

A GENERALIZATION OF SCHATTEN-VON NEUMANN-DIXMIER' THEOREM FOR TYPE I AW^* -ALGEBRAS

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1. Introduction. The purpose of this paper is to establish a spectral decomposition for positive elements in the maximal CCR ideal of type I AW^* -algebra and the corresponding Schatten-von Neumann-Dixmier' Theorem in a type I AW^* -algebra.

In [5], Kaplansky showed that any type I AW^* -algebra is $*$ -isomorphic to the algebra of all bounded module endomorphisms on some AW^* -module over the center of the AW^* -algebra, and can be considered as the extension of type I factor. Moreover, in [5], a one-dimensional projection on a Hilbert space corresponds to an abelian projection in a type I AW^* -algebra. Therefore, the closed ideal (we shall in general mean two-sided ideal) generated by the abelian projections in a type I AW^* -algebra corresponds to the closed ideal of all completely continuous operators on the Hilbert space.

2. A spectral decomposition for positive elements in the maximal CCR ideal of a type I AW^* -algebra. Let A be a type I AW^* -algebra with the center \mathcal{F} . By the Kaplansky Theorem ([5]), we may identify A with the algebra $B(M)$ of all bounded module endomorphisms on the AW^* -module M over \mathcal{F} , where $M = Ae$, e being any abelian projection in A of central support 1, and the \mathcal{F} -valued inner product on M is denoted by $(x, y)_M = y^*x$ in \mathcal{F} for $x, y \in M$; we shall identify the center, \mathcal{F} , of $B(M)$ with $\mathcal{F}e$. The closed ideal generated by the abelian projections of $B(M)$ is a maximal CCR ideal in $B(M)$ ([2]), which we denote by $C(M)$.

The following lemma is useful for the later discussions.

LEMMA 1. (Kaplansky [5]) *If ϕ is a bounded homomorphism on an AW^* -module H over an abelian AW^* -algebra \mathfrak{A} , there is a unique a_0 in H such that $\phi(a) = (a, a_0)_H$ for all $a \in H$ where $(\cdot, \cdot)_H$ denotes the \mathfrak{A} -valued inner product on H .*

Let Z be the spectrum of \mathcal{F} . For each ξ in Z , define $[\xi]$ to be the closed ideal defined by

$$[\zeta] = \text{closure} \left\{ \sum_{i=1}^n a_i b_i; a_i \in B(M), b_i \in \mathcal{F} \text{ and } b_i(\zeta) = 0 \right\}.$$

Let ψ_ζ be the natural map of $B(M)$ onto $B(M)/[\zeta]$. For a in $B(M)$, let $a(\zeta) = \psi_\zeta(a)$. Then $\|a\| = \sup \{\|a(\zeta)\|; \zeta \in Z\}$, and the function $\zeta \rightarrow \|a(\zeta)\|$ from Z into the positive numbers is known to be upper semi-continuous ([1]). However, we have the following lemma for the AW^* -algebra $B(M)$.

LEMMA 2. *The function $\zeta \rightarrow \|a(\zeta)\|$ of Z is continuous.*

PROOF. Since $\|a(\zeta)\| = \|a^*(\zeta)a(\zeta)\|^{1/2}$, it is sufficient to consider the case where a is positive. Let z be in \mathcal{F} , then $z(\zeta) = z^\wedge(\zeta)I(\zeta)$. If $z(\zeta) \geq a(\zeta)$ for each ζ in Z , then $\varphi(z) \geq \varphi(a)$ for any pure state φ of $B(M)$, hence $\psi(z) \geq \psi(a)$ for any state ψ of $B(M)$, so $z \geq a$. Let $\mathcal{F}(a) = \{z \in \mathcal{F}; z \geq a\}$. $\mathcal{F}(a)$ is a bounded net in \mathcal{F} in the decreasing order. Then $b = \text{g.l.b. } \mathcal{F}(a)$ exists and lies in \mathcal{F} . Moreover, we have $b \geq a$. For this, it is sufficient to show that, for every x in M , $(bx, x)_M \geq (ax, x)_M$. For each x in M , let $c = (x, x)_M$, $d = (ax, x)_M$, then, for $z \in \mathcal{F}(a)$, $(zx, x)_M = zc$, $(bx, x)_M = bc$ and $zc \geq d$. Hence, for all positive number ϵ , $z(c+\epsilon) \geq d+\epsilon b$, $z \geq (d+\epsilon b)(c+\epsilon)^{-1}$; the last inequality holds good for every $z \in \mathcal{F}(a)$, hence $b \geq (d+\epsilon b)(c+\epsilon)^{-1}$, or $b(c+\epsilon) \geq d+\epsilon b$, from which it follows $bc \geq d$, i. e. $(bx, x)_M \geq (ax, x)_M$. Since x is arbitrary, $b \geq a$. Moreover, by [1], we have $b^\wedge(\zeta) = \|a(\zeta)\|$ and this completes the proof, since $b^\wedge(\zeta)$ is continuous function of ζ . Q. E. D.

Employing Lemma 2, we can now establish the spectral decomposition for positive elements in $C(M)$. This is an alternative form for AW^* -algebra of the one which was proved by H. Halpern.

THEOREM 1. *If a is a positive element in $C(M)$, then there is a monotone decreasing sequence $\{a_i\}$ of positive elements in \mathcal{F} and a sequence of mutually orthogonal abelian projections $\{e_i\}$ uniquely such that*

$$(1) \quad \text{closure } \{\zeta \in Z; a_i^\wedge(\zeta) \neq 0\} = \{\zeta \in Z; q_i^\wedge(\zeta) = 1\}.$$

where q_i is the central support of e_i ;

$$(2) \quad a_i \longrightarrow 0 \text{ as } i \longrightarrow \infty \text{ (in the uniform topology); and}$$

$$(3) \quad a = \sum_{i=1}^{\infty} a_i e_i \text{ (in the uniform topology).}$$

The proof goes just in the same way as Halpern ([3]), so we shall omit it.

3. Schatten-von Neumann-Dixmier' Theorem in type I AW^* -algebras.

Let P be the set of all positive elements a in $C(M)$ such that if $\sum_{i=1}^{\infty} a_i e_i$ is a spectral decomposition for a then the increasing sequence $\left\{ \sum_{i=1}^n a_i \right\}_n$ is bounded above in \mathcal{F} .

DEFINITION. Let $S(M)$ be the set of a in $B(M)$ such that $a^*a \in P$, then $S(M)$ is an ideal in $B(M)$ ([3]). We define the Schmidt class of $B(M)$ to be the ideal $S(M)$ and the trace class of $B(M)$ to be the ideal $T(M) = S(M)^2$.

The set of positive elements of $T(M)$ is the set P . Let a be an element of P and let a have the spectral decomposition $\sum_{i=1}^{\infty} a_i e_i$. Define the trace $Tr(a)$ of a to be the least upper bound of the increasing sequence $\left\{ \sum_{i=1}^n a_i \right\}_n$ in \mathcal{F} .

If a is an element of $T(M)$, then there exists the positive elements $\{a_n\}_{n=1}^4$ in $T(M)$ such that $a = a_1 - a_2 + i(a_3 - a_4)$. We can define uniquely $Tr(a) = Tr(a_1) - Tr(a_2) + i(Tr(a_3) - Tr(a_4))$ so that Tr is a \mathcal{F} -linear function of $T(M)$ into \mathcal{F} .

We show that the Schmidt class $S(M)$ of $B(M)$ is an AW^* -module over \mathcal{F} .

THEOREM 2. *The ideal $S(M)$ is an AW^* -module over \mathcal{F} .*

PROOF. Put $(a, b)_S = Tr(b^*a)$ for $a, b \in S(M)$, then this is the \mathcal{F} -valued inner product. Define, for $a \in S(T)$, $\|a\|_2 = \|(a, a)_S\|^{1/2}$, then $\|\cdot\|_2$ on $S(M)$ defines a norm.

At first, we show that $S(M)$ is complete in this norm. Let $\{a_n\}$ be a Cauchy sequence in $S(M)$. By the fact that $\|a\| \leq \|a\|_2$ for each $a \in S(M)$ and that $C(M)$ is uniformly closed, there exists an element a in $C(M)$ such that $\|a_n - a\| \rightarrow 0$ as $n \rightarrow \infty$. We prove that $a \in S(M)$ and that $\|a_n - a\|_2 \rightarrow 0$ as $n \rightarrow \infty$. Let $\sum_{i=1}^{\infty} a_i e_i$ be the spectral decomposition of $|a|$. Put $\mu_n(a) = a_n$. By the fact that $\sum_{i=1}^{\infty} a_i \wedge (\xi) e_i(\xi)$ is the spectral decomposition of the completely continuous operator $|a(\xi)|$ ([3]) and [7], we have $\mu_n(a) \wedge (\xi) = \mu_n(a(\xi))$ where $\mu_n(a(\xi))$ is the n -th characteristic number of the operator $a(\xi)$, and $\|\mu_k(a_n - a_m) - \mu_k(a_n - a)\| \rightarrow 0$ as $m \rightarrow \infty$. It follows that, for each ξ in Z and each positive number N ,

$$\left\{ \sum_{k=1}^N \mu_k(a_n - a)^2 \right\}^{1/2 \wedge} (\xi) = \left\{ \sum_{k=1}^N \mu_k(a_n(\xi) - a(\xi))^2 \right\}^{1/2}$$

$$\begin{aligned} &\leq \limsup_{m \rightarrow \infty} \left\{ \sum_{k=1}^{\infty} \mu_k(a_n(\xi) - a_m(\xi))^2 \right\}^{1/2} \\ &\leq \limsup_{m \rightarrow \infty} \|a_n - a_m\|_2 \end{aligned}$$

for all n . Therefore, it follows that

$$\left\{ \sum_{k=1}^N \mu_k(a_n - a)^2 \right\}^{1/2} \leq \limsup_{m \rightarrow \infty} \|a_n - a_m\|_2$$

for all n . Therefore, letting $N \rightarrow \infty$, we find

$$\begin{aligned} \|a_n - a\|_2 &\leq \limsup_{m \rightarrow \infty} \|a_n - a_m\|_2, \text{ so that} \\ \lim_{n \rightarrow \infty} \|a_n - a\|_2 &\leq \lim_{m, n \rightarrow \infty} \|a_n - a_m\|_2 = 0. \end{aligned}$$

Thus the completeness of $S(M)$ is proved.

Next, by the property of AW^* -algebra \mathcal{F} , we can easily show the following: If $\{p_i\}$ is a set of mutually orthogonal projections in \mathcal{F} of l. u. b. p , and suppose a is an element of $S(M)$ with $p_i a = 0$ for all i , then $pa = 0$.

Finally, we show the following: If $\{p_i\}$ is a set of mutually orthogonal projections in \mathcal{F} of l. u. b. 1 and if $\{a_i\}$ is a bounded set in $S(M)$, there is a unique $a \in S(M)$ such that $p_i a = p_i a_i$ for all i . Let $\|a_i\|_2^2 = \|Tr(a_i^* a_i)\| \leq N^2$ for all i , then $\|a_i\| \leq \|a_i\|_2 \leq N$. Therefore, there is an a in $B(M)$ such that $p_i a = p_i a_i$ for all i . Let e be an abelian projection in $B(M)$ and let p be the central support of e . The algebra $eB(M)e = \mathcal{F}e$ is $*$ -isomorphic to $\mathcal{F}p$. Following [3], we let $\tau_e(a)$ denote the unique element in $\mathcal{F}p$ such that $eae = \tau_e(a)e$. Let S be a set of mutually orthogonal projections and let $F(S)$ be the set of all finite subsets of S . For each J of $F(S)$ and for each i , we have

$$p_i \left(\sum_{f \in J} \tau_f(a^* a) \right) = p_i \left(\sum_{f \in J} \tau_f(a_i^* a_i) \right) \leq Tr(a_i^* a_i) p_i \leq N p_i.$$

This follows from the fact that l. u. b. $\sum_{J \in F(S)} \sum_{f \in J} \tau_f(a_i^* a_i) = Tr(a_i^* a_i)$. The proof of this fact is essentially same as Halpern in [3], except for noting that $\tau_f(\cdot)$ is completely additive on projections ([4]). So $\sum_{f \in J} \tau_f(a^* a) \leq N \cdot 1$. Thus, $\left\{ \sum_{f \in J} \tau_f(a^* a) \right\}_{J \in F(S)}$ is bounded above. Therefore, by [3], $a^* a \in P$ and $a \in S(M)$. This completes the proof of this theorem. Q. E. D.

We now establish that $\text{Hom}(C(M), \mathcal{F})$, the set of all uniformly bounded

module homomorphisms on $C(M)$ to \mathcal{F} , is identified with the trace class $T(M)$ of $B(M)$.

THEOREM 3. *If ϕ is an element of $\text{Hom}(C(M), \mathcal{F})$, there is a unique a_ϕ in $T(M)$ such that $\phi(a) = \text{Tr}(aa_\phi)$ for all a in $C(M)$. Moreover, for each $a \in T(M)$ let $\|a\|_1 = \|\text{Tr}((a^*a)^{1/2})\|$. Then the function $\|\cdot\|_1$ is a norm on $T(M)$ under which $T(M)$ is an involutive Banach algebra. The function $\phi \rightarrow a_\phi$ is an isometric isomorphism of $\text{Hom}(C(M), \mathcal{F})$ onto $T(M)$ (as Banach space).*

The proof of this theorem is same as that of Halpern in [3].

Since an abelian AW^* -algebra \mathfrak{A} is $*$ -isomorphic with the algebra of complex-valued continuous functions on a Stonean space which is the spectrum of \mathfrak{A} , we have in the followings to consider "order-convergence" of a net in \mathfrak{A} . The following criterion for the order-convergence in \mathfrak{A} is useful.

LEMMA 3. (Widom [8]) *A net $\{a_i\}$ of \mathfrak{A} order-converges to a (written $a_i \rightarrow a$ (O)) if and only if given a non-zero projection e in \mathfrak{A} and a positive number ϵ there exists a non-zero projection f in \mathfrak{A} with $f \leq e$ and a λ_0 such that $\lambda \geq \lambda_0$ implies $\|f(a_i - a)\| < \epsilon$.*

Then, we have the following lemma, which is stated in the strong topology for a von Neumann algebra.

LEMMA 4. (Widom [8]) *The unit sphere of \mathfrak{A} is complete relative to order-convergence.*

Now, we show that $\text{Hom}(T(M), \mathcal{F})$, the set of all bounded (with respect to $\|\cdot\|_1$) module homomorphisms on $T(M)$ to \mathcal{F} , is identified with $B(M)$.

THEOREM 4. *Let $a_0 \in B(M)$. The function $\phi(a) = \text{Tr}(aa_0)$ for $a \in T(M)$ is an element of $\text{Hom}(T(M), \mathcal{F})$. Conversely, if ϕ is an element of $\text{Hom}(T(M), \mathcal{F})$, there is a unique $a_\phi \in B(M)$ such that $\phi(a) = \text{Tr}(aa_\phi)$ for all $a \in T(M)$. The function $\phi \rightarrow a_\phi$ is an isometric isomorphism of $\text{Hom}(T(M), \mathcal{F})$ onto $B(M)$ (as Banach space).*

PROOF. Let $a_0 \in B(M)$ and let $a \in T(M)$. Then we have

$$\|\text{Tr}(aa_0)\| \leq \|a_0\| \cdot \|\text{Tr}(|a|)\| = \|a_0\| \cdot \|a\|_1.$$

Thus the function $\phi(a) = \text{Tr}(aa_0)$ for $a \in T(M)$ is an element of $\text{Hom}(T(M), \mathcal{F})$ and $\|\phi\| = \|a_0\|$ by [3].

Let ϕ be an element of $\text{Hom}(T(M), \mathcal{F})$. For every fixed b in $S(M)$, the function $a \rightarrow \phi(b^*a)$ is a bounded module homomorphism on $S(M)$. In fact, by [6],

$$\|\phi(b^*a)\| \leq \|\phi\| \cdot \|Tr(|b^*a|)\| \leq \|\phi\| \cdot \|b\|_2 \|a\|_2.$$

By Lemma 1 and Theorem 2, there is, for every $b \in S(M)$, a unique $\Phi(b)$ in $S(M)$ such that $\phi(b^*a) = (a, \Phi(b))_S$ for every a in $S(M)$. Let f be a projection in $C(M)$ and e be an abelian projection. Then

$$\begin{aligned} \|\tau_e(\Phi(f))\| &= \|\tau_e(\Phi(f))^*\| = \|Tr(\Phi(f)^*e)\| = \|(e, \Phi(f))_S\| \\ &= \|\phi(fe)\| \leq \|\phi\| \cdot \|Tr(|fe|)\| \\ &= \|\phi\| \cdot \|Tr((\tau_e(f))^{1/2}e)\| = \|\phi\| \cdot \|\tau_e(f)^{1/2}\| \leq \|\phi\|. \end{aligned}$$

Now, we have $\|\Phi(f)\| \leq 2\|\phi\|$. Indeed, $\Phi(f)$ is in $S(M)$ and $\Phi(f) = \Phi(f)_1 - \Phi(f)_2 + i(\Phi(f)_3 - \Phi(f)_4)$ where $\Phi(f)_i$ in $S(M)^+$ for $i = 1, 2, 3, 4$, hence

$$\begin{aligned} \|\Phi(f)\| &\leq \|\Phi(f)_1 - \Phi(f)_2\| + \|\Phi(f)_3 - \Phi(f)_4\| \\ &= \max. (\|\Phi(f)_1\|, \|\Phi(f)_2\|) + \max. (\|\Phi(f)_3\|, \|\Phi(f)_4\|). \end{aligned}$$

Suppose that $\max.(\|\Phi(f)_1\|, \|\Phi(f)_2\|) = \|\Phi(f)_1\|$, and let $\sum_{i=1}^{\infty} a_i e_i$ be the spectral decomposition of $\Phi(f)_1$ then

$$\begin{aligned} \|\Phi(f)_1\| &= \|a_1\| = \|a_1 e_1\| = \|e_1 a_1 e_1\| = \|e_1 \Phi(f)_1 e_1\| \\ &\leq \|\tau_{e_1}(\Phi(f)_1)\| \leq \|\phi\|. \end{aligned}$$

Similary, $\max.(\|\Phi(f)_3\|, \|\Phi(f)_4\|) \leq \|\phi\|$. Therefore $\|\Phi(f)\| \leq 2\|\phi\|$. Moreover, $\Phi(\cdot)$ has the following property: for $a \in S(M)$ and $b \in B(M)$, we have $b\Phi(a) = \Phi(ba)$. Indeed, for $c \in S(M)$,

$$(c, b\Phi(a))_S = (b^*c, \Phi(a))_S = \phi(a^*b^*c) = \phi((ba)^*c) = (c, \Phi(ba))_S.$$

The set $C(M)_p$ of all projections in $C(M)$ is an increasing directed set under the usual ordering for projections in $B(M)$ with l. u. b. 1. We shall show that when $g \in C(M)_p$ converges to 1 in $B(M)$, $\Phi(g)$ is strongly convergent to a fixed element a_ϕ in $B(M)$. If g varies over $C(M)_p$, for each $x \in M$, we have $(gx, x)_M$ order-converges to $(x, x)_M$ in \mathcal{F} , hence

$$((1-g)x, x)_M = |(1-g)x|^2 \longrightarrow 0 \text{ (O)}.$$

This means by Lemma 3, that, for each $x \in M$, and for a given non-zero projection $e \in \mathcal{F}$ and any positive number ϵ , there exists a non-zero projection $f \in \mathcal{F}$, $f \leq e$ and a $g_0 \in C(M)_p$ such that $g \geq g_0$, $g \in C(M)_p$ implies $\|f|(1-g)x|^2\| < \epsilon^2$. Now, for g, g' in $C(M)_p$ such that $g, g' \geq g_0$, we have

$$\begin{aligned} (\Phi(g)x, x)_M &= (\Phi(g)x, g_0x + (1-g_0)x)_M \\ &= (g_0\Phi(g)x, x)_M + (\Phi(g)x, (1-g_0)x)_M \\ &= (\Phi(g_0)x, x)_M + (\Phi(g)x, (1-g_0)x)_M; \end{aligned}$$

together with the similar relation by g' , we have

$$\begin{aligned} &(\Phi(g)x, x)_M - (\Phi(g')x, x)_M \\ &= (\Phi(g)x, (1-g_0)x)_M - (\Phi(g')x, (1-g_0)x)_M, \end{aligned}$$

so that

$$\begin{aligned} &\|f((\Phi(g)x, x)_M - (\Phi(g')x, x)_M)\| \\ &\leq \|(\Phi(g)fx, f(1-g_0)x)_M\| + \|(\Phi(g')fx, f(1-g_0)x)_M\| \\ &\leq \|\Phi(g)fx\| \cdot \|f|(1-g_0)x|^2\|^{1/2} + \|\Phi(g')fx\| \cdot \|f|(1-g_0)x|^2\|^{1/2} \\ &\leq 4\epsilon\|\phi\| \cdot \|x\|, \end{aligned}$$

by $\|\Phi(g)\| \leq 2\|\phi\|$ for all $g \in C(M)_p$ and, for b in $B(M)$, $b\Phi(a) = \Phi(ba)$ for every $a \in S(M)$. Hence, by Lemma 3 and Lemma 4, we see that $\Phi(g)$ strongly converges to an element a_ϕ in $B(M)$:

$$(\Phi(g)x, x)_M \longrightarrow (a_\phi x, x)_M \quad (O) \text{ for every } x \in M.$$

Next, we have $\Phi(f) = fa_\phi$ for every $f \in C(M)_p$, because

$$\begin{aligned} (fa_\phi x, y)_M &= (a_\phi x, fy)_M = \text{order-lim}_{g \in C(M)_p} (\Phi(g)x, fy)_M \\ &= \text{order-lim}_{g \in C(M)_p} (f\Phi(g)x, y)_M = (\Phi(f)x, y)_M \end{aligned}$$

for every $x, y \in M$.

Let $a, b \in S(M)$, then

$$\|(a, \Phi(b))_S\| = \|\phi(b^*a)\| \leq \|\phi\| \cdot \|b\|_2 \|a\|_2,$$

so we have $\|\Phi(b)\|_2 \leq \|\phi\| \cdot \|b\|_2$. Therefore $\Phi(\cdot)$ is continuous in $S(M)$.

But, for a in $S(M)$, if $\sum_{i=1}^{\infty} a_i e_i$ is the spectral decomposition of $|a|$ and

$b_n = \sum_{i=1}^n a_i(ue_i)$ where u is the partial isometry such that $u|a|$ is polar decomposition of a , then $\|b_n - a\|_2 \rightarrow 0$ if $n \rightarrow \infty$ ([7]), hence

$$\begin{aligned}\Phi(a) &= \lim_{n \rightarrow \infty} \Phi(b_n) = \lim_{n \rightarrow \infty} \sum_{i=1}^n a_i u \Phi(e_i) \\ &= \lim_{n \rightarrow \infty} \sum_{i=1}^n a_i u e_i a_\phi = \lim_{n \rightarrow \infty} b_n a_\phi\end{aligned}$$

i. e. $\|\Phi(a) - b_n a_\phi\|_2 = \|\Phi(a) - \Phi(b_n)\|_2 \rightarrow 0$ if $n \rightarrow \infty$.

But $\|b_n a_\phi - a a_\phi\|_2 \leq \|b_n - a\|_2 \|a_\phi\| \rightarrow 0$ if $n \rightarrow \infty$. Therefore $\Phi(a) = a a_\phi$ for every $a \in S(M)$.

Finally, let $b \in P$. Then $b^{1/2} \in S(M)$. We have

$$\begin{aligned}\phi(b) &= \phi(b^{1/2} b^{1/2}) = (b^{1/2}, \Phi(b^{1/2}))_S \\ &= (b^{1/2}, b^{1/2} a_\phi)_S = \text{Tr}(a_\phi^* b).\end{aligned}$$

Therefore, for every $b \in T(M)$, $\phi(b) = \text{Tr}(a_\phi^* b) = \text{Tr}(b a_\phi^*)$.

Therefore, if ϕ is an element of $\text{Hom}(T(M), \mathcal{F})$ there is one and only one a_ϕ in $B(M)$ such that $\phi(a) = \text{Tr}(a a_\phi)$ for all $a \in T(M)$. Since the function $\phi \rightarrow a_\phi$ is linear, the function is isometric isomorphism of the Banach space $\text{Hom}(T(M), \mathcal{F})$ onto $B(M)$. Q. E. D.

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