

ON A THEOREM OF HIGHER RECIPROCITY*

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1. *Introduction.* Let \mathfrak{D} denote the totality of polynomials in an indeterminate x , with coefficients in a fixed Galois field $GF(p^\pi)$ of order p^π . Let P be a primary irreducible polynomial in \mathfrak{D} ; then, if A is any polynomial in \mathfrak{D} not divisible by P , we define $\{A|P\}$ as that element in $GF(p^\pi)$ for which

$$\left\{\frac{A}{P}\right\} \equiv A^{(p^{\pi\nu}-1)/(p^\pi-1)} \pmod{P},$$

where ν is the degree of P .

We have then the following theorem of reciprocity due to H. Kuhne‡ and rediscovered by Schmidt§ and Carlitz.||

If P and Q are primary irreducible polynomials in \mathfrak{D} of degree ν and ρ respectively, then

$$\left\{\frac{P}{Q}\right\} = (-1)^{\nu\rho} \left\{\frac{Q}{P}\right\}.$$

If $M = P_1^{a_1} \cdots P_k^{a_k}$ and $(A, M) = 1$ we use the definition,

$$\left\{\frac{A}{M}\right\} = \left\{\frac{A}{P_1}\right\}^{a_1} \cdots \left\{\frac{A}{P_k}\right\}^{a_k}.$$

The purpose of this note is to give a simple new proof of the following theorem:

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‡ H. Kuhne, *Eine Wechselbeziehung zwischen Funktionen mehrerer Unbestimmter die zu Reziprozitätsgesetzen führt*, Journal für die reine und angewandte Mathematik, vol. 124 (1901-02), pp. 121-133.

§ F. K. Schmidt, *Zur Zahlentheorie in Körpern von der Charakteristik p* , Sitzungsberichte der Physikalisch-medizinischen Societät zu Erlangen, vol. 58-59 (1928), pp. 159-172.

|| L. Carlitz, *The arithmetic of polynomials in a Galois field*, American Journal of Mathematics, vol. 54 (1932), pp. 39-50.

If M and N are primary relatively prime polynomials in \mathfrak{D} of degree m and n respectively, then

$$\left\{ \frac{M}{N} \right\} = (-1)^{mn} \left\{ \frac{N}{M} \right\}.$$

This generalized form of Kuhne's theorem is, of course, not new. The novelty of our method consists in proving the case M, N directly (rather than P, Q) by making use of the generalized analog of Gauss's lemma* proved in §2.

2. *Generalization of the Analog of Gauss's Lemma.* We shall employ the following notation. If

$$F = a_0x^\nu + a_1x^{\nu-1} + \cdots + a_\nu, \quad a_0 \neq 0,$$

is a polynomial in \mathfrak{D} , then

$$\text{sgn } F = a_0, \quad \text{deg } F = \nu;$$

for $\text{sgn } F = 1$, F is said to be *primary*. Let $\mathfrak{R}(A/B)$ denote the remainder in the division of A by B . Then the generalization in question is furnished by the following lemma.

LEMMA. *Let A and M be in \mathfrak{D} , M primary and relatively prime to A ; then*

$$\left\{ \frac{A}{M} \right\} = \prod_{\text{deg } H < m} \text{sgn } \mathfrak{R} \left(\frac{HA}{M} \right),$$

the product extending over all primary H of degree less than the degree of M .

We shall now give a proof of this lemma along lines suggested by Schering's† proof in the numerical case.

3. *Proof of the Lemma.* Following Dedekind,‡ we define $\phi(M)$ to be the number of polynomials in a reduced residue system, mod M ; the number of primary polynomials prime to M

* L. Carlitz, loc. cit., p. 46.

† E. Schering, *Zur Theorie der quadratischen Reste*, Acta Mathematica, vol. 1 (1882), pp. 153–170; see also P. Bachmann, *Die Elemente der Zahlentheorie*, 1892, pp. 144–148.

‡ R. Dedekind, *Abriss einer Theorie der höheren Congruenzen in Bezug auf einer reellen Primzahl-Modulus*, Journal für die reine und angewandte Mathematik, vol. 54 (1857), pp. 1–26.

and of degree less than m is then evidently $\phi(M)/(p^\pi - 1)$. Hence, just as in the numerical case, it is very easy to show that the number of primary polynomials H of degree less than m such that $(H, M) = D$ is $\phi(M/D)/(p^\pi - 1)$.

Put $H = H_1 D$, $M = M_1 D$. Then the congruence

$$HA \equiv H' \operatorname{sgn} \mathcal{R} \left(\frac{HA}{M} \right) \pmod{M}, \quad \deg H' < m, \quad \operatorname{sgn} H' = 1,$$

becomes

$$(1) \quad H_1 A \equiv H_1' \operatorname{sgn} \mathcal{R} \left(\frac{HA}{M} \right) \pmod{M_1}.$$

Evidently the polynomials H_1 are the polynomials H_1' in some order. Therefore, if we multiply all congruences of the type (1) together and divide each member of the resulting congruence by the product of the H_1 (which is prime to M_1), we have

$$(2) \quad A^{\phi(M_1)/(p^\pi - 1)} \equiv \prod_{(H, M) = D} \operatorname{sgn} \mathcal{R} \left(\frac{HA}{M} \right) \pmod{M_1}.$$

For $M_1 = P$, a primary irreducible polynomial of degree ν , the last congruence becomes

$$(3) \quad A^{(p^{\pi\nu} - 1)/(p^\pi - 1)} \equiv \left\{ \frac{A}{P} \right\} \pmod{P}.$$

Writing this congruence in the form

$$A^{(p^{\pi\nu} - 1)/(p^\pi - 1)} = \left\{ \frac{A}{P} \right\} + FP,$$

and raising both members to the $p^{\pi(k-1)\nu}$ th power, we can readily show that

$$A^{p^{\pi(k-1)\nu}(p^{\pi\nu} - 1)/(p^\pi - 1)} = \left\{ \frac{A}{P} \right\} + F' P p^{\pi(k-1)\nu}.$$

But it is well known that

$$\phi(P^k) = p^{\pi(k-1)\nu}(p^{\pi\nu} - 1).$$

Hence

$$(4) \quad A^{\phi(P^k)/(p^\pi-1)} \equiv \left\{ \frac{A}{P} \right\} \pmod{P^k}.$$

Finally, for $M_1 = P_1^{b_1} \cdots P_k^{b_k}$, $0 \leq b_i \leq a_i$, $k > 1$, $\deg P_i = \nu_i$, we have

$$\frac{\phi(M_1)}{p^\pi - 1} = \frac{1}{p^\pi - 1} \prod_{i=1}^k p^{(b_i-1)\pi\nu_i}(p^{\pi\nu_i} - 1).$$

Hence, since

$$A^{p^{\pi\nu_i}} \equiv 1 \pmod{P_i},$$

it follows that

$$(5) \quad A^{\phi(M_1)/(p^\pi-1)} \equiv 1 \pmod{M_1},$$

where, as already stated, M_1 is the product of at least two distinct irreducible polynomials.

Combining the results of (2), \cdots , (5) we now see that

$$(6) \quad \prod_{(H,M)=D} \operatorname{sgn} \mathcal{R}\left(\frac{HA}{M}\right)$$

has the value 1 unless $M_1 = M/D$ is irreducible or the power of an irreducible polynomial. On the other hand, for $M_1 = P_i^{b_i}$ ($b = 1, \cdots, a_i$), (6) has the value $\{A | P_i\}$. Consequently

$$\begin{aligned} \prod_{D|M} \prod_{(H,M)=D} \operatorname{sgn} \mathcal{R}\left(\frac{HA}{M}\right) &= \prod_{\deg H < m} \operatorname{sgn} \mathcal{R}\left(\frac{HA}{M}\right) \\ &= \left\{ \frac{A}{P_1} \right\}^{a_1} \cdots \left\{ \frac{A}{P_k} \right\}^{a_k}, \end{aligned}$$

from which the Lemma follows at once.

4. *Proof of the Theorem.* Let A, N denote primary polynomials of degrees a, n respectively; let $(A, N) = 1$, $a \geq n$. Consider the congruence

$$A \equiv \mathcal{R}(A/N) \pmod{N}, \quad \deg \mathcal{R}(A/N) < n.$$

Evidently there exists a primary H (say H_0) of degree $a - n$ such that

$$A = \mathcal{R}(A/N) + H_0N.$$

But this equation may be written in the form

$$(7) \quad H_0N \equiv -\mathcal{R}(A/N) \pmod{A}.$$

Let E be any polynomial (not necessarily primary) of degree less than $a-n$. Then we may write

$$(8) \quad (H_0 + E)N \equiv EN - \mathcal{R}(A/N) \pmod{A},$$

where

$$(9) \quad \begin{aligned} 0 < \deg(EN - \mathcal{R}(A/N)) < a, \\ \operatorname{sgn}(EN - \mathcal{R}(A/N)) &= \operatorname{sgn} EN = \operatorname{sgn} E. \end{aligned}$$

Furthermore, we have the obvious identity

$$(10) \quad \prod_{\deg H = a-n} HN = H_0N \prod_{\deg E < a-n} (H_0 + E)N, \quad E \neq 0.$$

Therefore, by equations (7), \dots , (10),

$$(11) \quad \begin{aligned} &\prod_{\deg H = a-n} \operatorname{sgn} \mathcal{R}\left(\frac{HN}{A}\right) \\ &= \operatorname{sgn} \mathcal{R}\left(\frac{H_0N}{A}\right) \prod_{\deg E < a-n} \operatorname{sgn} \mathcal{R}\left(\frac{(H_0 + E)N}{A}\right) \\ &= -\operatorname{sgn} \mathcal{R}\left(\frac{A}{N}\right) \prod_{\deg E < a-n} \operatorname{sgn} E. \end{aligned}$$

Now, by the generalization of Wilson's theorem for a Galois field,

$$\prod_b b = -1, \quad b \text{ in } GF(p^\pi),$$

from which it follows at once that

$$\prod_{\deg E < a-n} \operatorname{sgn} E = (-1)^{a-n}.$$

Hence (11) becomes

$$(12) \quad \prod_{\deg H = a-n} \operatorname{sgn} \mathcal{R}\left(\frac{HN}{A}\right) = (-1)^{a-n+1} \operatorname{sgn} \mathcal{R}\left(\frac{A}{N}\right).$$

Since

$$\mathcal{R}\left(\frac{HN}{A}\right) = -\mathcal{R}\left(\frac{A}{HN}\right), \quad \deg HN = \deg A,$$

(12) may also be written in the form

$$(13) \quad \prod_{\deg H = a-n} \operatorname{sgn} \mathcal{R} \left(\frac{A}{HN} \right) = (-1)^{a-n} \operatorname{sgn} \mathcal{R} \left(\frac{A}{N} \right).$$

Let us now assume, as we may without any loss of generality, that $m \geq n$. In (12) replace A by KM , where K is any primary polynomial of degree k ($k < n$). Then we have

$$\prod_{\substack{\deg H = k+m-n \\ \deg K = k}} \operatorname{sgn} \mathcal{R} \left(\frac{HN}{KM} \right) = (-1)^{k+m-n+1} \operatorname{sgn} \mathcal{R} \left(\frac{KM}{N} \right).$$

Now let K run through all the $p^{\pi k}$ primary polynomials of degree k ; we get

$$(14) \quad \prod_{\substack{\deg H = k+m-n \\ \deg K = k}} \operatorname{sgn} \mathcal{R} \left(\frac{HN}{KM} \right) = (-1)^{k+m-n+1} \prod_{\deg K = k} \operatorname{sgn} \mathcal{R} \left(\frac{KM}{N} \right).$$

In a similar manner we may obtain from (13),

$$(15) \quad \prod_{\substack{\deg H = k+m-n \\ \deg K = k}} \operatorname{sgn} \mathcal{R} \left(\frac{HN}{KM} \right) = (-1)^k \prod_{\deg H = k+m-n} \operatorname{sgn} \mathcal{R} \left(\frac{HN}{M} \right).$$

Comparing (14) and (15), we obtain

$$\prod_{\deg K = k} \operatorname{sgn} \mathcal{R} \left(\frac{KM}{N} \right) = (-1)^{m+n-1} \prod_{\deg H = k+m-n} \operatorname{sgn} \mathcal{R} \left(\frac{HN}{M} \right).$$

Therefore

$$\prod_{\deg K < n} \operatorname{sgn} \mathcal{R} \left(\frac{KM}{N} \right) = (-1)^{mn+n^2-n} \prod_{m-n \leq \deg H < m} \operatorname{sgn} \mathcal{R} \left(\frac{HN}{M} \right).$$

When we note that

$$\prod_{\deg H < m-n} \operatorname{sgn} \mathcal{R} \left(\frac{HN}{M} \right) = 1,$$

the theorem follows at once.