# A FAKE PROJECTIVE PLANE VIA 2-ADIC UNIFORMIZATION WITH TORSION

# DANIEL ALLCOCK AND FUMIHARU KATO

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Abstract. We adapt the theory of non-Archimedean uniformization to construct a smooth surface from a lattice in  $PGL_3(\mathbb{Q}_2)$  that has nontrivial torsion. It turns out to be a fake projective plane, commensurable with Mumford's fake plane yet distinct from it and the other fake planes that arise from 2-adic uniformization by torsion-free groups. As part of the proof, and of independent interest, we compute the homotopy type of the Berkovich space of our plane.

The original definition of a fake projective plane is a compact complex surface that has the same Betti numbers as  $\mathbb{C}P^2$ , but is not  $\mathbb{C}P^2$ . The first example was given by Mumford [15], and all fake planes have recently been classified by Prasad-Yeung [17] and Cartwright-Steger [8]: there are 100 of them up to isomorphism, in 50 complex-conjugate pairs.

Mumford used the theory of 2-adic uniformization, beginning with a well-chosen discrete subgroup of PGL<sub>3</sub>( $\mathbb{Q}_2$ ). His construction yields a fake projective plane over  $\mathbb{Q}_2$ . For this to make sense, we use Mumford's definition of a fake plane X over a general field K, which specializes to the above definition when  $K = \mathbb{C}$ . Namely: X is a smooth and geometrically connected proper surface over K, such that its base change to  $X_{\overline{K}}$  satisfies  $P_g = q = 0$ ,  $c_1^2 = 3c_2 = 9$  and has ample canonical class. Here  $\overline{K}$  denotes the algebraic closure of K. To get a fake plane in the original sense, one identifies  $\overline{\mathbb{Q}}_2$  with  $\mathbb{C}$  by some isomorphism.

The machinery used by Mumford required his discrete subgroup of  $PGL_3(\mathbb{Q}_2)$  to be torsion-free, and there are exactly two additional fake planes that can be constructed this way [13]. The purpose of this paper is to show that torsion can be allowed in the construction, leading to a "new" fake plane. Of course, it occurs in the Prasad-Yeung–Cartwright-Steger enumeration; what is new is that there is another fake plane realizable by 2-adic uniformization.

This is interesting for two reasons. First, it shows that uniformization by groups containing torsion is possible and yields varieties with interesting properties. Second, in the 2-adic approach, X is the generic fiber of a flat family over the 2-adic integers  $\mathbb{Z}_2$ , and the central fiber gives a great deal of geometric information about X that is not available in the Prasad-Yeung approach. For example, Ishida [12] showed that Mumford's fake plane covers an elliptic surface whose singular fibers have specific types, and Keum was able to use this to construct

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another fake plane [14]. The main open problem about fake planes is to construct one by non-transcendental methods. Since 2-adic uniformization yields additional information about the planes that may be constructed using it, we may reasonably hope that it will help solve this problem.

1. Non-Archimedean uniformization. In this section we give background material on non-Archimedean uniformization and recall how this guided Mumford in choosing the torsion-free lattice in PGL<sub>3</sub>( $\mathbb{Q}_2$ ) that uniformizes his fake plane. We call his lattice  $\Sigma_M$ ; his notation in [15] was  $\Gamma$ . In the next section we will describe another lattice  $\Sigma_L \subseteq PGL_3(\mathbb{Q}_2)$ and show how to use it to build a fake plane, even though  $\Sigma_L$  contains torsion.

Let *R* be a complete discrete valuation ring, *K* its field of fractions and  $k = R/\pi R$  the residue field, where  $\pi \in R$  is a fixed uniformizer. We assume *k* is finite with say *q* elements. We write  $\mathcal{B}_K$  for the Bruhat–Tits building of PGL<sub>3</sub>(*K*). This is a 2-dimensional simplicial complex whose vertices are the homothety classes of rank-three *R*-submodules of  $K^3$ . Two vertices are joined by an edge if (after scaling) one module contains the other with quotient a 1-dimensional *k* vector space. Three vertices span a triangle if they are pairwise joined by edges. PGL<sub>3</sub>(*K*) acts on  $\mathcal{B}_K$  in the obvious way.

The Drinfeld upper-half plane  $\Omega_K^2$  over *K* means the set of closed points of  $\mathbb{P}_K^2$ , minus those that lie on *K*-rational lines. It is an admissible open subset of the rigid analytic space  $\mathbb{P}_K^{2,an}$ , hence a rigid analytic space itself. We write  $\widehat{\Omega}_K^2$  for the 'standard' formal model of  $\Omega_K^2$  from [16, Prop. 2.4], where it is denoted  $\mathcal{P}(\Delta_*)$  with  $\Delta_* = \mathcal{B}_K$ . This is a formal scheme, flat and locally of finite type over Spf *R*, and equipped with a PGL<sub>3</sub>(*K*)-action. It has the following properties.

- The closed fiber  $\widehat{\Omega}_{K,0}^2$  is normal crossing, with each component a non-singular rational surface over k, isomorphic to  $\mathbb{P}_k^2$  blown up at all k-rational points.
- The double curves of  $\widehat{\Omega}_{K,0}^2$  that lie in one of these components are the exceptional curves of this blowup, which are (-1)-curves, and the proper transforms of *k*-rational lines of  $\mathbb{P}_k^2$ , which are (-q)-curves. Each double curve has different self-intersection numbers in the two components containing it.
- The dual complex of the closed fiber  $\widehat{\Omega}_{K,0}^2$  is PGL<sub>3</sub>(*K*)-equivariantly isomorphic to  $\mathcal{B}_K$ .

The second property allows us to orient the edges of  $\mathcal{B}_K$ , a property we will use only in Section 3. An edge corresponds to a curve where two components of  $\widehat{\Omega}_K^2$  meet; we orient the edge so that it goes from the component in which the curve has self-intersection -1 to the one in which it has self-intersection -q. The mnemonic is that the arrow on the edge can be thought of as a greater-than sign, indicating -1 > -q. Obviously PGL<sub>3</sub>(*K*) respects the orientations of edges. A triangle in  $\mathcal{B}_K$  corresponds to an intersection point of 3 components of  $\widehat{\Omega}_K^2$ , and from the description of the double curves it is easy to see that the edges corresponding to the three incident double curves form an oriented circuit. This induces a cyclic ordering on the set of these double curves. (Everything in this paragraph could alternately be developed in terms of *R*-submodules of  $K^3$  containing each other.)

Now suppose  $\Gamma$  is a torsion-free lattice in PGL<sub>3</sub>(K); all lattices are uniform, so the coset space is compact [19]. Because  $\Gamma$  is discrete and torsion-free, it acts freely on  $\mathcal{B}_K$ . By the correspondence between the vertices of  $\mathcal{B}_K$  and the components of  $\widehat{\Omega}_K^2$ ,  $\Gamma$  also acts freely on  $\widehat{\Omega}_{K}^{2}$ , and properly discontinuously with respect to the Zariski topology. The quotient  $\widehat{\mathfrak{X}}_{\Gamma} := \widehat{\Omega}_{K}^{2}/\Gamma$  is a proper flat formal *R*-scheme, whose closed fiber  $\widehat{\mathfrak{X}}_{\Gamma,0}$  is a normal crossing divisor [16, Thm. 3.1].

Its relative dualizing sheaf  $\omega_{\widehat{\mathfrak{X}}_{\Gamma}/R}$  over R is thus the sheaf of relative log differential 2forms. Since there are 'enough' double curves on each component one can show that  $\omega_{\widehat{\mathfrak{X}}_{\Gamma}/R}$ is relatively ample ([16, p. 204]). This implies that the formal scheme  $\widehat{\mathfrak{X}}_{\Gamma}$  is algebraizable, that is, isomorphic to the  $\pi$ -adic formal completion of a proper flat *R*-scheme  $\mathfrak{X}_{\Gamma}$ , which is uniquely determined up to isomorphism. The generic fiber  $X_{\Gamma} := \mathfrak{X}_{\Gamma,\eta}$  is then a proper smooth surface over K, and has ample canonical class. See [11, §5.4] for background.

On the other hand,  $\Gamma$  also acts freely and properly discontinuously on  $\Omega_K^2$ . This allows the construction of the rigid analytic quotient  $\Omega_K^2/\Gamma$ , which turns out to be K-isomorphic to the rigid analytic surface  $X_{\Gamma}^{\text{an}}$  got from  $X_{\Gamma}$  by analytification. In other words,  $\Omega_{K}^{2}/\Gamma$  is the Raynaud generic fiber of the formal scheme  $\hat{\mathfrak{X}}_{\Gamma}$ . In particular, the closed points of  $X_{\Gamma}$  are in bijection with those of  $\Omega_K^2 / \Gamma$ .

Now we come to Mumford's construction of his fake plane:

**PROPOSITION 1.1** ([15, §1]). Let N be the number of  $\Gamma$ -orbits on the vertices of  $\mathcal{B}_K$ , and as usual write  $q(X) := \dim H^1(X, \mathcal{O}_X)$  for the irregularity and  $P_q(X) := \dim H^2(X, \mathcal{O}_X)$ for the geometric genus of  $X = X_{\Gamma}$ . Then

- (a)  $\chi(\mathcal{O}_X) := 1 q(X) + P_g(X)$  is equal to  $\frac{N}{3}(q-1)^2(q+1)$ ; (b)  $c_1^2(X) = 3c_2(X) = 3N(q-1)^2(q+1)$ ;
- (c) q(X) = 0;
- (d) the canonical class  $K_X$  is ample.

Mumford took  $R = \mathbb{Z}_2$  (so q = 2) and chose a lattice in PGL<sub>3</sub>( $\mathbb{Q}_2$ ) we call  $\Sigma_M$ , which is vertex-transitive (so N = 1) and torsion-free (so the machinery applies). Abbreviating  $X_{\Sigma_M}$ to  $X_M$ , it follows that  $X_M$  is a fake projective plane over  $\mathbb{Q}_2$ .

We have now provided all the background necessary for the construction of "our" fake plane  $X_L$ , so the reader could skip to Section 2 immediately. We will use the same ideas, but more work is required because the group  $\Sigma_L$  uniformizing  $X_L$  contains torsion.

The rest of this section is preparation for Section 3, which shows that  $X_L$  is distinct from the three fake planes that can be obtained by using torsion-free groups in Mumford's construction. (Besides Mumford's example [15], there are exactly two more [13].) We will prove this distinctness by comparing their Berkovich spaces; here is the necessary background, cf. [4], [5].

For a rigid space or an algebraic variety Z over a complete non-Archimedean field, we denote by  $Z^{\text{Berk}}$  the associated Berkovich space; see [5, 1.6] for the relation between rigid geometry and Berkovich geometry, and [4, 3.4] for Berkovich GAGA. In both cases, the

associated Berkovich space  $Z^{\text{Berk}}$  is uniquely determined, and the functor  $Z \mapsto Z^{\text{Berk}}$  is fully faithful.

Let X be a quasi-projective variety over K, and G a finite group acting on X by automorphisms over K. It is well known that the quotient X/G is represented by a quasi-projective variety over K.

LEMMA 1.2. The quotient  $X^{\text{Berk}}/G$ , under the canonically induced action of G on  $X^{\text{Berk}}$ , is represented by a Berkovich K-analytic space, and is naturally isomorphic to  $(X/G)^{\text{Berk}}$ . Moreover, the underlying topological space of  $X^{\text{Berk}}/G$  coincides with the topological quotient of the topological space  $X^{\text{Berk}}$  by G.

PROOF. We may assume that X is affine, say  $X = \operatorname{Spec} A$  where A is a finite type algebra over K. By [4, Remark 3.4.2], we know that  $X^{\operatorname{Berk}}$  is the set of all multiplicative seminorms  $|\cdot|$  on A that extend the valuation  $||\cdot||$  on K. Set  $B = A^G$ , the G-invariant part, which is again a finite type algebra over K. Consider  $Y = \operatorname{Spec} B$  and the map  $\pi : X^{\operatorname{Berk}} \to Y^{\operatorname{Berk}}$  given by the restriction of seminorms.

First we show that  $\pi$  is surjective. Take  $y = |\cdot|_y \in Y^{\text{Berk}}$ , and let  $\mathfrak{q}$  be the kernel of  $|\cdot|_y$ , which is a prime ideal of B. Since A/B is finite, there exists a prime ideal  $\mathfrak{p}$  of A such that  $\mathfrak{p} \cap B = \mathfrak{q}$ . Let  $\mathcal{H}(y)$  be the completion of the residue field  $\kappa = \text{Frac}(B/\mathfrak{q})$  by the valuation induced from  $|\cdot|_y$ . Since  $\kappa = \text{Frac}(B/\mathfrak{q}) \hookrightarrow \text{Frac}(A/\mathfrak{p})$  is finite,  $\mathcal{H}(y) \hookrightarrow \text{Frac}(A/\mathfrak{p}) \otimes_{\kappa} \mathcal{H}(y)$ is a finite extension of fields, and hence the valuation  $|\cdot|_y$  extends (uniquely) to a valuation on the latter field. We thus have a multiplicative seminorm  $x = |\cdot|_x$  on A, which extends  $|\cdot|_y$ , which shows the surjectivity of  $\pi$ .

For injectivity, suppose  $x = |\cdot|_x$  and  $x' = |\cdot|_{x'}$  are points of  $X^{\text{Berk}}$  and satisfy  $x|_B = x'|_B$ . Let  $\mathfrak{p}$  and  $\mathfrak{p}'$  be the kernels of  $|\cdot|_x$  and  $|\cdot|_{x'}$ , and  $\mathfrak{q}$  the kernel of their common restriction on *B*. Since  $\mathfrak{q} = \mathfrak{p} \cap B = \mathfrak{p}' \cap B$ , there exists  $g \in G$  such that  $g^{-1}(\mathfrak{p}) = \mathfrak{p}'$ . Replacing x' by its *g*-image, we may assume  $\mathfrak{p} = \mathfrak{p}'$ . Then, by the uniqueness of the extension of valuations,  $|\cdot|_x$  and  $|\cdot|_{x'}$  coincide on  $\operatorname{Frac}(A/\mathfrak{p}) \otimes_{\kappa} \mathcal{H}(y)$ , and hence we have x = x'.

Thus the map  $X^{\text{Berk}}/G \to Y^{\text{Berk}}$  is set-theoretically bijective. By the construction, it is clearly continuous. Since  $X^{\text{Berk}}$  is compact and  $Y^{\text{Berk}}$  is Hausdorff,  $X^{\text{Berk}}/G \to Y^{\text{Berk}}$  is a homeomorphism. Hence one can endow  $X^{\text{Berk}}/G$  with the structure of a Berkovich strictly *K*-analytic space induced from that of  $Y^{\text{Berk}} = (X/G)^{\text{Berk}}$ . It is now clear that the resulting *K*-analytic space  $X^{\text{Berk}}/G$  gives the quotient of  $X^{\text{Berk}}$  by *G* in the category of Berkovich *K*-analytic spaces.

Let  $\Gamma$  be a lattice in PGL<sub>3</sub>(*K*). (One could replace 3 by any *n* by making trivial changes below.) By Selberg's lemma [2] we know there exists a torsion free normal subgroup  $\Gamma_0 \subseteq \Gamma$ of finite index. Set  $G = \Gamma/\Gamma_0$ . As discussed earlier in this section, the quotient  $\Omega_K^2/\Gamma_0$  is algebraizable, and is of the form  $X_{\Gamma_0}^{an}$  for a smooth projective variety  $X_{\Gamma_0}$  over *K*, which is obtained as the generic fiber of the algebraization  $\mathfrak{X}_{\Gamma_0}$  of the formal scheme  $\widehat{\mathfrak{X}}_{\Gamma_0} = \widehat{\Omega}_K^2/\Gamma_0$ . The rigid analytic space  $X_{\Gamma_0}^{an}/G \cong \Omega_K^2/\Gamma$  is then isomorphic to  $(X_{\Gamma_0}/G)^{an}$ , hence is algebraized by the projective variety  $X_{\Gamma_0}/G$ . We define  $X_{\Gamma}$  as  $X_{\Gamma_0}/G$ . It is independent of the

choice of  $\Gamma_0$  because if  $\Gamma'_0$  were another torsion free normal subgroup of  $\Gamma$  of finite index, then both  $X_{\Gamma_0}/(\Gamma/\Gamma_0)$  and  $X_{\Gamma'_0}/(\Gamma/\Gamma'_0)$  are naturally identified with  $X_{\Gamma_0\cap\Gamma'_0}/(\Gamma/(\Gamma_0\cap\Gamma'_0))$ .

We call a retraction  $\tau$ , from a topological space X to a subspace Z, a *deformation retraction* if there is a homotopy from id<sub>X</sub> to  $\tau$  that is constant on Z. (Usually the homotopy, rather than its time 1 map, is called the deformation retraction. So we are slightly abusing standard terminology.) We say that X *deformation-retracts* to Z if such a  $\tau$  exists. In this case it is standard that X and Z are homotopy equivalent.

LEMMA 1.3. Let  $\Gamma$  be a lattice in PGL<sub>3</sub>(K), and  $X_{\Gamma}$  the projective variety over K obtained as above. Then, for any finite field extension K'/K,  $X_{\Gamma}^{\text{Berk}} \otimes_K K'$  deformation-retracts to the quotient of the geometric realization of  $\mathcal{B}_K$  by  $\Gamma$ .

In particular, the homotopy type of  $X_{\Gamma}^{\text{Berk}} \otimes_K K'$  is the same as that of the topological space  $\mathfrak{B}_K / \Gamma$ .

PROOF. For the proof, we use the PGL<sub>3</sub>(*K*)-equivariant deformation retraction  $\tau$ :  $\Omega_K^{2,\text{Berk}} \otimes_K K' \to \mathcal{B}_K$  constructed by Berkovich; see below for details. Since the quotient map  $\Omega_K^{2,\text{Berk}} \otimes_K K' \to (\Omega_K^{2,\text{Berk}} \otimes_K K') / \Gamma_0$  is a topological covering map, we have the induced deformation retraction  $X_{\Gamma_0}^{\text{Berk}} \otimes_K K' = (\Omega_K^{2,\text{Berk}} \otimes_K K') / \Gamma_0 \to \mathcal{B}_K / \Gamma_0$ . The lemma follows from this and Lemma 1.2.

The rest of this section is devoted to a description of the deformation retraction  $\tau$ :  $\Omega_K^{2,\text{Berk}} \otimes_K K' \to \mathcal{B}_K$  for any finite extension K'/K, cf. [6] and [7, §5] (see also [9, XI, §3]). Following convention, for a seminorm  $x = |\cdot|_x$  on a Banach algebra A and an element  $f \in A$ , we often write |f(x)| in place of  $|f|_x$ .

Set  $A = K[T_0, T_1, T_2]$ , and denote by  $A_d$  for  $d \ge 0$  the *K*-vector subspace of *A* consisting of homogeneous polynomials of degree *d*. By Goldman-Iwahori [10], the geometric realization of  $\mathcal{B}_K$  is naturally identified with the quotient of the space  $\mathcal{N}$  of norms on the vector space  $A_1$  over *K*, by the obvious scaling action of  $\mathbb{R}_{>0}$ . Here the topology on  $\mathcal{N}$  is the weakest one such that all real valued functions of the form  $|\cdot| \mapsto |f|$ , for  $f \in A_1$ , are continuous.

If  $L = \{L_0, L_1, L_2\}$  is a *K*-basis of  $A_1$ , then we write  $\widetilde{\Lambda}(L)$  for the subset of  $\mathcal{N}$  consisting of all norms  $|\cdot|$  that have *L* as an orthogonal basis (i.e.,  $|\sum_{i=0}^2 a_i L_i| = \max_i ||a_i|| \cdot |L_i|$ ). The image of  $\widetilde{\Lambda}(L)$  in  $\mathcal{B}_K = \mathcal{N}/(\mathbb{R}_{>0})$  is the apartment  $\Lambda(L)$  corresponding to *L*. The fact that  $\mathcal{B}_K$  is covered by apartments reflects the fact that any *K*-vector space norm has an orthogonal basis. Let  $\widetilde{\Delta}$  be the subset of  $\widetilde{\Lambda}(L)$  defined by

$$\widetilde{\Delta} = \left\{ |\cdot| \in \widetilde{\Lambda}(L) \mid |L_0| \ge |L_1| \ge |L_2| \ge |\pi L_0| \right\}.$$

We define  $\Delta$  as  $\widetilde{\Delta}/(\mathbb{R}_{>0})$ , which is the chamber in the apartment  $\Lambda = \Lambda(L)$  whose three vertices  $|\cdot|_0$  and  $|\cdot|_1$  and  $|\cdot|_2$  are characterized by

$$\begin{split} |L_0|_0 &= 1, \quad |L_1|_0 = 1 & \text{and } |L_2|_0 = 1 \quad ; \\ |L_0|_1 &= 1, \quad |L_1|_1 = 1 & \text{and } |L_2|_1 = \|\pi\| \, ; \\ |L_0|_2 &= 1, \quad |L_1|_2 = \|\pi\| & \text{and } |L_2|_2 = \|\pi\| \, . \end{split}$$

These vertices correspond respectively to the *R*-modules

$$M_0 = R L_0 + R L_1 + R L_2$$
  

$$M_1 = R L_0 + R L_1 + \pi^{-1} R L_2$$
  

$$M_2 = R L_0 + \pi^{-1} R L_1 + \pi^{-1} R L_2$$

The correspondence is that  $|\cdot|_i$  is the  $\pi$ -adic norm with unit ball  $M_i$ , i.e., for non-zero  $L \in A_1$ ,  $|L|_i = ||\pi||^k$ , where  $k = \max\{l \mid L \in \pi^l M_i\}$ . Notice that, in terms of the inhomogeneous coordinates  $t_1 = L_1/L_0$ ,  $t_2 = L_2/L_0$ , the chamber  $\Delta$  is described as

$$\Delta = \left\{ x = |\cdot|_x \in \Lambda \mid 1 \ge |t_1|_x \ge |t_2|_x \ge ||\pi|| \right\}.$$

(For this to make sense, one must observe that  $|t_i|_x$  is invariant under scaling  $|\cdot|_x$  by elements of  $\mathbb{R}_{>0}$ .)

Let us construct the retraction map  $\tau: \Omega_K^{2,\text{Berk}} \otimes_K K' \to \mathcal{B}_K$ . Recall that the affine space  $\mathbb{A}_{K'}^{3,\text{Berk}}$  is the set of all multiplicative seminorms on  $A_{K'} = K'[T_0, T_1, T_2]$  that extend the valuation  $\|\cdot\|$  on K'. It is endowed with the weakest topology with respect to which all real valued functions on  $A_{K'}$  of the form  $|\cdot| \mapsto |f|$  for  $f \in A_{K'}$  are continuous. Let  $0 = |\cdot|_0 \in \mathbb{A}_{K'}^{3,\text{Berk}}$  be the point corresponding to the origin, i.e., the seminorm that vanishes on the ideal  $(T_0, T_1, T_2)$ . The projective plane  $\mathbb{P}_{K'}^{2,\text{Berk}}$  is, as a topological space, the quotient of  $\mathbb{A}_{K'}^{3,\text{Berk}} \setminus \{0\}$  divided by the equivalence relation ~ defined as follows: For  $x, y \in \mathbb{A}_{K'}^{3,\text{Berk}} \setminus \{0\}$ ,  $x \sim y$  if there exists a positive real number  $\lambda > 0$  such that, for any  $d \ge 0$  and  $f \in A_{K',d}$ , we have  $|f(x)| = \lambda^d |f(y)|$ . Now, let  $\widetilde{\Omega}$  be the pull-back of  $\Omega_K^{2,\text{Berk}} \otimes_K K'$  by the projection map  $\mathbb{A}_{K'}^{3,\text{Berk}} \setminus \{0\} \to \mathbb{P}_{K'}^{2,\text{Berk}}$ . Then  $\widetilde{\Omega}$  is the subspace of  $\mathbb{A}_{K'}^{3,\text{Berk}}$  consisting of seminorms  $x = |\cdot|_x$ whose restriction to  $A_1$  gives a norm on  $A_1$ . Indeed, the restriction of  $x = |\cdot|_x$  to  $A_1$  fails to be a norm if and only if there exists a non-zero K-rational linear form  $L \in A_1$  such that |L(x)| = 0. That is, if and only if x lies outside  $\Omega_K^{2,\text{Berk}} \otimes_K K'$ . By restricting seminorms on  $A_{K'}$  to seminorms on A, we therefore have a continuous map  $\widetilde{\tau}: \widetilde{\Omega} \to \mathcal{N}$ . This is the promised retraction  $\tau: \Omega_K^{2,\text{Berk}} \otimes_K K' \to \mathcal{B}_K$ .

We next construct an inclusion  $j: \mathcal{B}_K \hookrightarrow \Omega_K^{2,\text{Berk}} \otimes_K K'$ . For any  $|\cdot| \in \mathcal{N}$ , take an orthogonal basis  $L = \{L_0, L_1, L_2\}$  of  $A_1$ , i.e., one with the property  $|\sum_{i=0}^2 a_i L_i| = \max ||a_i|| \cdot |L_i|$ . Then one can extend  $|\cdot|$  to a multiplicative seminorm on  $A = K[L_0, L_1, L_2]$ in an obvious way. Namely, for  $f = \sum_{\nu} a_{\nu} L^{\nu}$ , written with the multi-index  $\nu = (\nu_0, \nu_1, \nu_2)$ , we set  $|f| = \max_{\nu} ||a_{\nu}|| \cdot |L|^{\nu}$ . This gives  $\mathcal{N} \to \widetilde{\Omega}$ , and thus the continuous map  $j: \mathcal{B}_K \to \Omega_K^{2,\text{Berk}} \otimes_K K'$  by passage to the quotients. It is clear that we have  $\tau \circ j = \text{id}_{\mathcal{B}_K}$ . Regarding  $\mathcal{B}_K$  as a subspace of  $\Omega_K^{2,\text{Berk}} \otimes_K K'$  via j, the map  $\tau$  of the previous paragraph is a retraction. The next step is to show that it is a deformation retraction.

We need to construct a homotopy from the identity map on  $\Omega_K^{2,\text{Berk}} \otimes_K K'$  to  $j \circ \tau$ . This is done by first constructing a natural homotopy  $\Phi: \tau^{-1}(\Delta) \times [0, 1] \to \tau^{-1}(\Delta)$  from  $\mathrm{id}_{\tau^{-1}(\Delta)}$  to  $j \circ \tau$ , where  $\Delta$  is the chamber constructed above from  $\{T_0, T_1, T_2\}$ . Then one extends the homotopy to all of  $\Omega_K^{2,\text{Berk}} \otimes_K K'$  by demanding PGL<sub>3</sub>(*K*)-equivariance. The subspace  $\tau^{-1}(\Delta) \subseteq \Omega_K^{2,\text{Berk}} \otimes_K K'$  is an affinoid subdomain, of which the affinoid algebra

is of the form  $\mathcal{A}_{K'} = \mathcal{A} \otimes_K K'$  with  $\mathcal{A}$  a strictly *K*-affinoid algebra. The explicit description of (a formal model of)  $\mathcal{A}$  is given in [15, p. 234]; in our setting, the formula there should be read with  $T_0 = l_2$ ,  $T_1 = l_1$ , and  $T_2 = l_0$ . In particular,  $\tau^{-1}(\Delta)$  is an affinoid subdomain of the Berkovich spectrum  $Y = \mathcal{M}(\mathcal{B})$  of  $\mathcal{B} = K' \langle t_1, \frac{t_2}{t_1}, \frac{\pi}{t_2} \rangle$ .

Now it turns out that our situation is exactly the one in [7, Lemma 5.6], where  $X = \mathcal{M}(K')$  (= point) and

$$S = \left\{ (r_0, r_1, r_2) \in [0, 1]^3 \mid r_0 r_1 r_2 = \|\pi\| \right\}.$$

We have a bijection  $\Delta \xrightarrow{\sim} S$  by  $x \mapsto (|t_1(x)|, \frac{|t_2(x)|}{|t_1(x)|}, \frac{||\pi||}{|t_2(x)|})$ . Furthermore, under this identification, our map  $\tau$  coincides with the map  $\tau$  there, restricted to our  $\tau^{-1}(\Delta)$ . Also, our map *j* coincides with Berkovich's  $\theta$ . Hence, to construct the homotopy  $\Phi$ , we can apply the argument in Step 2 of the proof of [7, Lemma 5.6]. Let us briefly sketch the construction to facilitate the reader's own checking.

Consider the K'-affinoid torus  $\mathbb{T} = \{x \in \mathbb{A}_{K'}^{1,\text{Berk}} \mid |u(x)| = 1\}$  corresponding to the affinoid algebra  $K'\langle u, u^{-1} \rangle$ , and let G be the kernel of the multiplication map  $\mathbb{T}^3 \to \mathbb{T}$ . The K'-analytic group G acts on Y, and moreover on  $\tau^{-1}(\Delta)$ , diagonally with respect to the coordinates  $t_1, \frac{t_2}{t_1}, \frac{\pi}{t_2}$  of  $\mathcal{A}_{K'}$ . Notice that this action respects the fibers of  $\tau : \tau^{-1}(\Delta) \to \Delta$ . For any  $0 \le r \le 1$ , let  $G_r$  be the subgroup of G consisting of x with  $|(u_i - 1)(x)| \le r$  for all i = 1, 2, 3. Clearly,  $G_0$  is the unit group {1}, and so we set  $g_0 = 1$ . If 0 < r < 1, then  $G_r$  is a polydisc (of radius r), and hence it has the maximal point  $g_r$ ; if r = 1, we have  $G_1 = G$ , and hence has the maximal point  $g_1$  (cf. [4, p. 101] for the notion of 'maximal point'). The map  $[0, 1] \to G$  mapping  $r \mapsto g_r$  is continuous, which then gives rise to the desired homotopy

$$\Phi\colon \tau^{-1}(\Delta)\times[0,1]\to\tau^{-1}(\Delta),\quad (y,r)\mapsto y_r:=g_r*y\,,$$

where  $g_r * y$  denotes the '\*-multiplication' defined in [4, §5.2]. (See [4, 6.1.3] for an explicit description of  $y_r$ .)

2. Construction of the fake plane. We fix  $R = \mathbb{Z}_2$  throughout the rest of the paper and suppress the subscript  $K = \mathbb{Q}_2$  from  $\Omega^2$ ,  $\widehat{\Omega}^2$  and  $\mathcal{B}$ .

We recall the following construction from [1]. Let  $\mathcal{O}$  be the ring of algebraic integers in  $\mathbb{Q}(\sqrt{-7})$ ,  $\Gamma_L$  be the unitary group of the standard Hermitian lattice  $\mathcal{O}\left[\frac{1}{2}\right]^3$ , and  $P\Gamma_L$  its quotient by scalars. To get a lattice in PGL<sub>3</sub>( $\mathbb{Q}_2$ ) we fix an embedding  $\mathcal{O} \to \mathbb{Z}_2$ . This identifies  $P\Gamma_L$  with a lattice in PGL<sub>3</sub>( $\mathbb{Q}_2$ ), indeed one of the two densest-possible lattices.

(The two meanings of "lattice" are a common difficulty in this subject. We use "Hermitian lattice" for a free module equipped with a Hermitian form, and "lattice" for a finite-covolume discrete subgroup. Also, in [1] we defined  $\Gamma_L$  as the isometry group of  $L[\frac{1}{2}] := L \otimes_{\mathbb{O}} \mathbb{O}[\frac{1}{2}]$  for a more-complicated Hermitian  $\mathbb{O}$ -lattice L. But  $L[\frac{1}{2}] = \mathbb{O}[\frac{1}{2}]^3$ . So the definitions are equivalent.)

We write  $\lambda$ ,  $\overline{\lambda}$  for  $(-1 \pm \sqrt{-7})/2$ . These are the two primes lying over 2, and we choose the notation so that  $\lambda$  is a uniformizer of  $\mathbb{Z}_2$  and  $\overline{\lambda}$  is a unit. Defining  $\theta$  as  $\lambda - \overline{\lambda} = \sqrt{-7}$ , we obtain an induced inner product on  $\mathbb{O}[\frac{1}{2}]^3/\theta \mathbb{O}[\frac{1}{2}]^3 \cong \mathbb{F}_7^3$ . This pairing is symmetric and

nondegenerate, yielding a natural map from  $\Gamma_L$  to the 3-dimensional orthogonal group over  $\mathbb{F}_7$ . This descends to a homomorphism  $P\Gamma_L \to PO_3(7) \cong PGL_2(7)$ . We write  $\Phi_L$  for the kernel.

LEMMA 2.1.  $\Phi_L \subseteq \text{PGL}_3(\mathbb{Q}_2)$  is torsion-free.

PROOF. We adapt Siegel's proof [18, §39] that the kernel of  $GL_n(\mathbb{Z}) \to GL_n(\mathbb{Z}/N)$  is torsion-free for any N > 2. Suppose given some nontrivial  $y \in \Phi_L$  with finite order, which we may suppose is a rational prime p. Choose some lift  $x \in \Gamma_L$  of it, so  $x^p$  is a scalar  $\sigma$ . By  $y \in \Phi_L$ , the image of x in O<sub>3</sub>(7) is a scalar, which is to say that  $x \equiv \pm I \mod \theta$ . We claim: for any  $n \ge 1$ , x is congruent mod  $\theta^n$  to some scalar  $\sigma_n \in \mathbb{O}[\frac{1}{2}]$ . It follows easily that x itself is a scalar, contrary to the hypothesis  $y \ne 1$ .

We prove the claim if  $p \neq 7$  and then show how to adapt the argument if p = 7. We established the claim for n = 1 in the previous paragraph, with  $\sigma_1 = \pm 1$ . For the inductive step suppose  $n \ge 1$  and  $x \equiv \sigma_n I \mod \theta^n$ , so  $x = \sigma_n I + \theta^n T$  for some endomorphism T of  $\mathbb{O}[\frac{1}{2}]^3$ . We must show that T is congruent mod  $\theta$  to some scalar. Reducing  $x^p = \sigma$  modulo  $\theta^n$  and  $\theta^{n+1}$  shows that  $\theta^n$  divides  $\sigma - \sigma_n^p$  and that  $\sigma_n^p I + p\sigma_n^{p-1}\theta^n T \equiv \sigma I \mod \theta^{n+1}$ . Rearranging shows that  $p\sigma_n^{p-1}T$  is the scalar  $(\sigma - \sigma_n^p)/\theta^n$ , modulo  $\theta$ . Since  $\sigma_n$  and  $p \neq 7$  are invertible mod  $\theta$ , this shows that T is also a scalar mod  $\theta$ . So  $\sigma$  is a scalar mod  $\theta^{n+1}$ , finishing the induction.

If  $p = 7 = -\theta^2$  then we write  $x = \sigma_n I + \theta^n T$  as before, but reduce  $x^7 = \sigma$  modulo  $\theta^{n+2}$  and  $\theta^{n+3}$  rather than modulo  $\theta^n$  and  $\theta^{n+1}$ . This shows that  $\theta^{n+2}$  divides  $\sigma - \sigma_n^7$  and that  $\sigma_n^7 I + 7\sigma_n^6 \theta^n T \equiv \sigma I \mod \theta^{n+3}$ . The rest of the argument is the same.

LEMMA 2.2.  $P\Gamma_L \rightarrow PGL_2(7)$  is surjective.

PROOF. We showed in [1, Thm. 3.2] that  $P\Gamma_L$  has two orbits on vertices of  $\mathcal{B}$ , with stabilizers  $L_3(2) := PSL_3(\mathbb{F}_2) \cong PSL_2(\mathbb{F}_7)$  and the symmetric group  $S_4$ . Fix a vertex v of the first type. By Lemma 2.1,  $\Phi_L$  is torsion-free, so the map  $P\Gamma_L \rightarrow PGL_2(7)$  is injective on this  $L_3(2)$ . Its image must be the unique copy of this group in PGL<sub>2</sub>(7), namely PSL<sub>2</sub>(7). Next, the fourteen subgroups  $S_4$  of  $L_3(2)$  are the  $P\Gamma_L$ -stabilizers of the neighbors of v. These are all conjugate in  $P\Gamma_L$ , but not in  $L_3(2)$ . Therefore the image of  $P\Gamma_L$  in PGL<sub>2</sub>(7) must be strictly larger than PSL<sub>2</sub>(7), hence equal to PGL<sub>2</sub>(7).

Since  $\Phi_L$  is torsion-free, non-Archimedean uniformization yields a  $\mathbb{Z}_2$ -scheme  $\mathfrak{X}_{\Phi_L}$ . We will write  $\mathfrak{W}_L$  for it and  $W_L$  for its generic fiber. We fix a Sylow 2-subgroup of PGL<sub>2</sub>(7), which is a dihedral group  $D_{16}$  of order 16, and write  $\Sigma_L$  for its preimage in  $P\Gamma_L$ . ( $\Sigma$  is meant to suggest Sylow.) Because  $\Phi_L$  is normal in  $\Sigma_L$ , the quotient group  $D_{16}$  acts on  $\widehat{\Omega}^2/\Phi_L$ , hence on  $\mathfrak{W}_L$  by the uniqueness of algebraization. (Indeed all of  $P\Gamma_L/\Phi_L = PGL_2(7)$  acts.) Because  $\mathfrak{W}_L$  is projective and flat over  $\mathbb{Z}_2$ , the quotient  $\mathfrak{W}_L/D_{16}$  is also projective and flat over  $\mathbb{Z}_2$ . We write  $X_L$  for its generic fiber  $W_L/D_{16}$ . This is our fake projective plane, proven to be such in Theorem 2.4 below.

The reader familiar with Mumford's construction [15] will recognize that our constructions parallel his. ( $X_L$  and  $X_M$  are even commensurable, by [1, Theorem 3.3].) Mumford

considered the projective unitary group  $\Gamma_M$  of  $M[\frac{1}{2}]$ , where M is a different Hermitian Olattice. He found that its action on  $M[\frac{1}{2}]/\theta M[\frac{1}{2}]$  induces a surjection  $P\Gamma_M \rightarrow PSL_2(7)$ . The subgroup  $\Sigma_M$  of  $P\Gamma_M$  corresponding to a Sylow 2-subgroup  $D_8 \subseteq PSL_2(7)$  uniformizes his fake plane. His  $\Sigma_M$  is torsion-free, while our  $\Sigma_L$  contains finite subgroups  $D_8$ . The following lemma is the key that allows the construction of "our" fake plane to work despite this torsion.

LEMMA 2.3.  $D_{16}$  acts freely on the closed points of  $W_L$ . In particular,  $W_L \rightarrow X_L$  is étale and  $X_L$  is smooth.

We remark that  $D_{16}$  has horrible stabilizers in the central fiber of  $\mathfrak{W}_L$ , such as components with pointwise stabilizer  $(\mathbb{Z}/2)^2$ .

PROOF. Recall from Section 1 that the closed points of  $W_L$  are in bijection with the  $\Phi_L$ -orbits on the points of  $\Omega^2$ . The freeness of  $D_{16}$ 's action on this set of orbits is equivalent to the freeness of  $\Sigma_L$ 's action on  $\Omega^2$ . Since  $\Phi_L$  is a torsion-free uniform lattice, it is normal hyperbolic in the sense of [16, §1], so it acts freely on  $\Omega^2$ . An infinite-order element of  $\Sigma_L$  cannot have fixed points in  $\Omega^2$ , because some power of it is a nontrivial element of  $\Phi_L$ . So only the torsion elements of  $\Sigma_L$  could have fixed points.

Because  $\Phi_L$  is torsion-free, the map  $\Sigma_L \rightarrow \Sigma_L/\Phi_L = D_{16}$  preserves the orders of torsion elements. Therefore every torsion element of  $\Sigma_L$  has 2-power order. To show that none of them have fixed points in  $\Omega^2$ , it suffices to show that no involution in  $\Sigma_L$  has a fixed point.

In fact, no involution in PGL<sub>3</sub>( $\mathbb{Q}_2$ ) has a fixed point in  $\Omega^2$ . To see this, suppose  $g \in$  GL<sub>3</sub>( $\mathbb{Q}_2$ ) represents an involution of PGL<sub>3</sub>( $\mathbb{Q}_2$ ). Its square is a scalar, so its eigenvalues are  $\pm \alpha$  for some  $\alpha \in \overline{\mathbb{Q}_2}$  with  $\alpha^2 \in \mathbb{Q}_2$ . Since det  $g = \pm \alpha^3$  also lies in  $\mathbb{Q}_2$ , we have  $\alpha \in \mathbb{Q}_2$ . So g's eigenspaces are defined over  $\mathbb{Q}_2$ . Now,  $\Omega^2$  was defined as the set of closed points of  $\mathbb{P}^2_{\mathbb{Q}_2}$ , minus those lying on lines defined over  $\mathbb{Q}_2$ . Since g's eigenspaces are defined over  $\mathbb{Q}_2$ ,  $\mathbb{Q}_2$ . Since g's eigenspaces are defined over  $\mathbb{Q}_2$ ,  $\mathbb{Q}_2$ . Since g's eigenspaces are defined over  $\mathbb{Q}_2$ , g has no fixed points in  $\Omega^2$ .

THEOREM 2.4.  $X_L$  is a fake projective plane.

PROOF. First we count sixteen  $\Phi_L$ -orbits on vertices of  $\mathcal{B}$ : the  $P\Gamma_L$ -orbit of vertices with stabilizer  $L_3(2)$  splits into  $[PGL_2(7) : L_3(2)] = 2$  orbits under  $\Phi_L$ , and the  $P\Gamma_L$ -orbit of vertices with stabilizer  $S_4$  splits into  $[PGL_2(7) : S_4] = 14$  orbits under  $\Phi_L$ .

So Proposition 1.1 shows that  $\chi(W_L) = 16$ ,  $q(W_L) = 0$ ,  $c_1^2(W_L) = 3c_2(W_L) = 144$ , and that  $W_L$  has ample canonical class. We now use three times the fact that  $W_L \rightarrow X_L$  is étale. First, since the degree is 16, we have  $\chi(X_L) = 1$  and  $c_1^2(X_L) = 3c_2(X_L) = 9$ . Second,  $X_L$  has the same Kodaira dimension as  $W_L$  (e.g. [3, Chap. I, (7.4)]), hence has general type. Third, since  $W_L$  has irregularity 0, the following lemma shows that  $X_L$  also has irregularity 0. From the definition of  $\chi$  it follows that  $P_q(X_L) = 0$ , completing the proof.

LEMMA 2.5. Let X and Y be algebraic varieties over a field K, and  $f: Y \to X$  a finite flat morphism of degree not divisible by the characteristic of K. Let q > 0 be a positive integer. Then, if  $H^q(Y, \mathcal{O}_Y) = 0$ , we have  $H^q(X, \mathcal{O}_X) = 0$ .

PROOF. By flatness,  $f_*\mathcal{O}_Y$  is locally free on *X*. Then the trace map  $\operatorname{tr}_{Y/X} \colon f_*\mathcal{O}_Y \to \mathcal{O}_X$ , divided by the degree of *f*, gives a splitting of the inclusion  $\mathcal{O}_X \hookrightarrow f_*\mathcal{O}_Y$ . Since  $\mathcal{O}_X$  is a direct summand of  $f_*\mathcal{O}_Y$ , the lemma follows immediately.  $\Box$ 

# 3. Distinctness from other fake planes. Our final result is the following:

THEOREM 3.1. The fake plane  $X_L$  is not isomorphic over  $\overline{\mathbb{Q}}_2$  to any fake plane uniformized by a torsion-free subgroup of PGL<sub>3</sub>( $\mathbb{Q}_2$ ).

PROOF. Suppose X is a fake projective plane uniformized by a torsion-free subgroup  $P\Gamma$  of PGL<sub>3</sub>( $\mathbb{Q}_2$ ). Although we don't need it, we remark that there are three possibilities: Mumford's example and two due to Ishida–Kato [13]. By Lemma 1.3, the Berkovich space  $X^{\text{Berk}}$  has the homotopy type of  $\mathcal{B}/P\Gamma$ . Since  $\mathcal{B}$  is contractible and  $\Gamma$  acts freely (by the absence of torsion), the fundamental group of  $X^{\text{Berk}}$  is isomorphic to  $P\Gamma$ . Furthermore, Lemma 1.3 assures us that the base extension  $X^{\text{Berk}} \otimes_{\mathbb{Q}_2} K'$  has the same homotopy type, for any finite extension K' of  $\mathbb{Q}_2$ .

Repeating the argument shows that  $X_L^{\text{Berk}} \otimes_{\mathbb{Q}_2} K'$  is homotopy equivalent to  $\mathcal{B}/\Sigma_L$ , for any finite extension K' of  $\mathbb{Q}_2$ . And Lemma 3.4 below shows that  $\mathcal{B}/\Sigma_L$  has the homotopy type of the standard presentation complex of  $\mathbb{Z}/42$ . That is, a circle with a disk attached by wrapping its boundary 42 times around the circle. It follows that  $X_L^{\text{Berk}} \otimes_{\mathbb{Q}_2} K'$  has fundamental group  $\mathbb{Z}/42$ .

If X and  $X_L$  were isomorphic over  $\overline{\mathbb{Q}}_2$  then they would be isomorphic over some finite extension K' of  $\mathbb{Q}_2$ . Then the isomorphism  $X \otimes_{\mathbb{Q}_2} K' \cong X_L \otimes_{\mathbb{Q}_2} K'$  would imply  $X^{\text{Berk}} \otimes_{\mathbb{Q}_2} K' \cong X_L^{\text{Berk}} \otimes_{\mathbb{Q}_2} K'$ . But this is impossible since the left side has infinite fundamental group and the right side has fundamental group  $\mathbb{Z}/42$ .

It remains to state and prove Lemma 3.4, describing the homotopy type of  $\mathcal{B}/\Sigma_L$ . The rest of this section is devoted to this. The key is to understand the central fiber of  $\mathfrak{W}_L/D_{16}$ , which in turn requires understanding the central fiber of  $\mathfrak{W}_L$ . Recall that the central fiber of  $\widehat{\Omega}^2$  is a normal crossing divisor with properties described in Section 1.

The central fiber of  $\mathfrak{W}_L$  is normal crossing because it is the quotient of the central fiber of  $\widehat{\Omega}^2$  by the group  $\Phi_L$  acting freely. To describe it we need to enumerate its components, double curves and triple points. Our description in the next lemma refers to the set  $\mathcal{E}$  of "elements of the finite projective geometry", meaning the seven points and seven lines of the projective plane over  $\mathbb{F}_2$ . We regard these as the vertices of a graph, with two elements incident if one corresponds to a point and the other to a line containing it. The symbols e, f will always refer to elements of  $\mathcal{E}$ , and the symbols p, p', p'' (resp. l, l', l'') will always refer to points (resp. lines) of this finite geometry. The automorphism group of the graph is PGL<sub>2</sub>(7)  $\cong$  PSL<sub>2</sub>(7)  $\rtimes$  ( $\mathbb{Z}/2$ )  $\cong$  GL<sub>3</sub>(2)  $\rtimes$  ( $\mathbb{Z}/2$ ). Classically, the elements of PGL<sub>2</sub>(7) not in PSL<sub>2</sub>(7) are called "correlations"; they exchange points and lines.

LEMMA 3.2.  $\mathfrak{W}_{L,0}$  has 16 components, 112 double curves and 112 triple points. In more detail,

- (i) We may label 𝔐<sub>L,0</sub>'s components Π, Π\* and C<sub>e</sub> with e ∈ 𝔅, such that PGL<sub>2</sub>(7) permutes the C<sub>e</sub>'s the same way it acts on 𝔅. Furthermore, correlations exchange Π and Π\*.
- (ii)  $\Pi$  and  $\Pi^*$  are disjoint.
- (iii)  $D_e := C_e \cap \Pi$  and  $D_e^* := C_e \cap \Pi^*$  are irreducible curves.
- (iv) If  $e, f \in \mathcal{E}$  are incident then each of  $P_{ef} := \Pi \cap C_e \cap C_f$  and  $P_{ef}^* := \Pi^* \cap C_e \cap C_f$  is a single point.
- (v) If e and f are distinct non-incident elements of  $\mathcal{E}$ , then  $C_e \cap C_f = \emptyset$ .
- (vi) If  $e, f \in \mathcal{E}$  are incident then  $C_e \cap C_f$  has two components. One, which we call  $D_{ef}$ , has self-intersection -1 in  $C_e$  and -2 in  $C_f$ . The other, called  $D_{fe}$ , has these numbers reversed.
- (vii) The singular locus of each  $C_e$  is a curve of three components. For each  $f \in \mathcal{E}$  incident to e, exactly one of these components meets  $C_f$ ; we call it  $E_{ef}$ .
- (viii) If  $e, f \in \mathcal{E}$  are incident then  $Q_{ef} := E_{ef} \cap C_f$  is a single point.
- (ix) Each  $C_e$  has two triple-self-intersection points. At such a triple point the incident double curves are  $E_{ef_1}$ ,  $E_{ef_2}$  and  $E_{ef_3}$  where  $f_1$ ,  $f_2$ ,  $f_3$  are the elements of the geometry incident to e. We may label these triple points  $R_{eo}$ , where o is a cyclic ordering on { $f_1$ ,  $f_2$ ,  $f_3$ }, such that PGL<sub>2</sub>(7) permutes them the same way it permutes the ordered pairs (e, o).

The components fall into two PGL<sub>2</sub>(7)-orbits:

- (1)  $\{\Pi, \Pi^*\}$
- (2) the fourteen  $C_e$ 's.

The double curves fall into four  $PGL_2(7)$ -orbits:

- (3) the seven  $D_p$ 's and seven  $D_l^*$ 's
- (4) the seven  $D_l$ 's and seven  $D_p^*$ 's
- (5) the forty-two  $D_{ef}$ 's
- (6) the forty-two  $E_{ef}$ 's.

*The triple points fall into three* PGL<sub>2</sub>(7)*-orbits:* 

- (7) the twenty-one  $P_{ef}$ 's and twenty-one  $P_{ef}^*$ 's
- (8) the forty-two  $Q_{ef}$ 's
- (9) the twenty-eight  $R_{eo}$ 's.

Note that  $P_{ef} = P_{fe}$  and  $P_{ef}^* = P_{fe}^*$ , unlike all other cases involving double subscripts.

PROOF. We will pass between vertices of  $\mathcal{B}$  and components of  $\widehat{\Omega}^2$  without comment whenever it is convenient. By [1, Thm. 3.2],  $P\Gamma_L$  acts on the vertices of  $\mathcal{B}$  with two orbits, having stabilizers  $L_3(2)$  and  $S_4$ . Write  $\widetilde{\Pi}$  for a component of  $\widehat{\Omega}^2$  with stabilizer  $L_3(2)$ . Recall that  $\Phi_L$  is the kernel of a surjection  $P\Gamma_L \rightarrow PGL_2(7)$ . Since  $\Phi_L$  is torsion-free,  $L_3(2)$  must inject into  $PGL_2(7)$ , so its  $P\Gamma_L$ -orbit splits into two  $\Phi_L$ -orbits. We write  $\Pi$  for the  $\Phi_L$ -orbit containing  $\widetilde{\Pi}$ , and  $\Pi^*$  for the other  $\Phi_L$ -orbit. We use the same notation for the corresponding components of  $\mathfrak{W}_{L,0}$ . The same argument shows that the  $P\Gamma_L$ -orbit with stabilizer  $S_4$  splits

into  $[PGL_2(7) : S_4] = 14$  orbits under  $\Phi_L$ . There is only one conjugacy class of  $S_4$ 's in PGL<sub>2</sub>(7), represented by the stabilizer of a point of  $\mathcal{E}$ . Therefore PGL<sub>2</sub>(7)'s action on these components of  $\mathfrak{W}_{L,0}$  must correspond to its action on  $\mathcal{E}$ . We have proven (i). We will call the components other than  $\Pi$ ,  $\Pi^*$  the *side components*; this reflects our mental image of  $\mathfrak{W}_{L,0}$ :  $\Pi$  above,  $\Pi^*$  below, and the other components around the sides.

By the explicit description of  $P\Gamma_L$  in the proof of [1, Thm. 3.2], each of  $\Pi$ 's neighbors in  $\mathcal{B}$  has  $P\Gamma_L$ -stabilizer  $S_4$ , hence is inequivalent to  $\Pi$ . Therefore the union of the  $P\Gamma_L$ translates of  $\Pi$  is the disjoint union of its components. Since  $\Phi_L$  permutes these components freely, it follows that  $\Pi$  and  $\Pi^*$  are disjoint, proving (ii). It also follows that  $\Pi$  maps isomorphically to  $\Pi$ .

Therefore  $\Pi$  is a copy of  $\mathbb{P}^2_{\mathbb{F}_2}$  blown up at its seven  $\mathbb{F}_2$ -points. The curves along which it meets other components are the seven exceptional divisors and the strict transforms of the seven  $\mathbb{F}_2$ -rational lines. Suppose  $e \in \mathcal{E}$  corresponds to one of these curves. The  $L_3(2)$ stabilizer of e preserves exactly one element of  $\mathcal{E}$ , namely e itself. Therefore it preserves exactly one side component, namely  $C_e$ . So  $C_e$  must be the side component that meets  $\Pi$ along the chosen curve. In this way the 14 side components account for all the double curves lying in  $\Pi$ , proving that each  $C_e \cap \Pi$  is irreducible. By symmetry the same holds for  $C_e \cap \Pi^*$ . This proves (iii), and then (iv) is immediate.

A simple counting argument shows that  $\mathfrak{W}_{L,0}$  has 112 double curves and 112 triple points. We have already named the 28 double curves ( $D_e$  and  $D_e^*$ ) that lie in  $\Pi$  or  $\Pi^*$ , leaving 84. We observe that if two side components meet then their intersection consists of an even number of components. This is because for any distinct  $e, f \in \mathcal{E}$ , there is some  $g \in \text{PGL}_2(7)$ exchanging them. So if a component of  $C_e \cap C_f$  has self-intersection -1 in  $C_e$  and -2 in  $C_f$ , then its g-image has these self-intersection numbers reversed, and therefore cannot be the same curve.

If *e*, *f* are incident then  $C_e \cap C_f$  contains  $P_{ef}$  and is therefore nonempty. By the previous paragraph it has evenly many components. Because there are 21 unordered incident pairs *e*, *f*, this accounts for either 42 or 84 of the 84 remaining double curves, according to whether  $C_e \cap C_f$  has 2 or 4 components. We will see soon that they account for exactly 42 of them. For now all we need is that they account for at least 42.

We claim next that if e and f are a point and a nonincident line, then  $C_e \cap C_f = \emptyset$ . This is because such pairs  $\{e, f\}$  form a PGL<sub>2</sub>(7)-orbit of size 28. If  $C_e \cap C_f \neq \emptyset$  then the argument from the previous paragraph shows that such intersections account for at least 56 double curves, while at most 42 remain unaccounted for. The same argument shows  $C_e \cap C_f = \emptyset$  if e, f are distinct lines or distinct points. This proves (v).

Consider one of the 112 - 42 = 70 triple points outside  $\Pi \cup \Pi^*$ , and the three (local) components of  $\mathfrak{W}_{L,0}$  there. Two of these have the same type (i.e., they both correspond to points or both to lines). Since these components meet, the previous paragraph shows that they must coincide. It follows that each side-component has at least one curve of self-intersection. We saw above that if e, f are incident then  $C_e \cap C_f$  has either two or four components, and in the latter case these intersections account for all double curves not in  $\Pi \cup \Pi^*$ . Therefore this

case is impossible, proving (vi). Now (ii)–(vi) show that every one of the 112 - 28 - 42 = 42 remaining double curves is a self-intersection curve of a side component. So each side component contains 42/14 = 3 such curves, proving the first part of (vii).

Next we claim that there exist incident e, f such that there is a triple point where two of the (local) components are  $C_e$  and the third is  $C_f$ . To see this choose any incident e, f and recall from (iv) that  $C_e \cap C_f = D_{ef} \cup D_{fe}$  meets  $\Pi \cup \Pi^*$  exactly twice. So it must contain some other triple point. By our understanding of double curves the third component there must be  $C_e$  or  $C_f$ . After exchanging the names e and f if necessary, this proves the claim. It follows by PGL<sub>2</sub>(7) symmetry that for any ordered pair (e, f) with e, f incident, there is such a triple point. In fact there is exactly one such triple point, since there are 42 ordered incident pairs e, f and only 70 triple points outside  $\Pi \cup \Pi^*$ . It follows from this uniqueness that exactly one of the three self-intersection curves of  $C_e$  meets  $C_f$ , and it does so at a single point. This proves the second half of (vii) and all of (viii).

The remaining 112-42-42 = 28 triple points must all be triple-self-intersections of the  $C_e$ 's, so each  $C_e$  contains two of them. Now fix e and write  $\tau$  and  $\tau'$  for these self-intersection points. Obviously the only double-curves that can pass through  $\tau$  or  $\tau'$  are  $E_{ef_1}$ ,  $E_{ef_2}$  and  $E_{ef_3}$ . The  $S_4 \subseteq \text{PGL}_2(7)$  fixing e contains an element of order 3 cyclically permuting  $f_1$ ,  $f_2$  and  $f_3$ , and fixing each of  $\tau$ ,  $\tau'$  (since its order is 3). It follows that each of  $\tau$ ,  $\tau'$  lies in all three of the  $E_{ef_1}$ . Recall from Section 1 that each triple point of  $\widehat{\Omega}_K^2$  determines a cyclic ordering on the three components of  $\widehat{\Omega}_K^2$  that pass throuch it. Therefore each of  $\tau$ ,  $\tau'$  determines a cyclic ordering on  $\{E_{ef_1}, E_{ef_2}, E_{ef_3}\}$ , hence on  $\{f_1, f_2, f_3\}$ . Since  $S_4$  acts on  $\{f_1, f_2, f_3\}$  as  $S_3$ , both cyclic ordering occur, and it follows that  $\tau$ ,  $\tau'$  induce the two possible cyclic orderings. This proves (ix).

The orbits listed in (1)–(9) merely summarize some of the information given in (i)–(ix).  $\hfill \Box$ 

Translating the lemma into the dual-complex language gives a complete description of the dual complex of  $\mathfrak{W}_{L,0}$ :

(1) Its vertices are  $\Pi$ ,  $\Pi^*$  and the  $C_e$  with  $e \in \mathcal{E}$ .

(2) For each p, there is an edge  $D_p$  from  $\Pi$  to  $C_p$  and an edge  $D_p^*$  from  $C_p$  to  $\Pi^*$ .

(3) For each *l* there is an edge  $D_l^*$  from  $\Pi^*$  to  $C_l$  and an edge  $D_l$  from  $C_l$  to  $\Pi$ .

(4) For each ordered pair (e, f) with e, f incident, there is an edge  $D_{ef}$  from  $C_e$  to  $C_f$  and an edge  $E_{ef}$  from  $C_e$  to itself.

(5) For each point p and line l that are incident, there is a 2-cell  $P_{pl} = P_{lp}$  with its boundary attached along the loop  $D_p.D_{pl}.D_l$ , and a 2-cell  $P_{pl}^* = P_{lp}^*$  with its boundary attached along the loop  $D_l^*.D_{lp}.D_p^*$ .

(6) For each ordered pair (e, f) with e, f incident, there is a 2-cell  $Q_{ef}$  with its boundary attached along the loop  $D_{ef}.D_{fe}.E_{ef}$ .

(7) For each *e*, there are 2-cells  $R_{eo}$  and  $R_{eo'}$  where *o*, *o'* are the two cyclic orderings on  $\{f_1, f_2, f_3\}$ . Their boundaries are attached along the loops  $E_{ef_1} \cdot E_{ef_2} \cdot E_{ef_3}$  and  $E_{ef_3} \cdot E_{ef_2} \cdot E_{ef_1}$ .

Really we are interested in the complex  $\mathcal{B}/\Sigma_L$ , which is the same as the quotient of the complex just described by the dihedral group  $D_{16}$ . It is easy to see that if an element of  $D_{16}$  fixes setwise one of the cells just listed, then it fixes it pointwise. Therefore  $\mathcal{B}/\Sigma_L$  is a CW complex with one cell for each  $D_{16}$ -orbit of cells of  $\mathcal{B}/\Phi_L$ . To tabulate these orbits we note that  $D_{16}$  contains correlations, so  $\Pi$  and  $\Pi^*$  are equivalent, and every  $C_l$  is equivalent to some  $C_p$ . Next, the subgroup  $D_8$  sending points to points, and lines to lines, is the flag stabilizer in  $L_3(2)$ . So it acts on the points (resp. lines) with orbits of sizes 1, 2 and 4. We write p, p', p'' (resp. l, l', l'') for representatives of these orbits. Since  $D_{16}$  normalizes  $D_8$ , the correlations in it exchange the orbit of points of size 1, resp. 2, resp. 4 with the orbit of lines of the same size. That is, p, resp. p' resp. p'' is  $D_{16}$ -equivalent to l, resp. l', resp. l''.

LEMMA 3.3.  $\mathbb{B}/\Sigma_L$  is the CW complex with four vertices  $\overline{\Pi}$ ,  $\overline{C}_p$ ,  $\overline{C}_{p'}$  and  $\overline{C}_{p''}$ , and higher-dimensional cells as follows. Its 18 edges are

Its 15 two-cells and their boundaries are

PROOF. The remarks above show that the  $D_{16}$ -orbits on vertices of  $\mathcal{B}/\Phi_L$  have representatives  $\Pi$ ,  $C_p$ ,  $C_{p'}$ ,  $C_{p''}$ . We add a bar to indicate their images, the vertices of  $\mathcal{B}/\Sigma_L$ .

By the presence of correlations, the edges  $D_e$  and  $D_e^*$  with e a line are  $D_{16}$ -equivalent to edges  $D_f^*$  and  $D_f$  with f a point. Therefore orbit representatives for the  $D_{16}$ -action on the 28 edges listed under (2) and (3) are  $D_p$ ,  $D_{p'}$ ,  $D_{p''}$ ,  $D_p^*$ ,  $D_{p''}^*$ . We add a bar to indicate their images in  $\mathcal{B}/\Sigma_L$ .

Again using the presence of correlations, the  $D_{16}$ -orbits of ordered pairs (e, f) with e and f incident are in bijection with the  $D_8$ -orbits of such pairs in which e is a point. These  $D_8$ -orbits are represented by

$$(3.1) (p,l), (p,l'), (p',l), (p',l''), (p'',l') and (p'',l''),$$

which therefore index the six  $D_{16}$ -orbits on the 42 edges  $D_{ef}$  (resp.  $E_{ef}$ ). The edges  $D_{pl}$ ,  $D_{pl'}, D_{p'l'}, D_{p'l''}, D_{p''l'}$  and  $D_{p''l''}$  go from  $C_p$  to  $C_l, C_p$  to  $C_{l'}, C_{p'}$  to  $C_l$ , etc. Therefore their images go from  $\overline{C}_p$  to itself,  $\overline{C}_p$  to  $\overline{C}_{p'}, \overline{C}_{p'}$  to  $\overline{C}_p$ , etc. We call the images  $\overline{D}_{pp}, \overline{D}_{pp'}, \overline{D}_{p'p}$ , etc. The edges  $E_{pl}, E_{pl'}, E_{p'l}, E_{p'l''}$  and  $E_{p''l''}$  are loops based at  $C_p, C_p, C_{p'}, C_{p'}, C_{p'}$ ,  $C_{p''}$  and  $C_{p''}$ . We call their images  $\overline{E}_{pp}, \overline{E}_{pp'}, \overline{E}_{p'p}$ , etc.

The two-cells  $P_{pl}$  meet  $\Pi$  but not  $\Pi^*$ , while the  $P_{pl}^*$  meet  $\Pi^*$  but not  $\Pi$ . Therefore the  $D_{16}$ -orbits on these cells are in bijection with the  $D_8$ -orbits on the  $P_{pl}$ . As in the previous paragraph, orbit representatives are  $P_{pl}$ ,  $P_{pl'}$ ,  $P_{p'l'}$ ,  $P_{p'l''}$ ,  $P_{p''l''}$  and  $P_{p''l''}$ . We call their images  $\overline{P}_{pp}$ ,  $\overline{P}_{pp'}$ , etc., and their attaching maps are easy to work out. For example, the boundary of  $P_{pl'}$  is given above as  $D_p.D_{pl}.D_l$ . The images of the first two terms are  $\overline{D}_p$  and  $\overline{D}_{pp}$ , and  $D_l$  is  $D_{16}$ -equivalent to  $D_p^*$ , so the image of the third term is  $\overline{D}_p^*$ . Therefore the boundary of the disk  $\overline{P}_{pl'}$  is attached along  $\overline{D}_p.\overline{D}_{pp}.\overline{D}_p^*$ .

In the same way,  $D_{16}$ -orbit representatives on the 2-cells  $Q_{ef}$  are  $Q_{pl}$ ,  $Q_{pl'}$ ,  $Q_{p'l'}$ ,  $Q_{p'l''}$ ,  $Q_{p'l''}$ ,  $Q_{p''l''}$  and  $Q_{p''l''}$ . We indicate their images in a similar way to the other images: we add a bar and convert subscript *l*'s to *p*'s. As an example we work out the boundary of  $\overline{Q}_{p'p''}$ , using the boundary of  $Q_{p'l''}$  given above as  $D_{p'l''}$ .  $D_{l''p'}$ .  $E_{p'l''}$ . The images of the first and third terms are  $\overline{D}_{p'p''}$  and  $\overline{E}_{p'p''}$ . For the image of the second term, we apply a correlation sending *l''* to *p''*. So the ordered pair (l'', p') is  $D_{16}$ -equivalent to some ordered pair (p'', m) where *m* is a line incident to *p''* and  $D_8$ -equivalent to *l'*. This is  $D_8$ -equivalent to some pair from (3.1), and (p'', l') is the only possibility. Therefore  $D_{l''p'}$  is  $D_{16}$ -equivalent to  $D_{p''l'}$ , so the boundary of  $\overline{Q}_{p'p''}$ . The other cases are essentially the same.

For the  $D_{16}$ -orbits on the 2-cells  $R_{eo}$  we note that each of p, p', p'' is fixed by an element of  $D_8$  that exchanges two of the three lines incident to that point. It follows that the  $D_{16}$ -orbit representatives on these 2-cells are  $R_{po}$ ,  $R_{p'o'}$  and  $R_{p''o''}$ , where o (resp. o', o'') is a fixed cyclic ordering on the three lines incident to p (resp. p', p''). We write  $\overline{R}_p$ ,  $\overline{R}_{p'}$  and  $\overline{R}_{p''}$  for their images. Their boundary maps can be worked out using the following. The three lines through p are l, l', and another line which is  $D_8$ -equivalent to l'. The three pairs (p', m), with m a line through p', are  $D_8$ -equivalent to (p'', l'') and (p', l''). It follows that the boundaries of  $\overline{R}_p$ ,  $\overline{R}_{p'}$  and  $\overline{R}_{p''}$  are attached along the stated loops.

LEMMA 3.4.  $\mathcal{B}/\Sigma_L$  is homotopy-equivalent to the standard presentation complex of  $\mathbb{Z}/42$ . In particular, its fundamental group is  $\mathbb{Z}/42$ .

PROOF. To simplify matters we build up the 2-complex in several stages, suppressing the bars from the names of cells to lighten the notation. First we define  $K_1$  as the 1-complex with the 4 vertices and the edges  $D_p$ ,  $D_{p'}$ ,  $D_{p''}$ ,  $D_p^*$ ,  $D_{p''}^*$ . We collapse the last three edges to points, leaving a rose with three petals, which we will call  $K_2$ . If the boundary of a 2-cell to be attached later involves one of the collapsed edges then we will also collapse that portion of the 2-cell's boundary.

We define  $K_3$  by attaching to  $K_2$  the edges

$$(3.2) D_{pp}, D_{pp'}, D_{p'p'}, D_{p'p''}, D_{p''p''}, D_{p''p''}$$

(which are loops in  $K_2$ ) and the 2-cells  $P_{**}$  having the same subscripts. We may deformationretract  $K_3$  back to  $K_2$  because each of the newly-adjoined edges is involved in exactly one of the 2-cells. In particular, the loops (3.2) are homotopic rel basepoint to the inverses of  $D_p$ ,  $D_p$ ,  $D_{p'}$ ,  $D_{p'}$ ,  $D_{p''}$  and  $D_{p''}$ .

We define  $K_4$  by attaching to  $K_2$  the edges

$$(3.3) E_{pp}, E_{pp'}, E_{p'p'}, E_{p'p''}, E_{p''p'}, E_{p''p'}$$

and the 2-cells  $Q_{**}$  having the same subscripts. Just as for  $K_3$ , we may deformation-retract  $K_4$  back to  $K_2$ . The loops (3.3) are homotopic rel basepoint to  $D_p^2$ ,  $D_{p'}D_p$ ,  $D_pD_{p'}$ ,  $D_{p''}D_{p''}$ ,  $D_{p'}D_{p''}$ ,  $D_{p''}D_{p''}$ .

Finally we define  $K_5$  by attaching the cells  $R_p$ ,  $R_{p'}$ ,  $R_{p''}$  to  $K_2$ .  $\mathbb{B}/\Sigma_L$  is homotopyequivalent to this, hence to the rose with three petals  $D_p$ ,  $D_{p'}$ ,  $D_{p''}$  with three disks attached, along the curves  $D_p^2 (D_{p'}D_p)^2$ ,  $D_p D_{p'} (D_{p''}D_{p'})^2$  and  $D_{p'} D_{p''} (D_{p''}D_{p''})^2$ . Regarding these as relators defining  $\pi_1(\mathbb{B}/\Sigma_L)$ , the third one allows us to eliminate  $D_{p'}$  and replace it by  $D_{p''}^{-5}$ . Then the second one allows us to eliminate  $D_p$  and replace it by  $D_{p''}^{13}$ . The remaining relation then reads  $D_{p''}^{42} = 1$ .

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DEPARTMENT OF MATHEMATICS UNIVERSITY OF TEXAS AUSTIN U.S.A.

*E-mail address*: allcock@math.utexas.edu *URL*: http://www.math.utexas.edu/~allcock DEPARTMENT OF MATHEMATICS TOKYO INSTITUTE OF TECHNOLOGY 2–12–1 Ookayama, Meguro-ku Tokyo 152–8551 Japan

E-mail address: katobungen@gmail.com