## AUTOMORPHISMS OF AN IRREGULAR SURFACE OF GENERAL TYPE ACTING TRIVIALLY IN COHOMOLOGY, II

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**Abstract.** Let *S* be a complex nonsingular minimal projective surface of general type with q(S) = 2, and let *G* be the group of the automorphisms of *S* acting trivially on  $H^2(S, \mathbf{Q})$ . In this note we classify explicitly pairs (S, G) with *G* of order four.

**Introduction.** Let S be a complex minimal nonsingular projective surface of general type, and let  $G \subset \operatorname{Aut}S$  be the subgroup of automorphisms of S inducing trivial actions on  $H^2(S, \mathbf{Q})$ . In [Ca1], we proved that  $|G| \leq 4$  provided  $\chi(\mathcal{O}_S) > 188$ . In this note, we continue the classification of the pairs (S, G) with |G| = 4, started in [Ca2]. Whereas there we considered the case  $q(S) \geq 3$ , here we study the case q(S) = 2. Our main result is the following.

THEOREM 0.1 (Theorems 2.3 and 3.1). Let S be a complex nonsingular minimal projective surface of general type with q(S) = 2. Assume that there is a subgroup  $G \subset \text{Aut}S$ , of order 4, acting trivially in  $H^2(S, \mathbf{Q})$ . If  $p_g(S) > 61$ , then S is isogenous to a product of curves; in particular, it satisfies  $K_S^2 = 8\chi(\mathcal{O}_S)$ . Explicitly, the pair (S, G) is as in one of Examples 1.1, 1.2 and 1.3.

NOTATIONS. We use standard notations as in [Ha].

For a finite Abelian group G, we denote by  $\widehat{G}$  the character group of G. For a representation V of G and a character  $\chi \in \widehat{G}$ , we let

$$V_G^{\chi} = \{ v \in V; \ g \cdot v = \chi(g)v \text{ for all } g \in G \}.$$

If G is a cyclic group generated by  $\sigma$ , we shall also use the notation  $V_{\sigma}^{c}$  to denote  $V_{G}^{\chi}$ , where  $c = \chi(\sigma)$ . If moreover  $\sigma$  is of order two,  $V_{\sigma}^{\pm 1}$  is also denoted by  $V_{\sigma}^{\pm}$ .

The symbol  $Z_n$  denotes the cyclic group of order n.

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**1. Examples.** In this section, we construct explicitly pairs (S, G) with |G| = 4, where S is a complex nonsingular minimal projective surface of general type with q(S) = 2 and G is the subgroup of automorphisms of S acting trivially on  $H^2(S, \mathbf{Q})$ . These surfaces are isogenous to products of curves; in particular, they satisfy  $K_S^2 = 8\chi(\mathcal{O}_S)$ .

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EXAMPLE 1.1  $(G \simeq \mathbb{Z}_2^{\oplus 2})$ . Let  $\tilde{B}$  be a hyperelliptic curve of genus  $\tilde{g}$  and  $\tau$  the hyperelliptic involution of  $\tilde{B}$ . Suppose there is a curve F of genus g=3 with involutions  $\iota$ ,  $\sigma_{1F}$  and  $\sigma_{2F}$  such that

- (i) the subgroup of Aut F generated by  $\iota$ ,  $\sigma_{1F}$  and  $\sigma_{2F}$  is isomorphic to  $\mathbb{Z}_2^{\oplus 3}$ ;
- (ii)  $\iota$  has no fixed points;
- (iii) for i = 1 and 2,  $\sigma_{iF}$  induces the identity on  $H^0(\Omega_F^1)_i^-$ .

Let  $S = (\tilde{B} \times F)/\langle \tau \times \iota \rangle$ , and  $\pi : \tilde{B} \times F \to S$  the quotient map. Then S is a smooth surface with  $p_g(S) = \tilde{g}$ , q(S) = 2 and  $K_S^2 = 8(\tilde{g} - 1)$ .

Let  $\sigma_i$  be the automorphism of S induced by  $\operatorname{id}_{\tilde{B}} \times \sigma_{iF} \in \operatorname{Aut}(\tilde{B} \times F)$ . We have that the group G generated by  $\sigma_i$  (i=1 and 2) is isomorphic to  $\mathbf{Z}_2^{\oplus 2}$  and acts trivially on  $H^2(S, \mathbf{Q})$ . Indeed, (iii) implies that  $(\operatorname{id}_{\tilde{B}} \times \sigma_{iF})^* = \operatorname{id}$  on  $H^1(\tilde{B}) \otimes H^1(F)_i^-$  and hence on  $H^2(\tilde{B} \times F)_{\tau \times i}^1$ . Since  $\pi^* : H^2(S) \to H^2(\tilde{B} \times F)_{\tau \times i}^1$  is an isomorphism and  $\pi^* \circ \sigma_i^* = (\operatorname{id}_{\tilde{B}} \times \sigma_{iF})^* \circ \pi^*$ , we have that  $\sigma_i^* = \operatorname{id}$  on  $H^2(S, \mathbf{Q})$ .

**1.1.1.** A curve F of genus 3 with involutions  $\iota$ ,  $\sigma_{1F}$  and  $\sigma_{2F}$  satisfying conditions (i)— (iii) in Example 1.1.

Let  $0, \infty, 1, b_1$  and  $b_2$  be different points of  $B := P^1$ . For i = 1, 2, let  $\hat{\pi}_i : \hat{E}_i \to B$  be the double cover branched along points  $0, \infty, 1, b_i$ . Using  $\hat{\pi}_i$  instead of  $\pi_i$ , we may modify the construction in [Ca2, 1.1.1] to give a curve F of genus 3 with involutions  $\iota$ ,  $\sigma_{1F}$  and  $\sigma_{2F}$  satisfying conditions (i)–(iii) in Example 1.1.

EXAMPLE 1.2 ( $G \simeq \mathbf{Z}_4$ ). Let  $\tilde{B}$  be a hyperelliptic curve of genus  $\tilde{g}$  and  $\tau$  the hyperelliptic involution of  $\tilde{B}$ . Suppose there is a curve F of genus 3 with automorphisms  $\iota$ ,  $\sigma_F$  such that

- (i) the subgroup of Aut F generated by  $\iota$  and  $\sigma_F$  is isomorphic to  $\mathbb{Z}_2 \oplus \mathbb{Z}_4$ ;
- (ii)  $\iota$  has no fixed points;
- (iii)  $\sigma_F$  induces the identity on  $H^0(\Omega_F^1)_{\iota}^-$ .

Let  $S = (\tilde{B} \times F)/\langle \tau \times \iota \rangle$ . Then S is a smooth surface with  $p_g(S) = \tilde{g}$ , q(S) = 2 and  $K_S^2 = 8(\tilde{g} - 1)$ .

Let  $\sigma$  be the automorphism of S induced by  $\mathrm{id}_{\tilde{B}} \times \sigma_F \in \mathrm{Aut}(\tilde{B} \times F)$ . One checks easily as in Example 1.1 that the group G generated by  $\sigma$  is isomorphic to  $\mathbb{Z}_4$  and acts trivially on  $H^2(S, \mathbb{Q})$ .

**1.2.1.** A curve F of genus 3 with automorphisms  $\iota$ ,  $\sigma_F$  satisfying conditions (i)–(iii) in Example 1.2.

Let F be the hyperelliptic curve given by the equation

$$y^2 = (x^4 + 1)(x^4 + a),$$

where  $a \in \mathbb{C} \setminus \{0, 1\}$ . Let  $\tau_F$  be the hyperelliptic involution (given by  $(x, y) \mapsto (x, -y)$ ), and  $\alpha$  the automorphism given by  $(x, y) \mapsto (\sqrt{-1}x, y)$ . Note that  $\omega_j := x^j \mathrm{d}x/y$  (j = 0, 1, 2) is a basis of  $H^0(\Omega_F^1)$ . We have that  $\alpha^*\omega_j = \sqrt{-1}^{j+1}\omega_j$ . So  $(\tau_F\alpha^2)^*\omega_j = (-1)^j\omega_j$  and

 $(\tau_F \alpha)^* \omega_1 = \omega_1$ . One checks easily that  $\iota := \tau_F \alpha^2$  and  $\sigma_F := \tau_F \alpha$  have the desired properties (i)–(iii) in Example 1.2.

EXAMPLE 1.3 ( $G \simeq \mathbb{Z}_2^{\oplus 2}$ ). Suppose there is a curve F of genus 5 with automorphisms  $\beta_1, \beta_2, \sigma_{1F}, \sigma_{2F}$  such that

- (i) the subgroup of Aut F generated by  $\beta_1$ ,  $\beta_2$ ,  $\sigma_{1F}$  and  $\sigma_{2F}$  is isomorphic to  $\mathbb{Z}_2^{\oplus 4}$ ;
- (ii) g(F/A) = 2, where  $A := \langle \beta_1, \beta_2 \rangle$ ;
- (iii) for i = 1 and 2,  $\sigma_{iF}$  induces the identity on  $H^0(\Omega_F^1)_A^{\chi_j}$  (j = 1 and 2), where  $\chi_j$  is the character of A with  $\text{Ker}\chi_j = \langle \beta_j \rangle$ .

Let  $\tilde{B}$  be a hyperelliptic curve of genus  $\tilde{g}$  with a faithful action of the group A such that  $\beta_3 := \beta_1 \beta_2$  is the hyperelliptic involution of  $\tilde{B}$ . (In other words, A is isomorphic to the subgroup of automorphisms generated by a non-hyperelliptic involution and the hyperelliptic involution of  $\tilde{B}$ .)

Let  $S = (\tilde{B} \times F)/A$ , where the action of A on  $\tilde{B} \times F$  is the diagonal action. Then S is a smooth surface with  $p_g(S) = \tilde{g}$ , q(S) = 2 and  $K_S^2 = 8(\tilde{g} - 1)$ .

For i = 1, 2, let  $\sigma_i$  be the automorphism of S induced by  $\mathrm{id}_{\tilde{B}} \times \sigma_{iF} \in \mathrm{Aut}(\tilde{B} \times F)$ .

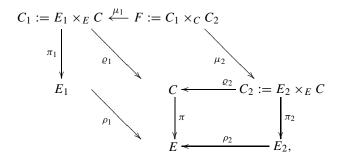
We have that the group G generated by  $\sigma_i$  (i=1 and 2) is isomorphic to  $\mathbb{Z}_2^{\oplus 2}$  and acts trivially on  $H^2(S, \mathbb{Q})$ . Indeed, let  $\chi_3 := \chi_1 \chi_2$ , since  $\operatorname{Ker} \chi_3 = \langle \beta_3 \rangle$  and  $\beta_3$  is the hyperelliptic involution of  $\tilde{B}$ , we have  $H^1(\tilde{B})_A^{\chi_3} = 0$ . So

$$H^{2}(\tilde{B} \times F)_{A}^{1} = W \oplus H^{1}(\tilde{B})_{A}^{\chi_{1}} \otimes H^{1}(F)_{A}^{\chi_{1}} \oplus H^{1}(\tilde{B})_{A}^{\chi_{2}} \otimes H^{1}(F)_{A}^{\chi_{2}},$$

where  $W = H^0(\tilde{B}) \otimes H^2(F) \oplus H^2(\tilde{B}) \otimes H^0(F)$ . Now (iii) implies that  $(\mathrm{id}_{\tilde{B}} \times \sigma_{iF})^* = \mathrm{id}$  on  $H^2(\tilde{B} \times F)^1_A$ . By the argument as in Example 1.1, we have that  $\sigma_i^* = \mathrm{id}$  on  $H^2(S, \mathbf{Q})$ .

**1.3.1.** A curve F of genus 5 with automorphisms  $\beta_1$ ,  $\beta_2$ ,  $\sigma_{1F}$ ,  $\sigma_{2F}$  satisfying conditions (i)–(iii) in Example 1.3.

Let E be an elliptic curve, and  $\pi:C\to E$  be a double cover branched along two points. Let  $\delta_1,\delta_2$  be different non-trivial 2-torsion elements of  ${\rm Pic}^0E$ . We have a commutative diagram



where  $\rho_i: E_i \to E \ (i=1,\ 2)$  is the double cover defined by  $\delta_i^{\otimes 2} = \mathcal{O}_E$ .

We have that F is an irreducible (smooth) curve of genus 5. Indeed,  $\varrho_i: C_i \to C$  is the double cover defined by  $(\pi^*\delta_i)^{\otimes 2} = \mathcal{O}_C$ . Since  $\pi^*: \operatorname{Pic}^0E \to \operatorname{Pic}^0C$  is injective, we have  $\pi^*\delta_1 \not\simeq \pi^*\delta_2$ . So  $C_1$  is not isomorphic to  $C_2$  over C, which implies F is irreducible.

Let  $\tau_i$  (resp.  $\tau$ ) be the hyperelliptic involution of  $C_i$  (resp. C). Then  $\tau_i$  is the lift of  $\tau$ , that is, we have  $\tau \circ \varrho_i = \varrho_i \circ \tau_i$ . One checks easily that F is  $\tau_1 \times \tau_2$ -invariant.

Let  $\alpha_i$ ,  $\gamma_i$  (resp.  $\gamma$ ) be the involutions of  $C_i$  (resp. C) corresponding to the double covers  $\varrho_i$ ,  $\pi_i$  (resp.  $\pi$ ). Then  $\gamma_i$  is the lift of  $\gamma$ , that is, we have  $\gamma \circ \varrho_i = \varrho_i \circ \gamma_i$ . One checks easily that F is  $\gamma_1 \times \gamma_2$ -invariant.

By the construction of  $C_i$ , we have  $\alpha_i \gamma_i = \gamma_i \alpha_i$ . Since  $\tau_i$  is in the center of  $\operatorname{Aut}(C_i)$ , we have  $\alpha_i \tau_i = \tau_i \alpha_i$  and  $\gamma_i \tau_i = \tau_i \gamma_i$ . So  $\alpha_1 \times \operatorname{id}_{C_2}$ ,  $\operatorname{id}_{C_1} \times \alpha_2$ ,  $\gamma_1 \times \gamma_2$  and  $\tau_1 \times \tau_2$  mutually commute

Let  $\beta_1$ ,  $\beta_2$ ,  $\tilde{\gamma}$  and  $\tilde{\tau}$  be the restriction of  $\alpha_1 \times \mathrm{id}_{C_2}$ ,  $\mathrm{id}_{C_1} \times \alpha_2$ ,  $\gamma_1 \times \gamma_2$  and  $\tau_1 \times \tau_2$  to F, respectively. Let  $\Delta$  be the subgroup of Aut F generated by  $\beta_1$ ,  $\beta_2$ ,  $\tilde{\gamma}$  and  $\tilde{\tau}$ . Then  $\Delta \simeq \mathbf{Z}_2^{\oplus 4}$ .

Let  $A = \{id_F, \beta_1, \beta_2, \beta_3 := \beta_1\beta_2\}$ . For j = 1, 2, 3, let  $\chi_j$  be the character of A with  $\text{Ker}\chi_j = \langle \beta_j \rangle$ . Let  $V = H^0(\omega_F)$ . By the construction of F, we have that  $V_A^1 = (\varrho_i \circ \mu_i)^* H^0(\omega_C)$  is of dimension two, and dim  $V_A^{\chi_j} = 1$  for all j.

 $\mu_i)^*H^0(\omega_C)$  is of dimension two, and  $\dim V_A^{\chi_j}=1$  for all j. Let  $(V_A^1)^+=(\varrho_i\circ\mu_i)^*H^0(\omega_C)^+_{\gamma}$  and  $(V_A^1)^-=(\varrho_i\circ\mu_i)^*H^0(\omega_C)^-_{\gamma}$ . We have  $\dim(V_A^1)^+=\dim(V_A^1)^-=1$ .

By the construction of F, we have that there are exactly eight  $\tilde{\gamma}$ -fixed points on F. Indeed,  $\gamma_1 \times \gamma_2$  has  $4 \times 4 = 16$  fixed points, eight of which belong to F. So  $\tilde{\gamma}$  is a bi-elliptic involution. Since  $\tilde{\gamma}$  is the lift of  $\gamma$ , we have that  $\tilde{\gamma}$  induces id on  $(V_A^1)^+$ .

For i=1,2, since  $\tilde{\tau}$  is the lift of  $\tau_i$ , which is the hyperelliptic involution of  $C_i$ , we have that  $\tilde{\tau}$  induces  $-\mathrm{id}$  on  $V_A^1 \oplus V_A^{\chi_i}$ . So  $g(F/\langle \tilde{\tau} \rangle) \leq 1$ . On the other hand, since  $\Delta/\langle \tilde{\tau} \rangle \simeq \mathbf{Z}_2^{\oplus 3}$  is isomorphic to a subgroup of  $\mathrm{Aut}(F/\langle \tilde{\tau} \rangle)$ ,  $F/\langle \tilde{\tau} \rangle$  can not be rational. So  $\tilde{\tau}$  is a bi-elliptic involution.

In sum, we have that the generators  $\beta_1$ ,  $\beta_2$ ,  $\tilde{\gamma}$ ,  $\tilde{\tau}$  of  $\Delta$  acting on V are as follows:

	$(V_A^1)^+$	$(V_A^1)^-$	$V_A^{\chi_1}$	$V_A^{\chi_2}$	$V_A^{\chi_3}$
$\beta_1$	1	1	1	-1	-1
$\beta_2$	1	1	-1	1	-1
$ ilde{\gamma}$	1	-1	-1	-1	-1
$ ilde{ au}$	-1	-1		-1	

One checks easily that  $\beta_1$ ,  $\beta_2$ ,  $\sigma_{1F} := \tilde{\gamma}\tilde{\tau}$  and  $\sigma_{2F} := \tilde{\gamma}\beta_1\beta_2$  have the desired properties (i)–(iii) in Example 1.3.

- **2.**  $\phi_S$  is generically finite. In this section, we prove Theorem 0.1 in case that the canonical map  $\phi_S$  of S is generically finite. We begin with the following lemmas.
- LEMMA 2.1. Let S be a complex nonsingular projective surface, and  $f: S \to B$  be a fibration of genus  $g \ge 2$ . Let  $\sigma$  be a non-trivial automorphism of S with  $f \circ \sigma = f$ . If  $\sigma$  induces a trivial action on  $H^0(S, \omega_S)$ , then  $g(B) \le 1$ .

PROOF. Consider the induced action of  $\sigma$  on  $f_*\omega_S$ , which is a locally free sheaf of rank g. We have  $f_*\omega_S = \mathcal{E} \oplus \mathcal{F}$ , where  $\mathcal{E}$  is the eigen-subsheaf of  $f_*\omega_S$  with eigenvalue 1, and  $\mathcal{F}$  is the direct sum of eigen-subsheaves of  $f_*\omega_S$  with eigenvalue  $\neq 1$ . We claim that  $\mathcal{F} \neq 0$  and hence  $r := \operatorname{rank} \mathcal{F} > 0$ . Otherwise, since the natural map  $f_*\omega_S \otimes C(p) \to H^0(F, \omega_F)$  is an isomorphism, where p = f(F) (cf. [Ha, Chap. III, Corollary 12.9]), we have that  $\sigma$  induces a trivial action on  $H^0(F, \omega_F)$ , which implies  $\sigma_{|F|}$  and hence  $\sigma$  must be trivial, a contradiction.

Let  $\mathcal{E}' \subset f_*\omega_S$  be the subsheaf generated by global sections of  $f_*\omega_S$ . The assumption that  $\sigma$  induces a trivial action on  $H^0(S,\omega_S)$  implies that  $\mathcal{E}' \subseteq \mathcal{E}$ . So  $h^0(B,\mathcal{E}) = h^0(B,f_*\omega_S)$  and hence  $h^0(B,\mathcal{F}) = 0$ . So by the Riemann-Roch, we have

$$\deg \mathcal{F} + r(1 - g(B)) = -h^1(B, \mathcal{F}) \le 0.$$

Since  $f_*\omega_S\otimes\omega_B^{-1}$  is semi-positive by a theorem of Fujita [Fu], we have

$$\deg \mathcal{F} - 2r(g(B) - 1) = \deg(\mathcal{F} \otimes \omega_B^{-1}) \ge 0$$
.

Combining the two inequalities above, we have  $g(B) \leq 1$ .

LEMMA 2.2. Let S be a complex nonsingular minimal projective surface of general type with q(S) = 2. Let  $G \subset \text{Aut}S$  be a subgroup of order 4 acting trivially in  $H^2(S, \mathbf{Q})$ . Assume that the Albanese map alb:  $S \to \text{Alb}(S)$  of S is surjective. Then  $H^0(\Omega_S^1) = H^0(\Omega_S^1)_G^X$  for some  $\chi \in \hat{G}$  of order at most 2.

PROOF. Let  $V = H^0(\Omega_S^1)$ . It is enough to exclude the following two possibilities:

- (i)  $V = V_G^{\chi_1} \oplus V_G^{\chi_2}$ , where  $\chi_1 \neq \chi_2 \in \widehat{G}$ , and both  $V_G^{\chi_1}$  and  $V_G^{\chi_2}$  are of dimension one;
  - (ii)  $V = V_G^{\chi}$ , where  $\chi \in \widehat{G}$  is of order 4.

In case (i), for i=1,2, let  $\omega_i \in V_G^{\chi_i}$  be a non-zero holomorphic 1-form. Since the Albanese map alb:  $S \to \text{AlB}(S)$  is surjective, by [BPV, p.11, Corollary 1.2],  $H^2(\text{AlB}(S), \mathbb{C}) \to H^2(S, \mathbb{C})$  is injective. This implies the natural map induced by cup product  $\wedge^2 H^1(S, \mathbb{C}) \to H^2(S, \mathbb{C})$  is injective. So  $\omega_1 \wedge \omega_2 \neq 0$ ,  $\omega_1 \wedge \overline{\omega_2} \neq 0$  in  $H^2(S, \mathbb{C})$ , where complex conjugation acts naturally on

$$H^1(S, \mathbf{R}) \otimes \mathbf{C} = H^1(S, \mathbf{C}) = H^0(\Omega_S^1) \oplus H^1(S, \mathcal{O}_S)$$
.

Since G acts trivially on  $H^2(S, \mathbb{C})$ , from  $\alpha^*(\omega_1 \wedge \omega_2) = \chi_1(\alpha)\chi_2(\alpha)\omega_1 \wedge \omega_2$  for each  $\alpha \in G$ , we have  $\chi_1\chi_2 = 1$  in  $\widehat{G}$ . Since  $\chi_1 \neq \chi_2$ , we have that  $\chi_i$  is of order 4. Then  $G \simeq \mathbb{Z}_4$ . Let  $\sigma$  be the generator of G, such that  $\chi_1(\sigma) = \sqrt{-1}$  and  $\chi_2(\sigma) = -\sqrt{-1}$ . We have

$$\sigma^*(\omega_1 \wedge \overline{\omega_2}) = \chi_1(\sigma) \overline{\chi_2(\sigma)} \omega_1 \wedge \overline{\omega_2} = -\omega_1 \wedge \overline{\omega_2} \,,$$

which is a contradiction since  $\sigma$  acts trivially on  $H^2(S, \mathbb{C})$ .

In case (ii), we have  $G \simeq \mathbb{Z}_4$ . Let  $\sigma$  be the generator of G such that  $\chi(\sigma) = \sqrt{-1}$ . Let  $\omega_1$ ,  $\omega_2 \in V_G^{\chi}$  be linearly independent holomorphic 1-forms. We have  $\sigma^*(\omega_1 \wedge \omega_2) = -\omega_1 \wedge \omega_2$ . By the argument as above, we get a contradiction.

THEOREM 2.3. Let S be a complex nonsingular minimal projective surface of general type with q(S) = 2 and  $p_q(S) > 61$ . Let  $G \subset \text{AutS}$  be a subgroup of order 4 acting trivially

on  $H^2(S, \mathbf{Q})$ . If the canonical map  $\phi_S$  of S is generically finite, then the pair (S, G) is as in Example 1.3.

PROOF. Thanks to [X2], by the argument as in [Ca2, 2.3], we have that, if  $p_g(S) > 61$ , then S has a fibration

$$f: S \to B$$

of genus g = 5 or 6, and  $\phi_S$  separates fibers of f and maps them onto a pencil of straight lines on  $\text{Im}\phi_S$ , which is ruled over B, and the numerical invariants of S and B satisfy

$$(2.3.1) K_S^2 \ge \frac{2g-2}{2g-5} (gp_g(S) - 6g + 20),$$

$$(2.3.2) g(B) \le 1.$$

Since G induces trivial actions on  $\text{Im}\phi_S$ , and hence on B, G is included in AutF for a general fiber F of f.

**2.4.** The case g=6 is excluded provided  $p_g(S) \geq 36$  as in [Ca2, 2.8]. Indeed, by the argument in loc. cit., we may assume that  $G \simeq \mathbb{Z}_4$ . Let  $\sigma$  be the element of G of order 2. We may estimate the upper bound of  $H^2$  for each  $\sigma$ -fixed curve H and apply [Ca2, Lemma 2.1] to obtain an upper bound for  $K_S^2$ . In our case q(S)=2 the inequality in loc. cit. reads

$$K_S^2 \le \frac{480}{59} (p_g(S) - 1) + \frac{40}{59}.$$

While (2.3.1) gives

$$K_S^2 \ge \frac{10}{7} (6p_g(S) - 16)$$
.

Combining the two inequalities above, we get  $p_g(S) < 36$ , a contradiction provided  $p_g(S) \ge 36$ .

- **2.5.** From now on, we assume that g = 5. By [Ca2, Lemma 2.4], g(F/G) = 2. So G acts freely on F.
- **2.6.** Let  $\pi: S \to S/G$  be the quotient map, and T' the minimal desingularization of S/G. Let  $h: T \to B$  be the relatively minimal fibration of the (induced) fiber space  $T' \to B$ .

LEMMA 2.7. We have g(B) = 0.

PROOF. Otherwise, by (2.3.2), g(B) = 1. Consider the canonical map

$$\phi_S: S \longrightarrow \Sigma := \operatorname{Im} \phi_S \subset \mathbf{P}^{p_g(S)-1}$$
.

Since  $\Sigma$  is ruled over B, we have  $q(\Sigma) = g(B) = 1$ . By the classification of nondegenerate surfaces of minimal degree in  $P^{p_g(S)-1}$ , we have that deg  $\Sigma > \operatorname{codim} \Sigma + 1 = p_g(S) - 2$ . So

$$K_S^2 \ge \deg \phi_S \deg \Sigma \ge 8\chi(\mathcal{O}_S)$$
.

On the other hand, by the argument as in [Ca2, 3.1], we have

$$K_S^2 \leq 8\chi(\mathcal{O}_S)$$
.

Combining the two inequalities above, we have  $K_S^2 = 8\chi(\mathcal{O}_S)$  and  $K_S^2 = \deg \phi_S \deg \Sigma$ , which implies  $|K_S|$  is base-locus free. Consequently, we have

- (2.7.1) for each id  $\neq \sigma \in G$ , since every  $\sigma$ -fixed curve is contained in the fixed part of  $|K_S|$  (cf. [Ca1, 1.14.1]),  $\sigma$  has no fixed curves.
- (2.7.2) S/G has at most rational double singularities since G acts trivially on  $H^0(\omega_S)$ .

Let T, T' be as in 2.6. By (2.7.1) and (2.7.2), we have that  $K_S = \pi^* K_{S/G}$ , T' is minimal and T = T'. So  $K_T^2 = 2\chi(\mathcal{O}_S) = 2\chi(\mathcal{O}_T)$ . On the other hand, the assumption g(B) = 1 implies that the Albanese map of S is generically finite. Since G induces trivial actions on B, we have  $0 \neq f^* H^0(\omega_B) \subset H^0(\Omega_S^1)_G^1$ . By Lemma 2.2, we have that G induces trivial action on  $H^0(\Omega_S^1)$ . So q(T) = 2. By a theorem of Debarre (cf. [De, Theorem 6.1]), we have  $K_T^2 \geq 2p_g(T) = 2\chi(\mathcal{O}_T) + 2$ , a contradiction.

Let C be the image of the Albanese map alb :  $S \to Alb(S)$ .

LEMMA 2.8. C is a curve of genus 2.

PROOF. Suppose alb is surjective. By Lemma 2.2,  $H^0(\Omega_S^1) = H^0(\Omega_S^1)_G^{\chi}$  for some  $\chi \in \hat{G}$  of order at most 2. If  $\chi = 1$ , let  $h: T \to B$  be as in 2.6, then q(T) = 2. By [Be2, Lemma, p. 345], h is trivial, and so  $p_q(T) = 0$ . This is absurd since  $p_q(T) = p_q(S) > 0$ .

If  $\chi$  is of order 2, then the kernel  $\operatorname{Ker}(\chi)$  of  $\chi:G\to C^*$  is not trivial. Let  $\sigma$  be the generator of  $\operatorname{Ker}(\chi)$ . Let  $V=H^0(\Omega_F^1)$ . Then  $V_G^1\oplus V_G^\chi=V_\sigma^1$ . Since  $\dim V_G^1=g(F/G)=2$ , this implies  $\dim V_G^\chi=1$ . On the other hand, let  $r:H^0(\Omega_S^1)\to H^0(\Omega_F^1)$  be the restriction map, and W be its image. We have  $\dim W=2$  (since F is a general fiber of f, if  $F(\varpi)=0$  for some holomorphic 1-form  $\pi$ 0 of  $\pi$ 1 of  $\pi$ 2 of  $\pi$ 3 and  $\pi$ 3 of  $\pi$ 4. This is a contradiction.

**2.9.** For each  $\sigma \in G$ , denote by  $\bar{\sigma}$  the automorphism of C induced by  $\sigma$ . The homomorphism from G to Aut C, sending  $\sigma$  to  $\bar{\sigma}$ , is injective by Lemma 2.1. Let  $\bar{G}$  be its image in Aut C. Then  $\bar{G} \simeq G$ .

LEMMA 2.10. f has constant moduli.

PROOF. By Lemma 2.8, we have that  $\mu := \operatorname{alb}_{|F|} : F \to C$  is a finite morphism. Let  $d = \deg \mu$ . By the Hurwitz formula, we have  $2 \le d \le 4$ .

We show that d = 4, which implies  $\mu$  is étale, and so f has constant moduli.

- Case 1.  $G \simeq \mathbb{Z}_4$ . Let  $\sigma \in G$  be a generator of G. By the Hurwitz formula, there exists a  $\bar{\sigma}$ -fixed point x on G. Since  $\bar{\sigma} \circ \mu = \mu \circ \sigma$ ,  $\mu^{-1}(x)$  is  $\sigma$ -invariant. Since  $\sigma$  has no fixed points on F (cf. 2.5), we have that  $\#\mu^{-1}(x)$  divides by 4 and hence d=4.
- Case 2.  $G \simeq \mathbb{Z}_2^2$ . Assume  $d \leq 3$ . We will get a contradiction. Since  $\bar{G} \simeq \mathbb{Z}_2^2$  in this case, there exist  $\sigma \in G$  such that  $\bar{\sigma}$  is the hyperelliptic involution of C. By the Hurwitz formula, there is a point  $x \in C$  such that x is  $\bar{\sigma}$ -fixed and  $\mu$  is étale over x. So  $\mu^{-1}(x)$  is  $\sigma$ -invariant and  $d = \#\mu^{-1}(x)$ . This implies d divides by 2 since  $\sigma$  has no fixed points on F (cf. 2.5). Hence d = 3 does not occur.

Now we assume d=2. Then  $f \times \text{alb}: S \to P := B \times C$  is generically finite of degree 2. Let  $S \to S' \xrightarrow{\pi} P$  be the Stein factorization of  $f \times \text{alb}$ . Let  $(\Delta, \delta)$  be the (singular) double cover data corresponding to  $\pi$ . Let  $l=B \times \text{pt}$  and  $l'=\text{pt} \times C$ . We have  $\Delta l'=4$ 

and  $\delta \equiv 2l + ml'$  for some m. We show that each singular point of  $\Delta$  is either a double point or a triple point with at least two different tangents, and hence S' has at most canonical singularities. Indeed, if there exists a point  $x := (b, c) \in B \times C$  with  $\operatorname{mult}_x \Delta_1 \geq 3$ , where  $\Delta_1$  is the horizontal part of  $\Delta$  w.r.t. the projection  $P \to B$ , then c must be  $\bar{G}$ -fixed since  $\Delta_1$  is  $\operatorname{id}_B \times \bar{G}$ -invariant and  $\Delta_1 l' = 4$ . This is absurd since  $\bar{G} \simeq G$  is not cyclic. Now by the double cover formula, we have that

$$K_S^2 = 16(m-2), \quad \chi(\mathcal{O}_S) = 3m-4.$$

So S satisfies  $K_S^2 = 16(\chi(\mathcal{O}_S) - 2)/3$ , contrary to (2.3.1).

**2.11.** By Lemma 2.10, there exists a finite group A acting faithfully on a general fiber F of f and on some smooth curve  $\tilde{B}$  such that f is equivalent to the fiber surface

$$p: (\tilde{B} \times F)/A \to \tilde{B}/A$$
,

where the action of A on  $\tilde{B} \times F$  is the diagonal action and p is the projection to the first factor (cf. e.g., [Se]).

We have g(F/A) = q(S) = 2. This implies the projection

$$q: (\tilde{B} \times F)/A \to F/A$$

is equivalent to the Albanese map alb :  $S \to C$ . We have |A| = 4 since the degree of  $alb_{|F|} : F \to C$  is 4 by the proof of Lemma 2.10. So A acts freely on F and  $S \simeq (\tilde{B} \times F)/A$ . In particular, we have  $g(\tilde{B}) = p_q(S)$ .

**2.12.** Let  $V = H^0(\omega_F)$  and  $W = H^0(\omega_{\tilde{R}})$ . We have

(2.12.1) 
$$H^{0}(\omega_{S}) \simeq \bigoplus_{\chi \in \widehat{A}} V_{A}^{\chi} \otimes W_{A}^{\chi^{-1}}.$$

Since  $\phi_S$  separates fibers of f and maps them onto a pencil of straight lines on  $\text{Im}\phi_S$ , we have that the image of  $H^0(\omega_S)$  in  $H^0(\omega_F)$  is of dimension two. This implies that, among the direct sum factors of the right side of (2.12.1), there are exactly two factors having positive dimension. So

(2.12.2) 
$$H^{0}(\omega_{S}) \simeq V_{A}^{\chi_{1}} \otimes W_{A}^{\chi_{1}^{-1}} \oplus V_{A}^{\chi_{2}} \otimes W_{A}^{\chi_{2}^{-1}}$$

for some  $\chi_1, \chi_2 \in \widehat{A}$ . Since dim  $W_A^1 = g(\widetilde{B}/A) = g(B) = 0$  (Lemma 2.7), we have that  $\chi_i \neq 1$  (the idenity character) for j = 1, 2.

**2.13.** For each  $\sigma \in G$ ,  $\sigma$  induces an automorphism of  $\tilde{B} \times_B S$ , which is of the form  $\operatorname{id}_{\tilde{B}} \times \sigma_F$  for some  $\sigma_F \in \operatorname{Aut}(F)$  under the identification of  $\tilde{B} \times_B S$  with  $\tilde{B} \times F$ . We have that  $\operatorname{id}_{\tilde{B}} \times \sigma_F$  is a lift of  $\sigma$  to  $\tilde{B} \times F$ , and

$$(2.13.1) alb_{|F} \circ \sigma_F = \bar{\sigma} \circ alb_{|F} ,$$

where  $\bar{\sigma}$  is as in 2.9.

Let  $G_F = \langle \sigma_F; \sigma \in G \rangle$ . Clearly,  $G_F \simeq G$ . Since  $\mathrm{id}_{\tilde{B}} \times \sigma_F$  acts trivially on the right side of (2.12.2) for each  $\sigma_F \in G_F$ , we have that  $G_F$  induces trivial action on  $V_A^{\chi_1} \oplus V_A^{\chi_2}$ , where  $\chi_1$ ,  $\chi_2$  are as in (2.12).

**2.14.** Let  $\mathcal{E}$  be the subgroup of  $\operatorname{Aut} F$  generated by A and  $G_F$ . Then  $V_A^{\chi_1} \oplus V_A^{\chi_2}$  is a  $\mathcal{E}$ -submodule of V. Let  $\rho: \mathcal{E} \to \operatorname{GL}(V_A^{\chi_1} \oplus V_A^{\chi_2})$  be the corresponding linear representation. By (2.13), we have  $G_F \subseteq \operatorname{Ker} \rho$ . We show that  $\rho_{|A}: A \to \operatorname{GL}(V_A^{\chi_1} \oplus V_A^{\chi_2})$  is injective: indeed, since both  $V_A^1$  and  $V_A^{\chi_1} \oplus V_A^{\chi_2}$  are contained in  $V_{\operatorname{Ker}(\rho_{|A})}^1$ ,  $\dim V_{\operatorname{Ker}(\rho_{|A})}^1 \ge \dim V_A^1 + \dim(V_A^{\chi_1} \oplus V_A^{\chi_2}) = g(F/A) + 2 = 4$  (cf. (2.11)). This implies  $\operatorname{Ker}(\rho_{|A})$  must be trivial. So  $G_F = \operatorname{Ker} \rho$ , and hence  $G_F$  is a normal subgroup of  $\mathcal{E}$ . Note that A is a normal subgroup of  $\mathcal{E}$ . We have that  $\mathcal{E}$  is the internal direct product of  $G_F$  and A; in particular,  $\mathcal{E}$  is an Abelian group.

Now we distinguish four cases according to A and G.

**2.15.**  $A \simeq \mathbb{Z}_4$  and  $G \simeq \mathbb{Z}_2^2$ . We show that this case does not occur. Otherwise, let  $\beta$  be a generator of A. Let V be as in 2.12. We have  $\dim V_{\beta}^1 = g(F/A) = 2$ . By the holomorphic Lefschetz formula,  $\dim V_{\beta}^{-1} = \dim V_{\beta}^i = \dim V_{\beta}^{-i} = 1$ .

We have  $\bar{G} \simeq \mathbb{Z}_2^2$  (cf. (2.9)). So there is an involution  $\sigma \in G$  such that  $\bar{\sigma}$  is the hyperelliptic involution of C. The operation of  $\sigma^*$  and  $(\sigma\beta)^*$  acting on eigenspaces of  $\beta^*$  is as follows:

Indeed, since  $\Xi$  is Abelian (cf. 2.14), the eigenspace of each eigenvalue of  $\beta^*$  is  $\Xi$ -invariant. The equality  $\sigma^* = -\mathrm{id}$  on  $V_{\beta}^1$  follows by (2.13.1), and  $\sigma^* = \mathrm{id}$  on the others since  $g(F/\sigma) = 3$  (cf. (2.5)).

By the above table, we have

$$\operatorname{tr}(\sigma\beta|\bar{V}) = -(\dim V_\beta^1 + \dim V_\beta^{-1}) - i \dim V_\beta^i + i \dim V_\beta^{-i} = -3.$$

Applying the holomorphic Lefschetz formula to  $\sigma\beta$ , we have

(2.15.1) 
$$1 - (-3) = 1 - \operatorname{tr}(\sigma \beta | \bar{V}) = \frac{a}{1 - i} + \frac{b}{1 + i},$$

where a (resp. b) is the number of fixed points of  $\sigma\beta$  such that the induced action of  $\sigma\beta$  on the tangent space at each of these points is given by  $v \mapsto iv$  (resp.  $v \mapsto -iv$ ). So a+b=8. Applying the Riemann-Hurwitz formula to  $F \to F/\langle \sigma\beta \rangle$ , we have  $8=2g(F)-2 \ge 4(-2+(1-1/4)(a+b))=16$ , a contradiction.

**2.16.**  $A \simeq \mathbb{Z}_4 \simeq G$ . Let  $\gamma$  be a generator of G. By (2.9),  $\bar{\gamma}$  is of order 4, and so  $g(C/\bar{\gamma}) = 0$ . Applying the topological Lefschetz formula to  $\bar{\gamma}$ , we have that  $\bar{\gamma}$  has  $2 + 2 \dim H^0(\omega_C)_{\bar{\gamma}}$  fixed points. Applying the Riemann-Hurwitz formula to  $C \to C/\bar{\gamma}$ , we have

$$2 = 2g(C) - 2 \ge 4\left(-2 + \left(1 - \frac{1}{4}\right)(2 + 2\dim H^0(\omega_C)_{\bar{\gamma}}^-)\right).$$

This implies dim  $H^0(\omega_C)^-_{\bar{\gamma}}=0$ . So  $\bar{\gamma}^2$  induces —id on  $H^0(\omega_C)$ , and hence  $\gamma^2$  induces —id on  $H^0(\omega_F)^1_{\beta}$ . Now by the argument as in 2.15 (consider  $\gamma^2\beta$  instead of  $\sigma\beta$ ), we get a contradiction.

**2.17.**  $A \simeq \mathbb{Z}_2^2 \simeq G$ . Let  $\chi_1$ ,  $\chi_2$  be as in 2.12, and let  $\chi_3 = \chi_1 \chi_2$ . For j = 1, 2, 3, let  $\beta_j$  be the generator of  $\operatorname{Ker}\chi_j$ . Then  $\beta_j$  (j = 1, 2, 3) are non-unit elements of A. Note that  $V_{\beta_j}^1 = V_A^1 \oplus V_A^{\chi_j}$ , dim  $V_A^1 = g(F/A) = 2$ , and dim  $V_{\beta_j}^1 = g(F/\langle \beta_j \rangle) = 3$ . So dim  $V_A^{\chi_j} = 1$  for j = 1, 2, 3, and the action of generators of A on  $V = H^0(F, \omega_F)$  is as follows:

Let  $\bar{\sigma}_1, \bar{\sigma}_2 \in \bar{G}$  be bi-elliptic involutions of C, and  $\sigma_{1F}, \sigma_{2F} \in G_F$  be their corresponding elements, where  $\bar{G}$  is as in 2.9 and  $G_F$  is as in 2.13. For l=1, 2, let  $\bar{v}_l$  be a basis of  $H^0(C, \omega_C)^+_{\bar{\sigma}_l}$ , and  $v_l \in V_A^1$  the corresponding element of  $\bar{v}_l$  under the identification of  $V_A^1$  with  $H^0(C, \omega_C)$  (cf. 2.11). Then  $v_1$  and  $v_2$  is a basis of  $V_A^1$ . Note that the action of  $G_F$  on  $V_A^1$  is the same as that of  $\bar{G}$  on  $H^0(C, \omega_C)$  by (2.13.1), and  $G_F$  acts trivially on  $V_A^{\chi_1}$  and  $V_A^{\chi_2}$  (cf. 2.13). So the action of generators of  $G_F$  on  $V = H^0(F, \omega_F)$  is as follows:

Combining  $V_A^{\chi_3} \neq 0$  with (2.12.2), we have  $W_A^{\chi_3} = 0$ , and hence  $g(\tilde{B}/\beta_3) = 0$ , i.e.,  $\tilde{B}$  is hyperelliptic with the hyperelliptic involution  $\beta_3$ . So (S,G) is as in Example 1.3.

**2.18.**  $A \simeq \mathbb{Z}_2^2$  and  $G \simeq \mathbb{Z}_4$ . Note that G acts freely on F (cf. 2.5), and that A induces a faithful action on F/G (cf. 2.14). Observing that the proof of the case  $A \simeq \mathbb{Z}_4$  and  $G \simeq \mathbb{Z}_2^2$  uses only the properties of representations of G and A on V, by the argument as in 2.15 with the role of G and A being transposed, we have that this case does not occur.

This completes the proof of Theorem 2.3.

3.  $\phi_S$  is composed with a pencil. In this section, we prove Theorem 0.1 in the case that the canonical map  $\phi_S$  of S is composed with a pencil.

THEOREM 3.1. Let S be a complex nonsingular minimal projective surface of general type with q(S) = 2 and  $p_g(S) \ge 23$ . Let  $G \subset \text{AutS}$  be a subgroup of order 4 acting trivially in  $H^2(S, \mathbf{Q})$ . If the canonical map  $\phi_S$  of S is composed with a pencil, then the pair (S, G) is as in Example 1.1 or Example 1.2 depending on  $G \simeq \mathbf{Z}_2^{\oplus 2}$  or  $\mathbf{Z}_4$ .

PROOF. By [Be1, Prop. 2.1], the moving part of  $|K_S|$  has no base points. Let

$$\phi_S = \varphi \circ f \colon S \to B \to \operatorname{Im} \phi_S \subset \mathbf{P}^{p_g(S)-1}$$

be the Stein factorization of  $\phi_S$ , and let F be a general fiber of f. Let g be the genus of a general fiber of f. One has  $2 \le g \le 5$  (cf. [Be1]) and g(B) = 0 (cf. [X1]).

Since G acts trivially on  $H^0(S, \omega_S)$ , we have that G induces the trivial action on B, and the inclusion  $G \hookrightarrow \operatorname{Aut} F$  (cf. [Ca1, 2.2]). In particular, we have that any section of f is G-fixed.

Let C be the image of the Albanese map of S.

LEMMA 3.2. If  $g \le 4$ , then C is a curve (of genus 2).

PROOF. If the Albanese map of S is surjective, by Lemma 2.2,  $H^0(\Omega_S^1) = H^0(\Omega_S^1)_G^\chi$  for some  $\chi \in \hat{G}$  of order at most 2. Then the kernel  $\text{Ker}(\chi)$  of  $\chi : G \to \mathbb{C}^*$  is not trivial. Let  $\sigma \in \text{Ker}(\chi)$  be an element of order 2. Then  $H^0(\Omega_S^1)_G^\chi \subseteq H^0(\Omega_S^1)_\sigma^1$ , and so  $q(S/\sigma) = 2$ . The assumption  $g \le 4$  implies that  $S/\sigma \to B$  is a fiber space of genus  $g' \le 2$ . Hence we have that  $g' = q(S/\sigma) - g(B)$ . This implies  $S/\sigma \to B$  is trivial by [Be2, Lemma, p. 345], and so  $p_g(S/\sigma) = 0$ , a contradiction since  $p_g(S/\sigma) = p_g(S) > 0$ .

LEMMA 3.3. The cases g = 2, 4 and 5 do not occur.

PROOF. Let M and Z be the moving part and the fixed part of  $|K_S|$ , respectively. We write Z = H + V, and  $H = n_1\Gamma_1 + n_2\Gamma_2 + \cdots$  with  $n_1 \ge n_2 \ge \cdots$ , where H (resp. V) is the horizontal part (resp. the vertical part) of Z with respect to f, and  $\Gamma_i$  ( $i = 1, 2, \ldots$ ) are the irreducible components of H, with  $n_i$  the multiplicity of  $\Gamma_i$  in H.

Since  $M \equiv \chi(\mathcal{O}_S)F$  (cf. e.g. [Ca1, 2.1.2]), we have

$$(3.3.1) K_S^2 = K_S(M+H+V) \ge (2g-2)\chi(\mathcal{O}_S) + K_SH.$$

We distinguish three cases according to g.

**3.3.1.** g = 5. In this case we have that

(3.3.2) 
$$K_S H \ge \frac{8}{5} (\chi(\mathcal{O}_S) - 8)$$
.

Indeed, since  $n_1K_{S/B} + H + V$  is nef, from

$$((n_1+1)K_S - M + 2n_1F)H = (n_1K_{S/B} + H + V)H \ge 0$$

we get  $K_S H \ge 8(\chi(\mathcal{O}_S) - 2n_1)/(n_1 + 1)$ . So if  $n_1 < 5$ , we obtain (3.3.2).

Now we can assume that  $n_1 \ge 5$ . Then  $\Gamma_1$  is a section of f. This implies  $\Gamma_1$  and hence the point  $F \cap \Gamma_1 \in F$  is G-fixed. So G is cyclic (of order four).

Let  $R_F$  be the set of ramified points of the quotient map  $F \to F/G$ . Using the Hurwitz formula for  $F \to F/G$  (note that  $g(F/G) \ge 1$  and  $F \cap \Gamma_1$  is a ramification point of index 4 of the quotient map), we have that  $R_F$  consists of four points and among them there are exactly two G-fixed points. Since  $R_F \subseteq H_{\text{red}} \cap F$  (cf. [Ca1, 2.4.1]) and  $(H - n_1 \Gamma_1)F = 8 - n_1 \le 3$ , we have  $\#(H_{\text{red}} \cap F) = 4$  and  $H = 5\Gamma_1 + \Gamma_2 + \Gamma_3$  with  $\Gamma_2 F = 1$  and  $\Gamma_3 F = 2$ .

From  $K_S\Gamma_i = (M+H+V)\Gamma_i \ge \chi(\mathcal{O}_S) + n_i\Gamma_i^2$  and the adjunction formula for  $\Gamma_i$ , we get

$$K_S\Gamma_1 \geq \frac{\chi(\mathcal{O}_S) - 10}{6}$$
,  $K_S\Gamma_i \geq \frac{\chi(\mathcal{O}_S) - 2}{2}$  for  $i = 2, 3$ .

 $K_SH = 5K_S\Gamma_1 + K_S\Gamma_2 + K_S\Gamma_3 \ge (11/6)\chi(\mathcal{O}_S) - 31/3$ . This finishes the proof of (3.3.2). Combining (3.3.1) with (3.3.2), if  $\chi(\mathcal{O}_S) \ge 22$ , we get  $K_S^2 \ge (48/5)\chi(\mathcal{O}_S) - 64/5 > 9\chi(\mathcal{O}_S)$ , contrary to the Bogomolov-Miyaoka-Yau inequality.

**3.3.2.** g = 4. By Lemma 3.2, we have that  $alb_{|F|}: F \to C$  is either an étale cover of degree 3 or a ramified double cover, where F is a general fiber of f.

In the former case, we have that f has constant moduli. So it is equivalent to  $p: (\tilde{B} \times F)/A \to \tilde{B}/A$  for some  $A, \tilde{B}$  as in 2.11.

We have g(F/A) = q(S) = 2. So  $F/A \simeq C$ . This implies |A| = 3 and  $S \simeq (\tilde{B} \times F)/\langle \iota \times \tau \rangle$ , where  $\iota \in \operatorname{Aut} \tilde{B}$  of order 3 with  $g(\tilde{B}/\iota) = 0$  and  $\tau \in \operatorname{Aut} F$  of order 3 without fixed points.

By the explicit description of S above, f has multiple fibers with multiplicity 3. So  $\Gamma_i F$  divides by 3 for each i. Thus there are only three possibilities for H:

- (a)  $H = 2\Gamma_1$  with  $\Gamma_1 F = 3$ ;
- (b)  $H = \Gamma_1$  with  $\Gamma_1 F = 6$ ;
- (c)  $H = \Gamma_1 + \Gamma_2$  with  $\Gamma_1 F = \Gamma_2 F = 3$ .

Let D be the horizontal part (w.r.t. f) of the ramification divisor of  $S \to S/G$ . We have D < H (cf. [Ca1, 2.4]). Using the Hurwitz formula for the quotient map  $F \to F/G$ , which is ramified exactly at points  $D \cap F$ , we have either (i) DF = 2 and the ramification index of each points of  $D \cap F$  is four, or (ii) DF = 6 and that of  $D \cap F$  is two. Since D < H, by the possibilities for H listed above, we see easily that the case (i) does not occur.

Consider therefore the case (ii). Note that HF=6, we have H=D. This implies that H is contained in sums of fibers of alb. Indeed, if  $\operatorname{alb}_{|\Gamma}:\Gamma\to C$  is surjective for some  $\Gamma< H$ , let  $\alpha\in G$  be a non-trivial automorphism such that  $\Gamma$  is  $\alpha$ -fixed (such an automorphism exists since  $\Gamma< D$ ), then the induced action of  $\alpha$  on C is trivial, a contradiction by Lemma 2.1. Since  $\operatorname{alb}^*(c)F=3$  for any point  $c\in C$ , (b) is ruled out; since H=D is reduced, (a) is ruled out. So H is as in (c) with  $\Gamma_1$ ,  $\Gamma_2$  being fibers of alb. Hence  $K_S\Gamma_1=K_S\Gamma_2=2g(\tilde{B})-2=2\chi(\mathcal{O}_S)$ . By (3.3.1),  $K_S^2\geq 6\chi(\mathcal{O}_S)+K_S\Gamma_1+K_S\Gamma_2=10\chi(\mathcal{O}_S)$ , contrary to the Bogomolov-Miyaoka-Yau inequality.

In the latter case, we have that

$$f \times \text{alb} : S \rightarrow T := B \times C$$

is generically finite of degree 2. Let  $S \to S' \xrightarrow{\pi} T$  be the Stein factorization of  $f \times$  alb. Let  $l = B \times \operatorname{pt}$ , and  $l' = \operatorname{pt} \times C$ . Let  $(\Delta, \delta)$  be the (singular) double cover data corresponding to  $\pi$ . We have  $\Delta l' = 2$ , and  $\delta \equiv l + ml'$  for some m. This implies that each singular point of  $\Delta$  is either a double point or a triple point with at least two different tangents, and hence S' has at most canonical singularities. By the double cover formula, we have

$$K_S^2 = K_{S'}^2 = 2(K_T + \delta)^2 = 12(m - 2),$$
  
 $\chi(\mathcal{O}_S) = \chi(\mathcal{O}_{S'}) = 2\chi(\mathcal{O}_T) + \frac{1}{2}\delta(K_T + \delta) = 2m - 3.$ 

Hence  $K_S^2 = 6\chi(\mathcal{O}_S) - 6$ , and we get a contradiction by (3.3.1).

**3.3.3.** g=2. Since  $p_g(S/G)=p_g(S)>0$ , we have g(F/G)=1. The commutativity of G implies that the quotient map  $F\to F/G$  has at least two branch points. Applying the Hurwitz formula to  $F\to F/G$ , we get a contradiction.

**3.4.** By Lemma 3.3, we may assume that g=3. Then  $alb_{|F}: F \to C$  is an étale double cover by Lemma 3.2. So f has constant moduli, and it is equivalent to

$$p: (\tilde{B} \times F)/A \to \tilde{B}/A$$

for some A,  $\tilde{B}$  as in 2.11.

We have g(F/A) = q(S) = 2. This implies |A| = 2 and  $S \simeq (\tilde{B} \times F)/\langle \tau \times \iota \rangle$ , where  $\tau$  is the hyperelliptic involution of  $\tilde{B}$  and  $\iota$  is an involution of F without fixed points.

For each  $\sigma$  in G, since  $\sigma$  induces trivial action on B,  $\tilde{B} \times_B S \subset \tilde{B} \times S$  is  $(\mathrm{id}_{\tilde{B}} \times \sigma)$ -invariant. Then there is an automorphism  $\sigma_F$  of F such that, under the identification of  $\tilde{B} \times F$  with  $\tilde{B} \times_B S$ ,  $\mathrm{id}_{\tilde{B}} \times \sigma_F$  equals to the restriction of  $\mathrm{id}_{\tilde{B}} \times \sigma$  to  $\tilde{B} \times_B S$ . Clearly, we have  $(\mathrm{id}_{\tilde{B}} \times \sigma_F) \circ \pi = \pi \circ \sigma$ , where  $\pi : \tilde{B} \times F \to S$  is the induced map. Since  $\sigma$  induces trivial action on  $H^2(S, \mathbb{C})$ , we have that  $\sigma_F$  induces the identity on  $H^0(\Omega_F^1)_{\iota}$ . So (S, G) is as in Example 1.1 (resp. Example 1.2) provided that  $G \simeq \mathbb{Z}_2^2$  (resp.  $\mathbb{Z}_4$ ).

This completes the proof of Theorem 3.1.

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