

## CONCENTRIC TORI IN THE 3-SPHERE

BY C. H. EDWARDS, JR.

Communicated by R. H. Bing, December 18, 1960

It is proved in this paper that, if  $A$ ,  $B$ , and  $C$  are tame solid tori in the 3-sphere  $S^3$  with  $A \subset \text{Int } B$  and  $B \subset \text{Int } C$ , then  $A$  and  $C$  are concentric if and only if  $B$  is concentric with both  $A$  and  $C$ . It follows from this result that  $S^3$  does not contain an uncountable collection of mutually disjoint tori, no two of which are concentric.

A *torus* is the topological product of two circles, while a *solid torus* is the topological product of a circle and a disk. Two solid tori  $B$  and  $B^*$ , with  $B \subset \text{Int } B^*$ , are said to be *concentric* if  $\text{Cl}(B^* - B)$  is the topological product of a torus and an interval, while two tori  $T$  and  $T^*$  in  $S^3$  are *concentric* if they are the boundaries of two concentric solid tori  $B$  and  $B^*$  respectively in  $S^3$ . By a *meridional disk* of the polyhedral solid torus  $B$  is meant a polyhedral disk  $D$ , with  $\text{Int } D \subset \text{Int } B$  and  $\text{Bd } D \subset \text{Bd } B$ , such that  $\text{Bd } D$  is non-nullhomologous on  $\text{Bd } B$ . Now let  $D$  and  $E$  be disjoint meridional disks of the polyhedral solid torus  $B$ , and let  $K_1$  and  $K_2$  be the closures of the two components of  $B - (D \cup E)$ . Suppose that  $u_1$  and  $u_2$  are unknotted polygonal chords of the 3-cells  $K_1$  and  $K_2$  respectively, each with endpoints  $x \in \text{Int } D$  and  $y \in \text{Int } E$ . Then the simple closed polygon  $u_1 \cup u_2$  is called a *center line* of  $B$ .

If  $k$  is a simple closed polygon interior to the polyhedral solid torus  $B$  in  $S^3$ , then the *order of  $B$  with respect to  $k$* , denoted by  $O(B, k)$ , is defined to be the minimal number of points of  $k \cap D$ , for all meridional disks  $D$  of  $B$  [5]. If  $B$  and  $B^*$  are two polyhedral solid tori in  $S^3$ , with  $B \subset \text{Int } B^*$ , then the *order of  $B^*$  with respect to  $B$* , denoted by  $O(B^*, B)$ , is defined to be the order of  $B^*$  with respect to an arbitrary center line of  $B$  [5]. The two polyhedral solid tori  $B$  and  $B^*$  in  $S^3$  are said to be *equivalently knotted* if and only if any two center lines  $c$  of  $B$  and  $c^*$  of  $B^*$  can be so oriented as to represent the same knot (the same equivalence class of oriented closed polygons under orientation-preserving semilinear autohomeomorphisms of  $S^3$ ) [5].

A characterization of the relation of concentricity is provided by

**LEMMA 1.** *Suppose that  $B$  and  $B^*$  are two polyhedral solid tori in  $S^3$  with  $B \subset \text{Int } B^*$ . Then  $B$  and  $B^*$  are concentric if and only if they are equivalently knotted with  $O(B^*, B) = 1$ .*

Lemma 1 is proved by using some results of Schubert [5] on polyhedral solid tori to sharpen the concentric toral theorem of Harrold, Griffith, and Posey [4].

**THEOREM 1.** *Suppose that  $A, B,$  and  $C$  are tame solid tori in  $S^3$  with  $A \subset \text{Int } B$  and  $B \subset \text{Int } C$ . Then  $A$  and  $C$  are concentric if and only if  $B$  is concentric with both  $A$  and  $C$ .*

**PROOF.** Since there is a homeomorphism of  $S^3$  onto itself carrying  $A, B,$  and  $C$  onto polyhedral solid tori, it may be assumed that  $A, B,$  and  $C$  are polyhedral.

Suppose first that  $A$  and  $C$  are concentric. It follows from Lemma 1 that  $A$  and  $C$  are equivalently knotted with  $O(C, A) = 1$ . A theorem of Schubert [5, p. 175] then implies that  $O(C, B)O(B, A) = O(C, A) = 1$ , so that  $O(C, B) = O(B, A) = 1$ , since the order of one solid torus with respect to another is a non-negative integer.

It remains to be shown that  $A, B,$  and  $C$  are equivalently knotted. Since  $A$  and  $C$  are concentric, they have a common center line  $a$ . Let  $b$  be a center line of  $B$ , with  $a$  and  $b$  so oriented that they are homologous in  $B$ . Denote by  $\bar{a}$  and  $\bar{b}$  the knots in  $S^3$  represented by  $a$  and  $b$  respectively. Since  $a \subset \text{Int } B$  with  $O(B, a) = 1$ , a second theorem of Schubert [5, p. 171] implies that  $\bar{a} = \bar{b}\bar{x}$  for some knot  $\bar{x}$ . Since  $b \subset \text{Int } C$  with  $O(C, b) = 1$ , the same theorem implies that  $\bar{b} = \bar{a}\bar{y}$  for some knot  $\bar{y}$ . The knot product used here is that defined by Schubert [5] as follows: Suppose that  $\bar{k}_1$  and  $\bar{k}_2$  are any two knots in  $S^3$ . Let  $S$  be a polyhedral 2-sphere in  $S^3$  with complementary domains  $D_1$  and  $D_2$ , and let  $w$  be a polygonal arc on  $S$  with endpoints  $p$  and  $q$ . Let  $u_1$  and  $u_2$  be oriented chords of  $D_1$  and  $D_2$  respectively, both with endpoints  $p$  and  $q$ ,  $u_1$  directed from  $p$  to  $q$  and  $u_2$  from  $q$  to  $p$ , such that  $u_i \cup w$  (oriented coherently with  $u_i$ ) represents the knot  $\bar{k}_i$ ,  $i = 1, 2$ . The knot represented by the oriented polygon  $u_1 \cup u_2$  is then defined to be the product  $\bar{k}_1\bar{k}_2$  of the knots  $\bar{k}_1$  and  $\bar{k}_2$ .

The properties of this product are such that the relations  $\bar{a} = \bar{b}\bar{x}$  and  $\bar{b} = \bar{a}\bar{y}$  imply that  $\bar{a} = \bar{b}$ , so that the solid tori  $A, B,$  and  $C$  are equivalently knotted. It now follows from Lemma 1 that  $B$  is concentric with both  $A$  and  $C$ .

To prove the converse, suppose that  $B$  is concentric with both  $A$  and  $C$ . Then, by Lemma 1,  $A$  and  $C$  are equivalently knotted with  $O(C, A) = O(C, B)O(B, A) = 1$ , so that  $A$  and  $C$  are concentric.

**COROLLARY 1.** *Suppose that  $\{B_n\}_1^\infty$  is a sequence of tame solid tori in  $S^3$ , with  $B_{n+1} \subset \text{Int } B_n$  for  $n \geq 1$ , such that  $A = \bigcap_{n=1}^\infty B_n$  is a tame solid torus. Then there exists an integer  $N$  such that  $A$  and  $B_n$  are concentric for  $n \geq N$ .*

**PROOF.** Choose a tame solid torus  $C$  such that  $A \subset \text{Int } C$  with  $A$  and  $C$  concentric. If  $N$  is a positive integer sufficiently large that  $B_n \subset \text{Int } C$  for  $n \geq N$ , then Theorem 1 implies that  $A$  and  $B_n$  are concentric for  $n \geq N$ .

**THEOREM 2.** *The 3-sphere  $S^3$  does not contain an uncountable collection of mutually disjoint tori, no two of which are concentric.*

**PROOF.** Suppose that  $G$  is an uncountable collection of mutually disjoint tori in  $S^3$ . Since Bing [3] has shown that  $S^3$  does not contain uncountably many mutually disjoint wild closed surfaces, it may be assumed that each torus in  $G$  is tame. Therefore, by a theorem of Alexander [1], there may be assigned to each torus  $T_\alpha \in G$  a solid torus  $B_\alpha$  in  $S^3$  such that  $T_\alpha = \text{Bd } B_\alpha$ . It may also be assumed without loss that, given  $T_\alpha$  and  $T_\beta$  in  $G$ , either  $B_\alpha \subset \text{Int } B_\beta$  or  $B_\beta \subset \text{Int } B_\alpha$ , so that  $G$  can be linearly ordered by defining  $T_\alpha < T_\beta$  if and only if  $B_\alpha \subset \text{Int } B_\beta$ .

By a theorem of Whyburn [6],  $G$  contains an uncountable subcollection  $G^*$  such that, to each torus  $T \in G^*$  and each point  $p \in S^3 - T$ , there corresponds a torus  $T' \in G^*$  which separates  $T$  and  $p$  in  $S^3$ . Hence let  $T_0$  be an element of this uncountable subcollection  $G^*$ , and denote by  $B_0$  the corresponding solid torus bounded by  $T_0$  (as assigned above). Now let  $C$  be a tame solid torus concentric with  $B_0$  and containing  $B_0$  in its interior. Then, given any point  $p \in \text{Bd } C$ , there is a torus  $T_p$  in  $G^*$  separating  $p$  and  $T$  in  $S^3$ . It follows by an elementary compactness argument, using the linear order introduced above, that there is a torus  $T_\gamma$  in  $G^*$  such that  $B_0 \subset \text{Int } B_\gamma$  and  $B_\gamma \subset \text{Int } C$ , if  $B_\gamma$  is the assigned solid torus bounded by  $T_\gamma$ .

Theorem 1 now applies to show that  $B_0$  and  $B_\gamma$  are concentric, so that  $G$  contains pairs of concentric tori. With  $G$  assumed to be linearly ordered as indicated above, Theorem 1 implies that the relation of concentricity is transitive in  $G$ , so that a countability argument may be used to show that  $G$  contains an uncountable subcollection  $G'$ , such that any two tori in  $G'$  are concentric.

#### BIBLIOGRAPHY

1. J. W. Alexander, *On the sub-division of space by a polyhedron*, Proc. Nat. Acad. Sci. U.S.A. vol. 10 (1924) pp. 6-8.
2. R. H. Bing, *Locally tame sets are tame*, Ann. of Math. vol. 59 (1954) pp. 145-158.
3. ———,  *$E^3$  does not contain uncountably many mutually exclusive wild surfaces*, Abstract 63-801t, Bull. Amer. Math. Soc. vol. 63 (1957) p. 404.
4. O. G. Harrold, H. C. Griffith, and E. E. Posey, *A characterization of tame curves in 3-space*, Trans. Amer. Math. Soc. vol. 79 (1955) pp. 12-35.
5. H. Schubert, *Knoten und Vollringe*, Acta Math. vol. 90 (1953) pp. 132-286.
6. G. T. Whyburn, *Non-separated cuttings of connected point sets*, Trans. Amer. Math. Soc. vol. 33 (1931) pp. 444-454.