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# THE INJECTIVE RADIUS OF NON-COMPACT 3-DIMENSIONAL RIEMANNIAN MANIFOLDS

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In this note all Riemannian manifolds which we deal are connected and complete. For a point  $p \in M$ ,  $T_p(M)$  be the tangent space of M at p and  $\exp_p: T_p(M) \to M$  be the exponential mapping of M. d denotes the metric distance of M induced from the Riemannian metric of M. All geodesics are parametrized by the arclength. As is well known, the function  $i: M \to R \cup \{\infty\}$  defined by i(p): = d(p, C(p)) is continuous where C(p) denotes the cut locus of p in M. i(p) is called the injective radius of  $\exp_p$ . With respect to the estimation of the injective radius many results are known when M is compact. Let M be a non-compact Riemannian manifold. Then in [5], Toponogov asserted the following:

FACT. 1) if the sectional curvature  $K_{\sigma}$  satisfies  $0 < K_{\sigma} \leq \lambda$  for all tangent plane  $\sigma$ , then  $i(q) \geq \pi/\sqrt{\lambda}$  for all  $q \in M$ . 2) if  $0 \leq K_{\sigma} \leq \lambda$ , then there exists a positive constant L such that  $i(q) \geq L$  for all  $q \in M$ .

In [4], the author gave an anothor proof of assertion 1) and showed that the estimation of 1) is still true for a 2-dimensional simply connected Riemannian manifold M which satisfies  $0 \leq K \leq \lambda$ , where K is the Gaussian curvature of M. In this note, we show that the estimation 1) is still true for a 3-dimensional simply connected non-compact Riemannian manifold which satisfies  $0 \leq K_{\sigma} \leq \lambda$ . To prove this fact, we use the following facts which are proved by Cheeger and Gromoll in [2]. For a Riemannian manifold M, a subset A of M will be called totally convex if for any points  $p, q \in A$  and any geodesic  $c: [0, \beta] \to M$  from p to q, we have  $c([0, \beta]) \subset A$ . Let  $A \subset M$  be a closed totally convex set, then A is an imbedded k-dimensional topological submanifold of M with totally geodesic interior and possibly non-smooth boundary which might be empty, see [2, Th. 1.6 pp 418]. Now, we assume that M is non-compact and its sectional curvature satisfies  $0 \leq K_{\sigma}$ . Then, for a point  $p \in M$ , there exists a family of compact totally convex subsets  $\{C_i\}_{t\geq 0}$  such that

(1)  $t_2 \ge t_1$  implies  $C_{t_2} \supset C_{t_1}$  and  $C_{t_1} = \{q \in C_{t_2}: d(q, \partial C_{t_2}) \ge t_2 - t_1\}$  in particular,  $\partial C_{t_1} = \{q \in C_{t_2}: d(q, \partial C_{t_2}) = t_2 - t_1\}$ ,

(2)  $\bigcup_{t\geq 0} C_t = M$ ,

(3)  $p \in C_0$  and if  $\partial C_0 \neq \emptyset$ , then  $p \in \partial C_0$ , see [2; Prop. 1.3 pp 416]. Let C be a closed totally convex set. We set

$$egin{array}{ll} C^a &:= \{q \in C \colon d(q, \, \partial C) \geq a \} \ C^{ ext{max}} &:= igcap_{C^a 
eq arphi} C^a \;. \end{array}$$

Then, for any  $a \ge 0$ ,  $C^a$  is totally convex and there exists  $a_0 \ge 0$  such that  $C^{\max} = C^{a_0}$ . Furthermore dim  $C^{\max} < \dim C$ , see [2; Th. 1.9 pp 420]. For a family of totally convex sets  $\{C_t\}_{t\ge 0}$  as is mensioned above, if  $\partial C_0 \neq \emptyset$ , we set  $C(1):=C_0$  and  $C(2):=C(1)^{\max}$ . Inductively, if  $\partial C(i) \neq \emptyset$ , we set  $C(i+1):=C(i)^{\max}$  for  $i=1,2,\cdots$ . As is easily seen, we get the integer k > 0 such that  $\partial C(k) = \emptyset$ . We call C(k) a soul of M and denote it by S. In the case dim  $C_0 = \dim M$ , instead of  $\{C_t\}_{t\ge 0}$ , we use a following family of totally convex sets  $\{\widetilde{C}_t\}_{t\ge 0}$ . Let  $C_0^{a_0} = C_0^{\max}$ . We set  $\widetilde{C}_0:=C_0^{a_0}$  and

$$\widetilde{C}_t {:} = egin{cases} C_{t-a_0} & ext{if} \quad t \geqq a_0 \ C_0^{a_0-t} & ext{if} \quad a_0 \geqq t \geqq 0 \;. \end{cases}$$

Then, thus obtained family  $\{\widetilde{C}_t\}_{t\geq 0}$  also satisfies the property (1) and (2) for  $\{C_t\}_{t\geq 0}$ . We do not use the property (3), so without confusion, we may denote again  $\{\widetilde{C}_t\}_{t\geq 0}$  by  $\{C_t\}_{t\geq 0}$ . Under this new index, dim  $C_t = \dim M$  for t > 0 and dim  $C_0 < \dim M$ . And we also obtain a decreasing sequence of totally convex sets such that  $C_0 = C(1), \dots, C(k) = S$ . Our assertion is:

THEOREM. Let M be a simply connected 3-dimensional non-compact Riemannian manifold which satisfies  $0 \leq K_{\sigma} \leq \lambda$ , then

$$i(q) \geq rac{\pi}{\sqrt{\lambda}}$$
 for all  $q \in M$  .

For the moment, we assume that M is homeomorphic to  $E^3$  and have the sectional curvature  $0 \leq K_{\sigma} \leq \lambda$ , where  $E^3$  is a 3-dimensional Euclidean space. Let S be a soul of M. Then by [2; Th. 2.2 pp 423], S is a point set  $\{s\}, s \in M$ .

LEMMA 1. For any soul  $S = \{s\}$  of M,  $i(s) \ge \pi/\sqrt{\lambda}$ .

PROOF. If  $i(s) < \pi/\sqrt{\lambda}$ , then by the Theorem of Morse-Schoenberg and Lemma 2 [3; pp 226], there exists a geodesic loop  $\gamma: [0, 2i(s)] \to M$ such that  $\gamma(0) = \gamma(2i(s)) = s$ . Then  $\gamma([0, 2i(s)]) \subset \{s\}$ , because  $\{s\}$  is totally convex. This is a contradiction. q.e.d.

Let  $p \in M$  be any point and  $\{C_t\}_{t\geq 0}$  be the family of the totally convex sets constructed from p. Under this situation, we have:

406

## INJECTIVE RADIUS

LEMMA 2. For any point  $q \in C_0$ ,  $i(q) \ge \pi/\sqrt{\lambda}$ .

**PROOF.** Assume that there exists a point  $q_0^* \in C_0$  such that  $i(q_0^*) <$  $\pi/\sqrt{\lambda}$ . Then by Lemma 1,  $\partial C_0 \neq \emptyset$ . Let  $q_0 \in C_0$  be a point such that  $i(q_0) = \min\{i(q): q \in C_0\}$ . Then  $i(q_0) \leq i(q_0^*) < \pi/\sqrt{\lambda}$ . Set  $A: = \{q \in C_0: i(q) = 0\}$  $i(q_0)$ }. Then by the compactness of A, there exists a point  $q_1 \in A$  such that  $d(q_1, \partial C_0) = \max \{ d(q, \partial C_0) : q \in A \}$ . Set  $t_1 := d(q_1, \partial C_0)$ . Then  $q_1 \in \partial C_0^{t_1}$ and  $i(q_1) \leq i(q_0^*) < \pi/\sqrt{\lambda}$ . Then by the Theorem of Morse-Schoenberg and Lemma 2 [3], there exists a geodesic loop  $\gamma_1: [0, 2i(q_1)] \to M$  such that  $\gamma_1(0) = \gamma_1(2i(q_1)) = q_1$ . Since  $C_0^{t_1}$  is totally convex, we see  $\gamma_1([0, 2i(q_1)]) \subset$  $C_0^{t_1}$ . Hence, by the choice of the point  $q_1$ ,  $i(\gamma_1(i(q_1))) = i(q_1)$ . And again by Lemma 2 [3],  $\gamma_1$  must be a closed geodesic. We also see  $\gamma_1([0, 2i(q_1)]) \subset$ A. And by the choice of the point  $q_1$ , we get  $\gamma_1([0, 2i(q_1)]) \subset \partial C_0^{i_1}$ . So  $\gamma_i([0, 2i(q_i)]) = \partial C_0^{t_1}$ , because dim  $C_0 \leq 2$  and hence dim  $\partial C_0^{t_1} = 1$ . By the choice of  $t_1$  and continuity of the function *i*, we can choose  $t_2^*$  such that  $t_1 < t_2^* \text{ and } \pi/\sqrt{\lambda} > \min\{i(q): q \in C_{0^2}^{t^*}\} > i(q_1).$  Let  $q_2^* \in C_{0^2}^{t^*}$  be a point such that  $i(q_2^*) = \min \{i(q): q \in C_{0^2}^{t^*}\}$  and  $q_2 \in C_{0^2}^{t^*}$  be a point such that  $d(q_2, \partial C_0) = \max \{ d(q, \partial C_0) : q \in C_{02}^{t^*} \text{ and } i(q) = i(q_2^*) \}.$  Then  $i(q_1) < i(q_2) < i(q_2$  $\pi/\sqrt{\lambda}$ . By the same reason for  $q_1$ , there exists a closed geodesic  $\gamma_2$ : [0,  $2i(q_2)] \rightarrow M$  such that  $\gamma_2(0) = \gamma_2(2i(q_2)) = q_2$ . Set  $t_2 := d(q_2, \partial C_0)$ . Then we also have  $\gamma_2([0, 2i(q_2)]) = \partial C_0^{i_2}$ . Since  $C_0^{i_1}$  and  $C_0^{i_2}$  are homeomorphic to a 2-dimensional disk, by applying the Theorem of Gauss-Bonnet, we get

$${\displaystyle \iint_{{{C_0^{t_1}}}}} Kdv = {\displaystyle \iint_{{C_0^{t_2}}}} Kdv = 2\pi$$
 ,

where K (resp. dv) is the Gaussian curvature (resp. the area element) of the totally geodesic surface  $C_0^{t_1}$  of M and its totally geodesic surface  $C_0^{t_2}$ having the boundary  $\partial C_0^{t_1}$ ,  $\partial C_0^{t_2}$ . This equation means  $K \equiv 0$  on  $C_0^{t_1} - C_0^{t_2}$ . That is  $L(\gamma_1) = L(\gamma_2)$ , where L denotes the length of a curve. Namely  $2i(q_1) = 2i(q_2)$ . This is a contradiction. q.e.d.

PROOF OF THE THEOREM. By the classification in [2; Th. 8.1 pp 438], Mmust be isometric to  $\tilde{M} \times E^1$  or M is homeomorphic to  $E^3$  where  $E^1$  is a 1dimensional Euclidean space and  $\tilde{M}$  is homeomorphic to 2-dimensional sphere  $S^2$ . If M is isometric to  $\tilde{M} \times E^1$ , by using a result of [4], it is easily seen that our assertion is true. So we may assume that M is homeomorphic to  $E^3$ . We assume that there exists a point  $q_0^* \in M$  such that  $i(q_0^*) < \pi/\sqrt{\lambda}$ and derive a contradiction. Let p be a point of M. And  $\{C_t\}_{t\geq 0}$  be the familly of totally convex sets constructed from a point p. By Lemma 2,  $q_0^* \notin C_0$ . Choose a number  $t_0 > 0$  such that  $q_0^* \in C_{t_0}$ . Let  $q_0 \in C_{t_0}$  be a point such that  $i(q_0) = \min \{i(q): q \in C_{t_0}\}$ . Then  $i(q_0) \leq i(q_0^*) < \pi/\sqrt{\lambda}$ . We M. MAEDA

set  $A_1 := \{q \in C_{t_0}: i(q) = i(q_0)\}$ . Then by Lemma 2,  $A_1 \cap C_0 = \emptyset$ . Since  $A_1$  is compact, there exists a point  $q_1 \in A_1$  such that  $d(q_1, \partial C_{t_0}) = \max \{d(q, \partial C_{t_0}): q \in A_1\}$ . Set  $t_1 := d(q_1, \partial C_{t_0})$ . Then  $t_1 < t_0$  by Lemma 2. As is in the proof of Lemma 2, there exists a closed geodesic  $\gamma_1 : [0, 2i(q_1)] \to M$  such that  $\gamma_1(0) = \gamma_1(2i(q_1)) = q_1$  and  $\gamma_1([0, 2i(q_1)]) \subset \partial C_{t_0-t_1}$ . By the choice of  $t_1$  and the continuity of i, we can choose  $t_2^*$  such that  $t_1 < t_2^* < t_0$  and  $\pi/\sqrt{\lambda} > \min\{i(q): q \in C_{t_0-t_2^*}\} > i(q_1)$ .  $q_2^* \in C_{t_0-t_2^*}$  be a point such that  $i(q_2^*) = \min\{i(q): q \in C_{t_0-t_2^*}\}$ . Set  $A_2 := \{q \in C_{t_0-t_2^*}: i(q) = i(q_2^*)\}$ . Let  $q_2 \in A_2$  be a point such that  $d(q_2, \partial C_{t_0}) = \max\{d(q, \partial C_{t_0}): q \in A_2\}$ . Set  $t_2 := d(q_2, \partial C_{t_0})$ . Then  $t_2 < t_0$  by Lemma 2. And by the same reason for  $q_1$ , there exists a closed geodesic  $\gamma_2 : [0, 2i(q_2)] \to M$  such that  $\gamma_2(0) = \gamma_2(2i(q_2)) = q_2$  and  $\gamma_2([0, 2i(q_2)] \subset \partial C_{t_0-t_2}$ . Continuing this operation, we obtain sequences  $\{q_n\}, \{t_n\}$  and a family of closed geodesics  $\gamma_n : [0, 2i(q_n)] \to M$  which satisfy the following conditions:

(1)  $i(q_1) < i(q_2) < \cdots < i(q_n) < i(q_{n+1}) < \cdots < \pi/\sqrt{\lambda}$ ,

(2) 
$$t_n := d(q_n, \partial C_{t_0}), t_1 < t_2 < \cdots < t_n < t_{n+1} < \cdots < t_0$$

 $(3) \quad \gamma_n(0) = \gamma_n(2i(q_n)) = q_n, \gamma_n([0, 2i(q_n)]) \subset \partial C_{t_0-t_n}.$ 

For the sake of convenience, we extend the domain of  $\gamma_n$  as  $\gamma_n: (-\infty)$ ,  $\infty$ )  $\rightarrow M$ . We fix *n* and  $\tilde{t} > t_0$ . Then by [2; Th. 1.10 pp 420], the function  $\psi: (-\infty, \infty) \to R$  defined by  $\psi(u): = d(\gamma_n(u), \partial C_i)$  is concave, i.e. for  $\alpha \ge 0$ 0,  $\beta \geq 0$ ,  $\alpha + \beta = 1$ , it holds  $\psi(\alpha u_1 + \beta u_2) \geq \alpha \psi(u_1) + \beta \psi(u_2)$ . Since  $\psi$  is bounded,  $\psi \equiv \text{constant}$ , say, l > 0. Let  $c_i : [0, l] \to M$  be a minimal geodesic from  $\gamma_n(0)$  to  $\partial C_i$ , then  $\langle \dot{\gamma}_n(0), \dot{c}_i(0) \rangle = \pi/2$  where  $\langle v, w \rangle$ denotes the angle between the vectors v and w. For if  $\not \leq (\dot{\gamma}_n(0), \dot{c}_i(0)) < \dot{c}_i(0)$  $\pi/2$ , we can find  $\tilde{u} > 0$  such that  $d(\gamma_n(\tilde{u}), \partial C_{\tilde{t}}) < d(\gamma_n(0), \partial C_{\tilde{t}})$ . That is  $l = \psi(\widetilde{u}) \leq d(\gamma_n(\widetilde{u}), \partial C_{\widetilde{u}}) < d(\gamma_n(0), \partial C_{\widetilde{u}}) = l$ . This is a contradiction. Let X be the vector field along  $c_{\tilde{i}}$  obtained by the parallel translation of We define a differentiable mapping V:  $[0, l] \times [0, \varepsilon] \rightarrow M$  by  $V(s, \varepsilon)$  $\dot{\gamma}_n(0).$ u): =  $\exp_{e_{t}(s)} uX(s)$  where  $\varepsilon$  is a positive number. Set  $V_u(s)$ : = V(s,u). Then, by the convexity of  $C_{\tilde{\iota}}$ ,  $V_u(l) \in int C_{\tilde{\iota}}$  for  $u \in [0, \varepsilon]$ , see [1: Lemma 1.7 pp 419]. On the other hand, by the comparison theorem of Berger, if we put  $\varepsilon_0$ : = min { $\pi/(2\sqrt{\lambda})$ ,  $\varepsilon$ }, then  $L(V_u) \leq L(V_0) = l$  for all  $u \in [0, \varepsilon_0]$  and equality holding for some  $u_0 \in (0, \varepsilon_0]$  if and only if  $V | [0, l] \times [0, u_0]$  is a flat totally geodesic surface of M, see [1, Th. 1 pp 701]. Since we have seen  $\psi \equiv l$  and  $V_u(l) \in \operatorname{int} C_{\tilde{\iota}}$ , we get  $l \leq L(V_u) \leq L(V_0) = l$  for all  $u \in U(V_0)$  $[0, \varepsilon_0]$ . So  $V | [0, l] \times [0, \varepsilon_0]$  defines a flat totally geodesic surface of M. Without confusion, V:  $[0, l] \times [0, \varepsilon_0] \rightarrow M$  denotes the restriction  $V | [0, l] \times [0, \varepsilon_0]$  $[0, \varepsilon_0]$ . We extend the surface V:  $[0, l] \times [0, \varepsilon_0] \rightarrow M$  as V:  $[0, l] \times [0, 2\varepsilon_0] \rightarrow M$  $M \text{ defining } V(s, u) := \exp_{V_{\varepsilon_0(s)}} u V_*(\partial/\partial u)_{|s,\varepsilon_0} \text{ for } u \in [\varepsilon_0, 2\varepsilon_0]. \text{ Then we can}$ also see that V:  $[0, l] \times [0, 2\varepsilon_0] \rightarrow M$  is a flat totally geodesic surface of

## INJECTIVE RADIUS

*M* because  $V | [0, l] \times [\varepsilon_0, 2\varepsilon_0]$  satisfies the same condition for  $V: [0, l] \times [0, \varepsilon_0] \to M$ . We extend  $V: [0, l] \times [0, 2\varepsilon_0] \to M$  as  $V: [0, l] \times [0, 3\varepsilon_0] \to M$  defining  $V(s, u): = \exp_{V_{2\varepsilon_0}(s)} u V_*(\partial/\partial u)_{|s,2\varepsilon_0}$  for  $u \in [2\varepsilon_0, 3\varepsilon_0]$ .  $V: [0, l] \times [0, 3\varepsilon_0] \to M$  is also a flat totally geodesic surface of M. Continuing this method, finally we get an immersed flat totally geodesic surface  $V: [0, l] \times (-\infty, \infty) \to M$  which is given by  $V(s, u): = \exp_{\varepsilon_t^-(s)} uX(s)$ . Set  $Y(u): = V_*(\partial/\partial s)_{|_0,u}$ . Then Y is a parallel vector field along  $\gamma_n$ .

ASSERTION 1.  $Y(0) = Y(2i(q_n))$ .

**PROOF.** We assume  $Y(0) \neq Y(2i(q_n))$  and derive a contradiction. Set  $C_{q_n} := \{v \in T_{q_n}(M) : \exp_{q_n} u(v/||v||) \in C_{t_0-t_n} \text{ for some } u > 0\} \cup \{0\}, \ T_{q_n} :=$  $\langle v \in T_{q_n}(M) : \langle v, \dot{\gamma}_n(0) \rangle = 0$  and  $||v|| = 1 \rangle$  and  $C^*_{q_n} := T_{q_n} \cap C_{q_n}$ .  $C_{q_n}$  is called the tangent cone of  $C_{t_0-t_n}$  at  $q_n$ , see [2]. Since dim  $C_{t_0-t_n}=$  3,  $T_{q_n}$ is isometric to the unit circle  $S^1 = [0, 2\pi]$  and  $C^*_{q_n}$  is the minor subarc of length  $\alpha \in (0, \pi]$  by the convexity of  $C_{t_0-t_n}$ . Let  $\varphi: [0, 2\pi](=S^1) \to T_{q_n}$ be the isometry such that  $\varphi([0, \alpha]) = \overline{C}_{q_n}^*$  where closure is taken in  $T_{q_n}$ . Since  $V_{2mi(q_n)}$  is a minimal geodesic from  $q_n$  to  $\partial C_{\tilde{t}}$ , we can easily see that  $Y(2mi(q_n)) \in \varphi([\alpha + \pi/2, 2\pi - \pi/2])$  for  $m = 0, 1, 2, \cdots$ . Let Y(0) = $\varphi(\beta)$  for  $\beta \in [\alpha + \pi/2, 2\pi - \pi/2]$ . Then, by the assumption, without loss of generality, we can assume that  $Y(2i(q_n)) = \varphi(\beta + \omega)$ , where  $\beta + \omega$  $\langle 2\pi - \pi/2 \text{ and } \omega > 0.$  And it follows from the linearlity of the parallel displacement that  $Y(2mi(q_n)) = \varphi(\beta + m\omega)$  and  $\beta + m\omega < 2\pi - \pi/2$ , because  $\omega < \pi$  and  $Y(2mi(q_n))$  is the parallel translation of  $Y(2(m-1)i(q_n))$ along  $\gamma_n$  for  $m = 1, 2, 3, \cdots$ . But this is impossible. q.e.d.

From this assertion, we see the image of surface V is isometric to  $[0, l] \times S^{1}(i(q_{n})/\pi)$  where  $S^{1}(r)$  denotes a circle of radius r. Let  $\{\tilde{t}_{k}\}$  be a sequence such that  $\tilde{t}_{k} \uparrow \infty$  and  $\tilde{t}_{1} > t_{0}$ . For each  $\tilde{t}_{k}$ , let  $c_{\tilde{t}_{k}}:[0, l_{k}] \to M$  be a minimal geodesic from  $\gamma_{n}(0)$  to  $\partial C_{\tilde{t}_{k}}$  where  $l_{k} = d(\gamma_{n}(0), \partial C_{\tilde{t}_{k}})$ . Since  $\tilde{t} > t_{0}$  is any number, we can apply the above argument for each  $\tilde{t}_{k}$  and we have a flat totally geodesic surface of M whose image is isometric to  $[0, l_{k}] \times S^{1}(i(q_{n})/\pi)$ . We can choose subsequence  $\{\tilde{t}_{k_{j}}\}$  of  $\{\tilde{t}_{k}\}$  such that  $\dot{c}_{\tilde{t}_{k_{j}}}(0) \to \dot{c}_{n}(0), \dot{c}_{n}(0) \in T_{q_{n}}(M)$ . Let  $P_{n}$  be the vector field along  $\gamma_{n}$  obtained by the parallel translation of  $\dot{c}_{n}(0)$ . Then by the construction, we can easily see that the surface given by the map  $V_{n}: [0, \infty) \times (-\infty, \infty) \to M$  defined by  $V_{n}(s, u): = \exp_{\gamma_{n}(u)}sP_{n}(u)$  is an immersed flat totally surface of M and its image is isometric to  $[0, \infty) \times S^{1}(i(q_{n})/\pi)$ . We denote the image of this surface by  $F_{n}$ . Now by the compactness of  $C_{t_{0}}$ , we can choose a subsequence  $\{n_{j}\}$  of  $\{n\}$  such that  $\dot{\gamma}_{n_{j}}(0) \to \dot{\gamma}_{\infty}(0)$  and  $\dot{c}_{n_{j}}(0) \to \dot{c}_{\infty}(0)$  where  $\dot{\gamma}_{\infty}(0)$  and  $\dot{c}_{\infty}(0) \in T_{q_{\infty}}(M)$ ,  $q_{\infty}: = \lim_{j\to\infty} q_{n_{j}} \in M$ . Then the vector field  $P_{\infty}$  along the closed geodesic  $\gamma_{\infty}(t): = \exp t\dot{\gamma}_{\infty}(0)$  obtained by the parallel

M. MAEDA

translation of  $\dot{c}_{\infty}(0)$  satisfies  $P_{\infty}(0) = P_{\infty}(2i(q_{\infty}))$  from the construction. And the surface given by the map  $V_{\infty}: [0, \infty) \times (-\infty, \infty) \to M$  such that  $V_{\infty}(s, u): = \exp_{\gamma_{\infty}(u)} sP_{\infty}(u)$  is an immersed flat totally geodesic surface of Mwhose image is isometric to  $[0, \infty) \times S^{1}(i(q_{\infty})/\pi)$ . We also denote the image of this surface by  $F_{\infty}$ . Hereafter, for the convenience, the sequence  $\{m\}$  denotes the sequence  $\{n_{i}\}$ .

ASSERTION 2.  $F_m \cap F_{\infty} = \emptyset$  for all m.

**PROOF.** We assume that there exists  $m_0$  such that  $F_{m_0} \cap F_{\infty} \neq \emptyset$  and derive a contradiction. Let  $\partial F_m$  and  $\partial F_\infty$  denote the image of the closed geodesic  $\gamma_m$  and  $\gamma_{\infty}$  respectively. And let int  $F_m := F_m - \partial F_m$ , int  $F_{\infty} :=$  $F_{\infty} - \partial F_{\infty}$ . We can consider two cases  $\operatorname{int} F_{m_0} \cap \operatorname{int} F_{\infty} \neq \emptyset$  or  $\partial F_m \cap \operatorname{int} F_{\infty} \neq \emptyset$ because  $\partial F_{\infty} \subset \partial C_{t_0-t_{\infty}}$  and  $F_{m_0} \cap C_{t_0-t_{\infty}} = \emptyset$  where  $t_{\infty} := d(q_{\infty}, \partial C_{t_0})$ . Suppose there exists a point  $q \in \operatorname{int} F_{m_0} \cap \operatorname{int} F_{\infty}$ . Since dim M = 3 and dim  $F_{m_0} =$ dim  $F_{\infty}=2$ , there exists a vector  $v \in T_q(F_{m_0}) \cap T_q(F_{\infty})$  such that ||v||=1. Let  $c: (-\infty, \infty) \rightarrow M$  be the geodesic defined by  $c(t): = \exp tv$ . Then there exists a subarc of the geodesic c which is a geodesic in  $F_{m_0}$  and  $F_{\infty}$  because  $F_{m_0}$ and  $F_{\infty}$  are totally geodesic surface of M. We assert that  $c((-\infty, \infty)) \cap$  $\partial F_{m_0} \neq \emptyset$ . For, if  $c((-\infty, \infty)) \cap \partial F_{m_0} = \emptyset$ , then as is easily seen, c is a closed geodesic in  $F_{m_0}$  and  $F_{\infty}$ , since  $F_{m_0}$  and  $F_{\infty}$  are isometric to the half cylinder  $[0,\infty) imes S^{\scriptscriptstyle 1}(i(q_{m_0})/\pi)$  and  $[0,\infty) imes S^{\scriptscriptstyle 1}(i(q_\infty)/\pi)$  respectively. The fundamental period of a closed geodesic in  $F_{m_0}$  and  $F_{\infty}$  are  $2i(q_{m_0})$ and  $2i(q_{\infty})$  respectively. So above fact means  $2i(q_{m_0}) = 2i(q_{\infty})$ . This is a contradiction. So the assumption int  $F_{m_0} \cap$  int  $F_{\infty} \neq \emptyset$  derives  $\partial F_{m_0} \cap$  $\text{int } F_{\infty} \neq \oslash. \quad \text{Next we suppose } \partial F_{m_0} \cap \text{int } F_{\infty} \neq \oslash. \quad \text{For each } u \in [0, \, 2i(q_{m_0})],$ let  $c_u: [0, \infty) \to M$  be the geodesic defined by  $c_u(s): = \exp_{\tau_{m_0}(u)}(-s)P_{m_0}(u)$ . Then for each  $u \in [0, 2i(q_{m_0})]$ , we will show  $c_u([0, \infty)) \cap \operatorname{int} C_{t_0-t_{m_0}} = \emptyset$ . For, if some  $u_0 \in [0, 2i(q_{m_0})]$  and  $s_0 \in (0, \infty)$ ,  $c_{u_0}(s_0) \in \operatorname{int} C_{t_0-t_{m_0}}$ , then by the total convexity of  $C_{t_0-t_{m_0}}$ ,  $c_{u_0}((0, s_0]) \subset \operatorname{int} C_{t_0-t_{m_0}}$ . We define a differentiable mapping  $V: [0, 2i(q_{m_0})] \times [0, \beta] \rightarrow M$  by  $V(u, s): = c_u(s)$ . We put  $V_s(u): =$ V(u, s). Then by the comparison theorem of Berger, there exists an  $\varepsilon_0 > 0$  depending on  $\lambda$  such that for all  $0 \leq s \leq \varepsilon_0$ ,  $L(V_s) \leq L(V_0)$ , see [1: Th. 1 pp 701]. By the assumption  $V_{s_0}(u_0)(=c_{u_0}(s_0)) \in \text{int } C_{t_0-t_{m_0}}$  and by the choice of  $t_{m_0}$ , for all  $s, 0 < s \leq s_0$ , we get  $i(V_s(u_0)) > i(V_0(u_0))$ . Namely, for all  $0 < s \le \min \{\varepsilon_0, s_0\}$ ,  $i(V_s(u_0)) > i(V_0(u_0)) = (1/2)L(V_0) \ge (1/2)L(V_s)$ . Then by using the same technique which is used by W. Klingenberg to get the estimation of the injective radius of a certain compact Riemannian manifold, see [3: Th. pp 227], we can easily get a contradiction. For a point  $\gamma_{m_0}(u^*) \in \partial F_{m_0} \cap \text{int } F_{\infty}$ , there exists uniquely  $\widetilde{u} \in [0, 2i(q_{\infty}(0))]$  and  $\widetilde{s}$  such that  $\gamma_{m_0}(u^*) = \exp_{\gamma_{\infty}(\widetilde{u})} \widetilde{s} P_{\infty}(\widetilde{u})$ . From the construction of  $F_{\infty}$ , the

410

INJECTIVE RADIUS

geodesic  $\alpha: [0, \infty) \to M$  defined by  $\alpha(s): = \exp_{\gamma_{\infty}(\tilde{u})} sP(\tilde{u})$  is a shortest connection from  $\gamma_{\infty}(\tilde{u})$  to  $\partial C_t$  for each  $t \geq t_0$ . And above fact shows that  $\dot{\alpha}(\tilde{s}) \neq P_{m_0}(u^*)$  because  $c_{u^*}([0, \infty)) \cap \operatorname{int} C_{t_0-t_{m_0}} = \emptyset$  and  $\gamma_{\infty}(\tilde{u}) \in \operatorname{int} C_{t_0-t_{m_0}}$ . The geodesic  $\beta: [0, \infty) \to M$  defined by  $\beta(s): = \exp_{\gamma_m(u^*)} sP_m(u^*)$  is also a minimal geodesic from  $\gamma_m(u^*)$  to  $\partial C_t$  for each  $t \geq t_0$ . In particular  $\alpha \mid [0, \tilde{s} + t_m]$ (resp.  $\beta \mid [0, t_m]$ ) is a minimal connection from  $\gamma_{\infty}(\tilde{u})$  (resp.  $\gamma_m(u^*)$ ) to  $\partial C_{t_0}$  where  $t_m = d(q_m, \partial C_{t_0})$ . So, from the triangle inequality of distance, we can easily see  $\dot{\alpha}(\tilde{s}) = \dot{\beta}(0) = P_m(u^*)$  and we get a contradiction. q.e.d.

Now, since  $F_m \to F_\infty$  as  $m \to \infty$ , we can easily find numbers  $m^*$  and  $s^*$  such that, for each minimal geodesic from a point of the set  $\{s^*\} imes$  $S^{1}(i(q_{\infty})/\pi) \subset F_{\infty}$  to  $F_{m^{*}}$ , its end point lies in int  $F_{m^{*}}$ . We consider that  $\{s^*\} \times S^1(i(q_{\infty})/\pi)$  is the image of the closed geodesic  $\gamma_{\infty}: (-\infty, \infty) \to M$ .  $F_{m^*}$  can be considered locally as a boundary of some convex set because  $F_{m^*}$  is a totally geodesic surface of *M*. And by the proof of Th. 1.10 [2: pp 420], the function  $\varphi: (-\infty, \infty) \to R$  defined by  $\varphi(s): = d(\gamma_{\infty}(s), F_{m})$ is concave. So  $\varphi$  must be constant a > 0, because  $\varphi$  is bounded. Let  $c: [0, a] \to M$  be a minimal geodesic from  $\gamma_{\infty}(0)$  to  $F_{m^*}$ . Then  $\dot{c}(0) \perp F_{m^*}$ and  $\dot{c}(0) \perp \dot{\gamma}_{\infty}(0)$ . Let Z be the vector field along  $\gamma_{\infty}$  obtained by the parallel translation of  $\dot{c}(0)$ . Then, by the same argument just we have used to prove the fact  $Y(0) = Y(2i(q_n))$ , we can easily see  $Z(0) = Z(2i(q_{\infty}))$ and the differentiable mapping  $\tau: [0, a] \times [0, 2i(q_{\infty})]$  defined by  $\tau(u, s): =$  $\exp_{T_{m}(s)} uZ(s)$  gives a flat totally geodesic surface of M which is isometric to  $[0, a] \times S^1(i(q_{\infty})/\pi)$ . Therefore we get  $L(\tau_0) = L(\tau_a)$  where  $\tau_u(s)$ :  $\tau(u, s)$ . On the other hand  $\tau_0$  and  $\tau_a$  are closed geodesics in  $F_{\infty}$  and  $F_{m^*}$ respectively. So  $L(\tau_0) > L(\tau_a)$ . This is a contradiction. q.e.d.

REMARK. For dim  $M = n \ge 4$ , this Theorem is not valid. Because M. Berger gave an example that on  $S^3$ , there exists a Riemannian metric  $g_0$  such that  $0 < K_{\sigma} \le \lambda$  satisfying  $i(q_0) < \pi/\sqrt{\lambda}$  for some point  $q_0 \in S^3$ . Hence, for a simply connected non-compact Riemannian manifold M: =  $(S^3, g_0) \times E^1$  which satisfies  $0 \le K_{\sigma} \le \lambda$ , there exists a point  $q \in M$  such that  $i(q) < \pi/\sqrt{\lambda}$ . So it might be significant to assume that M is homeomorphic to  $E^n$  for the proof of our assertion in the case  $n \ge 4$ .

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