

RESEARCH ANNOUNCEMENTS

The purpose of this department is to provide early announcement of significant new results, with some indications of proof. Although ordinarily a research announcement should be a brief summary of a paper to be published in full elsewhere, papers giving complete proofs of results of exceptional interest are also solicited.

INVARIANT QUADRATIC DIFFERENTIALS¹

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Let S be a compact Riemann surface of genus $g \geq 2$ and h an automorphism (conformal homeomorphism onto itself) of S . h generates a cyclic group $H = \{I, h, \dots, h^{N-1}\}$ where N is the order of h . We shall assume that N is a prime number. Let D_m for an integer $m \geq 0$ denote the space of meromorphic differentials on S and $A_m \subset D_m$ the subspace of finite analytic (without poles) differentials. We obtain representations of H by assigning to h the linear transformation of D_m into itself by $h(\theta) = \theta h^{-1}$ for every $\theta \in D_m$. It is clear that h takes A_m into itself so that by restricting to A_m we have a representation of H by a group of linear transformations of a finite dimensional vector space.

In this note we are concerned with determining some of the properties of (h) , the diagonal matrix for h , considering h as a linear transformation on the $3g-3$ dimensional space A_2 of quadratic differentials. Since $(h)^N = (I)$ it is clear that each diagonal element of (h) is an N th root of unity. If $\epsilon \neq 1$ is an N th root of unity, denote by n_k the multiplicity of ϵ^k ($k=0, 1, \dots, N-1$) in (h) .

Let $\hat{S} = S/H$ be the orbit space of S under H . Then it is well known that \hat{S} can be given a conformal structure and the projection map $\pi: S \rightarrow \hat{S}$ is then analytic. The branch points of this covering are precisely at the t fixed points of h , $P_1, \dots, P_t \in S$, $t \geq 0$ —here we make essential use of the assumption that N prime—each a branch point of order $N-1$. Let g_1 be the genus of \hat{S} . The Riemann-Hurwitz formula reads $2g-2 = N(2g_1-2) + (N-1)t$. Now clearly n_0 is the dimension of that subspace of A_2 which consists of H -invariant differentials, i.e., those satisfying $h(\theta) = \theta$.

THEOREM 1. (i) n_0 , the dimension of the space of H -invariant finite quadratic differentials, is $3g_1-3+t$.

(ii) If $n_k \neq 0$ for some k , $1 \leq k \leq N-1$, then

¹ This is a brief edited excerpt from my thesis submitted to Yeshiva University, 1962.

$$(*) \quad 3g_1 - 3 + 2 \frac{(N - 1)}{N} t \geq n_k \geq 3g_1 - 3 + \frac{(N - 1)}{N} t.$$

(iii) *There exists k^* , $1 \leq k^* \leq N - 1$, for which $n_{k^*} \neq 0$.*

(iv) *If $g_1 \geq 1$ then $n_0 \leq 3g - 5$ unless S is a surface with equation $y^2 = x^6 + Ax^4 + Bx^2 + 1$, in which case $g = 2$, $g_1 = 1$, $n_0 = 2 = 3g - 4 = 3g_1 - 3 + t$.*

The proof of (i) is similar to the proof of (ii) given below. (iii) follows immediately from the

LEMMA. *The representation $h^m \rightarrow (h)^m$, $m = 0, 1, \dots, N - 1$, of H is faithful, i.e., $(h)^m = (I)$ implies $m = 0$ unless $g = 2$ and $h = J$, the hyperelliptic involution.*

The simple proof of this lemma is in my thesis and is omitted here.

(iv) is an immediate consequence of (iii) and (ii) since (*) then implies $n_{k^*} \geq 2$ unless $g_1 = 1$, $N = 2$, $t = 2$ ($g_1 = 1$ implies $t \geq 1$ by Riemann-Hurwitz) or $g_1 = 1$, $t = 1$. But if $g_1 = 1$, $t = 1$ then by (i) one has $n_0 = 1 \leq 3g - 5$ for $g \geq 2$. The first exception is the case indicated in (iv) with $h: x \rightarrow -x, y \rightarrow y$ and fixed points on the two sheets over $x = 0$.

To prove (ii) let $\theta \in A_2$ be such that $h(\theta) = \epsilon^k \theta$. At any fixed point $P \in \{P_1 \dots P_t\}$ say $h^{-1}: z \rightarrow \eta z$ in terms of a suitable local parameter, $\eta^N = 1, \eta \neq 1$. Then we must have $\theta h^{-1} = (a_0 + a_1(\eta z) + \dots) \eta^2 dz^2 = \epsilon^k (a_0 + a_1 z + \dots) dz^2$. Thus $a_n = 0$ unless $n + 2 \equiv l \pmod{N}$ where $\eta^l = \epsilon^k; 1 \leq l \leq N - 1$. θ then actually has an expansion of the form at P in z ,

$$\theta = (a_{l-2} z^{l-2} + \dots + a_{kN+l-2} z^{kN+l-2} + \dots) dz^2$$

(if $l \geq 2$; if $l = 1$ the first term must be omitted). This then holds for every θ for which $h(\theta) = \epsilon^k \theta$. To each point $P_i, i = 1 \dots t$, we have then $\eta_i^{N+2} = \epsilon^k$, for suitable η_i, l_i . Such a θ then necessarily has at P_i a zero of the form $r_i N + l_i - 2 \geq 0$ and the divisor of θ must be $(\theta) = (P_i^{r_i N + l_i - 2} Q_j^{m_j} h(Q_j)^{m_j} \dots h^{N-1}(Q_j)^{m_j})$ where the Q_j are nonfixed points of h .

Let us partition the P_i into $P_1 \dots P_u$, and $P_{u+1} \dots P_t, 0 \leq u \leq t$, where P_i for $i \leq u$ has $l_i = 1$ and P_i for $i > u$ has $l_i \geq 2$. If $h(\phi) = \epsilon^k \phi$ also, then $\phi/\theta = f$ is an H invariant function on S which may be construed as a function \hat{f} on \hat{S} . Then, since $f\theta$, for fixed θ and f varying over all H invariant functions with poles at most at the zeros of θ , gives us all differentials $\phi \in A_2$ for which $h(\phi) = \epsilon^k \phi$, we have to compute the dimension of this space of functions on \hat{S} . At a point $P_i, i \leq u, \phi/\theta = f$ is

$$\frac{z^{r'_i N-1} + \dots}{z^{r_i N-1} + \dots} = z^{-(r_i-r'_i)N\theta} + \dots$$

but r'_i is at least 1, so that f has a pole of order at most $(r_i-1)N$. On the other hand, at $P_i, i > u, \phi/\theta = f$ is $z^{-(r_i-r'_i)N}$ where r'_i may be 0, so that f may have a pole of order at most $r_i N$. Thus, on \hat{S}, \hat{f} must be a multiple of the divisor

$$\omega = (\hat{P}_1^{1-r_1} \dots \hat{P}_u^{1-r_u} \hat{P}_{u+1}^{-r_{u+1}} \dots \hat{P}_t^{-r_t} \hat{Q}_j^{-m_j}).$$

We now have $n_k = \deg(\omega^{-1}) + i(\omega^{-1}) + 1 - g_1$. The degree of the divisor (θ) is

$$\begin{aligned} 4g - 4 &= \sum_{i=1}^t (r_i N + l_i - 2) + N \sum m_j \\ &= N \left(\sum_{i=1}^t r_i + \sum m_j \right) + \sum_{i=u+1}^t (l_i - 2) - u. \end{aligned}$$

Therefore,

$$\deg(\omega^{-1}) = \sum_{i=1}^t r_i - u + \sum m_j = \frac{4g - 4 - \sum_{i=u+1}^t (l_i - 2) - (N - 1)u}{N}.$$

This is as small as possible when $u = t$ and as large as possible when $u = 0$ and each $l_i = 2$. When $u = t$ we have $\deg(\omega^{-1}) = (4g - 4)/N - ((N - 1)/N)t$. Using the Riemann-Hurwitz relation gives, $\deg(\omega^{-1}) = 4g_1 - 4 + ((N - 1)/N)t > 2g_1 - 2$, so that $i(\omega^{-1}) = 0$ in any event. When $u = 0$ and each $l_i = 2$, we have $\deg(\omega^{-1}) = (4g - 4)/N = 4g_1 - 4 + 2((N - 1)/N)t$. This completes the proof of (ii).