

## A CHARACTERIZATION OF BIPOLAR MINIMAL SURFACES IN $S^4$

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**1. Introduction.** There is a generalized Clifford surface on any odd dimensional unit sphere, which is the image of a minimal immersion of  $R^2$  [5]. It is an interesting problem to study minimal immersions of a torus into an even dimensional sphere. As such an example, we know the Lawson's bipolar surface of a torus [7]. It is a minimal immersion of a torus into a 4-dimensional unit sphere. Let  $S^n \subset R^{n+1}$  be an  $n$ -dimensional unit sphere and  $M$  an oriented Riemannian 2-manifold. Let  $x: M \rightarrow S^3$  be a minimal immersion. The associated Gauss map  $x^*: M \rightarrow S^3$  is defined pointwise as the image of the unit normal in  $S^3$  translated to the origin of  $R^4$ . We view each map as  $R^4$ -valued and define  $\tilde{x}: M \rightarrow S^5 \subset R^6$  by  $\tilde{x} = x \wedge x^*$ . (We identify  $\wedge^2 R^4$  with  $R^6$ .) This mapping induces a non-singular metric on  $M$  of the form  $d\tilde{s}^2 = (2 - K)ds^2$ , where  $K$  is the Gaussian curvature for the metric induced by  $x$ . It is easy to see that  $\tilde{x}$  is also a minimal immersion of  $M$  into  $S^5$  by the calculation of the Laplacian of  $\tilde{x}$  [7]. Following Lawson, we shall call this surface the bipolar surface of  $x: M \rightarrow S^3$ . He has shown that the bipolar surface of the minimal torus,  $\tau_{m,k}$ , is contained in an  $S^4 \subset S^5$  where none of the images lies in an  $S^3 \subset S^4$ . His proof depends heavily on the last theorem of W. Y. Hsiang and H. B. Lawson, Jr [4].

The purpose of this paper is to calculate the local invariants of the bipolar surface by means of the local terminologies of  $x$ , and we can show the bipolar surface of a torus is not an  $R$ -surface. I think that this is the first example of surfaces which are not  $R$ -surfaces (cf. [10]). As a byproduct, we obtain a very elementary proof of the above Lawson's result. At last, we give a characterization of the bipolar minimal surface in  $S^4$ . Our results are stated in the Theorems 1, 2, 3 and 4.

In this paper, we use freely the concept and the elementary results of higher fundamental forms of  $\tilde{x}$  [5].

**2. Frames on the bipolar surface.** Since §§2, 3 and 4 are a local theory, we assume that the minimal immersion  $x$  of  $M$  is not totally geodesic at every point of  $M$ . The 2nd fundamental tensor  $h_{ij}$  has different

eigenvalues at each point of  $M$ . Let  $e_i, i = 1, 2$ , be local tangent unit vector fields on  $M$  such that the 2nd fundamental tensor field is diagonalized. We denote the eigenvalue corresponding to the  $e_1$  by  $h$ . The Gauss equation is, then, represented by

$$(2.1) \quad 1 + h^2 = 2 - K.$$

Let  $\{w_i\}$  be the dual basis of  $\{e_i\}$  and  $w_{12}$  the connection form on  $M$  for  $x$ . By the Codazzi equations and the definition of the covariant derivatives, we obtain

$$(2.2) \quad dh + i(2hw_{12}) = (h_{;1} + ih_{;2})(w_1 - iw_2),$$

where the semi-colon is the covariant derivatives of  $h_{ij}$  and  $h_{;1} = h_{11;1}, h_{;2} = h_{11;2}$ . Let  $e_3$  be the unit normal vector field of  $x$  and  $\tilde{x} = x \wedge e_3$ . Let

$$(2.3) \quad \tilde{e}_1 = \frac{(-1)}{\sqrt{2-K}}(hx + e_3) \wedge e_1, \quad \tilde{e}_2 = \frac{1}{\sqrt{2-K}}(hx - e_3) \wedge e_2,$$

where we remark that  $e_i$  and  $e_3$  are considered as maps of  $M$  into  $S^3$  in  $R^4$ . The local frame field  $\{\tilde{e}_i\}$  is tangent to  $\tilde{x}(M)$  and we have  $d\tilde{x} = \sum_i \tilde{w}_i \tilde{e}_i$ , where  $\tilde{w}_i = \sqrt{2-K} w_i$ .

We define unit normal vector (local) fields  $\tilde{e}_\alpha, 3 \leq \alpha, \beta \leq 5$ , of  $\tilde{x}$  in  $S^5$  as follows:

$$(2.4) \quad x \wedge e_1 = \frac{1}{\sqrt{2-K}}(\tilde{e}_3 - h\tilde{e}_1), \quad x \wedge e_2 = \frac{1}{\sqrt{2-K}}(\tilde{e}_4 + h\tilde{e}_2),$$

$$e_1 \wedge e_2 = \tilde{e}_5.$$

Then  $\{\tilde{x}, \tilde{e}_i, \tilde{e}_\alpha\}$  is an orthonormal frame field on  $\tilde{x}(M)$  in  $S^5 \subset R^6$  and we have

$$(2.5) \quad e_1 \wedge e_3 = \frac{1}{\sqrt{2-K}}(h\tilde{e}_3 + \tilde{e}_1), \quad e_2 \wedge e_3 = \frac{1}{\sqrt{2-K}}(-h\tilde{e}_4 + \tilde{e}_2).$$

**3. Formulae for  $d\tilde{e}_i$ .** We wish to calculate the  $d\tilde{e}_i$ . Let  $\langle \cdot, \cdot \rangle$  be the inner product of  $R^4$ . Since we have  $\langle de_i, x \rangle = -w_i$ , by virtue of (2.3), (2.4) and (2.5), we get

$$(3.1) \quad d\tilde{e}_1 = -\sqrt{2-K} w_1 \tilde{x} + \frac{1-h^2}{1+h^2} w_{12} \tilde{e}_2 - \frac{1}{2-K} dh \tilde{e}_3$$

$$- \frac{2h}{2-K} w_{12} \tilde{e}_4 + \frac{2h}{\sqrt{2-K}} w_2 \tilde{e}_5.$$

By the same method, we have

$$(3.2) \quad d\tilde{e}_2 = -\sqrt{2-K}w_2\tilde{x} - \frac{1-h^2}{1+h^2}w_{12}\tilde{e}_1 - \frac{2h}{2-K}w_{12}\tilde{e}_3 \\ + \frac{1}{2-K}dh\tilde{e}_4 + \frac{2h}{\sqrt{2-K}}w_1\tilde{e}_5.$$

Thus we have

$$(3.3) \quad \tilde{w}_{14} = \tilde{w}_{23} = \frac{-2h}{2-K}w_{12}, \quad \tilde{w}_{15} = \frac{2h}{2-K}\tilde{w}_2,$$

$$(3.4) \quad \tilde{w}_{24} = -\tilde{w}_{13} = \frac{dh}{2-K}, \quad \tilde{w}_{25} = \frac{2h}{2-K}\tilde{w}_1.$$

In particular we get

$$(3.5) \quad \tilde{h}_{311} = -\tilde{h}_{412}, \tilde{h}_{411} = \tilde{h}_{312}, \tilde{h}_{511} = 0.$$

(3.3) and (3.4) show that  $\tilde{x}$  is a minimal immersion.

We set

$$(3.6) \quad \sigma^2 = \sum_{\alpha} \tilde{h}_{\alpha 11}^2 \quad \text{and} \quad \tau^2 = \sum_{\beta} \tilde{h}_{\beta 12}^2.$$

By (3.4) and (3.5), we can verify

$$(3.7) \quad \sigma^2 = \frac{1}{(2-K)^3}(h_{;1}^2 + h_{;2}^2) \quad \text{and} \quad \tau^2 = \sigma^2 + \frac{4h^2}{(1+h^2)^2}.$$

We remark that  $\sigma^2$  and  $\tau^2$  are invariants of  $x(M)$ . By (2.1) and (2.2),  $\sigma = 0$  at  $p \in M$  if and only if  $dK = 0$  at  $p \in M$ . We know that by (3.5), we have also

$$(3.8) \quad \tilde{K}_{(2)} = \sigma^2 + \tau^2, \tilde{N}_{(2)} = \sigma^2\tau^2 \quad \text{and} \quad \tilde{f}_{(2)} = (\tau^2 - \sigma^2)^2.$$

(See [5] for the definitions of the above invariants of  $\tilde{x}$ .) We remark that we have  $\tilde{f}_{(2)} \leq 1$  since  $h^2$  is real in the second formula of (3.7). Since the immersion  $x$  is not totally geodesic at any point of  $M$ , we have  $\tau^2 > 0$  on  $M$  and  $\tilde{f}_{(2)} > 0$  on  $\tilde{x}(M)$ .

$\tilde{N}_{(2)} = 0$  on  $\tilde{x}(M)$  if and only if  $\sigma^2 = 0$  on  $x(M)$ . Therefore,  $\tilde{N}_{(2)} = 0$  on  $\tilde{x}(M)$  if and only if  $dK = 0$  on  $x(M)$ . By an Otsuki's lemma [8, p. 96] or [5, Lemma 2], if  $\tilde{N}_{(2)} \equiv 0$ , then  $\tilde{x}(M)$  is contained in a 3-dimensional space of constant curvature 1 in  $S^5$ .

Next, taking the exterior derivative of the first formula in (2.4), we have

$$(3.9) \quad \tilde{w}_{34} = \tilde{w}_{12} = \frac{1-h^2}{1+h^2}w_{12}, \quad \tilde{w}_{35} = -\left(\frac{1-h^2}{1+h^2}\right)\tilde{w}_2.$$

By the similar way, we have

$$(3.10) \quad \tilde{w}_{45} = \frac{1 - h^2}{1 + h^2} \tilde{w}_1.$$

Summarizing up these result, we have

**THEOREM 1.** *Let  $x: M \rightarrow S^3$  be an isometric minimal immersion of an oriented Riemannian 2-manifold into  $S^3$ . Let  $\tilde{x}$  be the bipolar surface of  $x$ . We assume that  $x$  is not totally geodesic at any point of  $M$ . Then  $\tilde{x}$  is a minimal immersion of  $M$  into  $S^3$ .  $\tilde{x}$  is not totally geodesic on  $M$  and  $0 < \tilde{f}_{(2)} \leq 1$  on  $\tilde{x}(M)$ .*

$\tilde{x}(M)$  is contained in a 3-dimensional space of constant curvature 1 in  $S^3$  if and only if the Gaussian curvature by the induced metric of  $x$  is constant on  $M$ .

**REMARK.** By a Lawson's result [6], such a  $K$  takes only the values 0 or 1.

**4. 3rd fundamental form of  $\tilde{x}$ .** From now on we shall assume  $\sigma \neq 0$  on  $M$ . We wish to study the 3rd fundamental form of  $\tilde{x}$ . Let

$$(4.1) \quad e_3^* = \frac{1}{\sigma} \sum_{\alpha} \tilde{h}_{\alpha 11} \tilde{e}_{\alpha}, \quad e_4^* = \frac{1}{\tau} \sum_{\alpha} \tilde{h}_{\alpha 12} \tilde{e}_{\alpha}.$$

(3.5) implies that unit normal vector local fields  $e_3^*$  and  $e_4^*$  are orthogonal. Since we have

$$\sigma e_3^* + i\tau e_4^* = (\tilde{h}_{311} + i\tilde{h}_{312})(\tilde{e}_3 - i\tilde{e}_4) + i\tilde{h}_{512} \tilde{e}_5,$$

we obtain, by (3.5),

$$(4.2) \quad d(\tilde{e}_1 + i\tilde{e}_2) = -(\tilde{w}_1 + i\tilde{w}_2)\tilde{x} - i\tilde{w}_{12}(\tilde{e}_1 + i\tilde{e}_2) + (\tilde{w}_1 - i\tilde{w}_2)(\sigma e_3^* + i\tau e_4^*).$$

We define a unit normal local vector field  $e_5^*$  by

$$(4.3) \quad e_5^* = \frac{1}{\sigma} \{\tilde{h}_{512} e_4^* - \tau \tilde{e}_5\}.$$

We can then verify that  $e_5^*$  is orthogonal to  $e_3^*$  and  $e_4^*$ . The formula (4.2) implies

$$(4.4) \quad w_{13}^* = \sigma \tilde{w}_1, w_{14}^* = \tau \tilde{w}_2, w_{i5}^* = 0, i = 1, 2, \\ w_{23}^* = -\sigma \tilde{w}_2, w_{24}^* = \tau \tilde{w}_1.$$

The 2nd osculating space is, then, spanned by  $e_3^*$  and  $e_4^*$ . We have, taking the exterior derivatives of  $w_{i5}^* = 0$ ,

$$(4.5) \quad \tilde{D}h_{511}^* = \sigma w_{35}^* = h_{511,1}^* \tilde{w}_1 + h_{511,2}^* \tilde{w}_2, \\ \tilde{D}h_{512}^* = \tau w_{45}^* = h_{511,2}^* \tilde{w}_1 - h_{511,1}^* \tilde{w}_2,$$

where  $h_{5i,jk}^* = h_{5i,j,k}^*$  are the 3rd fundamental tensors of  $\tilde{x}$  (see [5]) and  $\tilde{D}$

is the covariant differential operator of the van der Waerden-Bortolotti for the immersion  $\tilde{x}: M \rightarrow S^5$ . Thus  $\tilde{D}\tilde{h}_{\delta i j}^* = 0$  is equivalent to  $w_{35}^* = 0$ . As the 3rd order invariant  $\tilde{K}_{(3)}$  is defined by  $\tilde{K}_{(3)} = (h_{511}^*)^2 + (h_{512}^*)^2$ ,  $\tilde{K}_{(3)} = 0$  is equivalent to  $w_{35}^* = 0$ . Therefore, we shall represent  $w_{35}^*$  by means of the terminologies of  $x$ . At first we find

$$(4.6) \quad \sum_{\alpha=3}^4 \tilde{h}_{\alpha 11} d\tilde{e}_\alpha = -\tau \tilde{w}_{12} e_4^* + \{\text{terms of } \tilde{e}_i\}.$$

We prove (4.6) as follows: By (3.5), the left hand side of (4.6) is equal to  $-\tilde{h}_{412} d\tilde{e}_3 + \tilde{h}_{312} d\tilde{e}_4$ . We have, by (3.3), (3.5) and (3.9),

$$(4.7) \quad \frac{1-h^2}{1+h^2} (\tilde{h}_{411} \tilde{w}_1 - \tilde{h}_{311} \tilde{w}_2) = -\tilde{h}_{512} \tilde{w}_{12}.$$

From (3.5), (3.9), (3.10) and (4.7), (4.6) follows.

By virtue of (3.5) and the latter half of (4.1), we have

$$(4.8) \quad d\left(\frac{\tilde{h}_{311}}{\sigma}\right)\tilde{e}_3 + d\left(\frac{\tilde{h}_{411}}{\sigma}\right)\tilde{e}_4 = \sigma^{-3}\tau\Phi(\tau e_4^* - \tilde{h}_{512}\tilde{e}_5),$$

where  $\Phi = \tau^{-1}(\tilde{h}_{312} d\tilde{h}_{311} - \tilde{h}_{311} d\tilde{h}_{312})$ . Thus we get from (4.6) and (4.8),

$$(4.9) \quad \sigma w_{34}^* = -\tau \tilde{w}_{12} + \Phi \quad \text{and} \quad \sigma w_{35}^* = \frac{2h}{\sigma(2-K)}\Phi.$$

As we have

$$\tilde{h}_{311} = -(1+h^2)^{-3/2}h_{,1} \quad \text{and} \quad \tilde{h}_{312} = -(1+h^2)^{-3/2}h_{,2},$$

we get

$$(4.10) \quad \Phi = \frac{1}{\tau(1+h^2)^3}(h_{,2}dh_{,1} - h_{,1}dh_{,2}).$$

We shall state the properties of  $\tilde{K}_{(3)}$  in the following form.

**THEOREM 2.** *Let  $M$  be an oriented Riemannian 2-manifold and let  $x: M \rightarrow S^3$  be an isometric minimal immersion with  $\sigma \neq 0$  on  $M$  and not totally geodesic at any point of  $M$ . Let  $\{e_i\}$  be the local principal vector fields of  $x$ . Then the 3rd fundamental form of  $\tilde{x}$  is given by (4.9) and (4.10).*

$\tilde{K}_{(3)} = 0$  on  $\tilde{x}(M)$  if and only if  $e_1(h): e_2(h)$  is constant on the each domain of definition.

**5. The case of  $\tau_{m,k}$ .** As an application, we shall study the bipolar surface  $\tilde{\tau}_{m,k}$  of a minimal torus,  $\tau_{m,k}$ . The  $\tau_{m,k} (m \geq k \geq 1)$  is defined by the image of the doubly periodic immersion  $\Psi: R^2 \rightarrow S^3$  given by

$$(5.1) \quad \Psi(x_1, x_2) = (\cos mx_1 \cos x_2, \sin mx_1 \cos x_2, \cos kx_1 \sin x_2, \sin kx_1 \sin x_2).$$

Then the first fundamental form of  $\tau_{m,k}$  is

$$(5.2) \quad \sum_{i,j} g_{ij} dx_i dx_j = g^2(x_2) dx_1^2 + dx_2^2,$$

where  $g^2(x_2) = m^2 \cos^2 x_2 + k^2 \sin^2 x_2$ .

The vector-valued second fundamental form can be expressed as

$$(5.3) \quad B_{ij} = \Psi_{ij} - \sum_k \langle \Psi_{ij}, f_k \rangle f_k + g_{ij} \Psi,$$

where  $f_1 = g(x_2)^{-1} \Psi_1$ ,  $f_2 = \Psi_2$ ,  $\Psi_i = \partial \Psi / \partial x_i$  and  $\Psi_{ij} = \partial^2 \Psi / \partial x_i \partial x_j$ .

It is easily verified that  $B_{11} = B_{22} = 0$  and

$$(5.4) \quad B_{12} = mkg(x_2)^{-1} e_3,$$

where

$$e_3 = g(x_2)^{-1} (k \sin mx_1 \sin x_2, -k \cos mx_1 \sin x_2, \\ -m \sin kx_1 \cos x_2, m \cos kx_1 \cos x_2)$$

is the unit normal vector field of  $\tau_{m,k}$ . Since  $(x_1, x_2)$  is the global coordinates of  $\tau_{m,k}$ ,  $e_3$  is also globally defined on  $\tau_{m,k}$ . We have, then,  $B_{12} \neq 0$  on the  $\tau_{m,k}$ . This shows that  $\tau_{m,k}$  is not totally geodesic at any point of the surface. Then, by the Gauss equation, we have

$$(5.5) \quad K = 1 - m^2 k^2 g(x_2)^{-2}.$$

It follows from the Theorem 1 that the bipolar surface of  $\tau_{m,k}$  has  $\tilde{N}_{(2)} \equiv 0$  if and only if  $m = k$ . When the case of  $m > k \geq 1$ , we have  $\tilde{N}_{(2)} \neq 0$  except the points  $(x_1, (s/2)\pi)$  with  $s = 0, 1, 2, 3$ , but we shall show  $\tilde{K}_{(3)} = 0$  on the  $\tilde{\tau}_{m,k}^0 \equiv \tilde{\tau}_{m,k} - \{(x_1, (s/2)\pi)\}$ : We set  $e_1 = 2^{-1/2}(f_1 + f_2)$ ,  $e_2 = 2^{-1/2}(f_1 - f_2)$ . We denote the 2nd fundamental tensor for the above vectors  $e_i, h_{ij}$ . We then have  $h_{11} = -h_{22} = h$  and  $h_{12} = 0$ , where  $h = mkg(x_2)^{-1}$  and  $h$  is the globally defined function on the  $\tau_{m,k}$ . Since we have  $w_1 = 2^{-1/2}(g(x_2)dx_1 + dx_2)$  and  $w_2 = 2^{-1/2}(g(x_2)dx_1 - dx_2)$ , we can verify

$$(5.6) \quad h_{,1} + h_{,2} = 0,$$

$$(5.7) \quad h_{,1} = \frac{mk(m^2 - k^2) \sin 2x_2}{2\sqrt{2}g(x_2)^3}.$$

The formula (5.6) leads to  $\tilde{K}_{(3)} = 0$  on  $\tilde{\tau}_{m,k}^0$  by Theorem 2. Therefore, by the Otsuki's lemma [8, p. 96] or [5, Lemma 2],  $\tilde{\tau}_{m,k}^0$  is contained in a 4-dimensional space of constant curvature in  $S^5$ .

Moreover, we shall prove the following Lawson's result by an elementary way.

**THEOREM 3.** [7]. *The bipolar surface  $\tilde{\tau}_{m,k}$  of the minimal torus  $\tau_{m,k}$  with  $m > k$  is contained in an  $S^4 \subset S^5$  where none of the images lies*

in an  $S^3 \subset S^4$ .

PROOF. From (3.4) and (5.6), we have

$$(5.8) \quad \tilde{h}_{312} = \tilde{h}_{412} = (2 - K)^{-3/2}h_{,11}, \quad \tilde{h}_{311} = -\tilde{h}_{411} = -(2 - K)^{-3/2}h_{,11}.$$

By virtue of the second formula of (5.8), we can define the following orthonormal vector fields  $e_\alpha^*$  which are normal vector fields on  $\tilde{\tau}_{m,k}$ :

$$(5.9) \quad \begin{aligned} e_3^* &= \frac{1}{\sqrt{2}}(\tilde{e}_3 - \tilde{e}_4), \\ e_4^* &= \frac{1}{\tau} \left( \sum_\alpha \tilde{h}_{\alpha 12} \tilde{e}_\alpha \right), \\ e_5^* &= \frac{-1}{\sqrt{2 \cdot \tau}} (\tilde{h}_{512}(\tilde{e}_3 + \tilde{e}_4) + 2\tilde{h}_{312}\tilde{e}_5). \end{aligned}$$

We remark that vector fields (5.9) are globally defined on  $\tilde{\tau}_{m,k}$ : By definition  $(x_1, x_2)$  is a global coordinates of  $\tau_{m,k}$  and  $e_1, e_2, e_3$  are the globally defined field. Since the function  $h$  is defined globally on the surface,  $\tilde{e}_\alpha$  are also globally defined on  $\tilde{\tau}_{m,k}$ . By (3.3) and (5.8),  $\tilde{h}_{\alpha ij}$  are also defined globally on the  $\tilde{\tau}_{m,k}$ .

We shall show  $de_5^* = 0$  on  $\tilde{\tau}_{m,k}$ : Since we have  $w_{5i}^* = -\langle e_5^*, d\tilde{e}_i \rangle$ , making use of (5.8), we have  $w_{5i}^* = 0$ . From (3.9), (3.10), (4.7) and (5.8), we have  $w_{53}^* = 0$ . By (5.8), we get  $w_{41}^* = -\tau\tilde{w}_2$  and  $w_{42}^* = -\tau\tilde{w}_1$ . Taking the exterior derivatives of  $w_{5i}^* = 0$  and making use of the above results, we get  $w_{54}^* = 0$ . Thus we have shown  $de_5^* = 0$  on  $\tilde{\tau}_{m,k}$ , and so  $e_5^*$  is the constant vector in  $R^3$ . It follows that the bipolar surface of the  $\tau_{m,k}$  is contained in an  $S^3 \cap \pi$ , where  $\pi$  is the hyperplane which is orthogonal to  $e_5^*$ . Since we have  $\langle \tilde{x}, e_5^* \rangle = 0$ , we get  $S^4 = S^3 \cap \pi$ , i.e., the radius of  $S^3 \cap \pi$  is 1. This proves Theorem 3.

**6. Characterization of the bipolar surface.** Let  $(M, \tilde{g})$  be a 2-dimensional Riemannian manifold with the metric  $\tilde{g}$  and let  $\tilde{x}: (M, \tilde{g}) \rightarrow M^4(1)$  be an isometric minimal immersion of  $(M, \tilde{g})$  into a 4-dimensional space of constant curvature 1. In this case, we have  $\tilde{f}_{(2)} = \tilde{K}_{(2)}^2 - 4\tilde{N}_{(2)}$ , where  $2\tilde{K}_{(2)}$  is the square of the length of the 2nd fundamental tensor and  $4\tilde{N}_{(2)}$  is the square of the normal curvature of  $\tilde{x}$ . If  $\tilde{f}_{(2)} = 0$  on  $M$ , such a surface is called an  $R$ -surface and has studied by many mathematicians, for instance, Borůvka [2], Wong [10]. Recently S. S. Chern has proved that the minimal immersion of a 2-sphere in  $M^4(1)$  is the  $R$ -surface and characterized such an immersion completely by a rational curve in  $P^4(C)$ , ([3] or cf. Barbosa [1]). Following Wong, the surface with  $\tilde{f}_{(2)} \neq 0$  is called a general minimal surface. By Theorems 1 and 3,  $\tilde{\tau}_{m,k}$  is the general type.

In this section we study a general minimal surface with  $0 < \tilde{f}_{(2)} < 1$  on  $M$ . Since  $\tilde{f}_{(2)} > 0$  on  $M$ ,  $\tilde{x}$  is not totally geodesic on  $M$ . Therefore, we can assume that the 2nd fundamental tensors,  $\tilde{h}_{\alpha i j}$ ,  $3 \leq \alpha, \beta \leq 4$ , of  $\tilde{x}$  has of the forms,

$$(6.1) \quad \begin{aligned} \tilde{w}_{13} + i\tilde{w}_{23} &= \tilde{h}_{311}(\tilde{w}_1 - i\tilde{w}_2), \\ \tilde{w}_{14} + i\tilde{w}_{24} &= i\tilde{h}_{412}(\tilde{w}_1 - i\tilde{w}_2), \end{aligned}$$

and we have

$$(6.2) \quad \tilde{f}_{(2)} = (\tilde{h}_{412}^2 - \tilde{h}_{311}^2)^2, \quad \tilde{N}_{(2)} = \tilde{h}_{311}^2 \tilde{h}_{412}^2 = \frac{1}{4} N^2,$$

where  $N$  is the normal curvature of  $\tilde{w}_{34}$ , i.e.,  $d\tilde{w}_{34} = -N\tilde{w}_1 \wedge \tilde{w}_2$ . We call the above defined system of (local) vector fields the adapted frame on  $(M, \tilde{g})$ . We remark that such the adapted frame is uniquely determined up to isometries of the ambient space, if  $N$  is not vanish.

**PROPOSITION.** *Let  $\tilde{x}: (M, \tilde{g}) \rightarrow M^4(1)$  be an isometric minimal immersion with  $0 < \tilde{f}_{(2)} < 1$ . Suppose that the immersion satisfies,*

$$(6.3) \quad \frac{3}{2} N \tilde{D} \tilde{h}_{312} + (2\tilde{h}_{311}^2 + \tilde{h}_{412}^2) \tilde{D} \tilde{h}_{411} = 0.$$

We define a function  $h$  on  $M$  as follows:

$$(6.4) \quad \left( \frac{4h^2}{(1+h^2)^2} \right)^2 = \tilde{f}_{(2)},$$

and we set

$$(6.5) \quad g_{ij} = \frac{1}{1+h^2} \tilde{g}_{ij},$$

$$(6.6) \quad h_{11} = -h_{22} = h \quad \text{and} \quad h_{12} = 0.$$

Then there exists an isometric minimal imbedding  $x_U$  of a neighborhood  $U$  of any fixed point in  $(M, g)$  into a 3-dimensional space of constant curvature 1 such that (6.6) is the second fundamental tensor for  $x_U$ .

For a proof of Proposition, we shall need the following Lemmas 6.1 and 6.2. We treat only the case of  $\tilde{h}_{412}^2 - \tilde{h}_{311}^2 > 0$ . In the other case we can get the same conclusions by the similar way.

**LEMMA 6.1.** *Under the same hypothesis and notations as Proposition we have*

$$(6.7) \quad w_{12} = \frac{1+h^2}{1-h^2} \tilde{w}_{12},$$

where  $w_{12}$  is the connection form of  $(M, g)$ .

PROOF OF LEMMA 6.1. By (6.5), the basic forms on  $(M, g)$  are represented by

$$(6.8) \quad w_i = \frac{1}{\sqrt{1+h^2}} \tilde{w}_i .$$

Taking the exterior derivatives of (6.8), we have

$$(6.9) \quad \left\{ \frac{h}{1+h^2} dh + i(w_{12} - \tilde{w}_{12}) \right\} \wedge (\tilde{w}_1 - i\tilde{w}_2) = 0 .$$

By  $0 < \tilde{f}_{(2)} < 1$ , we have  $(1-h^2)^2 > 0$ . Taking the exterior derivative of (6.4), we get

$$(6.10) \quad \frac{h}{1+h^2} dh = \frac{(1+h^2)^2}{4(1-h^2)} \sum_i f_i \tilde{w}_i ,$$

where

$$f_i = \tilde{h}_{412} \tilde{h}_{412,i} - \tilde{h}_{311} \tilde{h}_{311,i} ,$$

where “,” denotes the covariant derivative for  $\tilde{g}_{ij}$ . By (6.9) and (6.10), we have

$$(6.11) \quad w_{12} = \tilde{w}_{12} + \frac{(1+h^2)^2}{4(1-h^2)} (-\tilde{h}_{412} \tilde{D}\tilde{h}_{411} - \tilde{h}_{311} \tilde{D}\tilde{h}_{312}) ,$$

where  $\tilde{D}$  is the covariant differential operator of the van der Waerden-Bortolotti for the isometric immersion  $\tilde{x}: (M, \tilde{g}) \rightarrow M^4(1)$  and consider  $\{\tilde{h}_{\alpha ij}\}$  as the components of the 2nd fundamental form of this immersion. On the other hand, we know

$$(6.12) \quad \tilde{D}\tilde{h}_{411} = -2\tilde{h}_{412} \tilde{w}_{12} + \tilde{h}_{311} \tilde{w}_{34} \quad \text{and} \quad \tilde{D}\tilde{h}_{312} = 2\tilde{h}_{311} \tilde{w}_{12} - \tilde{h}_{412} \tilde{w}_{34} .$$

By (6.11) and (6.12), Lemma 6.1 follows. q.e.d.

Making use of the Lemma 6.1, we can show the “Codazzi equation” for  $h_{ij}$ : By (6.8), (6.9) and Lemma 6.1, we have

$$(6.13) \quad (dh + i(2hw_{12})) \wedge (w_1 - iw_2) = 0 .$$

Let  $D$  denote the covariant differentiation for  $g_{ij}$  and its derivatives “;”. Since we can see, by (6.6),

$$(6.14) \quad Dh_{11} = dh \quad \text{and} \quad Dh_{12} = 2hw_{12} ,$$

(6.13) is equivalent to

$$(6.15) \quad (Dh_{11} + iDh_{12}) \wedge (w_1 - iw_2) = 0 .$$

The formula (6.15) implies

$$(6.16) \quad h_{11;2} = h_{12;1}, h_{12;2} + h_{11;1} = 0 .$$

By (6.6), (6.16) is equivalent to  $h_{ij;k} = h_{ik;j}$ . This proves the Codazzi equation for  $h_{ij}$ . We remark that we do not use (6.3) for the proof of the Codazzi equation for  $h_{ij}$ . The hypothesis (6.3) is essential in the following Lemma 6.2.

LEMMA 6.2. *Under the same assumptions as Proposition, we have*

$$(6.17) \quad \tilde{h}_{311}^2 = \frac{h_{11;1}^2 + h_{11;2}^2}{(1 + h^2)^3} .$$

PROOF OF LEMMA 6.2. By the Gauss equation of  $\tilde{x}$ , we know

$$(6.18) \quad \tilde{h}_{311}^2 + \tilde{h}_{412}^2 = 1 - \tilde{K} ,$$

where  $\tilde{K}$  denotes the Gaussian curvature of  $(M, \tilde{g})$ . By (6.4) and (6.18), we get

$$(6.19) \quad 2\tilde{h}_{311}^2 = \frac{(1 - h^2)^2}{(1 + h^2)^2} - \tilde{K} .$$

By (6.10) we have

$$(6.20) \quad \frac{h}{(1 + h^2)^{3/2}} h_{11;i} = \frac{(1 + h^2)^2}{4(1 - h^2)} f_i .$$

And we get

$$(6.21) \quad \frac{h_{11;1}^2 + h_{11;2}^2}{(1 + h^2)^3} = \frac{(1 + h^2)^4}{16h^2(1 - h^2)} (f_1^2 + f_2^2) .$$

By (6.12), we have a formula:

$$(6.22) \quad \begin{aligned} 3\tilde{h}_{311}\tilde{h}_{412}\tilde{D}\tilde{h}_{312} + (2\tilde{h}_{311}^2 + \tilde{h}_{412}^2)\tilde{D}\tilde{h}_{411} \\ = 2(\tilde{h}_{311}^2 - \tilde{h}_{412}^2)(\tilde{h}_{311}\tilde{w}_{34} + \tilde{h}_{412}\tilde{w}_{12}) . \end{aligned}$$

By (6.3), (6.12) and (6.22), we get

$$(6.23) \quad \tilde{D}\tilde{h}_{411} = -3\tilde{h}_{412}\tilde{w}_{12} .$$

We remark that under the condition  $\tilde{f}_{(2)} > 0$ , (6.3) is equivalent to the condition

$$(6.24) \quad \tilde{h}_{311}\tilde{w}_{34} = -\tilde{h}_{412}\tilde{w}_{12} .$$

The other formula equivalent to (6.3) is

$$(6.3)' \quad (2\tilde{h}_{311}^2 + \tilde{h}_{412}^2)\tilde{h}_{412,i} = 3\tilde{h}_{311}\tilde{h}_{412}\tilde{h}_{311,i} .$$

Taking the exterior derivatives of (6.24), we get

$$(6.25) \quad (\tilde{h}_{311}d\tilde{h}_{412} - \tilde{h}_{412}d\tilde{h}_{311}) \wedge \tilde{w}_{12} = \tilde{h}_{311}\tilde{h}_{412}(2\tilde{h}_{311}^2 + \tilde{K})\tilde{w}_1 \wedge \tilde{w}_2 .$$

By (6.3)' and (6.23), (6.25) is reduced in the following formula,

$$(6.26) \quad \tilde{h}_{412,1}^2 + \tilde{h}_{412,2}^2 = \frac{9\tilde{h}_{311}^2\tilde{h}_{412}^2}{\tilde{h}_{412}^2 - \tilde{h}_{311}^2}(2\tilde{h}_{311}^2 + \tilde{K}) .$$

By (6.3)', we have

$$f_1^2 + f_2^2 = \frac{4}{9} \frac{(\tilde{h}_{412}^2 - \tilde{h}_{311}^2)^2}{\tilde{h}_{412}^2} (\tilde{h}_{412,1}^2 + \tilde{h}_{412,2}^2) .$$

By (6.19), (6.21), (6.26) and the above formula, Lemma 6.2 follows.

q.e.d.

PROOF OF PROPOSITION. We have shown the "Codazzi equation" of  $h_{ij}$ . We shall show the "Gauss equation", that is,

$$(6.27) \quad h^2 = 1 - K ,$$

where  $K$  is the Gaussian curvature of  $(M, g)$ . We shall prove (6.27) as follows: Taking the exterior derivative of (6.7), we have

$$(6.28) \quad -Kw_1 \wedge w_2 = \frac{2}{(1-h^2)(1+h^2)} Dh_{11} \wedge Dh_{12} - \frac{(1+h^2)^2}{1-h^2} \tilde{K}w_1 \wedge w_2 .$$

Therefore we have

$$\begin{aligned} K &= \frac{2(1+h^2)^2}{1-h^2} \left( \frac{h_{11,1}^2 + h_{11,2}^2}{(1+h^2)^3} \right) + \frac{(1+h^2)^2}{1-h^2} (1 - \tilde{h}_{311}^2 - \tilde{h}_{412}^2) \\ &= \frac{(1+h^2)^2}{1-h^2} (2\tilde{h}_{311}^2 + 1 - \tilde{h}_{311}^2 - \tilde{h}_{412}^2) \\ &= 1 - h^2 . \end{aligned}$$

From the fundamental theorem for the surface theory (cf. [9]), the Proposition follows.

q.e.d.

LEMMA 6.3. Let  $\tilde{x}: M \rightarrow S^4$  be an isometric minimal immersion. Under the condition (6.3), the following conditions are equivalent:

- (1)  $\tilde{h}_{412,1}: \tilde{h}_{412,2} = \text{constant}$ ,
- (2)  $\tilde{h}_{311,1}: \tilde{h}_{311,2} = \text{constant}$ ,
- (3)  $h_{,1}: h_{,2} = \text{constant}$ .

PROOF. From (6.3)' and (6.10), Lemma 6.3 follows.

q.e.d.

Thus we have a converse version of the Theorem 2.

THEOREM 4. Let  $(M, \tilde{g})$  be a 2-dimensional Riemannian manifold and let  $\tilde{x}: (M, \tilde{g}) \rightarrow S^4$  be an isometric minimal immersion with  $0 < \tilde{f}_{(2)} < 1$ . Suppose that, for the adapted frame,

$$\frac{3}{2}N\tilde{D}\tilde{h}_{312} + (2\tilde{h}_{311}^2 + \tilde{h}_{412}^2)\tilde{D}\tilde{h}_{411} = 0, \quad \text{and}$$

$\tilde{h}_{412,1} \cdot \tilde{h}_{412,2} = \text{constant}$  on the each domain of definition. Then the image of  $M$  under  $\tilde{\alpha}$  is locally the bipolar surface of a minimal surface in  $S^3$ .

PROOF. Theorem 4 follows from the Proposition and the results in §4. q.e.d.

REMARK. Let  $M$  be a torus. Then the Riemann-Roch's theorem implies that  $\tilde{f}_{(2)} = 0$  on  $M$  or  $\tilde{f}_{(2)} > 0$  on  $M$ . (See [3] or [5].)

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