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BIPARTITE STEINHAUS GRAPHS*

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Abstract. A Steinhaus matrix is a symmetric 0-1 matrix $[a_{i,j}]_{n \times n}$ such that $a_{i,i} = 0$ for $0 \le i \le n-1$ and $a_{i,j} \equiv (a_{i-1,j-1} + a_{i-1,j}) \pmod{2}$ for $1 \le i < j \le n-1$. A Steinhaus graph is a graph whose adjacency matrix is a Steinhaus matrix. In this paper, we present a new characterization of bipartite Steinhaus graphs.

1. INTRODUCTION

A Steinhaus matrix is a symmetric 0-1 matrix $[a_{i,j}]_{n \times n}$ such that $a_{i,i} = 0$ for $0 \le i \le n-1$ and $a_{i,j} \equiv (a_{i-1,j-1} + a_{i-1,j}) \pmod{2}$ for $1 \le i < j \le n-1$. A Steinhaus triangle is the upper triangular part of a Steinhaus matrix. Note that a Steinhaus matrix and a Steinhaus triangle determine each other. A Steinhaus graph is a graph whose adjacency matrix is a Steinhaus matrix. Fig. 1 shows a Steinhaus matrix and its corresponding graph. Note that a binary string $a_{0,0}a_{0,1}\ldots a_{0,n-1}$ (with $a_{0,0} = 0$) completely determines a Steinhaus matrix (graph). It is often said that the binary string generates the Steinhaus matrix (graph).

The concept of Steinhaus triangles was first introduced by Steinhaus [16]. Harborth [12, 13], Wang [17], and Chang [5] studied the number of ones in Steinhaus triangles. Molluzzo [15] introduced the concept of Steinhaus graphs. This class of graphs was then extensively studied by Dymàček [7, 8, 9] (also see [1, 2, 3, 4]). Recently, Dymàček and Whaley [11] characterized all binary strings that generate bipartite Steinhaus graphs, and gave a recursive formula for the number b(n) of bipartite Steinhaus graphs of order n. For a good survey, see [10].

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FIG. 1. A Steinhaus matrix and its corresponding graph.

In this paper, we give a new characterization of bipartite Steinhaus graphs, which is also proved in [6] alternatively and used to give a solution of b(n) in terms of the binary representation of n-2 (also see [14]).

For any Steinhaus graph G with adjacency matrix $[a_{i,j}]_{n \times n}$, the Steinhaus graph generated by $a_{r,r}a_{r,r+1} \dots a_{r,s}$, where $0 \le r \le s \le n-1$, is precisely the subgraph of G induced by the vertex subset $\{r, r+1, \dots, s\}$. Denote by Adj(i)the set of all vertices adjacent to i and Adj⁺(i) the set of all vertices j > iadjacent to i. Note that Adj(0) completely determines a Steinhaus graph. For instance, the Steinhaus graph with Adj $(0) = \{1\}$ (respectively, $\{n-1\}$, \emptyset) is a path (respectively, a star, $\overline{K_n}$). It is also the case that a Steinhaus graph is completely determined by $v \equiv \min Adj(0)$ and $Adj^+(v)$. Note that $v \equiv \min Adj(0)$ gives that $a_{i,j} = 0$ and $a_{i,v} = 1$ for all $0 \le i < j < v$. This together with $Adj^+(v)$ determines $Adj^+(v-1)$, and then $Adj^+(v-2), \dots$ etc.

2. CHARACTERIZATIONS OF BIPARTITE STEINHAUS GRAPHS

This section gives a new characterization of bipartite Steinhaus graphs (see Theorem 7).

Suppose $A = [a_{i,j}]$ is an $n \times n$ Steinhaus matrix. Denote by $M_1(A)$ the $2n \times 2n$ Steinhaus matrix $[a'_{i,j}]$ generated by $a'_{0,0}a'_{0,1} \dots a'_{0,2n-1}$, where $a'_{0,2j} = a_{0,j}$ and $a'_{0,2j+1} = 0$ for $0 \le j \le n-1$. For $k \ge 2$, recursively define $M_k(A) = M_1(M_{k-1}(A))$. Note that $M_k(A)$ is precisely the $2^k n \times 2^k n$ Steinhaus matrix $[a''_{i,j}]$ generated by $a''_{0,0}a''_{0,1} \dots a''_{0,2^k n-1}$, where $a''_{0,2^k j} = a_{0,j}$ for $0 \le j \le n-1$ and all other $a''_{0,j} = 0$.

Lemma 1. For any $n \times n$ Steinhaus matrix $A = [a_{i,j}]$ with $M_1(A) = [a'_{i,j}]$, we have $a'_{2i,2j} = a'_{2i+1,2j} = a'_{2i+1,2j+1} = a_{i,j}$ and $a'_{2i,2j+1} = 0$ for $0 \le i \le j \le n-1$. *Proof.* We shall prove the lemma by induction on *i*. Suppose i = 0. By the definition of $M_1(A)$, we have $a'_{2i,2j} = a'_{0,2j} = a_{0,j} = a_{i,j}$ and $a'_{2i,2j+1} = a'_{0,2j+1} = 0$. For $j = i \ (= 0)$,

$$a'_{2i+1,2j} = a'_{2j,2i+1} = 0 = a_{i,j}$$
 and $a'_{2i+1,2j+1} = 0 = a_{i,j}$.

For $j > i \ (= 0)$,

$$a'_{2i+1,2j} = (a'_{2i,2j-1} + a'_{2i,2j}) \mod 2 = (0 + a_{i,j}) \mod 2 = a_{i,j}$$
 and
 $a'_{2i+1,2j+1} = (a'_{2i,2j} + a'_{2i,2j+1}) \mod 2 = (a_{i,j} + 0) \mod 2 = a_{i,j}.$

Therefore, the lemma holds for i = 0. Suppose the lemma is true for any i' < i. Consider the case with $i \ge 1$. For any $j \ge i (\ge 1)$,

$$a'_{2i,2j+1} = (a'_{2(i-1)+1,2j} + a'_{2(i-1)+1,2j+1}) \mod 2.$$

By the induction hypothesis, $a'_{2(i-1)+1,2j} = a'_{2(i-1)+1,2j+1} = a_{i-1,j}$. Therefore, $a'_{2i,2j+1} = 0$. For $j = i \ (\geq 1)$, since $a'_{2i+1,2j} = a'_{2j,2i+1} = 0$,

$$a'_{2i,2j} = a'_{2i+1,2j} = a'_{2i+1,2j+1} = 0 = a_{i,j}.$$

For $j > i \ (\geq 1)$, by the induction hypothesis, we also have

$$a'_{2i,2j} = (a'_{2(i-1)+1,2(j-1)+1} + a'_{2(i-1)+1,2j}) \mod 2$$
$$= (a_{i-1,j-1} + a_{i-1,j}) \mod 2 = a_{i,j},$$

$$a'_{2i+1,2j} = (a'_{2i,2(j-1)+1} + a'_{2i,2j}) \mod 2 = (0 + a_{i,j}) \mod 2 = a_{i,j}, \text{ and}$$
$$a'_{2i+1,2j+1} = (a'_{2i,2j} + a'_{2i,2j+1}) \mod 2 = (a_{i,j} + 0) \mod 2 = a_{i,j}.$$

Corollary 2. Suppose $A = [a_{i,j}]$ is an $n \times n$ Steinhaus matrix and $M_k(A) = [a''_{i,j}]$. For $0 \le i \le j \le n-1$, we have $a''_{i',2^kj} = a_{i,j}$ for $2^k i \le i' < 2^k(i+1)$ and $a''_{2^k i,j'} = 0$ for $2^k j < j' < 2^k(j+1)$.

Proof. The corollary follows from Lemma 1 and an induction on k.

Corollary 3. Suppose G and H are Steinhaus graphs corresponding to Steinhaus matrices A and $M_k(A)$, respectively. Then G is isomorphic to the subgraph of H induced by $\{2^k i : 0 \le i \le n-1\}$.

Proof. The corollary follows from $a'_{2^k i, 2^k j} = a_{i,j}$ for $0 \le i \le j \le n-1$.

Lemma 4. Suppose G and H are Steinhaus graphs corresponding to Steinhaus matrices A and $M_1(A)$, respectively. Then G is bipartite if and only if H is bipartite.

Proof. The necessity follows from Corollary 3. Suppose G is a bipartite graph with a bipartition (X, Y). Consider the partition of V(H) into (X', Y') where $X' = \{2i, 2i + 1 : i \in X\}$ and $Y' = \{2j, 2j + 1 : j \in Y\}$. H has no edge of the form $\{2i, 2j + 1\}$ with $i \leq j$ since $a'_{2i,2j+1} = 0$ by Lemma 1. Also, for i < j in X (or Y), $a_{i,j} = 0$ implies $a'_{2i,2j} = a'_{2i+1,2j} = a'_{2i+1,2j+1} = a_{i,j} = 0$. So (X', Y') is a bipartition for H.

Theorem 5. Suppose G and H are Steinhaus graphs corresponding to Steinhaus matrices A and $M_k(A)$, respectively. Then G is bipartite if and only if H is bipartite.

Now consider the function f from positive integers \mathbb{Z}^+ to $\mathbb{Z}^+ \cup \{\infty\}$ defined by

 $f(w) = \begin{cases} \infty & \text{if } w = 2^k \text{ for some integer } k, \\ 2^k & \text{if } w = 2^k x, \text{ where } x \text{ is an odd integer greater than } 2. \end{cases}$

Note that $w = 2^k x$ with x an odd integer greater than 2 if and only if the binary representation of w has at least two 1's.

Lemma 6. If G is a Steinhaus graph of n vertices with $Adj(0) = \{w\}$, then the following statements are equivalent:

- (1) G is bipartite,
- (2) G has no triangles,
- $(3) f(w) \ge n w.$

Proof. $(1) \Longrightarrow (2)$ is clear.

 $(2) \Longrightarrow (3)$. Suppose G has no triangles but f(w) < n - w. In this case, $f(w) = 2^k$ and $w = 2^k x$ for some odd integer greater than 2. Now

$$\lceil \frac{n}{2^k}\rceil = \lceil \frac{w}{2^k} + \frac{n-w}{2^k}\rceil > x+1.$$

Consider the Steinhaus graph H of order $\lceil \frac{n}{2^k} \rceil$ with $\operatorname{Adj}(0) = \{x\}$ and $\operatorname{adjacency}$ matrix $A = [a_{i,j}]$. Then, $a_{i,x} = 1$ for $0 \le i < x$. Also $a_{2i,x+1} = 0$ and $a_{2i+1,x+1} = 1$ for $0 \le 2i < 2i+1 \le x$. In particular, $a_{1,x} = a_{1,x+1} = a_{x,x+1} = 1$. Consider the Steinhaus graph G'' corresponding to $M_k(A) = [a''_{i,j}]$. By Corollary 2, $\operatorname{Adj}(0) = \{2^k x\} = \{w\}$ in G''. So G is a subgraph of G'' induced by

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 $\{0, 1, ..., n-1\}$. By Corollary 2, $a_{2^k,w}' = a_{1,x} = 1$ and $a_{2^k,w+2^k}' = a_{1,x+1} = 1$ and $a_{w,w+2^k}' = a_{x,x+1} = 1$. So, $\{2^k, w, w+2^k\}$ induces a triangle *T* in *G''*. However, $2^k = f(w) \le n - w - 1$; i.e., $w + 2^k \le n - 1$, and so *G* contains the triangle *T*, which is impossible.

 $(3) \Longrightarrow (1)$. Suppose $f(w) \ge n-w$. There are two cases. For the first case, $f(w) = \infty$, we have $w = 2^k$ for some integer k. Consider the Steinhaus graph P of order $\lceil \frac{n}{w} \rceil$ with $\operatorname{Adj}(0) = \{1\}$. P is a path and so is bipartite. If A is the adjacency matrix of P, then the graph H corresponding to $M_k(A)$ is bipartite by Theorem 5. Since G is the subgraph of H induced by $\{0, 1, \ldots, n-1\}, G$ is also bipartite.

Next, consider the case with $f(w) = 2^k$ and $w = 2^k x$ where x is an odd integer greater than 2. Since $2^k \ge n - w \ge 1$, we have $\left\lceil \frac{n}{2^k} \right\rceil = \left\lceil \frac{w}{2^k} + \frac{n-w}{2^k} \right\rceil = x+1$. Consider the Steinhaus graph S of order x+1 with $\operatorname{Adj}(0) = \{x\}$. Now S is a star and so is bipartite. If A is the adjacency matrix of S, then the Steinhaus graph H corresponding to $M_k(A)$ is bipartite by Theorem 5, and H is of order $w + 2^k \ge n$ with $\operatorname{Adj}(0) = \{w\}$. Since G is the subgraph of H induced by $\{0, 1, \ldots, n-1\}$, G is also bipartite.

Theorem 7. If G is a Steinhaus graph of order n with $v = \min \operatorname{Adj}(0)$, then the following statements are equivalent:

- (1) G is bipartite,
- (2) G has no triangles,

(3) $\operatorname{Adj}^+(v) = \emptyset \text{ or } \operatorname{Adj}^+(v) = \{v + w\} \text{ with } f(w) \ge \max\{n - v - w, v\}.$

Proof. $(1) \Longrightarrow (2)$ is clear.

(2) \implies (3). Let $A = [a_{i,j}]_{n \times n}$ be the adjacency matrix of G. Suppose $|\operatorname{Adj}^+(v)| \ge 2$. Choose the smallest vertex x and the second smallest vertex y of $\operatorname{Adj}^+(v)$. By the Steinhaus property, $a_{v-1,v} = 1$. For all v < z < x, since $a_{v,z} = 0$, we have $a_{v-1,z} = 1$. Since $a_{v-1,x-1} = a_{v,x} = 1$, we have $a_{v-1,x} = 0$. For all x < z < y, since $a_{v,z} = 0$, we have $a_{v-1,z} = 1$. Since $a_{v-1,x-1} = a_{v,x} = 1$, we have $a_{v-1,x-1} = 0$ and $a_{v,y} = 1$, $a_{v-1,y} = 1$. Thus $\{v - 1, v, y\}$ induces a triangle in G, which is impossible.

Assume $\operatorname{Adj}^+(v) = \{v + w\}$ for some positive integer w. Since G has no triangles, the subgraph H of G induced by $\{v, v + 1, \ldots, n - 1\}$ has no triangles. Note that H is isomorphic to the Steinhaus graph of order n - v with $\operatorname{Adj}(0) = \{w\}$. By Lemma 6, $f(w) \ge n - v - w$.

Suppose f(w) < v. Let $w = 2^k x$, where x is an odd integer greater than 2. Then, $2^k < v$ and so $u \equiv \lceil \frac{v}{2^k} \rceil \ge 2$. Consider the Steinhaus graph H of order $\lceil \frac{n}{2^k} \rceil$ with $u = \min \operatorname{Adj}(0)$ and $\operatorname{Adj}^+(u) = \{u + x\}$. Let $A = [a_{i,j}]$ be the adjacency matrix of H. Since $\operatorname{Adj}^+(u) = \{u + x\}$, $a_{u,j} = 0$ for u < j < u + x and $a_{u,u+x} = 1$. These together with $a_{u-1,u} = 1$ imply that $a_{u-1,j} = 1$ for u < j < u + x and $a_{u-1,u+x} = 0$. These new values together with $a_{u-2,u} = 1$ imply $a_{u-2,j} \equiv (j - u - 1) \pmod{2}$ for u < j < u + x and $a_{u-2,u+x} = 1$. Let G'' be the Steinhaus graph whose adjacency matrix is $M_k(A) = [a''_{i,j}]$. By Corollary 2, min Adj $(0) = 2^k u \ge v$ and Adj $(2^k u) = \{2^k (u + x)\} = \{2^k u + w\}$ in G''. Then, the subgraph of G'' induced by $\{2^k u - v, 2^k u - v + 1, \ldots, 2^k u - v + n - 1\}$ is precisely the Steinhaus graph of n vertices in which min Adj(0) = v and Adj(0) = v and Adj $(v) = \{v + w\}$, which is just G. Note that $a_{u-2,u} = a_{u-2,u+x} = a_{u,u+x} = 1$. By Corollary 2, $a''_{2^k u-2^{k-1},2^k u} = a''_{2^k u-2^{k-1},2^k u+w} = a''_{2^k u,2^k u+w} = 1$; i.e., $\{2^k u - 2^k - 1, 2^k u, 2^k u + w\}$ induces a triangle in G''. But, $2^k u - v \le 2^k u - 2^k - 1 < 2^k u + 2^k u + w \le 2^k u - v + n - 1$. So, this triangle is also a triangle in G, a contradiction. Thus, $f(w) \ge v$.

(3) \Longrightarrow (1). For the case of $\operatorname{Adj}^+(v) = \emptyset$, V(G) can be partitioned into $X = \{0, 1, \dots, v-1\}$ and $Y = \{v, v+1, \dots, n-1\}$ such that every edge of G has one vertex in X and the other vertex in Y. So, we may assume that $\operatorname{Adj}^+(v) = \{v+w\}$ with $f(w) \ge \max\{n-v-w,v\}$. Let $w = 2^k x$, where x is a positive odd integer. Let H be the Steinhaus graph of order $\lceil \frac{n-v}{2^k} \rceil + 1$ with $1 = \min \operatorname{Adj}(0)$ and $\operatorname{Adj}^+(1) = \{1+x\}$. H - 0 is precisely the Steinhaus graph of order $\lceil \frac{n-v}{2^k} \rceil$ with $\operatorname{Adj}(0) = \{x\}$. Also,

$$f(x) = f\left(\frac{w}{2^{k}}\right) = \frac{f(w)}{2^{k}} \ge \frac{n - v - w}{2^{k}} = \frac{n - v}{2^{k}} - x$$

implies $f(x) \ge \lceil \frac{n-v}{2^k} \rceil - x$. By Lemma 6, H - 0 is bipartite. Note that in H, $\operatorname{Adj}(0) = \{1, 2, \ldots, x\}$ and x + 1 is adjacent to $1, 2, \ldots, x$. Then, H is also bipartite. Let A be the adjacency matrix of H, and G'' the Steinhaus graph whose adjacency matrix is $M_k(A)$. By Corollary 2, in G'' we have $2^k = \min \operatorname{Adj}(0)$ and $\operatorname{Adj}^+(2^k) = \{2^k + 2^k x\} = \{2^k + w\}$. Then the subgraph of G'' induced by $\{2^k - v, 2^k - v + 1, \ldots, 2^k - v + n - 1\}$ is precisely the Steinhaus graph of n vertices in which $\min \operatorname{Adj}(0) = v$ and $\operatorname{Adj}^+(v) = \{v + w\}$, which is G. By Theorem 5, G'' is bipartite and so is G.

We close this paper by noting that the equivalence of (1) and (2) in Theorem 7 was also proved in [9]; and (3) is also proved in [6] in an alternative way and is used to obtain a formula for the number of bipartite Steinhaus graphs of order n in terms of n - 2 (also see [14]).

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