TAIWANESE JOURNAL OF MATHEMATICS Vol. 11, No. 1, pp. 15-26, March 2007 This paper is available online at http://www.math.nthu.edu.tw/tjm/

# COMPACTIFICATIONS OF METRIC SPACES

M. Koçak and İ. Akça

Abstract. If X is a discrete topological space, the points of its Stone-Cech compactification  $\beta X$  can be regarded as ultrafilters on X, and this fact is a useful tool in analysing the properties of  $\beta X$ . The purpose of this paper is to describe the compactification  $\tilde{X}$  of a metric space in terms of the concept of near ultafilters. We describe the topological space  $\tilde{X}$  and we investigate conditions under which  $\tilde{S}$  will be a semigroup compactification if S is a semigroup which has a metric. These conditions will always hold if the topology of S is defined by an invariant metric, and in this case our compactification  $\tilde{S}$  coincides with  $S^{LUC}$ .

### 0. INTRODUCTION

The purpose of this paper is to describe the compactification of a metric space in terms of the concept of near ultafilters. If X is a discrete topological space, the points of its Stone-Cech compactification  $\beta X$  can be regarded as ultrafilters on X, and this fact is a useful tool in analysing the properties of  $\beta X$ . An analogous concept of "near ultrafilter" is used to describe the points of an arbitrary compactification of a topological group in [5]. We were motivated by this in defining the analogous concept of "near ultrafilter" to describe the points of an arbitrary compactification of a metric space. A metric space X has a compactification  $\tilde{X}$  with the property that  $C(\tilde{X})$  is isomorphic to the algebra of bounded real-valued uniformly continuous functions defined on X. We believe that near ultrafilters provide a natural and useful method for describing  $\tilde{X}$ .

In §2 we describe the topological space  $\tilde{X}$ . In §3 we assume that we have a semigroup S which has a metric and investigate conditions under which  $\tilde{S}$  will be a semigroup compactification of S. These conditions will always hold if the topology

Communicated by Ber-Luh Liu.

Received October 6, 2004, revised October 14, 2005.

<sup>2000</sup> Mathematics Subject Classification: 54D35, 54D60, 32J05.

Key words and phrases: Near ultrafilters, Compactifications, Semigroup compactifications.

of S is defined by an invariant metric, and in this case our compactification  $\tilde{S}$  coincides with  $S^{LUC}$ .

Our results are not all new. For example, Theorems 4.7 and 4.8 are known for  $S^{LUC}$  [1]. We include these theorems, however, because the proofs that we give are a natural application of our construction of  $\tilde{S}$ .

#### 1. PRELIMINARIES

We first remind the reader of some basic definitions.

**Metric Spaces.** Let X be a set and  $d: X \times X \to \mathbb{R}$  be a function. We say that d is a *metric* on X if the followings are satisfied:

(M-1) For all  $x, y \in X$ ,  $d(x, y) \ge 0$  and  $d(x, y) = 0 \Leftrightarrow x = y$ .

(M-2) For all  $x, y \in X$ , d(x, y) = d(y, x).

(M-3) For all  $x, y, z \in X$ ,  $d(x, z) \le d(x, y) + d(y, z)$ .

If d is a metric on X, the ordered pair (X, d) is called a *metric space*. Suppose that X is also a semigroup then d is called an *invariant metric* if d(ax, ay) = d(xa, ya) = d(x, y) for all  $x, y, a \in X$ 

For each  $\varepsilon > 0$  and each  $Y \subseteq X$ ,  $B(Y, \varepsilon)$  will denote  $\{z \in X | d(y, z) < \varepsilon$  for some  $y \in Y\}$ . In the case of a singleton set  $\{y\}$ , we may use  $B(y, \varepsilon)$  instead of the cumbersome  $B(\{y\}, \varepsilon)$ .

A metric d on a set X will generate a topology on X for which the neighbourhoods of each point  $x \in X$  are the sets of the form  $B(x, \varepsilon)$ , where  $\varepsilon > 0$ . If X has this topology, X is called a *metrisable* space. With this topology X is always Hausdorff.

Suppose that  $(X, d_1)$  and  $(Y, d_2)$  are metric spaces. A function  $f : X \to Y$  is said to be *uniformly continuous* if, for each  $\varepsilon > 0$ , there exists  $\delta > 0$  such that  $d_2(f(x_1), f(x_2)) < \varepsilon$  whenever  $d_1(x_1, x_2) < \delta$ .

**Compactifications.** Let X be a topological space. By a *compactification* of X we shall mean a pair (C, e), where C is a compact Hausdorff space,  $e : X \to C$  is an embedding and e[X] is dense in C. In this case, we may simply refer to C as being a compactification of X. Two compactifications (C, e) and (C', e') are regarded as equivalent if there is a homeomorphism  $h : C \to C'$  for which e = e'h.

**Semigroups.** Let S be a semigroup. For each  $s \in S$ , we shall use  $\lambda_s$  and  $\rho_s$  to denote the mappings from S to itself for which  $\lambda_s(t) = st$  and  $\rho_s(t) = ts$ .

Suppose that S is also a topological space. S will be called a *topological* semigroup if the mapping  $(s,t) \mapsto st$  is a continuous mapping from  $S \times S$  to S. It

will be called a *semitopological semigroup* if, for every  $s \in S$ ,  $\lambda_s$  and  $\rho_s$  are both continuous. It will be called a *right topological semigroup* if, for every  $s \in S$ ,  $\rho_s$  is continuous.

If S is a right topological semigroup,  $\{s \in S | \lambda_s : S \to S \text{ is continuous}\}$  will be called the *topological centre of S*.

Suppose that S is a semitopological semigroup and that (C, e) is a compactification of S. We shall say that (C, e) is a *semigroup compactification* of S if C is a right topological semigroup, e is a homomorphism and e[S] is contained in the topological centre of C.

**Notation.** We shall use  $\mathbb{N}$  to denote the set of positive integers,  $\mathbb{Z}$  to denote the set of all integers and  $\mathbb{R}$  to denote the set of real numbers.

If X is a topological space, C(X) will denote the set of continuous bounded realvalued functions defined on X, and  $\beta X$  will denote the Stone-Čech compactification of X.

## 2. The Topological Space $\tilde{X}$

**Definition 2.1.** Suppose that (X, d) is a metric space and that  $\mathcal{G} \subseteq \mathcal{P}(X)$ . We shall say that  $\mathcal{G}$  has the *near finite intersection property* if  $\mathcal{G}$  is non-empty and if, for every finite subset  $\mathcal{F}$  of  $\mathcal{G}$  and every  $\varepsilon > 0$ ,  $\bigcap_{Y \in \mathcal{F}} B(Y, \varepsilon) \neq \emptyset$ .

**Definition 2.2.** Let  $\xi \subseteq \mathcal{P}(X)$ . We shall say that  $\xi$  is a *near ultrafilter* on (X, d) if  $\xi$  is maximal subject to being a subset of  $\mathcal{P}(X)$  with the near finite intersection property.

In this case, we may simply refer to  $\xi$  as being a near ultrafilter if it is clear which metric space (X, d) is being referred to.

**Notation.** We shall use  $(\tilde{X}, d)$  to denote the set of all near ultrafilters on (X, d). We may simply denote this set by  $\tilde{X}$  if there is no ambiguity about which metric structure is being used.

**Remark 2.3** It is immediate from Zorn's Lemma that every subset of  $\mathcal{P}(X)$  with the near finite intersection property is contained in a near ultrafilter. It is also clear that, if  $\xi \in (\widetilde{X}, d)$  and if  $Y \subseteq X, Y \in \xi$  if and only if  $B(Y, \varepsilon) \cap \bigcap_{Z \in \mathcal{F}} B(Z, \varepsilon) \neq \emptyset$ for every finite subset  $\mathcal{F}$  of  $\xi$  and every  $\varepsilon > 0$ .

We observe that the concept of a near ultrafilter generalises the concept of an ultrafilter. If d denotes the discrete metric on a set X, a near ultrafilter on (X, d) is simply an ultrafilter on X.

Throughout this section, we shall assume that (X, d) denotes a given metric space.

**Lemma 2.4.** Let  $\xi \in \tilde{X}$ . For every finite subset  $\mathcal{F}$  of  $\xi$  and every  $\varepsilon > 0$ ,  $\bigcap_{Y \in \mathcal{F}} B(Y, \varepsilon) \in \xi$ .

*Proof.* If  $\bigcap_{Y \in \mathcal{F}} B(Y, \varepsilon) \notin \xi$ , there will be a finite subset  $\mathcal{F}'$  of  $\xi$  and a  $\delta > 0$  for which  $B(\bigcap_{Y \in \mathcal{F}} B(Y, \varepsilon), \delta) \cap \bigcap_{Y \in \mathcal{F}'} B(Y, \delta) = \emptyset$ . We can choose  $\sigma > 0$  satisfying  $2\sigma \leq \min\{\varepsilon, \delta\}$ . This will imply that  $\bigcap_{Y \in \mathcal{F} \cup \mathcal{F}'} B(Y, \sigma) = \emptyset$  - contradictiong our assumption that  $\xi$  has the near finite intersection property.

**Lemma 2.5.** Let  $\xi \in \tilde{X}$  and let  $Y \subseteq X$ . The following statements are equivalent:

- (i)  $Y \in \xi$ ;
- (ii) For every  $\varepsilon > 0$  and every  $Z \in \xi$ ,  $B(Y, \varepsilon) \cap Z \neq \emptyset$ ;
- (iii) For every  $\varepsilon > 0$  and every  $Z \in \xi$ ,  $Y \cap \hat{U}(Z) \neq \emptyset$ ;

*Proof.* (i)  $\Leftrightarrow$  (ii) If  $Y \notin \xi$  there will be a finite subset  $\mathcal{F}$  of  $\xi$  and an  $\varepsilon > 0$  such that  $B(Y,\varepsilon) \cap \bigcap_{Y'\in\mathcal{F}} B(Y',\varepsilon) = \emptyset$ . If Z denotes  $\bigcap_{Y'\in\mathcal{F}} B(Y',\varepsilon)$ , then  $Z \in \xi$  by Lemma 2.4 and  $B(Y,\varepsilon) \cap Z = \emptyset$ .

Conversely, suppose that  $B(Y, \varepsilon) \cap Z = \emptyset$  for some  $Z \in \xi$  and some  $\varepsilon > 0$ . We can choose a  $\delta > 0$  satisfying  $2\delta \le \varepsilon$ . We claim that  $B(Y, \delta) \cap B(Z, \delta) = \emptyset$ . To see this, assume that there is a point  $x \in B(Y, \delta) \cap B(Z, \delta)$ . Since  $d(x, y) < \delta$  for some  $y \in Y$  and  $d(x, z) < \delta$  for some  $z \in Z$ , it follows that  $d(y, z) < 2\delta \le \varepsilon$ . Thus  $z \in B(Y, \varepsilon) \cap Z$  - contradiction. This shows that  $B(Y, \delta) \cap B(Z, \delta) = \emptyset$  and hence that  $Y \notin \xi$ .

(ii)  $\Leftrightarrow$  (iii) For every  $\varepsilon > 0$  and every  $Y, Z \subseteq X, B(Y, \varepsilon) \cap Z \neq \emptyset \Leftrightarrow Y \cap B(Z, \varepsilon) \neq \emptyset$ .

**Lemma 2.6.** Let  $\xi \in \tilde{X}$  and let  $Y \subseteq X$ . Then  $Y \in \xi$  if and only if  $B(Y, \varepsilon) \in \xi$  for every  $\varepsilon > 0$ . Furthermore, this is the case if and only if  $\overline{Y} \in \xi$ .

*Proof.* Clearly, if  $Y \in \xi$ , then  $B(Y, \varepsilon) \in \xi$  for every  $\varepsilon > 0$ , because  $Y \subseteq B(Y, \varepsilon)$ .

Conversely, if  $Y \notin \xi$ , then  $B(Y,\varepsilon) \cap Z = \emptyset$  for some  $\varepsilon > 0$  and some  $Z \in \xi$ (by Lemma 2.5). Let  $\delta > 0$  satisfying  $2\delta \le \varepsilon$ . Then  $B(Y,2\delta) \subseteq B(Y,\varepsilon)$  and so  $B(Y,2\delta) \cap Z = \emptyset$  and  $B(Y,\delta) \notin \xi$ .

Now, for every  $\varepsilon > 0$ ,  $Y \subseteq \overline{Y} \subseteq B(Y, \varepsilon)$ . It follows that  $Y \in \xi$  if and only if  $\overline{Y} \in \xi$ .

**Lemma 2.7.** Let  $\xi \in \tilde{X}$ . For any  $Y_1, Y_2 \subseteq X, Y_1 \cup Y_2 \in \xi$  implies that  $Y_1 \in \xi$  or  $Y_2 \in \xi$ .

*Proof.* If  $Y_1, Y_2 \notin \xi$ , there will be sets  $Z_1, Z_2 \in \xi$  and  $\varepsilon_1, \varepsilon_2 > 0$  for which  $Y_1 \cap B(Z_1, \varepsilon_1) = Y_2 \cap B(Z_2, \varepsilon_2) = \emptyset$  (by Lemma 2.5). We choose  $\varepsilon > 0$  satisfying

 $2\varepsilon \leq \min\{\varepsilon_1, \varepsilon_2\}$ , and claim that  $B(Y_1, \varepsilon) \cap B(Z_1, \varepsilon) = B(Y_2, \varepsilon) \cup B(Z_2, \varepsilon) = \emptyset$ . To see this, suppose that  $x \in B(Y_i, \varepsilon) \cap B(Z_i, \varepsilon)$ , where  $i \in \{1, 2\}$ . Then there will be points  $y \in Y_i, z \in Z_i$  for which  $d(x, y) < \varepsilon, d(x, z) < \varepsilon$ . This implies that  $d(y, z) < 2\varepsilon \leq \varepsilon_i$  and hence that  $y \in Y_i \cap B(Z_i, \varepsilon_i)$  - contradiction.

Since  $B(Y_1 \cup Y_2, \varepsilon) = B(Y_1, \varepsilon) \cup B(Y_2, \varepsilon)$ , we have shown that  $B(Y_1 \cup Y_2, \varepsilon) \cap B(Z_1, \varepsilon) \cap B(Z_2, \varepsilon) = \emptyset$  and hence that  $Y_1 \cup Y_2 \notin \xi$ .

3. The Topological Space  $\tilde{X}$ 

**Definition 3.1.** For each  $Y \subseteq X$ , we put  $C_Y = \{\xi \in \tilde{X} | Y \in \xi\}$ .

**Lemma 3.2.** For every  $Y_1, Y_2 \subseteq X$ ,  $C_{Y_1 \cup Y_2} = C_{Y_1} \cup C_{Y_2}$ . Furthermore,  $C_{\emptyset} = \emptyset$ and  $C_X = \tilde{X}$ .

*Proof.* The first statement follows from Lemma 2.7, and the second is immediate from the definition.

**Definition 3.3.** We define the topology of  $\tilde{X}$  by choosing the sets of the form  $C_Y$ , where  $Y \in \mathcal{P}(X)$ , as a base for the closed sets.

**Theorem 3.4.**  $\tilde{X}$  is a compact Hausdorff space.

*Proof.* Let  $(\mathcal{C}_{Y_{\alpha}})_{\alpha \in A}$  be a family of basic closed subsets of  $\tilde{X}$  with the finite intersection property. We shall show that  $\bigcap_{\alpha \in A} \mathcal{C}_{Y_{\alpha}} \neq \emptyset$ . It will follow that  $\tilde{X}$  is compact.

For any finite  $F \subseteq A$  and any  $\varepsilon > 0$ , there will be a near ultrafilter  $\xi_F \in \bigcap_{\alpha \in F} \mathcal{C}_{Y_{\alpha}}$  and so, since  $Y_{\alpha} \in \xi_F$  for every  $\alpha \in F$ ,  $\bigcap_{\alpha \in A} B(Y_{\alpha}, \varepsilon) \neq \emptyset$ . This shows that the family  $(Y_{\alpha})_{\alpha \in A}$  has the near finite intersection property and hence that it is contained in a near ultrafilter  $\xi$ . Since  $\xi \in \bigcap_{\alpha \in A} \mathcal{C}_{Y_{\alpha}}$ , it follows that  $\bigcap_{\alpha \in A} \mathcal{C}_{Y_{\alpha}} \neq \emptyset$ .

To see that  $\tilde{X}$  is Hausdorff, suppose that  $\xi_1, \xi_2$  are distinct elements of  $\tilde{X}$ . Choose any  $Y_1 \in \xi_1 \setminus \xi_2$ . There will be a set  $Y_2 \in \xi_2$  and a  $\varepsilon > 0$  for which  $Y_1 \cap B(Y_2, \varepsilon) = \emptyset$  (by Lemma 2.5). We choose a  $\delta > 0$  satisfying  $2\delta \leq \varepsilon$  and put  $Z = \tilde{X} \setminus B(Y_2, \delta)$ . It is easy to check that  $Y_1 \cap B(Y_2, 2\delta) = \emptyset$  and hence that  $\xi_1 \in \tilde{X} \setminus C_{B(Y_2,\delta)}$  (by Lemma 2.5). Also, since  $Z \cap B(Y_2, \delta) = \emptyset$ ,  $\xi_2 \in \tilde{X} \setminus C_Z$ . Now  $\mathcal{C}_{B(Y_2,\delta)} \cup \mathcal{C}_Z = \tilde{X}$ , by Lemma 2.7, and so  $(\tilde{X} \setminus \mathcal{C}_{B(Y_2,\delta)}) \cap (\tilde{X} \setminus \mathcal{C}_Z) = \emptyset$ . Thus  $\tilde{X}$  is indeed Hausdorff.

**Definition 3.5.** We define a mapping e on X by stating that, for each  $x \in X$ ,  $e(x) = \{Y \in \mathcal{P}(X) | x \in \overline{Y}\}.$ 

It is easy to verify that  $e(x) \in X$ .

**Theorem 3.6.** The mapping e embeds X as a dense subspace in X.

*Proof.* We first remark that e is injective. To see this, suppose that  $x_1, x_2$  are distinct points of X. Then  $\{x_1\} \in e(x_1) \setminus e(x_2)$  and so  $e(x_1) \neq e(x_2)$ .

Now, for any  $Y \subseteq X$  and any  $x \in X$ ,

$$x \in \overline{Y} \Leftrightarrow Y \in e(x) \Leftrightarrow e(x) \in \mathcal{C}_Y.$$

This shows that  $e^{-1}(\mathcal{C}_Y) = \overline{Y}$  and hence that e is continuous.

It also shows that, for any closed subset Y of X,  $e[Y] = C_Y \cap e[X]$ . Since this is a closed subset of e[X], e is a closed mapping from X to e[X] and therefore defines a homeomorphism from X to e[X].

Finally, suppose that  $C_Y \neq \tilde{X}$ . If  $\xi \in \tilde{X} \setminus C_Y$ , then  $Y \cap B(Z, \varepsilon) = \emptyset$  for some  $Z \in \xi$  and some  $\varepsilon > 0$ . This implies that  $B(Y, \varepsilon) \cap Z = \emptyset$  and hence that  $\overline{Y} \neq X$ , because  $\overline{Y} \subseteq B(Y, \varepsilon)$ . Thus we can choose  $x \in X \setminus \overline{Y}$ . This implies that  $e(x) \in \tilde{X} \setminus C_Y$  and shows that e[X] is dense in  $\tilde{X}$ , because every non-empty open subset of  $\tilde{X}$  will contain a non-empty set of the form  $\tilde{X} \setminus C_Y$ .

**Theorem 3.7.** Suppose that  $(X, d_1)$  and  $(Y, d_2)$  are metric spaces and that  $f : X \to Y$  is uniformly continuous. Then there is a continuous function  $\tilde{f} : \tilde{X} \to \tilde{Y}$  which is an extension of f in the sense that  $\tilde{f}e_X = e_Y f$ , where  $e_X, e_Y$  denote the natural embeddings of X, Y in  $\tilde{X}, \tilde{Y}$  respectively.

*Proof.* Given  $\xi \in \tilde{X}$ , we define  $\eta = \{T \in \mathcal{P}(Y) | f^{-1}(B(Y, \delta)) \in \xi \text{ for every } \delta > 0\}$ . We shall show that  $\eta \in \tilde{Y}$ .

We first show that  $\eta$  has the near finite intersection property. To see this, suppose that  $\mathcal{F}$  is a finite subset of  $\eta$  and that  $\sigma > 0$ . We choose  $\delta > 0$  satisfying  $2\delta \leq \sigma$ . Then, there is  $\varepsilon > 0$  such that  $d_1(x_1, x_2) < \varepsilon$  implies that  $d_2(f(x_1), f(x_2) < \delta$ . It follows that  $\bigcap_{T \in \mathcal{F}} B(f^{-1}(B(T, \delta)), \varepsilon) \neq \emptyset$ . If x is in this set, then, for each  $T \in \mathcal{F}$ , there will be a point  $x_T \in f^{-1}(B(T, \delta))$  for which  $d_1(x, x_T) < \varepsilon$ . This implies that  $d_2(f(x), f(x_T)) < \delta$  and hence, since  $f(x_T) \in B(T, \delta)$ , that  $f(x) \in$  $B(T, 2\delta) \subseteq B(T, \sigma)$ . Thus  $\bigcap_{T \in \mathcal{F}} B(T, \sigma) \neq \emptyset$  and  $\eta$  does have the near finite intersection property.

We now show that  $\eta$  is a near ultrafilter. If  $T \notin \eta$ ,  $f^{-1}(B(T, \delta)) \notin \xi$  for some  $\delta > 0$ . This implies that  $f^{-1}(B(T, \delta)) \cap S = \emptyset$  for some  $S \in \xi$ , and hence that  $B(T, \delta) \cap f[S] = \emptyset$ . Now  $f[S] \in \eta$ , because, for every  $\sigma > 0$ ,  $f^{-1}(B(f[S], \sigma)) \supseteq f^{-1}(f[S]) \supseteq S$ . It follows that  $\eta$  is maximal subject to having the near finite intersection property.

We can thus define a mapping  $\tilde{f} : \tilde{X} \to \tilde{Y}$  by stating that  $f(\xi) = \eta$ . It is immediate that  $\tilde{f}$  is continuous, because, if  $T \subseteq Y$ ,  $(\tilde{f})^{-1}(\mathcal{C}_T) = \bigcap_{\delta > 0} \mathcal{C}_{f^{-1}(B(T,\delta))}$ .

Finally, let  $x \in X$ . It is obvious that  $\{f(x)\} \in \tilde{f}(e_X(x))$  and hence that  $\tilde{f}(e_X(x)) = e_Y(f(x))$ .

**Lemma 3.8.** Let  $\xi \in \tilde{X}$  and let  $Y \subseteq X$ . Then  $\xi \in \mathbf{cl}_{\tilde{X}}e[Y]$  if and only if  $Y \in \xi$ .

*Proof.* Clearly,  $\operatorname{cl}_{\tilde{X}}e[Y] = \bigcap \{\mathcal{C}_Z | \mathcal{C}_Z \supseteq e[Y]\}$ . Now  $y \in Y \Rightarrow Y \in e(y) \Rightarrow e(y) \in \mathcal{C}_Y$ . So  $\mathcal{C}_Y \supseteq e[Y]$ . On the other hand, suppose that  $Z \in \mathcal{P}(X)$  satisfies  $\mathcal{C}_Z \supseteq e[Y]$ . Then  $y \in Y \Rightarrow e(y) \in \mathcal{C}_Z \Rightarrow Z \in e(y) \Rightarrow y \in \operatorname{cl}_X Z$ . So  $Y \subseteq \overline{Z}$  and hence  $\mathcal{C}_Y \subseteq \mathcal{C}_{\overline{Z}} = \mathcal{C}_Z$  (by Lemma 2.6). Thus  $\operatorname{cl}_{\tilde{X}}e[Y] = \mathcal{C}_Y$ .

**Corollary 3.9.** For any  $Y_1, Y_2 \in \mathcal{P}(X)$ ,  $cl_{\tilde{X}}(Y_1) \cap cl_{\tilde{X}}(Y_2) \neq \emptyset$  if and only if  $B(Y_1, \varepsilon) \cap B(Y_2, \varepsilon) \neq \emptyset$  for every  $\varepsilon > 0$ .

*Proof.* The condition that  $B(Y_1, \varepsilon) \cap B(Y_2, \varepsilon) \neq \emptyset$  for every  $\varepsilon > 0$  is equivalent to the condition that  $C_{Y_1} \cap C_{Y_2} \neq \emptyset$ .

**Remark 3.10.** We shall henceforward regard X as being a subspace of  $\tilde{X}$  by identifying the point  $x \in X$  with the point  $e(x) \in \tilde{X}$ .

The following Lemma is elementary and obviously well-known. We include it for the sake of completeness.

**Lemma 3.11.** Let  $(f_n)$  be a sequence of uniformly continuous real-valued functions defined on a metric space (X, d). If  $(f_n)$  converges uniformly on X to a function f, then f is uniformly continuous.

*Proof.* Let  $\epsilon > 0$ . We can choose  $n \in \mathbb{N}$  so that  $|f(x) - f_n(x)| < \frac{\epsilon}{3}$  for every  $x \in X$ . We can then choose  $\delta > 0$  so that  $|f_n(x) - f_n(y)| < \frac{\epsilon}{3}$  whenever  $d(x, y) < \delta$ . It follows that  $|f(x) - f(y)| < \epsilon$  whenever  $d(x, y) < \delta$ .

**Theorem 3.12.** A bounded continuous function  $f : X \to \mathbb{R}$  has a continuous extension  $\tilde{f} : \tilde{X} \to \mathbb{R}$  if and only if it is uniformly continuous.

**Proof.** Let C(X) denote the set of all continuous real-valued functions defined on  $\tilde{X}$ . We know from Theorem 3.7 that a bounded uniformly continuous bounded functions  $f: X \to \mathbb{R}$  does have a continuous extension  $\tilde{f}: \tilde{X} \to \mathbb{R}$ . The set of all functions  $\tilde{f}$  which arise in this way will be a uniformly closed subalgebra of  $C(\tilde{X})$ (by Lemma 3.11) and will contain the constant functions. By the Stone-Weierstrass Theorem, it will be the whole of  $C(\tilde{X})$  if it separates the points of  $\tilde{X}$ .

To see that it does, let  $\xi_1, \xi_2$  be distinct points of  $\tilde{X}$ . By Lemma 2.5, we can choose  $Y_1 \in \xi_1, Y_2 \in \xi_2$  and  $\varepsilon > 0$  for which  $B(Y_1, \varepsilon) \cap Y_2 = \emptyset$ . There will be a uniformly continuous function  $f : X \to [0, 1]$  for which  $f[Y_1] = \{0\}$  and  $f[Y_2] = \{1\}$  (cf. [7]). Since  $\xi_1 \in \operatorname{cl}_{\tilde{X}} Y_1$  and  $\xi_2 \in \operatorname{cl}_{\tilde{X}} Y_2$  (by Lemma 3.8), it follows that  $\tilde{f}(\xi_1) = 0$  and  $\tilde{f}(\xi_2) = 1$ . Thus the functions of the form  $\tilde{f}$  do separate the points of  $\tilde{X}$ .

**Corollary 3.13.**  $C(\tilde{X})$  can be identified with the algebra of uniformly continuous bounded real-valued functions defined on X.

**Theorem 3.14.** Suppose that the metric space (X, d) is not totally bounded. Then  $\tilde{X}$  contains a topological copy of  $\beta \mathbb{N}$ .

*Proof.* We can choose a symmetric vicinity  $\varepsilon > 0$  for which the covering  $\{B(x,\varepsilon)|x \in X\}$  of X has no finite subcovering. We can then choose a sequence  $(x_n) \subseteq X$  with the property that, for each  $n \in \mathbb{N}$ ,  $x_n \notin \bigcup_{1}^{n-1} B(x_m,\varepsilon)$ . We do this inductively, first choosing  $x_1$  to be any element of X. We then assume that  $x_m$  has been chosen for each  $m = 1, 2, \ldots, n-1$  and choose  $x_n$  to be any element of  $X \setminus \bigcup_{1}^{n-1} B(x_m,\varepsilon)$ .

We then choose  $\delta > 0$  satisfying  $2\delta \leq \varepsilon$ . This implies that the sets  $B(x_n, \delta)$  will be pairwise disjoint.

Let D denote the discrete subspace  $\{x_n | n \in \mathbb{N}\}$  of X. We shall show that  $\operatorname{cl}_{\tilde{X}} D \simeq \beta \mathbb{N}$ .

The mapping  $f : \mathbb{N} \to \tilde{X}$ , defined by stating that  $f(n) = x_n$ , has a continuous extension  $f^{\beta} : \beta \mathbb{N} \to \tilde{X}$ . It will be sufficient to show that  $f^{\beta}$  is injective. Suppose then that  $\mu_1$  and  $\mu_2$  are distinct elements of  $\beta \mathbb{N}$ , and that  $G_1$  and  $G_2$  are disjoint open subsets of  $\beta \mathbb{N}$  containing  $\mu_1$  and  $\mu_2$  respectively. Let  $M_i = \mathbb{N} \cap G_i$  (i = 1, 2). Since  $B(f[M_1], \delta) \cap B(f[M_2], \delta) = \emptyset$ ,  $\operatorname{cl}_{\tilde{X}}(f[M_1]) \cap \operatorname{cl}_{\tilde{X}}(f[M_2]) = \emptyset$ , by the Corollary to Lemma 3.8. Now  $f^{\beta}(\mu_i) \in \operatorname{cl}_{\tilde{X}}(f[M_i])$  for i = 1, 2, and so  $f^{\beta}(\mu_1) \neq f^{\beta}(\mu_2)$ .

**Remark 3.15.** It follows from Theorem 3.14 that  $\tilde{X}$  has at least  $2^{\mathbb{C}}$  points if (X, d) is not totally bounded, because it is well known that  $|\beta\mathbb{N}| = 2^{\mathbb{C}}$  (cf. [9]). However, if X is a non-compact totally bounded space,  $\tilde{X}$  need not be as vast as this. For example, let X denote the subspace  $\{\frac{1}{n}|n \in \mathbb{N}\}$  of  $\mathbb{R}$ , with its standard metric. Then  $\tilde{X}$  is the countable subspace  $X \cup \{0\}$  of  $\mathbb{R}$ , because the functions in C(X) which have continuous extensions to  $X \cup$ 

**Definition 3.16.** Suppose that Y is a subspace of a metric space (X, d). Then Y is also a metric space with the induced metric  $d_Y : Y \times Y \rightarrow$ 

**Theorem 3.17.** Suppose that Y is a subspace of a metric space (X, d) and that Y has the induced metric  $d_Y$ . Then  $\tilde{Y} \simeq \operatorname{cl}_{\tilde{X}} Y$ .

*Proof.* The inclusion map  $i: Y \to X$  is uniformly continuous and therefore has a continuous extension  $\tilde{i}: \tilde{Y} \to \tilde{X}$  (by Theorem 3.7). We shall show that  $\tilde{i}$  is injective.

Suppose that  $\mu_1, \mu_2$  are distinct points in  $\tilde{Y}$ . There will then be sets  $Z_1, Z_2 \subseteq Y$ and a  $\varepsilon > 0$  for which  $B_Y(Z_1, \varepsilon) \cap Z_2 = \emptyset$ , where  $B_Y(Z_1, \varepsilon)$  denotes  $B(Z_1, \varepsilon) \cap Y$ . Now  $B_Y(Z_1, \varepsilon) \cap Z_2 = \emptyset$  implies that  $B_Y(Z_1, \varepsilon) \cap Z_2 = \emptyset$  and hence that  $\operatorname{cl}_{\tilde{X}}(Z_1) \cap \operatorname{cl}_{\tilde{X}}(Z_2) = \emptyset$ , by the Corollary to Lemma 3.8. Since  $\tilde{i}(\mu_i) \in \operatorname{cl}_{\tilde{X}}(Z_i)$  for i = 1, 2, it follows that  $\tilde{i}(\mu_1) \neq \tilde{i}(\mu_2)$ .

## 4. THE COMPACTIFICATION OF A SEMIGROUP

We shall now suppose that (S, d) is a metric space and that S is a semigroup. We shall give conditions under which the semigroup operation on S can be extended to  $\tilde{S}$ , giving  $\tilde{S}$  the structure of a compact right topological semigroup.

**Notation.** For each  $s \in S$ , we define  $\lambda_s : S \to S$  and  $\rho_s : S \to S$  by stating that  $\lambda_s(t) = st$  and  $\rho_s(t) = ts$ .

**Theorem 4.1.** Suppose that the two following conditions are satisfied:

- (i) For every  $s \in S$ , the mapping  $\lambda_s : S \to S$  is uniformly continuous;
- (ii) For every  $\varepsilon > 0$ , there exists a  $\delta > 0$  with the property that

$$d(s_1, s_2) < \delta \Rightarrow d(s_1t, s_2t) < \varepsilon (\forall t \in S).$$

Then the semigroup operation defined on S can be extended to S in such a way that  $\tilde{S}$  becomes a semigroup compactification of S.

*Proof.* For each  $s \in S$ , the uniformly continuous mapping  $\lambda_s$  can be extended to a continuous mapping  $\tilde{\lambda}_s : \tilde{S} \to \tilde{S}$ , by Theorem 3.7. If  $\eta \in \tilde{S}$ , we shall denote  $\tilde{\lambda}_s(\eta)$  by  $s\eta$ .

We shall show that, for each  $\eta \in \tilde{S}$ , the mapping  $s \mapsto s\eta$  from S to  $\tilde{S}$  is uniformly continuous.

Let  $\phi : \tilde{S} \to \mathbb{R}$  be continuous. Then, by Theorem 3.12,  $\phi_{|S}$  is uniformly continuous. Thus, if  $\epsilon > 0$ , there will be a  $\delta > 0$  such that  $|\phi(s) - \phi(s')| < \epsilon$  if  $d(s,s') < \delta$ . By condition ii), there will be a  $\sigma > 0$  such that, whenever  $d(s,s') < \sigma$ ,  $\sigma$ ,  $d(st,s't) < \delta$  for every  $t \in S$ . So, if  $d(s,s') < \sigma$ ,  $|\phi(st) - \phi(s't)| < \epsilon$  for every  $t \in S$ . Now  $|\phi(s\eta) - \phi(s'\eta)| = \lim_{t \to \eta} |\phi(st) - \phi(s't)|$ , and so  $|\phi(s\eta) - \phi(s'\eta)| \le \epsilon$ if  $d(s,s') < \sigma$ . Using the fact that the unique metric structure on  $\tilde{S}$  can be defined by the functions in  $C(\tilde{S})$ , we have shown that the mapping  $s \mapsto s\eta$  from S to  $\tilde{S}$  is uniformly continuous.

It now follows from Theorem 3.7 that the mapping  $s \mapsto s\eta$  can be extended to a continuous mapping from  $\tilde{S}$  to itself. The image of the element  $\xi \in \tilde{S}$  under this extension will be denoted by  $\xi\eta$ .

Thus we have defined a binary operation on  $\tilde{S}$  by a double limit process. If  $\xi, \eta \in \tilde{S}$ ,

$$\xi\eta = \lim_{s \to \xi} \lim_{t \to \eta} st.$$

We observe that our definitions ensure that, for each  $s \in S$ , the mapping  $\eta \mapsto s\eta$  is a continuous mapping from  $\tilde{S}$  to itself. Furthermore, for each  $\eta \in \tilde{S}$ , the mapping  $\xi \mapsto \xi\eta$  is also a continuous mapping from  $\tilde{S}$  to itself.

The associativity of the operation defined on S is immediate from the following equations: For every  $\xi, \eta, \zeta \in \tilde{S}$ ,

$$\begin{aligned} \xi(\eta\zeta) &= \lim_{s \to \xi} \lim_{t \to \eta} \lim_{u \to \zeta} s(tu); \\ (\xi\eta)\zeta &= \lim_{s \to \xi} \lim_{t \to \eta} \lim_{u \to \zeta} (st)u. \end{aligned}$$

**Remark 4.2.** The conditions used in Theorem 4.1 are satisfied by any semigroup S whose topology is defined by an invariant metric.

We shall henceforward assume that S is a semitopological semigroup for which the conditions of Theorem 4.1 are satisfied, and that  $\tilde{S}$  has the semigroup structure defined in this theorem.

**Remark 4.3.** Suppose that T is a subsemigroup of S. We have seen in Theorem 3.17 that  $\tilde{T}$  can be regarded as topologically embedded in  $\tilde{S}$ , if T is assumed to have the metric induced by that of S. The embedding is also algebraic, because the inclusion map  $i: T \to S$  has an extension  $\tilde{i}: \tilde{T} \to \tilde{S}$  which is readily seen to be a homomorphism. Thus  $\tilde{T}$  can be regarded as a subsemigroup of  $\tilde{S}$ .

**Lemma 4.4.** Let  $s \in S$  and  $\xi \in \tilde{S}$ . Then, if  $Y \in \xi$ ,  $sY \in s\xi$ .

*Proof.* This follows from Lemma 3.8, since the mapping  $\lambda_s : \tilde{S} \to \tilde{S}$  is continuous. So, if  $\xi \in cl_{\tilde{S}}Y$ ,  $s\xi \in cl_{\tilde{S}}sY$ .

**Lemma 4.5.** If S is a group, then, for each  $s \in S$  and each  $\xi \in \tilde{S}$ ,  $s\xi = \{sY | Y \in \xi\}$ .

Proof. This is immediate from Lemma 4.4.

**Lemma 4.6.** Let (X, d) be a metric space and let  $\xi \in X$ . For each  $Y \in \xi$ and each  $\varepsilon > 0$ ,  $C_{B(Y,\varepsilon)}$  is a neighbourhood of  $\xi$  in  $\tilde{X}$ . Furthermore, the sets of this form provide a basis for the neighbourhoods of  $\xi$  in  $\tilde{X}$ .

*Proof.* Since  $\xi \in \tilde{X} \setminus C_{X \setminus B(Y,\varepsilon)} \subseteq C_{B(Y,\varepsilon)}, C_{B(Y,\varepsilon)}$  is a neighbourhood of  $\xi$ .

On the other hand, suppose that  $T \subseteq X$  and that  $\xi \in \tilde{X} \setminus C_T$ . Then  $T \notin \xi$ and so  $T \cap B(Y, \delta) = \emptyset$  for some  $Y \in \xi$  and some  $\delta > 0$  (by Lemma 2.5). Let  $\varepsilon > 0$  be satisfying  $2\varepsilon \leq \delta$ . Then  $\xi \in C_{B(Y,\varepsilon)}$  and  $C_{B(Y,\varepsilon)} \subseteq \tilde{X} \setminus C_T$  because  $B(Y,\varepsilon) \cap B(T,\delta) = \emptyset$ . Thus the sets of the form  $C_{B(Y,\varepsilon)}$  do provide a basis for the neighbourhoods of  $\xi$ .

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**Theorem 4.7.** Suppose that S is a topological group. Then the mapping  $(s,\xi) \mapsto s\xi$  is a continuous mapping from  $S \times \tilde{S}$  to  $\tilde{S}$ .

*Proof.* We now from Theorem 4.1 that the maps  $s \mapsto s\xi$  from S to  $\tilde{S}$  are uniformly continuous. Suppose that  $\phi : \tilde{S} \to \mathbb{R}$  is continuous and that  $\varepsilon < 0$ . There is  $\delta > 0$  such that  $|\phi(s\xi) - \phi(s'\xi)| < \varepsilon$  whenever  $d(s, s') < \delta$  and every  $\xi \in \tilde{S}$ . Let  $s \in S$  and  $\xi \in \tilde{S}$ . Since the map  $\lambda_s : \tilde{S} \to \tilde{S}$  is continuous, there is a neighbourhood W of  $\xi$  in  $\tilde{S}$  such that  $|\phi(s\xi) - \phi(s\xi')| < \varepsilon$  whenever  $\xi' \in W$ . It follows that  $|\phi(s\xi) - \phi(s'\xi')| < 2\varepsilon$  whenever  $d(s, s') < \delta$  and  $\xi' \in W$ .

In the next theorem, we show that there is a sense in which  $\hat{S}$  is the largest semigroup compactification of S in which the continuity condition of Theorem 3.7 is satisfied.

**Theorem 4.8.** Let S be a topological group. Suppose that T is a compact right topological semigroup and that  $h: S \to T$  is a continuous homomorphism. Suppose also that the mapping  $(s, \eta) \mapsto h(s)\eta$  is a continuous mapping from  $S \times T$ to T. Then there is a continuous homomorphism  $\tilde{h}: \tilde{S} \to T$  for which  $h = \tilde{h}_{|S}$ .

*Proof.* We shall first show that h is uniformly continuous.

Let  $\phi : T \to [0,1]$  be a continuous function and let  $\epsilon > 0$ . For each  $\eta \in T$  there will be a neighbourhood  $N(\eta)$  of  $\eta$  in T, and a neighbourhood  $U(\eta)$  of the identity in S, for which  $|\phi(h(s)\zeta) - \phi(\eta)| < \frac{\epsilon}{2}$  whenever  $s \in U(\eta)$  and  $\zeta \in N(\eta)$ . Now T will be covered by a finite number of neighbourhoods of the form  $N(\eta)$ , corresponding to points  $\eta_1, \eta_2, \ldots, \eta_n$  in T. Let  $U = \bigcap_{i=1}^n U(\eta_i)$ .

Suppose that  $s_1, s_2 \in S$  satisfy  $s_1 \in Us_2$ . If  $h(s_2) \in N(\eta_i)$ , then

$$|\phi(h(s_1s_2^{-1})h(s_2)) - \phi(\eta_i)| < \frac{\epsilon}{2}$$

and

$$|\phi(h(s_2)) - \phi(\eta_i)| < \frac{\epsilon}{2},$$

and so  $|\phi(h(s_1)) - \phi(h(s_2))| < \epsilon$ . Thus h is uniformly continuous.

It follows from Theorem 3.7 that there is a continuous function  $\tilde{h} : \tilde{S} \to T$  for which  $h = \tilde{h}_{|S}$ .

That  $\tilde{h}$  is a homomorphism can be seen as follows: For any  $\xi_1, \xi_2 \in \tilde{S}$ ,

$$\tilde{h}(\xi_1\xi_2) = \lim_{s_1 \to \xi_1} \lim_{s_2 \to \xi_2} h(s_1s_2)$$
$$= \lim_{s_1 \to \xi_1} \lim_{s_2 \to \xi_2} h(s_1)h(s_2)$$
$$= \tilde{h}(\xi_1)\tilde{h}(\xi_2) \blacksquare$$

**Remark 4.9.** If S is a semigroup then  $S^{LUC}$  compactification of S is defined to be the spectrum of the Banach algebra  $S^{LUC}$  of bounded left uniformly continuous functions on S i.e all  $f \in CB(S)$  such that the map  $s \to {}_sf, {}_sf(t) = f(st),$  $s, t \in S$ , is continuous when CB(S) has the sup norm topology. (Cf. [5].)

**Corollary 4.10.** If S is a group,  $\tilde{S}$  can be identified with the compactification  $S^{LUC}$ , since  $S^{LUC}$  is known to be the largest semigroup compactification of S in which the continuity condition of

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M. Koçak and İ. Akça Osmangazi Universitesi, Fen Edebiyat Fakültesi, Matematik Bölümü, 26480 Eskişehir, Turkey E-mails: iakca@ogu.edu.tr & mkocak@ogu.edu.tr