

# A CHARACTERIZATION OF THE ALGEBRA OF GENERALIZED ANALYTIC FUNCTIONS

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1. A complex-valued function  $f$  on the unit circle of the complex plane belongs to  $H^1$  if  $f \in L^1$  and  $\int_0^{2\pi} f(e^{i\theta}) e^{in\theta} d\theta = 0$  for  $n=1, 2, 3, \dots$ . In general, let  $A$  be a logmodular algebra on a compact Hausdorff space  $X$  and  $m$  a representing measure for  $A$  ([4]).  $H^1(dm)$  is defined as the  $L^1(dm)$ -closure of  $A$  or, equivalently, as the class of functions such that  $f \in L^1(dm)$  and  $\int_X f g dm = 0$  for every  $g \in A_m$  where  $A_m = \{g \mid g \in A, \int_X g dm = 0\}$ . Clearly, the definition of  $H^1(dm)$  is weaker than that of  $H^1$  for the unit circle. This is because  $A_{d\theta} = \{g \mid g \in A_0, \int_0^{2\pi} f(e^{i\theta}) d\theta = 0\}$ , where  $A_0$  denotes the algebra of all continuous functions on the unit circle that admit analytic extensions in the disk, is spanned by  $\{e^{in\theta} \mid n = 1, 2, 3, \dots\}$ , while existence of such a family of functions in  $A_m$  is not guaranteed.

We are interested in these circumstances and intend to show that existence of a certain family of functions which spans  $A_m$  (or  $A$ ) characterizes an algebra of all generalized analytic functions on a compact group among function algebras on a compact space  $X$ . We discuss this problem in §3. §4 is devoted to another considerations based on the fundamental Lemma 1.

2. Let  $\Gamma$  be a discrete abelian group containing a subsemigroup  $\Gamma_+$  such that  $\Gamma_+$  contains the identity and generates  $\Gamma$ ; let  $G$  be the dual group of  $\Gamma$  and  $\mu$  the normalized Haar measure on  $G$ . (We shall throughout exclude the case where  $\Gamma_+ = \Gamma$ ). Let  $A(G) = \{f \mid f \in C(G), \widehat{f}(\gamma) = \int_G f(\varphi) \overline{(\varphi, \gamma)} d\mu(\varphi) = 0$  for all  $\gamma \in \Gamma_+\}$  where  $C(G)$  is the algebra of all complex-valued continuous functions on  $G$ . Then by Theorem 4.1 in [3],  $A(G)$  can be regarded as the algebra of all generalized analytic functions with respect to  $\Gamma_+^{*)}$ . It is clear that  $\Gamma_+ \subset A(G)$ . If  $\Gamma_+ \cap \Gamma_+^{-1} = (1)$  (1 denotes the identity), then  $\Gamma$  is partially ordered; if in addition  $\Gamma = \Gamma_+ \cup \Gamma_+^{-1}$  then  $\Gamma$  is linearly ordered. The linearly

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\*) Under these assumptions, we shall call  $A(G)$  the *general case*.

ordered case is treated in [5] and functions of  $A(G)$  are called of analytic type. Examples are well known for both partially and linearly ordered cases. As for the (non-trivial) general case where  $\Gamma_+ \cup \Gamma_+^{-1} \neq \Gamma$  and  $\Gamma_+ \cap \Gamma_+^{-1} \neq (1)$ , we give an example as follows:  $\Gamma$  = the set of all lattice points in the plane,  $\Gamma_+ = \{(m, n) \mid m = 0, 2, 3, 4, \dots; n = 0, \pm 1, \pm 2, \pm 3, \dots\}$ .

By a function algebra on a compact Hausdorff space  $X$  we shall understand a uniformly closed proper subalgebra of  $C(X)$  which contains the constants and separates the points of  $X$ .  $A(G)$  is a function algebra on  $G$ , and even a Dirichlet algebra when  $\Gamma = \Gamma_+ \cup \Gamma_+^{-1}$ . We denote by  $A_m$  the hyperplane of  $A$  associated with a probability measure  $m$  on  $X$ , i.e.  $A_m =$

$\{f \mid f \in A, \int_X f dm = 0\}$ . If  $m$  is a multiplicative functional on  $A$ , that is,  $\int_X fg dm = \int_X f dm \int_X g dm$  for  $f, g \in A$ ,  $m$  is said to be a representing measure.

3. The following is proved in [5] (p. 217) with the assumption that  $\Gamma$  is linearly ordered. Although the same method applies in the general case, we give the proof by direct approximation arguments.

LEMMA 1. *Let  $\Gamma, \Gamma_+, G$  and  $A(G)$  be as defined in § 2. Let  $A_\mu(G) = \{f \mid f \in A(G), \int_G f(\varphi) d\mu(\varphi) = 0\}$ , then*

$$A(G) = \text{closed linear span of } \Gamma_+, \text{ and}$$

$$A_\mu(G) = \text{closed linear span of } \Gamma_+ \setminus \{1\}.$$

PROOF. It is sufficient to show that any  $f \in A(G), f \neq 0$ , is uniformly approximated by trigonometric polynomials consisting of elements of  $\Gamma_+$ . Choose a continuous function  $u$  such that  $\|f * u - f\|_\infty < \varepsilon/2$ , and for this  $u$ , a trigonometric polynomial  $v(\varphi) = \sum b(\gamma)(\varphi, \gamma)$  such that  $\|u - v\|_\infty < \varepsilon/2 \|f\|_\infty$ . Then we have  $\|f * v - f\|_\infty < \varepsilon$ . Clearly  $f * v \in C(G)$  and

$$(f * v)(\varphi) = \sum b(\gamma) \hat{f}(\gamma)(\varphi, \gamma) = \sum_{\gamma \in \Gamma_+} a(\gamma)(\varphi, \gamma).$$

The case for  $A_\mu(G)$  is similar.

LEMMA 2. *Let  $\Gamma_+$  be a semi-group contained in a commutative group  $\Gamma$  and  $1 \in \Gamma_+$ . Then the following are equivalent:*

$$(1) \quad \Gamma_+ \cap \Gamma_+^{-1} = (1)$$

$$(2) \quad \Gamma_+ \setminus \{1\} \text{ is a semi-group.}$$

PROOF. Suppose that  $\Gamma_+ \cap \Gamma_+^{-1}$  contains a  $\gamma, \gamma \neq 1$ . Then  $\gamma, \gamma^{-1} \in \Gamma_+ \setminus \{1\}$ , hence  $\Gamma_+ \setminus \{1\}$  cannot be a semi-group. Conversely, let  $\Gamma_+ \setminus \{1\}$  be not a semi-group. Then, since  $\Gamma_+$  is a semi-group, there exist  $\gamma_1, \gamma_2$  in  $\Gamma_+$  such that  $\gamma_1 \neq 1, \gamma_2 \neq 1$  and  $\gamma_1 \gamma_2 = 1$ . Thus,  $\gamma_1^{-1} \in \Gamma_+$ , so  $\Gamma_+ \cap \Gamma_+^{-1} \neq \{1\}$ .

From the above lemmas it is easily seen that if  $\Gamma_+ \cap \Gamma_+^{-1} = \{1\}$  then the normalized Haar measure  $\mu$  on  $G$  is a representing measure for  $A(G)$ .

THEOREM 1. *Let  $A$  be a function algebra on  $X$ . If there exist a probability measure  $m$  on  $X$  and a family  $P$  of functions in  $A_m$  each of which has the modulus 1 everywhere on  $X$  and if they satisfy*

- (a)  $P \cdot P \subset P$  (semi-group under the pointwise multiplication),
- (b)  $\int_X \gamma_1(x) \overline{\gamma_2(x)} dm(x) = 0$  for  $\gamma_1, \gamma_2 \in P, \gamma_1 \neq \gamma_2$  (orthogonality),
- (c)  $A_m = \text{closed linear span of } P$ ,

*then  $X$  is homeomorphic to a compact abelian group  $G$  with  $\hat{G}$  partially ordered and  $A$  is isometric and isomorphic to an  $A(G)$  with respect to a semi-group of  $\hat{G}$ . The converse is also true.*

PROOF. First, let  $G, \Gamma, \Gamma_+$  and  $A(G)$  with respect to  $\Gamma_+$  be given and  $\Gamma_+ \cap \Gamma_+^{-1} = \{1\}$ . We take  $\mu$  and  $\Gamma_+ \setminus \{1\}$  for  $m$  and  $P$ . From Lemmas 1 and 2, it is clear that they satisfy all the requirements.

Next, we shall show the sufficiency of the conditions. Let  $\Gamma_+ = P \cup \{1\}$  and  $\Gamma = \Gamma_+ \cdot \Gamma_+^{-1}$  (1 denotes the constant function on  $X$  and “—” means the complex conjugates of functions), then  $\Gamma$  is a commutative group generated by  $\Gamma_+$ . Since  $P$  is a semi-group, Lemma 2 implies that  $\Gamma_+ \cap \Gamma_+^{-1} = \{1\}$ . We regard  $\Gamma$  as equipped with the discrete topology and denote by  $G$  the dual of  $\Gamma$ . For arbitrary  $x \in X$  we define a function  $\varphi_x$  on  $\Gamma$  by  $\varphi_x(\gamma) = \gamma(x), \gamma \in \Gamma$ . It is easily seen that  $\varphi_x$  is a character of  $\Gamma$ , so that  $\varphi_x \in G$ . Thus, we obtain a mapping  $\Phi$  of  $X$  into  $G$  such that  $\Phi(x) = \varphi_x, x \in X$ . Since  $A$  separates the points of  $X$  and  $A$  is represented as the closed linear span of  $\Gamma_+$  by (c),  $\Gamma_+$  itself separates the points of  $X$ . This implies that  $\Phi$  is one-to-one. Moreover  $\Phi$  is continuous, because  $G$  has the weak topology by members of  $\Gamma$ .  $X$  is thus embedded in  $G$ .

Now, let  $\nu = m\Phi^{-1}$ , then  $\nu$  is a positive measure on  $G$  the support of which is contained in  $\Phi(X)$ . For  $\gamma \in \Gamma$  we have  $\gamma = \gamma_1 \gamma_2, \gamma_1, \gamma_2 \in \Gamma_+$ , so by (b)

$$\int_G (\varphi_x, \gamma) d\nu(\varphi) = \int_X \gamma_1(x) \overline{\gamma_2(x)} dm(x) = 0 \quad \text{for } \gamma \neq 1$$

and

$$\int_G dv(\varphi) = \int_X dm(x) = 1.$$

It follows that  $\nu = \mu$ , the normalized Haar measure on  $G$ , and this implies that  $\Phi(X) = G$ .

Let  $A(G)$  be the algebra of all generalized analytic functions with respect to  $\Gamma_+$ . If we define the mapping  $\Psi$  by  $\Psi(f) = f \circ \Phi^{-1}$ ,  $f \in C(X)$ , it is easily seen from Lemma 1 and (c) that  $\Psi(A) = A(G)$ . That  $\Psi(A_m) = A_\mu(G)$  is also clear. Thus, the proof is completed (we have also proved that  $m$  becomes a representing measure for  $A$ ).

If we require  $\Gamma$  to be linearly ordered in Theorem 1 it must be satisfied that  $P \cdot P^{-1} \setminus \{1\} \subset P \cup P^{-1}$ , since this is equivalent to  $\Gamma = \Gamma_+ \cup \Gamma_+^{-1}$ . Furthermore, it is well known that  $\Gamma$  is linearly ordered if and only if  $G = \widehat{\Gamma}$  is connected ([5]). The linearly ordered analogue of Theorem 1 is the following

**THEOREM 2.** *Let  $A$  be a function algebra, and let  $m$  and  $P$  satisfy the following conditions:*

- (a)  $P \cdot P \subset P$ .
- (b') For  $\gamma_1, \gamma_2 \in P$  ( $\gamma_1 \neq \gamma_2$ ),  $\gamma_1 \gamma_2 \in P$  or  $\gamma_1 \bar{\gamma}_2 \in P$ .
- (c)  $A_m = \text{closed linear span of } P$ .

*Then  $X$  is homeomorphic to a compact connected group  $G$  and  $A$  is represented as  $A(G)$  with respect to a semi-group  $\Gamma_+$  which defines a linear order on  $\Gamma$ .*

*Furthermore, (c) can be equivalently replaced by the condition:*

- (c')  $m$  is a representing measure for  $A$  and  $P$  separates the points of  $X$ .

*The converse is also true.*

**PROOF.** We have only to prove that (a), (b') and (c') imply the conclusion above, since other implications are easily verified. We conclude from (a), (b') and (c') that  $\Phi(X) = G$  as in the proof of Theorem 1, and  $\Gamma$  is linearly ordered by (b'), so  $G$  is connected. Lemma 1 implies that  $\Psi(A) \supset A(G)$ . For the converse, let  $f \in A$ . If  $\gamma \notin \Gamma_+$  ( $\Gamma_+ = P \cup \{1\}$ ) then  $\bar{\gamma} \in P$  by (b'), so we have

$$\int_G (f \circ \Phi^{-1})(\varphi)(\overline{\varphi}, \bar{\gamma}) d\mu(\varphi) = \int_X f(x) \overline{\gamma(x)} dm(x) = 0,$$

since  $m$  is a representing measure and  $f\bar{\gamma} \in A_m$ . Thus,  $\Psi(f) \in A(G)$ , which completes the proof.

We have treated above, merely for the sake of convenience, the case in which  $\Gamma_+ \cap \Gamma_+^{-1} = (1)$ . As for the general case, the analogous result is stated as follows: *Let  $m$  be a probability measure on  $X$  and  $P$  a family of functions in  $A$  each of which is of modulus 1 on  $X$  such that they satisfy (a')  $1 \in P$ ,  $P \cdot P \subset P$ , (b) for  $\gamma_1, \gamma_2 \in P$ , ( $\gamma_1 \neq \gamma_2$ ),  $\int_X \gamma_1 \bar{\gamma}_2 dm = 0$  and (c'')  $A = \text{closed linear span of } P$ . Then  $X$  is considered as a compact group and  $A$  is represented as an  $A(G)$ , and vice versa.* Theorem 1 is nothing but the case where  $P \setminus \{1\}$  itself is a semi-group.

4. In this section we shall discuss an application of Lemma 1. Let  $A$  be given as a logmodular algebra in Theorem 1. Let  $H^2(dm) = L^2(dm)$ -closure of  $A$ , and  $H_m^2(dm) = L^2(dm)$ -closure of  $A_m$ , where  $m$  is a given measure in Theorem 1 (and this is in fact a representing measure). Then  $L^2(dm)$  is decomposed as  $L^2(dm) = H^2(dm) \oplus \bar{H}_m^2(dm)$  ([4]). The conclusion of Theorem 1 and Lemma 1 imply that  $L^2(G) = L^2(dm) = L^2\text{-span of } \Gamma_+ \oplus L^2\text{-span of } P^{-1}$ . Since the character group constitutes a complete orthonormal family of  $L^2(G)$ , it follows that  $\Gamma$  coincides with  $\Gamma_+ \cup \Gamma_+^{-1}$ . Thus, for a logmodular algebra  $A$ , Theorem 1 is reduced to Theorem 2. If in particular the algebra  $A(G)$  of generalized analytic functions on  $G$  is logmodular, it is necessarily a Dirichlet algebra.

The decomposition of  $L^2(dm)$  is possible for any function algebra which has the property that every complex homomorphism has a unique representing measure ([6]). It follows as above that if  $A(G)$  has this property  $\Gamma = \Gamma_+ \cup \Gamma_+^{-1}$  holds. This also holds in the general case:

**THEOREM 3.** *For an algebra  $A(G)$  with respect to a semi-group  $\Gamma_+$ , the following are equivalent:*

- (1)  $\Gamma_+ \cap \Gamma_+^{-1} = \Gamma$ .
- (2)  $A(G)$  is a Dirichlet algebra.
- (3)  $A(G)$  is a logmodular algebra.
- (4) Every homomorphism of  $A(G)$  admits a unique representing measure.

**PROOF.** It is sufficient to prove that (4) implies (1) (a Dirichlet algebra is always logmodular). For the case  $\Gamma_+ \cap \Gamma_+^{-1} = (1)$ , this implication is already proved, so we shall reduce the general case to it<sup>\*)</sup>. Let  $\Gamma_0 = \Gamma_+ \cap \Gamma_+^{-1}$ ,  $\Lambda = \Gamma / \Gamma_0$

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\*) The following argument was suggested by that of [3].

and  $\Lambda_+ = \Gamma_+/\Gamma_0$ .  $\Lambda_+$  is a semi-group generating  $\Lambda$  and  $\Lambda_+ \cap \Lambda_+^{-1} = \{1\}$  (1 means the identity of  $\Lambda$ ). Let  $\mathfrak{G} = \widehat{\Lambda}$  and let  $A(\mathfrak{G})$  be the algebra of all generalized analytic functions on  $\mathfrak{G}$  with respect to  $\Lambda_+$ . We denote by  $\mathfrak{A}(G)$  the collection of all functions in  $C(G)$  whose restrictions to  $\mathfrak{G}$  belong to  $A(\mathfrak{G})$ . This is clearly a uniformly closed subalgebra of  $C(G)$ . We show that  $A(G) \subset \mathfrak{A}(G)$ . To see this, it is sufficient to verify that  $\Gamma_+ \subset \mathfrak{A}(G)$ . Let  $\mu_\varphi$  denote the normalized Haar measure on  $\mathfrak{G}$ . Let  $\gamma_0 \in \Gamma_+$  and  $\dot{\gamma} \notin \Lambda_+$ .

$$\begin{aligned} (\gamma_0 | \mathfrak{G})^*(\dot{\gamma}) &= \int_{\mathfrak{G}} (\varphi, \gamma_0) \overline{(\varphi, \dot{\gamma})} d\mu_{\mathfrak{G}}(\varphi) \\ &= \int_{\mathfrak{G}} (\varphi, \dot{\gamma}_0 \dot{\gamma}^{-1}) d\mu_{\mathfrak{G}}(\varphi) = 0, \end{aligned}$$

since  $\dot{\gamma}_0 \neq \dot{\gamma}$ . Thus,  $\gamma_0 \in \mathfrak{A}(G)$ .

Now, let  $A(G)$  satisfy the condition (4). Let  $h$  be any homomorphism of  $A(\mathfrak{G})$  and let  $m_1, m_2$  be its representing measures. Then for any  $f \in A(G)$ , we have

$$h(f | \mathfrak{G}) = \int_{\mathfrak{G}} f(\varphi) dm_1(\varphi) = \int_{\mathfrak{G}} f(\varphi) dm_2(\varphi).$$

Let  $h'(f) = h(f | \mathfrak{G})$ ,  $f \in A(G)$ , then  $h'$  is a homomorphism of  $A(G)$  and  $m_1, m_2$  are its representing measures, hence it follows that  $m_1 = m_2$ . Thus we have  $\Lambda = \Lambda_+ \cup \Lambda_+^{-1}$  so  $\Gamma = \Gamma_+ \cup \Gamma_+^{-1}$  which completes the proof.

REMARK. An example is constructed in [1] which shows that a homomorphism of a function algebra (and even an  $A(G)$ ) admits non-unique representing measures. From above considerations we can easily derive a set of simple examples given by  $A_k =$  closed linear span of  $\{1, e^{i(k+1)\theta}, e^{i(k+2)\theta}, \dots\}$ ,  $k = 1, 2, 3, \dots$ .

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