

## DIMENSION OF COMPACT GROUPS AND THEIR REPRESENTATIONS

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(Rec. Jan. 30, 1971)

In Pontrjagin's duality theory of compact abelian groups, it is well known that:

Let  $G$  be a compact abelian group,  $G^*$  the dual group of  $G$ ,  $\dim G$  the topological dimension in the sense of Lebesgue. Then the dimension of  $G$  is equal to the rank of discrete group  $G^*$ .

By S. Takahashi in [6], two analogies for non-commutative cases are formulated as follows:

**THEOREM A.** *Let  $G$  be a compact group,  $\hat{G}$  the aggregate of finite dimensional continuous representations of  $G$ ,  $R(\hat{G})$  the algebra over the complex number field  $C$  generated by the coefficients of representations in  $G$ , i. e., the representative ring in the sense of C. Chevalley in [1]. Then the dimension of  $G$  is equal to the transcendental degree of  $R(\hat{G})$  over  $C$ .*

**THEOREM B.** *Let  $G$  be a compact group,  $\bar{G}$  the space consisting of conjugacy classes of  $G$ ,  $R[G^*]$  the algebra over  $C$  generated by characters of elements of  $\hat{G}$ , i. e., the character ring of  $G$ . Then the dimension of  $\bar{G}$  is equal to the transcendental degree of  $R[G^*]$  over  $C$ .*

Theorem A was solved affirmatively, but Theorem B was solved merely for compact connected Lie groups, (See S. Takahashi [6]).

In [5] the author has referred to Theorem B in the general case, but has not been able to solve it completely. Recently in [4], G. Segal gives several concepts and theorems which are useful for solving Theorem B, i. e., the concept of a Cartan subgroup of a compact Lie group, and its properties, (See §2).

Now in this paper, we shall give an affirmative complete solution of Theorem B.

**1. On the dimension.** Let  $X$  be a normal space,  $\{U_i\}$  a finite open covering

of  $X$ , then the order of  $\{U_i\}$  is the largest integer  $n$  such that there are  $n$  members of the covering  $\{U_i\}$  which have a non-empty intersection. A finite open covering  $\{V_j\}$  is called a refinement of a covering  $\{U_i\}$  if each member of  $\{V_j\}$  is contained in some member of  $\{U_i\}$ . We recall that a space  $X$  has the dimension  $\leq n$ , i. e.,  $\dim X \leq n$  if for any finite open covering  $\{U_i\}$  of  $X$ , there is a refinement  $\{V_j\}$  with the order  $\leq n+1$  of  $\{U_i\}$ .

Let  $X$  be a compact Hausdorff space,  $G$  a compact group and  $(G, X)$  a transformation group. Then it is well known that the orbit space  $X/G$  of  $X$  by  $G$  is a compact Hausdorff space, (cf. D. Montgomery, L. Zippin [3], p. 61).

LEMMA 1. *Let  $X$  be a compact Hausdorff space,  $G$  a finite group,  $(G, X)$  a transformation group,  $X/G$  the orbit space of  $X$  by  $G$ . Then we have  $\dim X/G \geq \dim X$ . Especially if  $X$  has the second countability axiom, then it follows equality.*

PROOF. 1) We shall prove the first part of the lemma. Let  $\{U_i\}$  be any finite open covering of  $X$ ,  $x \in X$ . Then there is an open set  $V_x$  of  $X$ , containing  $x$  such that:

- a) for any  $a, b \in G$ , it follows  $aV_x \cap bV_x = \phi$  or  $aV_x = bV_x$
- b) for any  $a \in G$ , there is a member  $U_i$  of  $\{U_i\}$  such that  $aV_x \subset U_i$ .

Because, if we put  $H_x = \{a \in G | ax = x\}$  and  $G = a_1H_x + a_2H_x + \dots + a_rH_x$  as the coset decomposition of  $G$  by  $H_x$ , then there is an open set  $V_x$ , containing  $x$ , such that  $hV_x = V_x$  for  $h \in H_x$ ,  $a_iV_x \cap a_jV_x = \phi$  for  $i \neq j$  and  $a_iV_x \subset U_i$  for some member  $U_i$  of  $\{U_i\}$ .

Since  $\{V_x\}_{x \in X}$  is an open covering of  $X$ , and  $X$  is compact, we can take a finite open covering  $\{V_i\}$  of  $X$  such that  $V_i \in \{V_x\}$   $x \in X$ . If  $\Phi$  is the natural mapping:  $X \rightarrow X/G$  and we put  $\Phi(V_i) = V'_i$ , then  $\{V'_i\}$  is a finite open covering of  $X/G$ .

Now assume  $\dim X/G \leq n$ , then there is a finite open covering  $\{W'_k\}_{k \in A}$  of  $X/G$  which is a refinement of  $\{V'_i\}$  and has the order  $\leq n+1$ . If we take some  $V'_k$  for  $W'_k$  such that  $W'_k \subset V'_k$ , and put  $\Phi^{-1}(W'_k) \cap V_k = W_k$ , then it follows  $aW_k = bW_k$  or  $aW_k \cap bW_k = \phi$  for any  $a, b \in G$ , and the finite open covering  $\{aW_k\}_{a \in G, k \in A}$  of  $X$  is a refinement of  $\{U_i\}$  with the order  $\leq n+1$ . Therefore it follows  $\dim X \leq \dim X/G$ .

2) If  $X$  has the second countability axiom, then  $X$  is a separable metric space, and we shall prove  $\dim X/G \leq \dim X$ , using the induction with respect to the order of  $G$ .

i) Assume that  $G$  has no non-trivial subgroups of  $G$ .  $X^G = \{x \in X | ax = x \text{ for all } a \in G\}$  is closed in  $X/G$ , and each element of  $G$  operates on  $Y = X - X^G$ , thus  $Y/G$  is an open subset of  $X/G$ .

For any  $y \in Y$ , there is an open set  $U$  of  $Y$  containing  $y$ , which is homeomorphic to its image  $\bar{U}$  by the canonical mapping  $Y \rightarrow Y/G$ .

Therefore we have  $\dim Y/G = \dim Y \leq \dim X$ . Since by the assumption of  $G$ , it follows  $X/G = X^G \cup Y/G$ , we have  $\dim X/G \leq \dim X$ .

ii) When  $G$  has non-trivial normal subgroup  $S$ , then each element of  $\Gamma = G/S$  operates on  $X/S$  and we have  $X/G = X/S/\Gamma$ . By the induction it follows  $\dim X/G \leq \dim X$ .

iii) Assume that  $G$  has a non-trivial non-normal subgroup  $S$ . Each element of the normalizer  $N(S)$  of  $S$  in  $G$  operates on  $X^S$ . If we put  $X'_S = \bigcup_{g \in G} X^{gSg^{-1}}$ , then it is closed in  $X$ , and each element of  $G$  operates in  $X'_S$ , thus  $X'_S/G$  is closed in  $X/G$ . Since  $X^S/N(S)$  is compact, and the natural mapping  $X^S/N(S) \rightarrow X'_S/G$  is continuous and bijective, this mapping is homeomorphic, thus we have  $\dim X^S/N(S) = \dim X'_S/G$ . Since  $N(S) \neq G$ , it follows  $\dim X^S \geq \dim X^S/N(S)$  by the induction, thus  $\dim X \geq \dim X'_S/G$ . If we put  $F = X^G \cup \left\{ \bigcup_{S \subset G} X'_S \right\}$  where  $S$  ranges over non-trivial subgroups of  $G$ , then  $Y = X - F$  is open and as i) we have  $\dim Y/G \leq \dim X$ . Since  $X/G$  is equal to  $F/G \cup Y/G$ , it follows  $\dim X/G \leq \dim X$ .

LEMMA 2. *Let  $X$  be a compact Hausdorff space,  $G$  a compact group with  $\dim G = 0$ ,  $(G, X)$  a transformation group. Then  $\dim X \leq \dim X/G$ .*

PROOF. Assume  $\dim X \geq n$ , then there is a finite open covering  $\{U_i\}$  of  $X$  such that all refinements of  $\{U_i\}$  have the order  $\geq n+1$ . When for any  $x \in X$ , we select a member  $U_i$  of  $\{U_i\}$  containing  $x$ , then there is an open neighborhood  $0_x$  of unit element in  $G$ , and  $V_x$  of  $x$  in  $X$  such that  $0_x \cdot V_x \subset U_i$ .

Since  $G$  is 0-dimensional, there is an open closed normal subgroup  $H_x$  of  $G$  such that  $H_x \subset 0_x$ . Thus  $\{H_x \cdot V_x\}_{x \in X}$  is an open covering of  $X$ , therefore we can select a finite open covering  $\{H_i \cdot V_i\}_{i \in A}$  of  $X$  from members of  $\{H_x \cdot V_x\}$  which is a refinement of  $\{U_i\}$ . Since  $H = \bigcap_{i \in A} H_i$  is an open normal subgroup of  $G$ ,  $\Gamma = G/H$  is a finite group and we have  $X/G = X/H/\Gamma$ . By Lemma 1, it follows  $\dim X/G \geq \dim X/H$ .

Thus it is sufficient to prove  $\dim X/H \geq \dim X$ . Let  $\varphi$  be the canonical mapping of  $X$  to  $X/H$ ,  $V'_i = \varphi(H_i x_i)$ , then  $\{V'_i\}$  is a finite open covering of  $X/H$ , and we have  $\varphi^{-1}(V'_i) = H_i V_i$ . Now if  $\{W'_j\}$  is any refinement of  $\{V'_i\}$  with the order  $\leq n$ , and we put  $\varphi^{-1}(W'_j) = W_j$ , then  $\{W_j\}$  is a finite open covering of  $X$  with the order  $\leq n$ , and a refinement of  $\{U_i\}$ . It contradicts to the assumption of  $\{U_i\}$ . Thus we have  $\dim X/H \geq n$ , that is,  $\dim X/H \geq \dim X$ .

**2. On the case of compact Lie groups.** In this section, we shall assume that  $G$  is a compact Lie group. In the beginning we shall give some notions introduced by G. Segal in [3].

Let  $G^0$  be the identity connected component of a compact Lie group  $G$ , then  $G/G^0 = \Gamma$  is a finite group.  $C$  is called a cyclic group of  $G$  if  $C$  is the closure of a subgroup generated by a non-unit element of  $G$ . Then a cyclic group  $C$  is the product of a finite cyclic group and a torus.

DEFINITION. A subgroup  $S$  of  $G$  is a Cartan subgroup if it is cyclic and of finite index in its normalizer  $N(S)$ . The finite group  $N(S)/S$  is called the Weyl group of  $S$ , and is denoted by  $W_S$ .

PROPOSITION 1. *Each element  $g$  of  $G$  is contained in a Cartan subgroup  $S$ .*

PROPOSITION 2. *The projection*

$$\{\text{Cartan subgroups of } G\} \rightarrow \{\text{cyclic subgroups of } \Gamma\}$$

*induces a bijection of conjugacy classe.*

Let  $S$  be a Cartan subgroup of  $G$  and  $S^*$  the set consisting of elements  $g \in S$  such that  $gS^0$  generates  $S/S^0$ ,  $S^*/W_S$  the orbit space of  $S^*$  by  $W_S$ . Then the following holds:

PROPOSITION 3. *If  $\overline{G}$  is the space consisting of conjugacy classes of  $G$ , and  $\overline{\Gamma}$  is that of  $\Gamma$ , then in the projection  $\overline{G} \rightarrow \overline{\Gamma}$ , the inverse image of a conjugacy class  $\gamma$  is homeomorphic to  $S^*/W_S$ , where  $S$  is a Cartan subgroup of  $G$  with a generator in  $\gamma$ .*

See G. Segal [3] for proofs of Propositions 1, 2, and 3,

PROPOSITION 4. *Let  $\overline{G}$  be as above,  $T$  a maximal torus of  $G$ . Then we have  $\dim \overline{G} = \dim T$ .*

PROOF. We have  $\overline{G} = \bigcup_S S^*/W_S$  where  $S$  ranges over non-conjugate Cartan subgroups of  $G$ . By Lemma 1, it follows  $\dim S^*/W_S = \dim S^* = \dim S^0$ , thus  $\dim \overline{G}$  is equal to the largest of  $\dim S^0$ , that is,  $\dim \overline{G} = \dim T$ .

Now we shall refer to the character ring of a compact Lie group  $G$ . Firstly let  $G$  be an  $n$ -dimensional torus. Then the character ring  $R[G^*]$  of  $G$  is isomorphic with  $C[X_1, X_2, \dots, X_n, (X_1 X_2 \cdots X_n)^{-1}]$ , therefore  $\dim \overline{G}$  is equal to the transcendental degree of  $R[G^*]$  over  $C$ .

PROPOSITION 5. *Let  $R[G^*]$  be the character ring of a compact Lie*

group  $G$ ,  $\langle R[G^*]; C \rangle$  the transcendental degree of  $R[G^*]$  over  $C$ ,  $T$  a maximal torus of  $G$ . Then we have  $\langle R[G^*]; C \rangle = \dim T$ .

PROOF. Let  $\varphi$  be the canonical mapping of  $R[G^*]$  to the direct product ring  $\prod_s R[S^*]$  where  $S$  ranges over non-conjugate Cartan subgroups of  $G$ . By Proposition 1,  $\varphi$  is injective, therefore we have  $\langle R[G^*]; C \rangle \leq \langle \prod_s R[S^*]; C \rangle = \max \langle R[S^*]; C \rangle = \langle R[T^*]; C \rangle = \dim T$ . Now we shall prove  $\langle R[G^*]; C \rangle \geq \dim T$ . Let  $\chi$  be a character element of  $R[G^*]$ ,  $D$  a representation of  $G$  with degree  $n$  associated with  $\chi$ , where the restriction  $D_T$  of  $D$  to  $T$  is diagonal. If  $M = C^n$  is the standard  $G$ -module, then the  $k$ -exterior power  $\Lambda^k M$  is a  $G$ -module. If  $\xi_1, \xi_2, \dots, \xi_n$  are characteristic roots of  $D_T$ , then the character  $s_k$  of the representation of  $T$  by  $\Lambda^k M$ , is the  $k$ -th symmetric function of  $\xi_1, \xi_2, \dots, \xi_n$ , thus,  $\xi_1, \xi_2, \dots, \xi_n$  are roots of the following equation;

$$X^n - s_1 X^{n-1} + \dots + (-1)^n s_n = 0.$$

Let  $R[G^*]_T$  be the algebra consisting of restrictions of elements of  $R[G^*]$  to  $T$ , then  $s_1, s_2, \dots, s_n$  belong to  $R[G^*]_T$ .

Thus, since  $R[T^*]$  is an integral domain and any character element of  $R[T^*]$  is prolonged to a character element of  $R[G^*]$ ,  $R[T^*]$  is algebraic over  $R[G^*]_T$ . Therefore we have  $\langle R[G^*]; C \rangle \geq \langle R[G^*]_T; C \rangle = \langle R[T^*]; C \rangle = \dim T$ .

Thus, we obtain the main theorem for a compact Lie group.

THEOREM 1. Let  $G$  be a compact Lie group. Then we have  $\dim \bar{G} = \langle R[G^*]; C \rangle$ .

**3. On the case of compact topological groups.** In this section, let  $G$  be a compact topological group. Then it is well known that  $G$  is the projective limit of compact Lie groups;

$$G = \lim_{\leftarrow} G_\alpha, \alpha \in \Lambda. \quad (a)$$

where  $G_\alpha$  are compact Lie groups, the index set  $\Lambda$  is an ordered set, and for any finite elements  $\alpha_1, \alpha_2, \dots, \alpha_n$  of  $\Lambda$  there is an element  $\alpha \in \Lambda$  such that  $\alpha_i \leq \alpha$  for  $i = 1, 2, \dots, n$ . Let  $\bar{G}, \bar{G}_\alpha$  be the spaces consisting of conjugacy classes of  $G, G_\alpha$ , respectively. Then it is clear that  $\bar{G}$  is the projective limit of  $\bar{G}_\alpha$  induced by (a);

$$\bar{G} = \lim_{\leftarrow} \bar{G}_\alpha, \alpha \in \Lambda. \quad (b)$$

Let  $\bar{f}_\alpha; \bar{G} \rightarrow \bar{G}_\alpha$  be the continuous mapping induced by the homomorphism  $f_\alpha; G \rightarrow G_\alpha$  and put  $\bar{V} = \{\bar{x} \in \bar{G} | \bar{f}_\alpha(\bar{x}) \in \bar{V}_\alpha \text{ for some open set } \bar{V}_\alpha \text{ of } \bar{G}_\alpha\}$ , then the

aggregate  $\{\bar{V}\}$  is an open bases of  $\bar{G}$ .

LEMMA 3. *Let  $G$  be a compact group and  $\bar{G} = \varprojlim \bar{G}_\alpha$ ,  $\alpha \in \Lambda$ . Then we obtain  $\dim \bar{G} \leq \max_{\alpha \in \Lambda} \dim \bar{G}_\alpha$ .*

PROOF. Let  $\{\bar{V}_i\}$  be a finite covering consisting of members of open bases of  $\bar{G}$ . Then there is an element  $\beta$  of  $\Lambda$  such that  $\bar{x}$  belongs to  $\bar{V}_i$  if and only if  $\bar{x}_\beta$  belongs to  $\bar{V}_{\beta,i}$  where  $\bar{x}_\beta$ ,  $\bar{V}_{\beta,i}$  are images of  $\bar{x}$ ,  $\bar{V}_i$  by  $\bar{f}_\beta$  respectively. Now assume  $\max_{\alpha \in \Lambda} \dim \bar{G}_\alpha \leq p$ , then there is a finite open covering  $\{\bar{U}_j\}$  with the order  $\leq p+1$  which is a refinement of  $\{\bar{V}_{\beta,i}\}$ . Thus, putting  $\bar{f}_\beta^{-1}(\bar{U}_j) = \bar{U}_j$ ,  $\{\bar{U}_j\}$  is a refinement of  $\{\bar{V}_i\}$  with the order  $\leq p+1$ . Therefore we obtain  $\dim \bar{G} \leq p$ , i. e.,  $\dim \bar{G} \leq \max_{\alpha \in \Lambda} \dim \bar{G}_\alpha$ .

LEMMA 4. *Let  $G$ ,  $G_\alpha$ ,  $\alpha \in \Lambda$  be as in Lemma 3. Then we have  $\dim \bar{G} \geq \dim \bar{G}_\alpha$ .*

PROOF. Let  $G^0$  be the identity connected component of  $G$ . Then each element of a finite group  $\Gamma = G/G^0$  operates on the space  $\bar{G}^0$  consisting of conjugacy classes of  $G^0$ , and the orbit space  $\bar{G}^0/\Gamma$  of  $\bar{G}^0$  by  $\Gamma$  is a closed subset of  $\bar{G}$ . By Lemma 2, we have the following;

$$\dim \bar{G} \geq \dim \bar{G}^0/\Gamma \geq \dim \bar{G}^0. \quad (a)$$

On the other hand, it is well known that  $G^0$  is isomorphic with  $(S \times H)/Z$  where  $S$  is a simply connected semi-simple Lie group,  $H$  a connected abelian group,  $S \times H$  the direct product,  $Z$  a finite subgroup of the center of  $S \times H$ , and  $H \cap Z = \{e\}$ . Thus  $\bar{G}^0$  is homomorphic with the orbit space  $(\overline{S \times H})/Z$  of  $\overline{S \times H}$  by  $Z$ . If  $T$  is a maximal torus of  $S$ , and  $W = N_S(T)/T$  the Weyl group of  $S$  with respect to  $T$ , then each element  $w$  of  $W$  operates on  $T \times H$  as  $w(t, h) = (wt, h)$  for each element  $(t, h) \in T \times H$ . Thus  $\overline{S \times H}$  is homomorphic with the orbit space  $(T \times H)/W$  of  $T \times H$  by  $W$ . Using Lemma 2, we get the following;

$$\dim \bar{G}^0 \geq \dim(T \times H). \quad (b)$$

If  $f, f_\alpha$  are homomorphisms such that  $f: S \times H \rightarrow G^0, f_\alpha: G \rightarrow G_\alpha$ , then  $T_\alpha = f_\alpha(T \times H)$  is a maximal torus of  $G_\alpha$ , and we get  $\dim(T \times H) \geq \dim T_\alpha$ . Thus by Proposition 3, we have the following:

$$\dim(T \times H) \geq \dim \bar{G}_\alpha. \quad (c)$$

Therefore by (a), (b), (c), it follows

$$\dim \bar{G} \geq \dim \bar{G}_\alpha, \text{ for } \alpha \in \Lambda.$$

On the other hand we see easily that the character ring  $R[G^*]$  is isomorphic with the injective limit of the character rings  $R[G_\alpha^*]$ ,  $\alpha \in \Lambda$ , thus we get  $\langle R[G^*]; C \rangle = \max_{\alpha \in \Lambda} \langle R[G_\alpha^*]; C \rangle$ . Therefore using Theorem 1, and Lemma 4, we obtain the following main theorem for compact groups:

**THEOREM 2.** *Let  $G$  be a compact group,  $\bar{G}$  the space consisting of conjugacy classes of  $G$ . Then the dimension of  $\bar{G}$  is equal to the transcendental degree of the character ring  $R[G^*]$  of  $G$  over  $C$ .*

The author wants to express his heartily thanks to Dr. S. Takahashi, who gave me valuable suggestions about the author's investigation. Though for the sake of completeness, we have preserved the original proofs, Lemma 1, 2 and 3 are already known, as indicated in Notes 1) and 2).

#### NOTES.

1) Let  $X$  be compact Hausdorff space,  $G$  a compact group with  $\dim G = 0$ ,  $(G, X)$  a transformation group, then the canonical map  $X \rightarrow X/G$  is closed and open. Therefore the first assertion of Lemma 1 and Lemma 2 follow from the Hurwicz-Wallman's theorem: Let  $f$  be a closed mapping of a normal space  $X$  onto a non-empty paracompact Hausdorff space  $Y$ , then  $\dim X \leq \sup_{y \in Y} \dim f^{-1}(y) + \text{Ind } Y$ , where  $\text{Ind } Y$  is the large inductive dimension of  $Y$ . Especially with an additional condition  $\sup_{y \in Y} \dim f^{-1}(y) = 0$ ,  $\dim X \leq \dim Y$  holds (cf. K. Nagami [2], p. 123-130).

Furthermore, the second assertion of Lemma 1 is already known in K. Nagami [2] p. 97.

2) As for Lemma 3, see K. Nagami [2] p. 162.

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