))$ is a noetherian pair for every $\mathfrak{p} \in \text{Ass}_A(A)$.

(1) \Rightarrow (2'): By Lemma 5, J is a finite A -module. Let \mathfrak{p} be an associated prime ideal of A . Then A/\mathfrak{p} is isomorphic to an ideal of A as A -modules; hence $T(Z, A/\mathfrak{p})$ is isomorphic to an ideal of $T(Z, A)$ as $T(Z, A)$ -modules. Therefore $T(Z, A/\mathfrak{p})$ is a finite $T(Z, A)$ -module. This shows that the ring homomorphism $\phi: T(Z, A) \rightarrow T(Z, A/\mathfrak{p})$ is finite, because $\phi(x)z = xz$ for every $x \in T(Z, A)$ and $z \in T(Z, A/\mathfrak{p})$. On the other hand, since $(A, T(Z, A))$ is a noetherian pair, so is $(A/\mathfrak{p}, \text{Im}(\phi))$. Therefore, by Lemma 4, $(A/\mathfrak{p}, T(Z, A/\mathfrak{p}))$ is a noetherian pair. Let now \mathfrak{p} be a minimal prime ideal of A such that $A_{\mathfrak{p}}$ is not reduced. By Lemma 1, there is a non-zero nilpotent ideal K of A such that $\mathfrak{p}K = 0$, $\text{Ass}_A(A) = \text{Ass}_A(A/K)$ and K is isomorphic to an ideal of A/\mathfrak{p} . We put $K' = T(Z, A) \cap KQ(A) (= T(Z, K))$. Then, by Lemma 5, K' is a finite A -module and, by Lemma 2, we may consider that K' is an ideal of $T(Z, A/\mathfrak{p})$. Since $tT(Z, A/\mathfrak{p}) \subseteq K'$ for every $t \in K'$ and $T(Z, A/\mathfrak{p}) \cong tT(Z, A/\mathfrak{p})$ if $t \neq 0$, $T(Z, A/\mathfrak{p})$ is a finite A -module.

(2') \Rightarrow (1): It is clear that $A/I \subseteq T(Z, A)/J \subseteq T(Z, A/I)$. Let R be a ring such that $A \subseteq R \subseteq T(Z, A)$. Since $J \cap R$ is a finite A -module, it is a finitely generated nilpotent ideal of R . Thus R is noetherian if and only if so is $R/(J \cap R)$ by the theorem of Cohen. Therefore it is sufficient to show that $(A/I, T(Z, A/I))$ is a noetherian pair; hence we may assume that $\text{Min}(A) = \text{Ass}_A(A)$. Let $\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$

$= \text{Min}(A)$. Then the canonical embedding $A_{\text{red}} \rightarrow A/\mathfrak{p}_1 \times \cdots \times A/\mathfrak{p}_r$ induces an embedding $T(Z, A_{\text{red}}) \rightarrow T(Z, A/\mathfrak{p}_1) \times \cdots \times T(Z, A/\mathfrak{p}_r)$. Since each $(A/\mathfrak{p}_i, T(Z, A/\mathfrak{p}_i))$ is a noetherian pair, by Eakin-Nagata's theorem, $(A_{\text{red}}, T(Z, A_{\text{red}}))$ is also a noetherian pair. There exists a chain of nilpotent ideals $M_n \supset \cdots \supset M_0 = 0$ of A which satisfies the properties (a), (b) and (c) of Lemma 1 (1). We use induction on n in order to show that $(A, T(Z, A))$ is a noetherian pair. If $n=0$, then $A=A_{\text{red}}$. This case is proved already. We then assume that $n \geq 1$ and $(A/M_1, T(Z, A/M_1))$ is a noetherian pair. Let $N = T(Z, A) \cap M_1 Q(A)$ ($= T(Z, M_1)$). Let \mathfrak{p} be a minimal prime ideal of A such that $A_{\mathfrak{p}}$ is not reduced, $\mathfrak{p}M_1 = 0$ and M_1 is isomorphic to an ideal of A/\mathfrak{p} as A -modules. By Lemma 2, N is isomorphic to an ideal of $T(Z, A/\mathfrak{p})$ as $T(Z, A)$ -modules. Therefore N is a finite A -module. Let now R be a ring such that $A \subseteq R \subseteq T(Z, A)$. Since $(A/M_1, T(Z, A/M_1))$ is a noetherian pair and $A/M_1 \subseteq R/(N \cap R) \subseteq T(Z, A/M_1)$, $R/(N \cap R)$ is noetherian. On the other hand, $N \cap R$ is a nilpotent ideal of R and is a finite A -module. Therefore every prime ideal of R is finitely generated; hence, by the theorem of Cohen, R is noetherian. This shows that $(A, T(Z, A))$ is a noetherian pair.

(2) \Leftrightarrow (2'): Note that $\text{Ass}_A(I) = \text{Ass}_A(A) - \text{Min}(A)$ and $J = T(Z, I)$. Then by [2], Chap. IV (5.11.1), J is a finite A -module if and only if $T(Z, A/\mathfrak{p})$ is a finite A/\mathfrak{p} -module for every $\mathfrak{p} \in \text{Ass}_A(I)$. Therefore the assertion is clear.

If $A_{\mathfrak{p}}$ is not reduced for every $\mathfrak{p} \in \text{Ass}_A(A)$, then the equivalence between (2) and (3) follows from [2], Chap. IV (5.11.1).

If $(A/\mathfrak{p})'$ is finite over A/\mathfrak{p} for every $\mathfrak{p} \in \text{Spec}(A)$, then the equivalence between (2) and (4) follows easily from Lemma 3.

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*Department of Mathematics,
Faculty of Science,
Hiroshima University*

