

## SECOND RICCI CURVATURE OF HOMOGENEOUS BOUNDED DOMAINS

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(Received February 18, 1991)

**Abstract.** In this paper it is proved that there exists no non-symmetric homogeneous bounded domain whose second Ricci curvature of the Bergman metric is homothetic to the square of the metric. The argument is based on a result of Geatti and the theory of normal  $j$ -algebras.

**Introduction.** There is a countable sequence of conditions  $H_k$  which the curvature tensor of harmonic spaces must satisfy. The first condition  $H_1$  is the Einstein condition. Let  $D$  be a homogeneous bounded domain in  $C^n$  with the Bergman metric  $g$ . It is well-known that  $g$  always satisfies  $H_1$ . Recently Geatti [5] proved that if  $g$  satisfies Condition  $H_2$ , i.e., the second Ricci curvature of  $g$  is homothetic to the square of  $g$ , then  $D$  is biholomorphic to one of the following:

- (1) the unit ball in  $C^n$ ,
- (2) the 6-dimensional classical domain of type IV in Cartan's classification,
- (3) the exceptional symmetric domain of dimension 16,
- (4) the exceptional symmetric domain of dimension 27,
- (5) the domain of dimension 26 of type T(8; 8, 8), where

$$(0.1) \quad T(8; 8, 8) := \left[ \begin{array}{c|c} 1 & 8 \\ \hline & 8 \\ \hline & 1 \\ & 8 \end{array} \right]$$

(see (2.4)). It is well-known (Carpenter-Gray-Willmore [3]) that the first four types of domains actually satisfy Condition  $H_2$ . In her paper [5] Geatti asked whether the last 26-dimensional domain satisfies Condition  $H_2$  or not. In the present paper, we shall show that the last domain does not satisfy Condition  $H_2$  (Proposition 3.1), so that there exists no non-symmetric homogeneous bounded domain whose Bergman metric satisfies Condition  $H_2$  (Theorem 3.2). Our argument is based on the theory of normal  $j$ -algebras which represent the Bergman metric of homogeneous bounded domains.

**1. The second Ricci curvature and Condition  $H_2$ .** Let  $(M, g)$  be a Riemannian manifold. Let  $\nabla_X Y$  be the covariant derivative with respect to the Levi-Civita connection of  $g$ , and set  $R(X, Y) = [\nabla_X, \nabla_Y] - \nabla_{[X, Y]}$ ,  $R(X, Y, Z, W) = g(R(Z, W)Y, X)$ . For a tangent vector  $x \in T_p M$  at a point  $p \in M$ , let  $S(x) \in \text{End}(T_p M)$  be the mapping defined by

$$(1.1) \quad S(x)u = R(u, x)x.$$

For every positive integer  $k$ , the  $k$ -th Ricci curvature  $\rho^{[k]}$  of  $g$  is, by definition, the symmetric covariant tensor field of degree  $2k$  satisfying

$$(1.2) \quad \rho^{[k]}(x, \dots, x) = \text{trace } S(x)^k \quad \text{for all } x \in T_p M.$$

For  $k=1, 2$ , the manifold  $(M, g)$  is said to satisfy Condition  $H_k$  if there exists a function  $\lambda \in C^\infty(M)$  such that

$$(1.3) \quad \rho^{[k]}(x, \dots, x) = \lambda(p)g(x, x)^k \quad \text{for all } x \in T_p M, p \in M$$

(cf. Carpenter-Gray-Willmore [3]). We note that  $\rho^{[1]}$  is the usual Ricci curvature and  $H_1$  coincides with the Einstein condition. Let  $B$  be a basis of the tangent space  $T_p M$ ,  $g_{ab} = g(a, b)$  for  $a, b \in B$ , and  $(g^{ab})$  be the inverse matrix of  $(g_{ab})$ . For  $x \in T_p M$ , we have

$$(1.4) \quad \rho^{[2]}(x, x, x, x) = \sum_{a,b,c,d \in B} g^{ab}g^{cd}R(x, c, x, b)R(x, a, x, d).$$

From now on, we assume that  $M$  is a complex manifold and  $g$  is a Kähler metric on  $M$ . Let  $p$  be a point in  $M$ ,  $T_p M$  the real tangent space at  $p$ , and  $j \in \text{End}(T_p M)$  the complex structure on  $M$ . The holomorphic tangent space  $T_p^h M$  at  $p$  is realized as  $\{z \in T_p M \otimes \mathbb{C}; jz = iz\} = \chi(T_p M)$ , where  $j \in \text{End}(T_p M \otimes \mathbb{C})$  is the complex linear extension of  $j$  and  $\chi: T_p M \rightarrow T_p M \otimes \mathbb{C}$  is defined by  $\chi(x) = 2^{-1}(x - ijx)$ . As usual, for  $x_a \in T_p M$ , set

$$(1.5) \quad R_{x_1 \bar{x}_2 x_3 \bar{x}_4} = R(\chi(x_1), \overline{\chi(x_2)}, \chi(x_3), \overline{\chi(x_4)}),$$

$$(1.6) \quad g_{x_1 \bar{x}_2} = g(\chi(x_1), \overline{\chi(x_2)}),$$

where  $g(\cdot, \cdot)$  and  $R(\cdot, \cdot, \cdot, \cdot)$  are extended to complex multi-linear mappings. We note that

$$(1.7) \quad g_{x \bar{x}} = \frac{1}{2}g(x, x).$$

We need the following lemma.

LEMMA 1.1. *If  $B$  is a subset of  $T_p M$  such that  $B \cap jB = \emptyset$  and  $B \cup jB$  is a basis of  $T_p M$ , and if  $x \in T_p M$ , then*

$$\rho^{[2]}(x, x, x, x) = 2\rho_1(x, x, x, x) + 2\rho_2(x, x, x, x),$$

where

$$(1.8) \quad \rho_1(x_1, x_2, x_3, x_4) = \sum_{p,q,r,s \in B} g^{\bar{p}q}g^{\bar{r}s}R_{x_1 \bar{x}_2 q \bar{r}}R_{x_3 \bar{x}_4 s \bar{p}},$$

$$(1.9) \quad \rho_2(x_1, x_2, x_3, x_4) = \sum_{p,q,r,s \in B} g^{\bar{p}q}g^{\bar{r}s}R_{x_1 \bar{p} x_3 \bar{r}}R_{q \bar{x}_2 s \bar{x}_4},$$

and  $(g^{\bar{p}q})_{p,q \in B}$  is the inverse matrix of  $(g_{p\bar{q}})_{p,q \in B}$ .

PROOF. Setting

$$\tilde{R}(a, b) = \sum_{c,d \in B \cup jB} g^{cd} R(x, c, x, b) R(x, a, x, d),$$

we have

$$(1.10) \quad \rho^{[2]}(x, x, x, x) = \sum_{a,b \in B \cup jB} g^{ab} \tilde{R}(a, b) = \sum_{p,q \in B} g^{\bar{p}q} (\tilde{R}(\overline{\chi(p)}, \chi(q)) + \tilde{R}(\chi(q), \overline{\chi(p)})).$$

For the same reason we have

$$\begin{aligned} \tilde{R}(\overline{\chi(p)}, \chi(q)) &= \sum_{r,s \in B} g^{\bar{r}s} (R(x, \overline{\chi(r)}, x, \chi(q)) R(x, \overline{\chi(p)}, x, \chi(s)) \\ &\quad + R(x, \chi(s), x, \chi(q)) R(x, \overline{\chi(p)}, x, \overline{\chi(r)})). \end{aligned}$$

Since  $x = \chi(x) + \overline{\chi(x)}$ , it follows that

$$\tilde{R}(\overline{\chi(p)}, \chi(q)) = \sum_{r,s \in B} g^{\bar{r}s} (R_{x\bar{r}q\bar{x}} R_{x\bar{p}s\bar{x}} + R_{s\bar{x}q\bar{x}} R_{x\bar{p}x\bar{r}}).$$

Similarly, we have

$$\tilde{R}(\chi(q), \overline{\chi(p)}) = \sum_{r,s \in B} g^{\bar{r}s} (R_{x\bar{r}x\bar{p}} R_{q\bar{x}s\bar{x}} + R_{s\bar{x}x\bar{p}} R_{q\bar{x}x\bar{r}}).$$

Substituting these into (1.10) we get the desired formula.

**2. The curvature of quasi-symmetric bounded domains.** Let  $D$  be a homogeneous bounded domain in  $C^n$  with the Bergman metric  $g$  and  $p$  be a point in  $D$ . Then the real tangent space  $T_p D$  at  $p$  possesses the structure  $(\mathfrak{g}, j)$  of a normal  $j$ -algebra such that  $\mathfrak{g}$  is a Lie algebra which coincides with  $T_p D$  as a real vector space, that  $j$  is the complex structure of  $T_p D$ , and that if  $\omega$  is the Koszul form of  $\mathfrak{g}$ , i.e.,  $\omega \in \mathfrak{g}^*$  is defined by

$$(2.1) \quad \omega(x) = \frac{1}{2} \text{trace}(\text{ad } jx - j \circ \text{ad } x) \quad \text{for } x \in \mathfrak{g},$$

then it holds that  $g(x, y) = \omega[jx, y]$  for  $x, y \in T_p D = \mathfrak{g}$ . Here, a normal  $j$ -algebra  $(\mathfrak{g}, j)$  is, by definition (cf. Pyatetskii-Shapiro [6]), a triangular Lie algebra over  $\mathbf{R}$  with complex structure  $j$  satisfying that  $[jx, jy] = j[jx, y] + j[x, jy] + [x, y]$  for  $x, y \in \mathfrak{g}$ , and that if  $\omega$  is the Koszul form (2.1) of  $\mathfrak{g}$ , then the bilinear form  $\langle \cdot, \cdot \rangle$  given by

$$(2.2) \quad \langle x, y \rangle = \omega[jx, y] \quad \text{for } x, y \in \mathfrak{g}$$

defines a  $j$ -invariant inner product on  $\mathfrak{g}$ . Two normal  $j$ -algebras  $(\mathfrak{g}, j)$ ,  $(\tilde{\mathfrak{g}}, \tilde{j})$  are said to be isomorphic if there exists an isomorphism  $\Phi: \mathfrak{g} \rightarrow \tilde{\mathfrak{g}}$  of Lie algebras such that  $\Phi \circ j = \tilde{j} \circ \Phi$ .

Let  $\mathcal{H}_n$  be the set of all biholomorphic equivalence classes of homogeneous bounded domains in  $\mathbb{C}^n$ , and let  $\mathcal{A}_n$  be the set of all isomorphism classes of normal  $j$ -algebras of dimension  $2n$ . The assertion mentioned above yields the existence of a natural mapping from  $\mathcal{H}_n$  to  $\mathcal{A}_n$ . It is also known that the mapping is bijective (cf. [6]).

Let  $(\mathfrak{g}, j)$  be a normal  $j$ -algebra. Set  $\mathfrak{n} = [\mathfrak{g}, \mathfrak{g}]$ . The dimension  $R$  of the orthogonal complement  $\mathfrak{a}$  of  $\mathfrak{n}$  with respect to the inner product  $\langle \cdot, \cdot \rangle$  in (2.2) is called the rank of  $(\mathfrak{g}, j)$  or the rank of the corresponding homogeneous bounded domain  $D$ . For any linear form  $\alpha \in \mathfrak{a}^*$  on  $\mathfrak{a}$ , set  $\mathfrak{n}(\alpha) = \{x \in \mathfrak{n}; [h, x] = \alpha(h)x \text{ for all } h \in \mathfrak{a}\}$ . Every element of the set  $\Delta := \{\alpha \in \mathfrak{a}^*; \mathfrak{n}(\alpha) \neq \{0\}\}$  is said to be a root. The structure theorem of Pyatetskii-Shapiro [6] says the following:

(n1)  $\mathfrak{a}$  is a non-trivial abelian subalgebra of  $\mathfrak{g}$ , and  $\mathfrak{n}$  has an orthogonal decomposition  $\sum_{\alpha \in \Delta} \mathfrak{n}(\alpha)$ .

(n2) There are  $R$  roots  $\varepsilon_1, \dots, \varepsilon_R$  such that  $j\mathfrak{a}$  is the direct sum of the 1-dimensional root spaces  $\mathfrak{n}(\varepsilon_a)$ , and that any of the other roots is one of the forms  $2^{-1}(\varepsilon_a \pm \varepsilon_b)$ ,  $2^{-1}\varepsilon_c$ , where  $a, b, c \in \{1, \dots, R\}$  with  $a < b$ .

(n3)  $j\mathfrak{n}(2^{-1}(\varepsilon_a + \varepsilon_b)) = \mathfrak{n}(2^{-1}(\varepsilon_a - \varepsilon_b))$  when  $a < b$ , and  $j\mathfrak{n}(2^{-1}\varepsilon_a) = \mathfrak{n}(2^{-1}\varepsilon_a)$  for all  $a$ .

Let us fix some notation. Set

$$\begin{aligned} \mathcal{L} &= \sum_{a \leq b} \mathfrak{n}\left(\frac{1}{2}(\varepsilon_a + \varepsilon_b)\right), & \mathcal{U} &= \sum_a \mathfrak{n}\left(\frac{1}{2}\varepsilon_a\right), \\ n_{ab} &= \dim \mathfrak{n}\left(\frac{1}{2}(\varepsilon_a + \varepsilon_b)\right), & n_a &= \frac{1}{2} \dim \mathfrak{n}\left(\frac{1}{2}\varepsilon_a\right). \end{aligned}$$

We then have the decomposition

$$(2.3) \quad \mathfrak{g} = \mathcal{L} + j\mathcal{L} + \mathcal{U}.$$

We call the table

$$(2.4) \quad \left[ \begin{array}{cccc|c} 1 & n_{12} & \cdots & n_{1,R-1} & n_{1R} & n_1 \\ & 1 & \cdots & n_{2,R-1} & n_{2R} & n_2 \\ & & \ddots & \vdots & \vdots & \vdots \\ & & & 1 & n_{R-1,R} & n_{R-1} \\ & & & & 1 & n_R \end{array} \right]$$

of multiplicities the type of the normal  $j$ -algebra  $(\mathfrak{g}, j)$  or the type of the corresponding homogeneous bounded domain  $D$  in  $\mathbb{C}^n$ . We note that the sum of all numbers in the type table coincides with the complex dimension  $n$  of  $D$ , because of the decomposition (2.3). Let  $r_a \in \mathfrak{n}(\varepsilon_a) \setminus \{0\}$  be the unique element such that  $[jr_a, r_a] = r_a$ . It is known (cf. [8]) that if we set  $\omega_a := \omega(r_a) = \langle r_a, r_a \rangle$ , then

$$(2.5) \quad \omega_a = 1 + \frac{1}{2} \left( \sum_{b>a} n_{ab} + \sum_{b<a} n_{ba} \right) + \frac{1}{2} n_a .$$

Let

$$(2.6) \quad r := r_1 + r_2 + \cdots + r_R \in \mathcal{L} .$$

The following is needed.

LEMMA 2.1 (Pyatetskii-Shapiro [6]). *If  $1 \leq a < b < c \leq R+1$ ,  $x, x' \in \mathfrak{n}(2^{-1}(\varepsilon_a + \varepsilon_b))$ ,  $y, y' \in \mathfrak{n}(2^{-1}(\varepsilon_b + \varepsilon_c))$  with the convention  $\varepsilon_{R+1} = 0$ , then*

$$\langle [jx, y], [jx', y'] \rangle + \langle [jx, y'], [jx', y] \rangle = \frac{1}{\omega_b} \langle x, x' \rangle \langle y, y' \rangle .$$

By the identification  $\mathfrak{g} = T_p D$  the covariant derivative  $\nabla_x y$  with respect to the Levi-Civita connection of the Bergman metric is well-defined as an element of  $\mathfrak{g}$  for all  $x, y \in \mathfrak{g}$ . It is given by

$$\nabla_x y = \frac{1}{2} ([x, y] - (\text{ad } x)'y - (\text{ad } y)'x) ,$$

where  $(\text{ad } x)'$  is the adjoint operator of  $\text{ad } x$  with respect to the inner product  $\langle \cdot, \cdot \rangle$  in (2.2). For  $x, y \in \mathcal{L}$  and  $u, v \in \mathcal{U}$ , we define

$$xy = -j \nabla_x y \in \mathcal{L} ,$$

$$\varphi(x)u = -2j \nabla_x u \in \mathcal{U} ,$$

$$F(u, v) = \frac{1}{4} ([ju, v] + i[u, v]) \in \mathcal{L} \otimes \mathcal{C} .$$

It is well-known ([4], [2]) that the quasi-symmetry of  $D$  in the sense of Satake [7] is equivalent to the property that  $n_{ab}$  are constant for all  $a, b$  with  $a < b$  and so are  $n_a$  for all  $a$ . We need the following lemma.

LEMMA 2.2. *Let  $x_a \in \mathcal{L}$  and  $u_b \in \mathcal{U}$ . The following formulas hold:*

$$(LL|UU) \quad R_{x_1 \bar{u}_2 x_3 \bar{u}_4} = 0 ,$$

$$(LL|LU) \quad R_{x_1 \bar{x}_2 x_3 \bar{u}_4} = 0 ,$$

$$(LU|UU) \quad R_{x_1 \bar{u}_2 u_3 \bar{u}_4} = 0 ,$$

$$(UU|UU) \quad R_{u_1 \bar{u}_2 u_3 \bar{u}_4} = 2(\langle F(u_1, u_2), F(u_3, u_4) \rangle + \langle F(u_1, u_4), F(u_3, u_2) \rangle) .$$

Further, if  $D$  is quasi-symmetric, then

$$(LL|LL) \quad R_{x_1 \bar{x}_2 x_3 \bar{x}_4} = \frac{1}{4} (\langle x_1 x_2, x_3 x_4 \rangle + \langle x_1 x_4, x_3 x_2 \rangle - \langle x_1 x_3, x_2 x_4 \rangle) ,$$

$$(LU|LU) \quad R_{x_1 \bar{x}_2 u_3 \bar{u}_4} = \frac{1}{2} \langle F(\varphi(x_2)u_3, \varphi(x_1)u_4), r \rangle .$$

PROOF. The first three formulas are given in [1], and formula (UU|UU) in [1], [2]. Formula (LL|LL) follows from Lemma 4.6 and Theorem 2.7 in [2], and (LU|LU) from Lemma 4.7 as well as Theorem 3.7 and Lemma 3.6 in [2].

Since  $j$  is a complex structure on each subspace  $\mathfrak{n}(2^{-1}\varepsilon_k)$ , if we define

$$(2.7) \quad \sigma(u, v) = \langle u, v \rangle - i \langle ju, v \rangle ,$$

and

$$(2.8) \quad (\xi + i\eta)u = \xi u + \eta ju$$

for  $u, v \in \mathcal{U}$  and  $\xi, \eta \in \mathbf{R}$ , then  $(\mathfrak{n}(2^{-1}\varepsilon_k), \sigma)$  is a Hermitian linear space. For a subset  $B \subset \mathfrak{n}(2^{-1}\varepsilon_k)$  with  $B \cap jB = \emptyset$ , we note that the following four statements are mutually equivalent:

- (b1)  $B$  is an orthogonal basis with respect to  $\sigma$  normalized by  $\sigma(u, u) = \omega_k$  for  $u \in B$ .
- (b2)  $B \cup jB$  is an orthogonal basis with respect to  $\langle \cdot, \cdot \rangle$  normalized by  $\langle u, u \rangle = \omega_k$  for  $u \in B$ .
- (b3)  $[ju, v] = \delta_{uv} r_k$ ,  $[u, v] = 0$  for all  $u, v \in B$ .
- (b4)  $4F(u, v) = \delta_{uv} r_k$  for all  $u, v \in B$ .

**3. Curvature properties of the 26-dimensional quasi-symmetric domain.** In this section we shall show the following.

PROPOSITION 3.1. *The Bergman metric of the homogeneous bounded domain of type T(8; 8, 8) does not satisfy Condition  $H_2$  (see (0.1)). More precisely, if  $\mathfrak{g} = \mathcal{L} + j\mathcal{L} + \mathcal{U}$  with  $\mathcal{L} = \mathfrak{n}(\varepsilon_1) + \mathfrak{n}(\varepsilon_2) + \mathfrak{n}(2^{-1}(\varepsilon_1 + \varepsilon_2))$  and  $\mathcal{U} = \mathfrak{n}(2^{-1}\varepsilon_1) + \mathfrak{n}(2^{-1}\varepsilon_2)$  is the corresponding normal  $j$ -algebra, then the function  $\rho^{[2]}(x, x, x, x) / \langle x, x \rangle^2$  is not constant on the space  $\mathfrak{n}(2^{-1}\varepsilon_2) \setminus \{0\}$ .*

Combining this with a theorem of Carpenter-Gray-Willmore [3] and a theorem of Geatti [5] (see the introduction) we obtain the following.

THEOREM 3.2. *The Bergman metric  $g$  of a homogeneous bounded domain  $D$  satisfies Condition  $H_2$  if and only if  $D$  is biholomorphic to one of the following:*

- (1) *the unit ball in  $\mathbf{C}^n$ ,*
- (2) *the 6-dimensional classical domain of type IV in Cartan's classification,*
- (3) *the exceptional symmetric domain of dimension 16,*
- (4) *the exceptional symmetric domain of dimension 27.*

*Consequently, there exists no non-symmetric homogeneous bounded domain whose Bergman metric satisfies Condition  $H_2$ .*

To prove Proposition 3.1, we proceed as in the argument in the preceding sections,

and assume that  $D$  is of type  $T(8; 8, 8)$ . We first note that  $D$  is quasi-symmetric and that

$$(3.1) \quad \omega_1 = \omega_2 = 9$$

(see (2.5)). Take  $m_1, \dots, m_8 \in \mathfrak{n}(2^{-1}(\varepsilon_1 + \varepsilon_2))$  so that  $\langle m_a, m_b \rangle = \delta_{ab}\omega_1$ , or

$$(3.2) \quad [jm_a, m_b] = \delta_{ab}r_1,$$

and take  $v_1, \dots, v_8 \in \mathfrak{n}(2^{-1}\varepsilon_2)$  so that  $\{v_1, \dots, v_8, jv_1, \dots, jv_8\}$  is an orthogonal basis of  $\mathfrak{n}(2^{-1}\varepsilon_2)$  with  $[jv_a, v_a] = r_2$ , or

$$(3.3) \quad 4F(v_a, v_b) = \delta_{ab}r_2.$$

Consider the homomorphisms

$$(3.4) \quad \psi_k := \sqrt{2} \operatorname{ad} jm_k = \sqrt{2} \varphi(m_k): \mathfrak{n}(2^{-1}\varepsilon_2) \rightarrow \mathfrak{n}(2^{-1}\varepsilon_1).$$

It follows from Lemma 2.1 that  $\psi_k$  are isometric isomorphisms commuting with  $j$ . Let

$$(3.5) \quad u_a = \psi_1(v_a), \quad a = 1, \dots, 8.$$

Then,  $\{u_1, \dots, u_8, ju_1, \dots, ju_8\}$  is an orthogonal basis of  $\mathfrak{n}(2^{-1}\varepsilon_1)$  with  $[ju_a, u_a] = r_1$ , or

$$(3.6) \quad 4F(u_a, u_b) = \delta_{ab}r_1.$$

For  $k = 1, \dots, 8$ , we associate a complex  $8 \times 8$  matrix  $A_k = (\alpha_{kb}^a)_{a,b}$  so that

$$(3.7) \quad \sqrt{2} \varphi(m_k)v_b = \sum_{a=1}^8 \alpha_{kb}^a u_a \quad \text{for } b = 1, \dots, 8,$$

where the scalar multiplication  $\alpha_{kb}^a u_a$  is given by (2.8). In view of (3.1), Lemma 2.1 implies the following:

$$(3.8) \quad A_1 = I_8, \quad A_k^* A_k = I_8, \quad \text{and } A_k^* A_l + A_l^* A_k = 0 \quad (k \neq l).$$

It follows that  $A_k^* = -A_k$  ( $k \geq 2$ ),  $A_k A_l = -A_l A_k$  ( $k, l \geq 2, k \neq l$ ),  $A_k^2 = -I_8$  ( $k \geq 2$ ). Since the eigenvalues of  $A_2$  are  $i$  and  $-i$ ,  $\mathbf{C}^8$  is a direct sum of two spaces  $S^+$  and  $S^-$  defined by  $S^\pm = \{v \in \mathbf{C}^8; A_2 v = \pm i v\}$ . Since  $A_2 A_3 = -A_3 A_2$ , we have  $A_3 S^+ \subset S^-$ ,  $A_3 S^- \subset S^+$ ; therefore,  $\dim S^+ = \dim S^- = 4$ . Take a unitary  $8 \times 8$  matrix  $U$  so that

$$U^* A_2 U = \begin{bmatrix} iI_4 & 0 \\ 0 & -iI_4 \end{bmatrix}.$$

Let  $k \geq 3$ . Since  $A_2 A_k = -A_k A_2$  and  $A_k^* = -A_k$ , there exists a  $4 \times 4$  matrix  $B_k$  such that

$$U^* A_k U = \begin{bmatrix} 0 & B_k \\ -B_k^* & 0 \end{bmatrix}.$$

It follows from (3.8) that

$$(3.9)_1 \quad B_k^* B_k = I_4 \quad (k \geq 3), \quad B_k^* B_l + B_l^* B_k = 0 \quad (k, l \geq 3, k \neq l).$$

Take appropriate unitary  $4 \times 4$  matrices  $V_1, V_2$  so that  $V_1^* B_3 V_2 = I_4$ . Taking

$$U \begin{bmatrix} V_1 & 0 \\ 0 & V_2 \end{bmatrix}$$

instead of  $U$  in the argument mentioned above, we may assume that

$$(3.9)_2 \quad B_3 = I_4.$$

Compare  $(3.9)_1$  and  $(3.9)_2$  with (3.8). The same argument as in finding  $B_k$  from  $A_k$  implies the existence of a unitary  $4 \times 4$  matrix  $V$  such that

$$V^* B_4 V = \begin{bmatrix} iI_2 & 0 \\ 0 & -iI_2 \end{bmatrix}, \quad V^* B_k V = \begin{bmatrix} 0 & C_k \\ -C_k^* & 0 \end{bmatrix} \quad (k \geq 5),$$

where  $C_k$  are  $2 \times 2$  matrices with the properties

$$C_5 = I_2, \quad C_k^* C_k = I_2 \quad (k \geq 5), \quad C_k^* C_l + C_l^* C_k = 0 \quad (k, l \geq 5, k \neq l).$$

Similarly, there exists a unitary  $2 \times 2$  matrix  $W$  such that

$$W^* C_6 W = \begin{bmatrix} i & 0 \\ 0 & -i \end{bmatrix}, \quad W^* C_k W = \begin{bmatrix} 0 & d_k \\ -\bar{d}_k & 0 \end{bmatrix} \quad (k \geq 7),$$

where  $d_k \in \mathbf{C}$  with the properties  $d_7 = 1, |d_8| = 1, \bar{d}_8 + d_8 = 0$ . Taking  $-m_8$  instead of  $m_8$  if necessary, we may assume that  $d_8 = i$ . Setting

$$T = U \begin{bmatrix} V & 0 \\ 0 & V \end{bmatrix} \begin{bmatrix} W & 0 & 0 & 0 \\ 0 & W & 0 & 0 \\ 0 & 0 & W & 0 \\ 0 & 0 & 0 & W \end{bmatrix},$$

and taking  $(v_1, \dots, v_8)T$  and  $(u_1, \dots, u_8)T$  instead of  $(v_1, \dots, v_8)$  and  $(u_1, \dots, u_8)$ , respectively, we may finally assume the following:

$$(3.10)_1 \quad A_1 = I_8, \quad A_2 = \begin{bmatrix} iI_4 & 0 \\ 0 & -iI_4 \end{bmatrix}, \quad A_3 = \begin{bmatrix} 0 & I_4 \\ -I_4 & 0 \end{bmatrix}, \quad A_k = \begin{bmatrix} 0 & B_k \\ B_k & 0 \end{bmatrix} \quad (k \geq 4),$$

where

$$(3.10)_2 \quad B_4 = \begin{bmatrix} iI_2 & 0 \\ 0 & -iI_2 \end{bmatrix}, \quad B_5 = \begin{bmatrix} 0 & I_2 \\ -I_2 & 0 \end{bmatrix}, \quad B_6 = \begin{bmatrix} 0 & 0 & i & 0 \\ 0 & 0 & 0 & -i \\ i & 0 & 0 & 0 \\ 0 & -i & 0 & 0 \end{bmatrix},$$

$$B_7 = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{bmatrix}, \quad B_8 = \begin{bmatrix} 0 & 0 & 0 & i \\ 0 & 0 & i & 0 \\ 0 & i & 0 & 0 \\ i & 0 & 0 & 0 \end{bmatrix}$$



(cf. Geatti [5]). We note that  $B := \{r_1, r_2, m_1, \dots, m_8, u_1, \dots, u_8, v_1, \dots, v_8\}$  is an orthogonal system of  $\mathfrak{g}$  normalized by  $\langle b, b \rangle = \omega_1$  for  $b \in B$  (see (3.1)) and that  $B \cup jB$  is a basis of  $\mathfrak{g}$ . We list the relationships among elements in  $B$  (for details, see [1], [2]):

$$(3.11) \quad r_a r_b = \delta_{ab} r_a, \quad r_a m_k = \frac{1}{2} m_k, \quad m_k m_l = \frac{1}{2} \delta_{kl} r',$$

$$(3.12) \quad \varphi(r_a) u_h = \delta_{a1} u_h, \quad \varphi(r_a) v_k = \delta_{a2} v_k,$$

$$\varphi(m_k) u_a = \frac{1}{\sqrt{2}} \sum_{b=1}^8 \bar{\alpha}_{kb}^a v_b, \quad \varphi(m_k) v_b = \frac{1}{\sqrt{2}} \sum_{a=1}^8 \alpha_{kb}^a u_a,$$

$$(3.13) \quad F(u_a, u_b) = \frac{1}{4} \delta_{ab} r_1, \quad F(v_a, v_b) = \frac{1}{4} \delta_{ab} r_2, \quad F(v_a, u_c) = \frac{1}{4\sqrt{2}} \sum_{k=1}^8 \alpha_{ka}^c m_k.$$

We shall show the following assertion:

$$(3.14) \quad \rho^{[2]}(v_s + v_t, v_s + v_t, v_s + v_t, v_s + v_t) = \begin{cases} 12, & \text{if } (s, t) \notin E \\ 14, & \text{if } (s, t) \in E, \end{cases}$$

where

$$(3.15) \quad E = \{(1, 6), (6, 1), (2, 5), (5, 2), (3, 8), (8, 3), (4, 7), (7, 4)\}.$$

Assertion (3.14) proves Proposition 3.1. To show (3.14) we first note that

$$(3.16) \quad \rho_i(v_s + v_t, v_s + v_t, v_s + v_t, v_s + v_t) = \rho_i(v_s, v_s, v_s, v_s) + 2\rho_i(v_t, v_s, v_s, v_s) \\ + 2\rho_i(v_s, v_t, v_s, v_s) + 2\rho_i(v_s, v_s, v_t, v_t) + 2\rho_i(v_s, v_t, v_t, v_s) + \rho_i(v_t, v_s, v_t, v_s) \\ + \rho_i(v_s, v_t, v_s, v_t) + 2\rho_i(v_t, v_s, v_t, v_t) + 2\rho_i(v_s, v_t, v_t, v_t) + \rho_i(v_t, v_t, v_t, v_t)$$

for  $i=1, 2$ . Since the basis  $B \cup jB$  is orthogonal and normalized by  $\langle b, b \rangle = \omega_1$ ,  $b \in B$ , it follows from (1.8), (1.9) as well as (1.7), Lemma 2.2 and (3.11)–(3.13) that

$$(3.17) \quad \rho_1(v_a, v_b, v_c, v_d) = \frac{4}{\omega_1^2} \left( \sum_{p=q=r_2} + \sum_{p=m_k, q=m_l} + \sum_{p=u_k, q=u_l} + \sum_{p=v_k, q=v_l} \right) R_{v_a \bar{v}_b p \bar{q}} \bar{R}_{v_d \bar{v}_c p \bar{q}} \\ = \frac{1}{16} \delta_{ab} \delta_{cd} + \frac{1}{64} \sum_{j,h} A_{ad}^{jh} \bar{A}_{bc}^{jh} + \frac{1}{64} \sum_{k,l} A_{ab}^{lk} \bar{A}_{dc}^{lk} \\ + \frac{1}{16} (10\delta_{ab} \delta_{cd} + \delta_{ad} \delta_{bc}),$$

$$(3.18) \quad \rho_2(v_a, v_b, v_c, v_d) = \frac{4}{\omega_1^2} \left( \sum_{p=u_k, q=u_l} + \sum_{p=v_k, q=v_l} \right) R_{v_a \bar{p} v_c \bar{q}} \bar{R}_{v_b \bar{p} v_d \bar{q}} \\ = \frac{1}{64} \sum_{k,l} (B_{ac}^{kl} + B_{ac}^{lk})(B_{bd}^{kl} + B_{bd}^{lk}) + \frac{1}{8} (\delta_{ab} \delta_{cd} + \delta_{ad} \delta_{bc}),$$

where

$$A_{st}^{jh} = \sum_{k=1}^8 \alpha_{ks}^j \bar{\alpha}_{kt}^h \quad \text{and} \quad B_{st}^{jh} = \sum_{k=1}^8 \alpha_{ks}^j \alpha_{kt}^h.$$

We note that

$$(3.19)_1 \quad A_{ss}^{jh} = 0 \quad \text{when } j \neq h$$

and that the matrix  $(A_{ss}^{jj})_{1 \leq j, s \leq 8}$  is given by

$$(3.19)_2 \quad (A_{ss}^{jj}) = 2 \begin{bmatrix} I & 0 & I & J \\ 0 & I & J & I \\ I & J & I & 0 \\ J & I & 0 & I \end{bmatrix},$$

where  $I = I_2$  and  $J$  is the  $2 \times 2$  matrix whose entries are all one, while

$$(3.20) \quad B_{ss}^{jh} = 0 \quad \text{for all } j, h.$$

Assume  $(s, t) \in E$ . Then

$$(3.21) \quad A_{st}^{jh} = 0 \quad \text{for all } j, h,$$

and there exists a permutation  $\tau$  of  $\{1, \dots, 8\}$  such that

$$(3.22) \quad B_{st}^{jh} = \begin{cases} 2, & (j, h) = (\tau_1, \tau_2) \text{ or } (\tau_3, \tau_4) \\ -2, & (j, h) = (\tau_5, \tau_6) \text{ or } (\tau_7, \tau_8) \\ 0, & \text{otherwise.} \end{cases}$$

Next assume  $s \neq t$  and  $(s, t) \notin E$ . Then, there exists a permutation  $\sigma$  of  $\{1, \dots, 8\}$  such that

$$(3.23) \quad A_{st}^{jh} = \begin{cases} \pm 2, & (j, h) = (\sigma_1, \sigma_2) \text{ or } (\sigma_3, \sigma_4) \\ 0, & \text{otherwise} \end{cases}$$

and

$$(3.24) \quad B_{st}^{jh} = \begin{cases} 2, & (j, h) = (\sigma_5, \sigma_6) \\ -2, & (j, h) = (\sigma_6, \sigma_5) \\ 0, & \text{otherwise.} \end{cases}$$

LEMMA 3.3.  $\rho_1(v_s, v_s, v_s, v_s) = 5/4$  and  $\rho_2(v_s, v_s, v_s, v_s) = 1/4$ .

PROOF. By (3.17) as well as (3.19) we have

$$\rho_1(v_s, v_s, v_s, v_s) = \frac{1}{16} + \frac{2}{64} \sum_{j, h} |A_{ss}^{jh}|^2 + \frac{11}{16} = \frac{5}{4}.$$

By (3.18) as well as (3.20) we have

$$\rho_2(v_s, v_s, v_s, v_s) = \frac{1}{16} \sum_{k,l} |B_{ss}^{kl}|^2 + \frac{1}{4} = \frac{1}{4}.$$

LEMMA 3.4. *If  $s \neq t$ , then  $\rho_i(v_t, v_s, v_s, v_s) = 0$  ( $i = 1, 2$ ).*

PROOF. By (3.17) we have

$$\rho_1(v_t, v_s, v_s, v_s) = \frac{2}{64} \sum_{j,h} A_{ts}^{jh} \bar{A}_{ss}^{jh} = 0,$$

because  $A_{ss}^{jh} = 0$  when  $j \neq h$  (by (3.19)), and  $A_{ts}^{jh} = 0$  when  $j = h$  (by (3.21) and (3.23)). By (3.18) as well as (3.20) we see

$$\rho_2(v_t, v_s, v_s, v_s) = \frac{1}{64} \sum_{k,l} (B_{ts}^{kl} + B_{ts}^{lk})(B_{ss}^{kl} + B_{ss}^{lk})^- = 0.$$

LEMMA 3.5. *If  $s \neq t$ , then  $\rho_1(v_s, v_s, v_t, v_t) = 15/16$  when  $(s, t) \notin E$ , and  $= 11/16$  when  $(s, t) \in E$ .*

PROOF. By (3.17) we have

$$\rho_1(v_s, v_s, v_t, v_t) = \frac{11}{16} + \frac{1}{64} \sum_{j,h} |A_{st}^{jh}|^2 + \frac{1}{64} \sum_{k,l} A_{ss}^{lk} \bar{A}_{tt}^{lk}.$$

It follows from (3.21) and (3.23) that

$$\sum_{j,h} |A_{st}^{jh}|^2 = \begin{cases} 8, & (s, t) \notin E \\ 0, & (s, t) \in E. \end{cases}$$

It follows from (3.19) that

$$\sum_{k,l} A_{ss}^{lk} \bar{A}_{tt}^{lk} = \sum_{k=1}^8 A_{ss}^{kk} \bar{A}_{tt}^{kk} = \begin{cases} 8, & (s, t) \notin E \\ 0, & (s, t) \in E. \end{cases}$$

From these we have the formulas.

LEMMA 3.6. *If  $s \neq t$ , then  $\rho_1(v_s, v_t, v_t, v_s) = 5/16$  when  $(s, t) \notin E$ , and  $= 1/16$  when  $(s, t) \in E$ .*

PROOF. By (3.17) we have

$$\rho_1(v_s, v_t, v_t, v_s) = \frac{1}{64} \sum_{j,h} A_{ss}^{jh} \bar{A}_{tt}^{jh} + \frac{1}{64} \sum_{k,l} |A_{st}^{lk}|^2 + \frac{1}{16}.$$

The assertion follows from the equalities in the proof of Lemma 3.5.

LEMMA 3.7. *If  $s \neq t$ , then  $\rho_i(v_s, v_t, v_s, v_t) = 0$  ( $i = 1, 2$ ).*

PROOF. By (3.17) as well as (3.21) and (3.23) we have

$$\rho_1(v_s, v_t, v_s, v_t) = \frac{2}{64} \sum_{j,h} A_{st}^{jh} \bar{A}_{ts}^{jh} = \frac{1}{32} \sum_{j,h} A_{st}^{jh} A_{st}^{hj} = 0.$$

By (3.18) as well as (3.20) we see

$$\rho_2(v_s, v_t, v_s, v_t) = \frac{4}{64} \sum_{k,l} B_{ss}^{kl} \bar{B}_{tt}^{kl} = 0.$$

LEMMA 3.8. *If  $s \neq t$ , then  $\rho_2(v_s, v_s, v_t, v_t) = \rho_2(v_s, v_t, v_t, v_s)$  and the value is  $1/8$  when  $(s, t) \notin E$ , while is  $5/8$  when  $(s, t) \in E$ .*

PROOF. It follows from (3.18) that

$$\rho_2(v_s, v_s, v_t, v_t) = \rho_2(v_s, v_t, v_t, v_s) = \frac{1}{64} \sum_{k,l} |B_{st}^{kl} + B_{st}^{lk}|^2 + \frac{1}{8}.$$

If  $(s, t) \in E$  then by (3.22) we have

$$\sum_{k,l} |B_{st}^{kl} + B_{st}^{lk}|^2 = 2^2 \times 8;$$

while if  $(s, t) \notin E$  then by (3.24) we have

$$\sum_{k,l} |B_{st}^{kl} + B_{st}^{lk}|^2 = 0.$$

PROOF OF PROPOSITION 3.1. We shall show (3.14). First assume  $(s, t) \notin E$ . It follows from (3.16) as well as Lemmas 3.3–3.8 that

$$\rho_1(v_s + v_t, v_s + v_t, v_s + v_t, v_s + v_t) = \frac{5}{4} + 0 + 0 + 2 \times \frac{15}{16} + 2 \times \frac{5}{16} + 0 + 0 + 0 + 0 + \frac{5}{4} = 5,$$

and that

$$\rho_2(v_s + v_t, v_s + v_t, v_s + v_t, v_s + v_t) = \frac{1}{4} + 0 + 0 + 2 \times \frac{1}{8} + 2 \times \frac{1}{8} + 0 + 0 + 0 + 0 + \frac{1}{4} = 1.$$

Thus  $\rho^{[2]}(v_s + v_t, v_s + v_t, v_s + v_t, v_s + v_t) = 12$ . Similarly, if  $(s, t) \in E$ , then  $\rho^{[2]}(v_s + v_t, v_s + v_t, v_s + v_t, v_s + v_t) = 14$ , as desired.

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