

## ISOMETRIC DEFORMATIONS OF FLAT TORI IN THE 3-SPHERE WITH NONCONSTANT MEAN CURVATURE

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**Abstract.** For an isometric immersion  $f$  of a flat torus into the unit 3-sphere, we show that if the mean curvature of  $f$  is not constant, then the immersion  $f$  admits a nontrivial isometric deformation preserving the total mean curvature.

**1. Introduction.** Let  $S^3$  be the 3-dimensional standard unit sphere in the Euclidean space  $\mathbf{R}^4$ . For each  $\theta$  satisfying  $0 < \theta < \pi/2$ , we consider the *Clifford torus*  $M_\theta \subset S^3$  defined by

$$M_\theta = \{x \in \mathbf{R}^4 : x_1^2 + x_2^2 = \cos^2 \theta, x_3^2 + x_4^2 = \sin^2 \theta\}.$$

The Clifford torus  $M_\theta$  is a flat Riemannian manifold equipped with the metric induced by the inclusion map  $i_\theta : M_\theta \rightarrow S^3$ . In [2] the author proved that every isometric deformation of  $i_\theta : M_\theta \rightarrow S^3$  is trivial. Incidentally, it is easy to see that if  $M$  is a flat torus isometrically embedded in  $S^3$  with constant mean curvature, then there exists a Clifford torus  $M_\theta$  which is congruent to  $M$ . So we obtain

**THEOREM 1.1.** *If  $f : M \rightarrow S^3$  is an isometric embedding of a flat torus  $M$  into  $S^3$  with constant mean curvature, then every isometric deformation of the embedding  $f$  is trivial.*

On the other hand there are many flat tori isometrically immersed in  $S^3$  with nonconstant mean curvature. In this paper we deal with isometric deformations of these surfaces. To state the result we recall the notion of congruence of immersions. For  $i = 1, 2$ , let  $f_i : X_i \rightarrow Y$  be an immersion of a smooth manifold  $X_i$  into a Riemannian manifold  $Y$ . The immersions  $f_1$  and  $f_2$  are said to be *congruent* if there exist an isometry  $A : Y \rightarrow Y$  and a diffeomorphism  $\rho : X_1 \rightarrow X_2$  such that  $A \circ f_1 = f_2 \circ \rho$ . We shall write  $f_1 \equiv f_2$  if  $f_1$  and  $f_2$  are congruent. The main result of this paper is the following theorem.

**THEOREM 1.2.** *If  $f : M \rightarrow S^3$  is an isometric immersion of a flat torus  $M$  into  $S^3$  with nonconstant mean curvature, then there exists a smooth one-parameter family of isometric immersions  $f_t : M \rightarrow S^3, t \in \mathbf{R}$ , such that  $f_0 = f$  and*

- (1)  $f_t \not\equiv f_s$  for all  $s \neq t$ ,
- (2) the total mean curvature of  $f_t$  does not depend on  $t$ .

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REMARK. The total mean curvature of the immersion  $f_t$  is given by  $\int_M H_t d\sigma$ , where  $H_t$  denotes the mean curvature function of  $f_t$ , and  $d\sigma$  denotes the volume element of the flat torus  $M$ .

The outline of this paper is as follows. In Section 2 we introduce some geometric invariants of a periodic regular curve  $\gamma : \mathbf{R} \rightarrow S^2$ . We denote by  $K(\gamma)$  (resp.  $L(\gamma)$ ) the total geodesic curvature (resp. the length) of the closed curve  $\gamma|_{[0, l]}$ , where  $l > 0$  is the minimum period of  $\gamma$ . Furthermore, using the curve  $\hat{\gamma} = \dot{\gamma}/|\dot{\gamma}|$  in the unit tangent bundle of  $S^2$ , we define  $I(\gamma)$  to be the homology class represented by the closed curve  $\hat{\gamma}|_{[0, l]}$ .

In Section 3 we explain a method for constructing all the flat tori in  $S^3$ . A pair  $\Gamma = (\gamma_1, \gamma_2)$  of periodic regular curves  $\gamma_i : \mathbf{R} \rightarrow S^2$  is said to be a *periodic admissible pair* if the geodesic curvature of  $\gamma_1$  is greater than that of  $\gamma_2$  and some auxiliary conditions are satisfied (see Definition 3.1). Each periodic admissible pair  $\Gamma$  induces a flat torus  $M_\Gamma$  and an isometric immersion  $f_\Gamma : M_\Gamma \rightarrow S^3$ . Furthermore the immersion  $f_\Gamma$  is a primitive immersion (see Definition 3.2). Conversely, if  $f : M \rightarrow S^3$  is a primitive isometric immersion of a flat torus  $M$  into  $S^3$ , then there exists a periodic admissible pair  $\Gamma$  such that  $f \equiv f_\Gamma$  (Theorem 3.1).

In Section 4 we study the intrinsic structure of the flat torus  $M_\Gamma$ . For each periodic admissible pair  $\Gamma = (\gamma_1, \gamma_2)$ , we set

$$K_i(\Gamma) = K(\gamma_i), \quad L_i(\Gamma) = L(\gamma_i), \quad I_i(\Gamma) = I(\gamma_i),$$

and define  $W(\Gamma)$  to be a lattice of  $\mathbf{R}^2$  whose generators can be written in terms of  $K_i(\Gamma)$ ,  $L_i(\Gamma)$  and  $I_i(\Gamma)$ . Then it is shown that the flat torus  $\mathbf{R}^2/W(\Gamma)$  is isometric to the flat torus  $M_\Gamma$  (Theorem 4.1).

In Section 5 we deal with the extrinsic structure of the immersion  $f_\Gamma$ . For each smooth even function  $\beta : \mathbf{R} \rightarrow \mathbf{R}$ , we construct a functional  $E_\beta$  which is defined on the set of all periodic admissible pairs, and show that  $E_\beta(\Gamma) = E_\beta(\bar{\Gamma})$  if  $f_\Gamma \equiv f_{\bar{\Gamma}}$  (Theorem 5.1). Furthermore we show that the total mean curvature of  $f_\Gamma$  can be written in terms of  $K_i(\Gamma)$ ,  $L_i(\Gamma)$  and  $I_i(\Gamma)$  (Theorem 5.3).

In Sections 6 and 7 we give the proof of Theorem 1.2. To establish the theorem we may assume that the immersion  $f : M \rightarrow S^3$  is primitive. By Theorem 3.1 there exists a periodic admissible pair  $\Gamma = (\gamma_1, \gamma_2)$  satisfying  $f \equiv f_\Gamma$ . Since the mean curvature of  $f_\Gamma$  is not constant, we see that either  $\gamma_1$  or  $\gamma_2$  is not a circle. Using this fact, we construct a smooth even function  $\beta$  and a smooth one-parameter family of periodic admissible pairs  $\Gamma_t$  satisfying

$$\Gamma_0 = \Gamma, \quad K_i(\Gamma_t) = K_i(\Gamma), \quad L_i(\Gamma_t) = L_i(\Gamma), \quad I_i(\Gamma_t) = I_i(\Gamma),$$

and  $E_\beta(\Gamma_s) \neq E_\beta(\Gamma_t)$  for all  $s \neq t$ . So the assertion of Theorem 1.2 follows from Theorems 4.1, 5.1 and 5.3.

REMARK. In Theorem 1.1 the word "embedding" cannot be replaced by the word "immersion". In fact, there is a flat torus  $M$  and a Riemannian covering  $\pi : M \rightarrow M_\theta$  such that the composition  $i_\theta \circ \pi : M \rightarrow S^3$  admits a nontrivial isometric deformation. The Riemannian coverings as above will be classified in [4].

**2. Preliminaries.** Let  $SU(2)$  be the group of all  $2 \times 2$  unitary matrices with determinant 1. Its Lie algebra  $\mathfrak{su}(2)$  consists of all  $2 \times 2$  skew Hermitian matrices of trace 0. The adjoint representation of  $SU(2)$  is given by

$$\text{Ad}(a)x = axa^{-1},$$

where  $a \in SU(2)$  and  $x \in \mathfrak{su}(2)$ . We set

$$\langle x, y \rangle = -\frac{1}{2}\text{trace}(xy) \quad \text{for } x, y \in \mathfrak{su}(2).$$

Then it follows that  $\langle, \rangle$  is a positive definite and Ad-invariant inner product on  $\mathfrak{su}(2)$ . Furthermore we consider the orthonormal basis of  $\mathfrak{su}(2)$  given by

$$e_1 = \begin{bmatrix} 0 & \sqrt{-1} \\ \sqrt{-1} & 0 \end{bmatrix}, \quad e_2 = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}, \quad e_3 = \begin{bmatrix} \sqrt{-1} & 0 \\ 0 & -\sqrt{-1} \end{bmatrix}.$$

Note that

$$[e_1, e_2] = 2e_3, \quad [e_2, e_3] = 2e_1, \quad [e_3, e_1] = 2e_2,$$

where  $[, ]$  denotes the Lie bracket on  $\mathfrak{su}(2)$ . For  $i = 1, 2, 3$ , let  $E_i$  be the left invariant vector field on  $SU(2)$  corresponding to  $e_i$ . We endow  $SU(2)$  with the Riemannian metric  $\langle, \rangle$  such that  $\langle E_i, E_j \rangle = \delta_{ij}$ . Then  $SU(2)$  is isometric to the unit 3-sphere  $S^3$ , and so we identify  $S^3$  with  $SU(2)$ .

Let  $S^2$  be the unit sphere in  $\mathfrak{su}(2)$  defined by  $S^2 = \{x \in \mathfrak{su}(2) : |x| = 1\}$ . The unit tangent bundle of  $S^2$ , denoted by  $US^2$ , can be identified with a subset of  $S^2 \times S^2$  as follows:

$$US^2 = \{(x, v) \in S^2 \times S^2 : \langle x, v \rangle = 0\},$$

where the canonical projection  $p_1 : US^2 \rightarrow S^2$  is given by  $p_1(x, v) = x$ . Define  $p_2 : S^3 \rightarrow US^2$  by

$$(2.1) \quad p_2(a) = (\text{Ad}(a)e_3, \text{Ad}(a)e_1).$$

The map  $p_2$  is a double covering such that  $p_2(-a) = p_2(a)$  for all  $a \in S^3$ . We now consider a regular curve  $\gamma : \mathbf{R} \rightarrow S^2$ , and define  $\hat{\gamma} : \mathbf{R} \rightarrow US^2$  by

$$(2.2) \quad \hat{\gamma}(s) = (\gamma(s), \gamma'(s)/|\gamma'(s)|).$$

Then there exists a curve  $c : \mathbf{R} \rightarrow S^3$  satisfying  $p_2(c(s)) = \hat{\gamma}(s)$ . By [3, Lemma 2.2] we obtain

$$(2.3) \quad c(s)^{-1}c'(s) = \frac{1}{2}|\gamma'(s)|\{e_2 + k(s)e_3\},$$

where  $k(s)$  denotes the geodesic curvature of  $\gamma(s)$ . Note that

$$(2.4) \quad k(s) = \langle \gamma''(s), J(\gamma'(s)) \rangle / |\gamma'(s)|^3,$$

where  $J$  denotes the almost complex structure on  $S^2$  defined by

$$(2.5) \quad J(v) = \frac{1}{2}[x, v] \quad \text{for } v \in T_x S^2.$$

We now assume that the curve  $\gamma : \mathbf{R} \rightarrow S^2$  is periodic with the minimum period  $l > 0$ . The length and the total geodesic curvature of  $\gamma$  are given by

$$(2.6) \quad L(\gamma) = \int_0^l |\gamma'(s)| ds, \quad K(\gamma) = \int_0^l k(s) |\gamma'(s)| ds.$$

Furthermore define  $I(\gamma)$  to be the element of the homology group  $H_1(US^2)$  represented by the closed curve  $\hat{\gamma}|[0, l]$ . Note that  $H_1(US^2) \cong \mathbf{Z}_2$ . Since  $p_2$  is a double covering and  $p_2(a) = p_2(-a)$  for all  $a \in S^3$ , we obtain

$$(2.7) \quad c(s + l) = \begin{cases} c(s) & \text{if } I(\gamma) = 0, \\ -c(s) & \text{if } I(\gamma) = 1. \end{cases}$$

**3. Construction of flat tori in  $S^3$ .** In this section we explain a method for constructing all the flat tori in  $S^3$ , which was established in [1] and [3].

DEFINITION 3.1. Let  $\Gamma = (\gamma_1, \gamma_2)$  be a pair of regular curves  $\gamma_i : \mathbf{R} \rightarrow S^2, i = 1, 2$ . The pair  $\Gamma$  is said to be an *admissible pair* if it satisfies the following conditions (3.1)–(3.3).

$$(3.1) \quad \hat{\gamma}_1(0) = \hat{\gamma}_2(0) = (e_3, e_1),$$

$$(3.2) \quad |\gamma'_i(s)| \sqrt{1 + k_i(s)^2} = 2 \quad \text{for } i = 1, 2,$$

$$(3.3) \quad k_1(s_1) > k_2(s_2) \quad \text{for all } (s_1, s_2) \in \mathbf{R}^2,$$

where  $k_i(s)$  denotes the geodesic curvature of  $\gamma_i(s)$ .

Let  $\Gamma = (\gamma_1, \gamma_2)$  be an admissible pair. Then it follows from (3.1) that there exist curves  $c_i : \mathbf{R} \rightarrow S^3, i = 1, 2$ , such that

$$(3.4) \quad p_2(c_i(s)) = \hat{\gamma}_i(s), \quad c_i(0) = e = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}.$$

By (2.3) and (3.2) we obtain  $|c'_i(s)| = 1$ . Using the group structure on  $S^3$ , we define  $F_\Gamma : \mathbf{R}^2 \rightarrow S^3$  by

$$(3.5) \quad F_\Gamma(s_1, s_2) = c_1(s_1)c_2(s_2)^{-1}.$$

By [1, Lemma 3.8, Theorem 4.2] we see that the map  $F_\Gamma$  is a flat asymptotic Tchebychef immersion (FAT for short). For the definition of FAT, we refer the reader to [1, p. 460]. So the map  $F_\Gamma$  is an immersion which induces a flat Riemannian metric  $g_\Gamma$  on  $\mathbf{R}^2$ . Let  $\alpha_i(s)$  be the function defined by

$$(3.6) \quad \cot \alpha_i(s) = k_i(s), \quad 0 < \alpha_i(s) < \pi.$$

Then (3.3) implies  $\alpha_1(s_1) < \alpha_2(s_2)$ . Using (3.2), we obtain

$$(3.7) \quad \sin \alpha_i(s) = \frac{1}{2} |\gamma'_i(s)|, \quad \cos \alpha_i(s) = \frac{1}{2} k_i(s) |\gamma'_i(s)|.$$

So it follows from (2.3) that

$$c_i^{-1}(s)c'_i(s) = \sin \alpha_i(s)e_2 + \cos \alpha_i(s)e_3.$$

Hence the components of the Riemannian metric  $g_\Gamma$  for the local coordinates  $(s_1, s_2)$  satisfy

$$(3.8) \quad g_{11} = g_{22} = 1, \quad g_{12} = -\cos(\alpha_2(s_2) - \alpha_1(s_1)).$$

Furthermore the components of the second fundamental form of the immersion  $F_\Gamma(s_1, s_2)$  satisfy

$$(3.9) \quad h_{11} = h_{22} = 0, \quad h_{12} = \sin(\alpha_2(s_2) - \alpha_1(s_1)),$$

where the unit normal is defined by

$$\xi = (\partial F_\Gamma / \partial s_1) \times (\partial F_\Gamma / \partial s_2) / |(\partial F_\Gamma / \partial s_1) \times (\partial F_\Gamma / \partial s_2)|.$$

We now consider the group

$$G(\Gamma) = \{\rho \in \text{Diff}(\mathbf{R}^2) : F_\Gamma \circ \rho = F_\Gamma\},$$

where  $\text{Diff}(\mathbf{R}^2)$  denotes the group of all diffeomorphisms of  $\mathbf{R}^2$ . Then we obtain the 2-dimensional flat Riemannian manifold  $M_\Gamma = (\mathbf{R}^2, g_\Gamma) / G(\Gamma)$  and the isometric immersion  $f_\Gamma : M_\Gamma \rightarrow S^3$  satisfying  $f_\Gamma \circ \pi_\Gamma = F_\Gamma$ , where  $\pi_\Gamma$  denotes the canonical projection of  $\mathbf{R}^2$  onto  $M_\Gamma$ . It is easy to see that the immersion  $f_\Gamma : M_\Gamma \rightarrow S^3$  is primitive in the sense of the following definition.

**DEFINITION 3.2.** An immersion  $f : X \rightarrow Y$  of a smooth manifold  $X$  into a smooth manifold  $Y$  is said to be *primitive* if the identity map of  $X$  is the only diffeomorphism  $\phi : X \rightarrow X$  satisfying  $f \circ \phi = f$ .

It follows from [1, Theorem 2.3] that the group  $G(\Gamma)$  consists of parallel translations of  $\mathbf{R}^2$ , and so  $M_\Gamma$  is orientable. Furthermore it follows from [1, Theorem 5.1] that  $M_\Gamma$  is compact if and only if  $\Gamma$  is periodic, where the admissible pair  $\Gamma = (\gamma_1, \gamma_2)$  is said to be *periodic* if both  $\gamma_1$  and  $\gamma_2$  are periodic regular curves. So we see that every periodic admissible pair  $\Gamma$  induces a flat torus  $M_\Gamma$  and a primitive isometric immersion  $f_\Gamma : M_\Gamma \rightarrow S^3$ . Conversely, we obtain the following theorem.

**THEOREM 3.1** ([3]). *Let  $f : M \rightarrow S^3$  be a primitive isometric immersion of a flat torus  $M$ . Then there exists a periodic admissible pair  $\Gamma$  such that  $f \equiv f_\Gamma$ .*

We conclude this section with the following theorem.

**THEOREM 3.2.** *Let  $\Gamma = (\gamma_1, \gamma_2)$  be an admissible pair, and let  $k_i(s)$  denote the geodesic curvature of  $\gamma_i(s)$ . Then the mean curvature of  $f_\Gamma$  is constant if and only if both  $k_1(s)$  and  $k_2(s)$  are constant.*

**PROOF.** By (3.8) and (3.9) the mean curvature  $H$  of  $F_\Gamma$  is given by

$$(3.10) \quad H = \cot(\alpha_2(s_2) - \alpha_1(s_1)).$$

So (3.6) implies the assertion of Theorem 3.2. □

**4. The intrinsic structure of  $M_\Gamma$ .** Let  $\Gamma = (\gamma_1, \gamma_2)$  be a periodic admissible pair. Using the homology class  $I(\gamma_i)$  defined in Section 2, we set

$$I(\Gamma) = (I(\gamma_1), I(\gamma_2)),$$

and define  $W(\Gamma)$  to be the lattice of  $\mathbf{R}^2$  whose generators are given by the following:

$$(4.1) \quad \begin{cases} v_1, v_2 & \text{if } I(\Gamma) = (0, 0), \\ 2v_1, v_2 & \text{if } I(\Gamma) = (1, 0), \\ v_1, 2v_2 & \text{if } I(\Gamma) = (0, 1), \\ v_1 \pm v_2 & \text{if } I(\Gamma) = (1, 1), \end{cases}$$

where

$$(4.2) \quad v_1 = \frac{1}{2}(K(\gamma_1), L(\gamma_1)), \quad v_2 = \frac{1}{2}(-K(\gamma_2), -L(\gamma_2)).$$

We now identify the lattice  $W(\Gamma)$  with a group of parallel translations of  $\mathbf{R}^2$ . In this section we show that the flat torus  $M_\Gamma$  is isometric to the flat torus  $(\mathbf{R}^2, g_0)/W(\Gamma)$ , where  $g_0$  denotes the canonical flat Riemannian metric on  $\mathbf{R}^2$ . Using the functions  $\alpha_1(s)$  and  $\alpha_2(s)$  given by (3.6), we set

$$\begin{aligned} x_1(s_1, s_2) &= \int_0^{s_1} \cos \alpha_1(s) ds - \int_0^{s_2} \cos \alpha_2(s) ds, \\ x_2(s_1, s_2) &= \int_0^{s_1} \sin \alpha_1(s) ds - \int_0^{s_2} \sin \alpha_2(s) ds, \end{aligned}$$

and define  $\Phi_\Gamma : \mathbf{R}^2 \rightarrow \mathbf{R}^2$  by

$$(4.3) \quad \Phi_\Gamma(s_1, s_2) = (x_1(s_1, s_2), x_2(s_1, s_2)).$$

**THEOREM 4.1.** *Let  $\Gamma = (\gamma_1, \gamma_2)$  be a periodic admissible pair, and let  $g_\Gamma$  be the Riemannian metric on  $\mathbf{R}^2$  induced by the immersion  $F_\Gamma : \mathbf{R}^2 \rightarrow S^3$ . Then the map  $\Phi_\Gamma$  is an isometry of  $(\mathbf{R}^2, g_\Gamma)$  onto  $(\mathbf{R}^2, g_0)$ , and*

$$W(\Gamma) = \{\Phi_\Gamma \circ \rho \circ \Phi_\Gamma^{-1} : \rho \in G(\Gamma)\}.$$

*In particular, the flat torus  $M_\Gamma$  is isometric to the flat torus  $(\mathbf{R}^2, g_0)/W(\Gamma)$ .*

**PROOF.** By (3.8) it is easy to see that  $g_\Gamma = \Phi_\Gamma^* g_0$ , and so  $\Phi_\Gamma$  is an isometry of  $(\mathbf{R}^2, g_\Gamma)$  onto  $(\mathbf{R}^2, g_0)$ . Since the group  $G(\Gamma)$  consists of parallel translations of  $\mathbf{R}^2$  and the quotient space  $\mathbf{R}^2/G(\Gamma)$  is compact, the group  $G(\Gamma)$  can be identified with a lattice of  $\mathbf{R}^2$ . It follows from [3, Theorem 4.1] that the lattice  $G(\Gamma)$  has the following generators.

$$(4.4) \quad \begin{cases} (l_1, 0), (0, l_2) & \text{if } I(\Gamma) = (0, 0), \\ (2l_1, 0), (0, l_2) & \text{if } I(\Gamma) = (1, 0), \\ (l_1, 0), (0, 2l_2) & \text{if } I(\Gamma) = (0, 1), \\ (l_1, l_2), (l_1, -l_2) & \text{if } I(\Gamma) = (1, 1), \end{cases}$$

where  $l_i$  denotes the minimum period of  $\gamma_i(s)$ . For  $m_1, m_2 \in \mathbf{Z}$ , we consider the parallel translation  $\rho : \mathbf{R}^2 \rightarrow \mathbf{R}^2$  given by

$$\rho(s_1, s_2) = (s_1 + m_1 l_1, s_2 + m_2 l_2).$$

Since  $\alpha_i(s + l_i) = \alpha_i(s)$ , it follows from (3.7) and (4.2) that

$$\begin{aligned} \Phi_\Gamma(\rho(s_1, s_2)) &= \Phi_\Gamma(s_1, s_2) + m_1 \left( \int_0^{l_1} \cos \alpha_1(s) ds, \int_0^{l_1} \sin \alpha_1(s) ds \right) \\ &\quad + m_2 \left( - \int_0^{l_2} \cos \alpha_2(s) ds, - \int_0^{l_2} \sin \alpha_2(s) ds \right) \\ &= \Phi_\Gamma(s_1, s_2) + m_1 v_1 + m_2 v_2. \end{aligned}$$

So we obtain

$$\Phi_\Gamma \circ \rho \circ \Phi_\Gamma^{-1}(x_1, x_2) = (x_1, x_2) + m_1 v_1 + m_2 v_2.$$

Hence it follows from (4.1) and (4.4) that  $\Phi_\Gamma \circ \rho \circ \Phi_\Gamma^{-1} \in W(\Gamma)$  if and only if  $\rho \in G(\Gamma)$ . This completes the proof of Theorem 4.1. □

**5. Extrinsic invariants of  $f_\Gamma$ .** Let  $\gamma : \mathbf{R} \rightarrow S^2$  be a periodic regular curve with the minimum period  $l > 0$ . For each smooth function  $\beta : \mathbf{R} \rightarrow \mathbf{R}$ , we define  $E_\beta(\gamma)$  by

$$(5.1) \quad E_\beta(\gamma) = \frac{1}{2} \int_0^l \beta(\tau_\gamma(s)) \sqrt{1 + k(s)^2} |\gamma'(s)| ds,$$

where  $k(s)$  denotes the geodesic curvature of  $\gamma(s)$ , and

$$(5.2) \quad \tau_\gamma(s) = 2k'(s)(1 + k(s)^2)^{-3/2} |\gamma'(s)|^{-1}.$$

Furthermore for each periodic admissible pair  $\Gamma = (\gamma_1, \gamma_2)$ , we set

$$E_\beta(\Gamma) = E_\beta(\gamma_1) + E_\beta(\gamma_2).$$

The aim of this section is to prove the following theorem.

**THEOREM 5.1.** *Let  $\Gamma$  and  $\bar{\Gamma}$  be periodic admissible pairs such that  $f_\Gamma \equiv f_{\bar{\Gamma}}$ . Then  $E_\beta(\Gamma) = E_\beta(\bar{\Gamma})$  for any smooth even function  $\beta$ .*

It is easy to see that  $f_\Gamma \equiv f_{\bar{\Gamma}}$  implies  $F_\Gamma \equiv F_{\bar{\Gamma}}$ . So Theorem 5.1 follows from the following lemma.

**LEMMA 5.2.** *Let  $\Gamma = (\gamma_1, \gamma_2)$  and  $\bar{\Gamma} = (\bar{\gamma}_1, \bar{\gamma}_2)$  be periodic admissible pairs. If  $F_\Gamma \equiv F_{\bar{\Gamma}}$ , then  $E_\beta(\Gamma) = E_\beta(\bar{\Gamma})$  for any smooth even function  $\beta$ .*

**PROOF.** Let  $c_i(s)$  and  $\bar{c}_i(s)$  be the curves in  $S^3$  defined by (3.4). Then

$$(5.3) \quad F_\Gamma(s_1, s_2) = c_1(s_1)c_2(s_2)^{-1}, \quad F_{\bar{\Gamma}}(s_1, s_2) = \bar{c}_1(s_1)\bar{c}_2(s_2)^{-1}.$$

By (3.2) and (5.1) we obtain

$$(5.4) \quad E_\beta(\gamma_i) = \int_0^{l_i} \beta(\tau_{\gamma_i}(s)) ds, \quad E_\beta(\bar{\gamma}_i) = \int_0^{\bar{l}_i} \beta(\tau_{\bar{\gamma}_i}(s)) ds,$$

where  $l_i$  (resp.  $\bar{l}_i$ ) denotes the minimum period of  $\gamma_i$  (resp.  $\bar{\gamma}_i$ ). Let  $\kappa_i(s)$  be the curvature of the curve  $c_i(s)$ . Since  $|c'_i| = 1$ , it follows from [1, Lemmas 3.7 and 3.8] that

$$\kappa_i = |D_{c'_i} c'_i| = |\alpha'_i|,$$

where  $D$  denotes the Riemannian connection on  $S^3$ , and  $\alpha_i$  is the function defined by (3.6). Differentiating (3.6), we have  $\alpha_i'(s) = -k_i'(s) \sin^2 \alpha_i(s)$ , where  $k_i(s)$  denotes the geodesic curvature of  $\gamma_i(s)$ . So it follows from (3.7) and (5.2) that  $\alpha_i'(s) = -\tau_{\gamma_i}(s)$ . Hence

$$(5.5) \quad \kappa_i(s) = |\tau_{\gamma_i}(s)|.$$

Similarly we obtain

$$(5.6) \quad \bar{\kappa}_i(s) = |\tau_{\bar{\gamma}_i}(s)|,$$

where  $\bar{\kappa}_i(s)$  denotes the curvature of the curve  $\bar{c}_i(s)$ .

Let  $g_{ij}$  (resp.  $\bar{g}_{ij}$ ) and  $h_{ij}$  (resp.  $\bar{h}_{ij}$ ) denote the first and second fundamental forms of the immersion  $F_\Gamma(s_1, s_2)$  (resp.  $F_{\bar{\Gamma}}(s_1, s_2)$ ). Since  $F_\Gamma \equiv F_{\bar{\Gamma}}$ , there exist an isometry  $A$  of  $S^3$  and a diffeomorphism  $\rho$  of  $\mathbf{R}^2$  such that  $A \circ F_\Gamma = F_{\bar{\Gamma}} \circ \rho$ . Then we obtain

$$g_{ij} = \sum_{kl} \bar{g}_{kl}(\rho) \frac{\partial \rho_k}{\partial s_i} \frac{\partial \rho_l}{\partial s_j}, \quad h_{ij} = \pm \sum_{kl} \bar{h}_{kl}(\rho) \frac{\partial \rho_k}{\partial s_i} \frac{\partial \rho_l}{\partial s_j},$$

where  $\rho(s_1, s_2) = (\rho_1(s_1, s_2), \rho_2(s_1, s_2))$ . So it follows from (3.8) and (3.9) that the Jacobi matrix of the diffeomorphism  $\rho : \mathbf{R}^2 \rightarrow \mathbf{R}^2$  satisfies the following relation.

$$(5.7) \quad \frac{\partial(\rho_1, \rho_2)}{\partial(s_1, s_2)} = \begin{bmatrix} a_1 & 0 \\ 0 & a_2 \end{bmatrix} \quad \text{or} \quad \frac{\partial(\rho_1, \rho_2)}{\partial(s_1, s_2)} = \begin{bmatrix} 0 & a_2 \\ a_1 & 0 \end{bmatrix},$$

where  $|a_1| = |a_2| = 1$ .

We now consider the first case of (5.7). Then we obtain

$$\rho(s_1, s_2) = (a_1 s_1 + b_1, a_2 s_2 + b_2).$$

Since  $A \circ F_\Gamma = F_{\bar{\Gamma}} \circ \rho$ , it follows from (5.3) that

$$A(c_1(s_1)c_2(s_2)^{-1}) = \bar{c}_1(a_1 s_1 + b_1)\bar{c}_2(a_2 s_2 + b_2)^{-1}.$$

Since  $c_1(0) = c_2(0) = e$ , the relation above implies

$$(R \circ A)c_1(s) = \bar{c}_1(a_1 s + b_1), \quad (L \circ A)c_2(s)^{-1} = \bar{c}_2(a_2 s + b_2)^{-1},$$

where  $R$  denotes the right translation by  $\bar{c}_2(b_2)$ , and  $L$  denotes the left translation by  $\bar{c}_1(b_1)^{-1}$ . So there exist isometries  $A_1$  and  $A_2$  of  $S^3$  such that

$$(5.8) \quad c_i(s) = A_i \bar{c}_i(a_i s + b_i).$$

This shows that  $\kappa_i(s) = \bar{\kappa}_i(a_i s + b_i)$ . Since  $\beta : \mathbf{R} \rightarrow \mathbf{R}$  is an even function, it follows from (5.5) and (5.6) that

$$(5.9) \quad \beta(\tau_{\gamma_i}(s)) = \beta(\tau_{\bar{\gamma}_i}(a_i s + b_i)).$$

By (2.7) and (5.8) we obtain

$$c_i(s + \bar{l}_i) = A_i \bar{c}_i(a_i s + b_i + a_i \bar{l}_i) = \pm A_i \bar{c}_i(a_i s + b_i) = \pm c_i(s).$$

Since  $p_2(\pm c_i(s)) = \hat{\gamma}_i(s)$ , we obtain  $\hat{\gamma}_i(s + \bar{l}_i) = \hat{\gamma}_i(s)$ , and so  $\gamma_i(s + \bar{l}_i) = \gamma_i(s)$ . Hence  $\bar{l}_i/l_i$  must be an integer. Similarly we see that  $l_i/\bar{l}_i$  is an integer, and so we have  $l_i = \bar{l}_i$ . Therefore

$$\int_0^{l_i} \beta(\tau_{\gamma_i}(s))ds = \int_0^{\bar{l}_i} \beta(\tau_{\bar{\gamma}_i}(a_i s + b_i))ds = \int_0^{\bar{l}_i} \beta(\tau_{\bar{\gamma}_i}(s))ds,$$

where the first equality follows from (5.9), and the second equality follows from the fact that  $\tau_{\bar{\gamma}_i}(s)$  is  $\bar{l}_i$ -periodic. Hence (5.4) implies

$$E_\beta(\gamma_1) = E_\beta(\bar{\gamma}_1), \quad E_\beta(\gamma_2) = E_\beta(\bar{\gamma}_2).$$

For the second case of (5.7), in the same way as above, we obtain

$$E_\beta(\gamma_1) = E_\beta(\bar{\gamma}_2), \quad E_\beta(\gamma_2) = E_\beta(\bar{\gamma}_1).$$

This completes the proof of Lemma 5.2. □

We conclude this section with the following theorem.

**THEOREM 5.3.** *Let  $\Gamma = (\gamma_1, \gamma_2)$  be a periodic admissible pair, and let  $H$  be the mean curvature of the isometric immersion  $f_\Gamma : M_\Gamma \rightarrow S^3$ . Then*

$$\int_{M_\Gamma} H d\sigma = \frac{c}{4} \{K(\gamma_1)K(\gamma_2) + L(\gamma_1)L(\gamma_2)\}, \quad c = \begin{cases} 1 & \text{if } I(\Gamma) = (0, 0), \\ 2 & \text{if } I(\Gamma) \neq (0, 0), \end{cases}$$

where  $d\sigma$  denotes the volume element of the flat torus  $M_\Gamma$ .

**PROOF.** Let  $l_i > 0$  be the minimum period of  $\gamma_i$ , and let  $\xi_1$  and  $\xi_2$  denote the generators of the lattice  $G(\Gamma)$  given by (4.4). We consider the domain

$$D = \{x\xi_1 + y\xi_2 : 0 \leq x \leq 1, 0 \leq y \leq 1\} \subset \mathbf{R}^2.$$

Since  $D$  is a fundamental domain of  $G(\Gamma)$ , it follows from (3.8) and (3.10) that

$$\begin{aligned} \int_{M_\Gamma} H d\sigma &= \int_D \cos(\alpha_2(s_2) - \alpha_1(s_1)) ds_1 ds_2 \\ &= c \int_0^{l_2} ds_2 \int_0^{l_1} \cos(\alpha_2(s_2) - \alpha_1(s_1)) ds_1, \end{aligned}$$

where the second equality follows from the fact that the function  $\alpha_i(s)$  is  $l_i$ -periodic. On the other hand (3.7) implies

$$\cos(\alpha_2(s_2) - \alpha_1(s_1)) = \frac{1}{4} (k_1(s_1)k_2(s_2) + 1) |\gamma_1'(s_1)| |\gamma_2'(s_2)|.$$

This completes the proof. □

### 6. Proof of Theorem 1.2.

**LEMMA 6.1.** *Let  $f : M \rightarrow S^3$  be a primitive isometric immersion of a flat torus  $M$ , and let  $\pi : \bar{M} \rightarrow M$  be a Riemannian covering. If  $\rho : \bar{M} \rightarrow \bar{M}$  is a diffeomorphism satisfying the relation  $f \circ \pi \circ \rho = f \circ \pi$ , then  $\pi \circ \rho = \pi$ .*

PROOF. Since  $\bar{M}$  is a complete connected flat surface and  $f \circ \pi : \bar{M} \rightarrow S^3$  is an isometric immersion, it follows from [5] that there exists a covering  $T : \mathbf{R}^2 \rightarrow \bar{M}$  such that

$$\bar{g} \left( \frac{\partial T}{\partial s_i}, \frac{\partial T}{\partial s_i} \right) = 1, \quad \bar{h} \left( \frac{\partial T}{\partial s_i}, \frac{\partial T}{\partial s_i} \right) = 0 \quad \text{for } i = 1, 2,$$

where  $\bar{g}$  denotes the Riemannian metric on  $\bar{M}$ , and  $\bar{h}$  denotes the second fundamental form of the immersion  $f \circ \pi : \bar{M} \rightarrow S^3$ . Note that the immersion  $F = f \circ \pi \circ T$  is a FAT.

Since  $T$  is a universal covering, there exist  $\bar{\rho} \in \text{Diff}(\mathbf{R}^2)$  such that  $T \circ \bar{\rho} = \rho \circ T$ . Using the relation  $f \circ \pi \circ \rho = f \circ \pi$ , we obtain  $F \circ \bar{\rho} = F$ , and so it follows from [1, Theorem 2.3] that  $\bar{\rho}$  is a parallel translation of  $\mathbf{R}^2$ . Let  $\phi$  be a covering transformation of  $\pi$ . We take  $\bar{\phi} \in \text{Diff}(\mathbf{R}^2)$  such that  $T \circ \bar{\phi} = \phi \circ T$ . Since  $\pi \circ \phi = \pi$ , in the same way as above, we see that  $\bar{\phi}$  is a parallel translation of  $\mathbf{R}^2$ . Hence  $\bar{\rho} \circ \bar{\phi} = \bar{\phi} \circ \bar{\rho}$ , and so we obtain

$$(6.1) \quad \rho \circ \phi = \phi \circ \rho.$$

Since the covering  $\pi$  is regular, it follows from (6.1) that there exists a diffeomorphism  $\rho' : M \rightarrow M$  such that  $\pi \circ \rho = \rho' \circ \pi$ . Then

$$f \circ \rho' \circ \pi = f \circ \pi \circ \rho = f \circ \pi.$$

Hence  $f \circ \rho' = f$ . Since the immersion  $f$  is primitive, we see that  $\rho' = 1$ , and so  $\pi \circ \rho = \pi$ . □

LEMMA 6.2. *Let  $f_1$  and  $f_2$  be primitive isometric immersions of a flat torus  $M$  into  $S^3$ , and let  $\pi : \bar{M} \rightarrow M$  be a Riemannian covering. If  $f_1 \circ \pi \equiv f_2 \circ \pi$ , then  $f_1 \equiv f_2$ .*

PROOF. Since  $f_1 \circ \pi \equiv f_2 \circ \pi$ , there exist an isometry  $A$  of  $S^3$  and a diffeomorphism  $\rho$  of  $\bar{M}$  such that  $A \circ f_1 \circ \pi = f_2 \circ \pi \circ \rho$ . We now denote by  $G(\pi)$  the covering transformation group of  $\pi$ . Then, for each  $\phi \in G(\pi)$ , we obtain

$$f_2 \circ \pi \circ \rho \circ \phi \circ \rho^{-1} = A \circ f_1 \circ \pi \circ \phi \circ \rho^{-1} = A \circ f_1 \circ \pi \circ \rho^{-1} = f_2 \circ \pi.$$

So it follows from Lemma 6.1 that  $\pi \circ \rho \circ \phi \circ \rho^{-1} = \pi$ . Hence

$$(6.2) \quad \rho \circ \phi \circ \rho^{-1} \in G(\pi) \quad \text{for all } \phi \in G(\pi).$$

Since the covering  $\pi$  is regular, it follows from (6.2) that there exists a diffeomorphism  $\rho' : M \rightarrow M$  satisfying the relation  $\pi \circ \rho = \rho' \circ \pi$ . Then

$$A \circ f_1 \circ \pi = f_2 \circ \pi \circ \rho = f_2 \circ \rho' \circ \pi.$$

Hence  $A \circ f_1 = f_2 \circ \rho'$ , and so  $f_1 \equiv f_2$ . □

By Lemma 6.2 it is easy to see that Theorem 1.2 follows from the following theorem.

THEOREM 6.3. *If  $f : M \rightarrow S^3$  is a primitive isometric immersion of a flat torus  $M$  into  $S^3$  with nonconstant mean curvature, then there exists a smooth one-parameter family of primitive isometric immersions  $f_t : M \rightarrow S^3$ ,  $t \in \mathbf{R}$ , such that  $f_0 = f$  and  $f_t \not\equiv f_s$  for all  $s \neq t$ . Furthermore the total mean curvature of the immersion  $f_t$  is equal to that of  $f_0$  for all  $t \in \mathbf{R}$ .*

PROOF. By Theorem 3.1 there exists a periodic admissible pair  $\Gamma = (\gamma_1, \gamma_2)$  such that  $f \equiv f_\Gamma$ . So we may assume that  $f = f_\Gamma$  and  $M = M_\Gamma$ . Since the mean curvature of  $f_\Gamma$  is not constant, it follows from Theorem 3.2 that either  $k_1(s)$  or  $k_2(s)$  is not constant, where  $k_i(s)$  denotes the geodesic curvature of  $\gamma_i(s)$ . Without loss of generality, we may assume that  $k_1(s)$  is not constant.

We now use the following theorem which will be proved in Section 7.

THEOREM 6.4. *Let  $\gamma : \mathbf{R} \rightarrow S^2$  be a periodic regular curve whose geodesic curvature  $k(s)$  satisfies  $|\gamma'(s)|\sqrt{1+k(s)^2} = 2$ . If  $k(s)$  is not constant, then there exist a smooth even function  $\beta : \mathbf{R} \rightarrow \mathbf{R}$  and a smooth one-parameter family of periodic regular curves  $\gamma_t : \mathbf{R} \rightarrow S^2$ ,  $-\varepsilon < t < \varepsilon$ , such that  $\gamma_0(s) = \gamma(s)$  and*

- (1)  $|\gamma'_t(s)|\sqrt{1+k_t(s)^2} = 2$ ,
- (2)  $K(\gamma_t) = K(\gamma)$ ,  $L(\gamma_t) = L(\gamma)$ ,  $E_\beta(\gamma_t) = E_\beta(\gamma) + t$ ,
- (3)  $I(\gamma_t) = I(\gamma)$ ,

where  $k_t(s)$  denotes the geodesic curvature of  $\gamma_t(s)$ .

So there exist a smooth even function  $\beta$  and a smooth one-parameter family of periodic regular curves  $\gamma'_t : \mathbf{R} \rightarrow S^2$ ,  $t \in \mathbf{R}$ , such that  $\gamma'_1(s) = \gamma_1(s)$  and

$$(6.3) \quad \Gamma_t = (\gamma'_t, \gamma_2) \text{ is a periodic admissible pair,}$$

$$(6.4) \quad K(\gamma'_t) = K(\gamma_1), \quad L(\gamma'_t) = L(\gamma_1), \quad I(\gamma'_t) = I(\gamma_1),$$

$$(6.5) \quad E_\beta(\gamma'_s) \neq E_\beta(\gamma'_t) \quad \text{for all } s \neq t.$$

By (6.3) we obtain the flat torus  $M_{\Gamma_t}$  and the primitive isometric immersion  $f_{\Gamma_t} : M_{\Gamma_t} \rightarrow S^3$ . For each  $\Gamma_t$ , define  $\Phi_{\Gamma_t} : \mathbf{R}^2 \rightarrow \mathbf{R}^2$  in the same way as (4.3). Then it follows from Theorem 4.1 that the map  $\Phi_{\Gamma_t}$  induces the isometry  $\phi_t : M_{\Gamma_t} \rightarrow (\mathbf{R}^2, g_0)/W(\Gamma_t)$ . On the other hand, (6.4) implies that  $W(\Gamma_t) = W(\Gamma)$ . So we obtain the primitive isometric immersion  $f_t : M \rightarrow S^3$  defined by

$$f_t = f_{\Gamma_t} \circ \phi_t^{-1} \circ \phi_0.$$

We now show that the family  $f_t$ ,  $t \in \mathbf{R}$ , satisfies the properties required in Theorem 6.3. Since  $\Gamma_0 = \Gamma$ , we obtain  $f_0 = f_\Gamma = f$ . By (6.5) it follows from Theorem 5.1 that  $f_s \neq f_t$  for all  $s \neq t$ , and so

$$f_s \neq f_t \quad \text{for all } s \neq t.$$

Let  $H_t$  denote the mean curvature of the immersion  $f_t$ . Since  $f_t \equiv f_{\Gamma_t}$ , it follows from (6.4) and Theorem 5.3 that

$$\int_M H_t d\sigma = \int_M H_0 d\sigma \quad \text{for all } t \in \mathbf{R},$$

where  $d\sigma$  denotes the volume element of the flat torus  $M$ . To establish the property that the map  $(t, x) \mapsto f_t(x)$  is smooth, we consider the maps  $Q_1 : \mathbf{R} \times \mathbf{R}^2/W(\Gamma) \rightarrow S^3$  and  $Q_2 : \mathbf{R} \times \mathbf{R}^2 \rightarrow \mathbf{R} \times \mathbf{R}^2/W(\Gamma)$  defined by

$$Q_1(t, p) = f_{\Gamma_t}(\phi_t^{-1}(p)), \quad Q_2(t, x_1, x_2) = (t, \pi(x_1, x_2)),$$

where  $\pi$  denotes the canonical projection of  $\mathbf{R}^2$  onto  $\mathbf{R}^2/W(\Gamma)$ . Note that the map  $Q_2$  is a local diffeomorphism. Furthermore we define the diffeomorphism  $Q_3 : \mathbf{R} \times \mathbf{R}^2 \rightarrow \mathbf{R} \times \mathbf{R}^2$  by

$$Q_3(t, s_1, s_2) = (t, \Phi_{\Gamma_t}(s_1, s_2)).$$

Then it follows that

$$Q_1(Q_2(Q_3(t, s_1, s_2))) = F_{\Gamma_t}(s_1, s_2),$$

and so the map  $Q_1 \circ Q_2 \circ Q_3 : \mathbf{R} \times \mathbf{R}^2 \rightarrow S^3$  is smooth. Since the map  $Q_2 \circ Q_3$  is a local diffeomorphism, we see that the map  $Q_1$  is smooth. Hence the map  $(t, x) \mapsto f_t(x)$  is smooth. This completes the proof of Theorem 6.3.  $\square$

**7. Deformations of periodic regular curves in  $S^2$ .** The aim of this section is to prove Theorem 6.4. We first prove the following lemma.

LEMMA 7.1. *Let  $U$  be an open subset of  $\mathbf{R}^n$  which contains the origin  $o \in \mathbf{R}^n$ . Let  $f : U \times \mathbf{R} \rightarrow \mathbf{R}^m$  be a continuous map such that  $f_x : \mathbf{R} \rightarrow \mathbf{R}^m$  is nonconstant and periodic for all  $x \in U$ , where  $f_x(s) = f(x, s)$ . Suppose that there exists a continuous positive function  $l : U \rightarrow \mathbf{R}^+$  satisfying*

- (1)  $f_x(s + l(x)) = f_x(s)$  for all  $(x, s) \in U \times \mathbf{R}$ ,
- (2)  $l(o)$  is the minimum period of  $f_o(s)$ .

*Then there exists an open neighborhood  $U'$  of the origin  $o$  in  $U$  such that the minimum period of  $f_x(s)$  is equal to  $l(x)$  for all  $x \in U'$ .*

PROOF. For each  $x \in U$ , let  $\bar{l}(x) > 0$  be the minimum period of  $f_x(s)$ , and let  $q(x) = l(x)/\bar{l}(x)$ . Note that  $q(x)$  is a positive integer. Now assume that the assertion of the lemma is not true. Then there exists a sequence  $\{x_n\}_{n=1}^\infty$  in  $U$  such that  $q(x_n) \geq 2$  and  $\lim_{n \rightarrow \infty} x_n = o$ . We first consider the case where the sequence  $q(x_n)$  is bounded. Then we may assume that there exists an integer  $p \geq 2$  such that  $q(x_n) = p$  for all  $n$ . Hence

$$f_{x_n}(s) = f_{x_n}(s + \bar{l}(x_n)) = f_{x_n}(s + l(x_n)/p).$$

Letting  $n$  tend to infinity, we have  $f_o(s) = f_o(s + l(o)/p)$ . So the minimum period of  $f_o(s)$  is smaller than  $l(o)$ . This is a contradiction.

Now consider the other case. Then we may assume that  $\lim_{n \rightarrow \infty} q(x_n) = \infty$ . For each  $s \in \mathbf{R}$ , let  $s_n$  be the real number such that  $(s_n - s)/\bar{l}(x_n)$  is an integer and

$$0 \leq s_n < \bar{l}(x_n).$$

Then  $f_{x_n}(s) = f_{x_n}(s_n)$  and  $0 \leq s_n < l(x_n)/q(x_n)$ . Letting  $n$  tend to infinity, we have  $f_o(s) = f_o(0)$ , which shows that  $f_o(s)$  is constant. This is a contradiction.  $\square$

LEMMA 7.2. *Let  $\gamma : \mathbf{R} \rightarrow S^2$  be a periodic regular curve parametrized by arclength, and let  $l > 0$  be the minimum period of  $\gamma(s)$ . If the geodesic curvature of  $\gamma(s)$  is not constant, then there exist a smooth even function  $\beta : \mathbf{R} \rightarrow \mathbf{R}$  and a smooth one-parameter family of periodic regular curves  $\gamma_t : \mathbf{R} \rightarrow S^2$ ,  $-\varepsilon < t < \varepsilon$ , such that*

- (1)  $\gamma_0(s) = \gamma(s)$ ,
- (2) the minimum period of  $\gamma_t(s)$  is equal to  $l$ ,

$$(3) \quad K(\gamma_t) = K(\gamma), L(\gamma_t) = L(\gamma), E_\beta(\gamma_t) = E_\beta(\gamma) + t.$$

PROOF. Let  $k(s)$  be the geodesic curvature of  $\gamma(s)$ , and let  $\tau(s) = \tau_\gamma(s)$ . Since  $|\gamma'(s)| = 1$ , it follows from (5.2) that

$$(7.1) \quad \tau(s) = 2k'(s)(1 + k(s)^2)^{-3/2}.$$

Since  $\tau = 2(k/\sqrt{1 + k^2})'$  and  $k(l) = k(0)$ , we obtain

$$\int_0^l \tau(s) ds = 0.$$

If  $\tau(s)$  is constant, then  $\tau(s) = 0$ , and so  $k'(s) = 0$ . This contradicts the assumption that the geodesic curvature of  $\gamma(s)$  is not constant. Hence  $\tau(s)$  is not constant. So there exists a real number  $s_0$  such that

$$(7.2) \quad \tau(s_0) \neq 0, \quad \tau'(s_0) \neq 0.$$

We now choose a smooth even function  $\beta : \mathbf{R} \rightarrow \mathbf{R}$  such that

$$(7.3) \quad \beta^{(i)}(\tau(s_0)) = \begin{cases} 0 & \text{if } 0 \leq i \leq 5, \\ \text{nonzero} & \text{if } i = 6, \end{cases}$$

where  $\beta^{(i)}$  denotes the  $i$ -th derivative of the function  $\beta$ .

Let  $f_1(s), f_2(s)$  and  $f_3(s)$  be  $l$ -periodic smooth functions which will be specified later. For each  $x = (x_1, x_2, x_3) \in \mathbf{R}^3$ , define  $p_x : \mathbf{R} \rightarrow S^2$  by

$$p_x(s) = \cos\left(\sum_{i=1}^3 f_i(s)x_i\right)\gamma(s) + \sin\left(\sum_{i=1}^3 f_i(s)x_i\right)\nu(s), \quad \nu(s) = J(\gamma'(s)),$$

where  $J$  denotes the almost complex structure given by (2.5). Let  $B_\delta(o)$  denote the  $\delta$ -neighborhood of the origin  $o \in \mathbf{R}^3$ . Since  $p_o(s) = \gamma(s)$  and  $p_x(s + l) = p_x(s)$ , there exists a positive number  $\delta$  such that for each  $x \in B_\delta(o)$  the map  $p_x : \mathbf{R} \rightarrow S^2$  is a periodic regular curve. By Lemma 7.1 we may assume that the minimum period of  $p_x(s)$  is equal to  $l$  for all  $x \in B_\delta(o)$ . So we obtain

$$L(p_x) = \int_0^l |p'_x(s)| ds, \quad K(p_x) = \int_0^l k_x(s)|p'_x(s)| ds \quad \text{for } x \in B_\delta(o),$$

where  $k_x(s)$  denotes the geodesic curvature of  $p_x(s)$ . Furthermore

$$E_\beta(p_x) = \frac{1}{2} \int_0^l \beta(\tau_x(s))\sqrt{1 + k_x(s)^2}|p'_x(s)| ds \quad \text{for } x \in B_\delta(o),$$

where  $\tau_x(s) = 2k'_x(s)(1 + k_x(s)^2)^{-3/2}|p'_x(s)|^{-1}$ . Therefore we obtain the smooth map  $F : B_\delta(o) \rightarrow \mathbf{R}^3$  defined by

$$F(x) = (K(p_x), L(p_x), E_\beta(p_x)).$$

We now show that for a suitable choice of the functions  $f_j(s)$ , the Jacobi matrix of  $F$  is non-singular at the origin  $o$ . By a straightforward calculation we obtain

$$(7.4) \quad \frac{\partial}{\partial x_j} L(p_x) \Big|_{x=o} = - \int_0^l k(s) f_j(s) ds,$$

$$(7.5) \quad \frac{\partial}{\partial x_j} K(p_x) \Big|_{x=0} = \int_0^l f_j(s) ds,$$

$$(7.6) \quad \frac{\partial}{\partial x_j} E_\beta(p_x) \Big|_{x=0} = \int_0^l \sum_{i=1}^3 a_i(s) f_j^{(i)}(s) ds,$$

where  $f_j^{(i)}(s)$  denote the  $i$ -th derivatives of the functions  $f_j(s)$ , and the functions  $a_i(s)$  are given by

$$(7.7) \quad a_1 = \beta'(\tau), \quad a_2 = \frac{k}{2\sqrt{1+k^2}}\beta(\tau) - \frac{3kk'}{(1+k^2)^2}\beta'(\tau), \quad a_3 = \frac{1}{1+k^2}\beta'(\tau).$$

Since the functions  $a_i(s)$  and  $f_j(s)$  are  $l$ -periodic, it follows from integration by parts that

$$(7.8) \quad \frac{\partial}{\partial x_j} E_\beta(p_x) \Big|_{x=0} = \int_0^l u_\beta(s) f_j(s) ds,$$

where  $u_\beta(s) = \sum_{i=1}^3 (-1)^i a_i^{(i)}(s)$ .

We now specify the functions  $f_j(s)$  as follows:

$$f_1(s) = 1, \quad f_2(s) = -k(s), \quad f_3(s) = u_\beta(s).$$

Then it follows from (7.4), (7.5) and (7.8) that the Jacobi matrix of  $F$  at the origin  $o$  is given by

$$F'(o) = [c_{ij}], \quad c_{ij} = \int_0^l f_i(s) f_j(s) ds.$$

By using (7.7), the function  $u_\beta(s)$  can be written as  $u_\beta(s) = \sum_{i=0}^4 b_i(s)\beta^{(i)}(\tau(s))$ . Since  $b_4(s) = \tau'(s)^3/(1+k(s)^2)$ , it follows from (7.2) and (7.3) that

$$u'_\beta(s_0) = 0, \quad u''_\beta(s_0) \neq 0.$$

On the other hand, (7.1) and (7.2) imply  $k'(s_0) \neq 0$ . Hence

$$(7.9) \quad \det \begin{bmatrix} f_1(s_0) & f_2(s_0) & f_3(s_0) \\ f'_1(s_0) & f'_2(s_0) & f'_3(s_0) \\ f''_1(s_0) & f''_2(s_0) & f''_3(s_0) \end{bmatrix} = -\det \begin{bmatrix} 1 & k(s_0) & u_\beta(s_0) \\ 0 & k'(s_0) & u'_\beta(s_0) \\ 0 & k''(s_0) & u''_\beta(s_0) \end{bmatrix} \neq 0.$$

Let  $\xi_1, \xi_2, \xi_3$  be real numbers satisfying the following relation.

$$\sum_{j=1}^3 c_{ij} \xi_j = 0 \quad \text{for } i = 1, 2, 3.$$

Since  $\sum_{i,j=1}^3 c_{ij} \xi_i \xi_j = 0$ , we obtain

$$\int_0^l \left| \sum_{i=1}^3 \xi_i f_i(s) \right|^2 ds = 0.$$

Hence  $\sum_{i=1}^3 \xi_i f_i(s) = 0$  for all  $s \in \mathbf{R}$ , and so

$$\sum_{i=1}^3 \xi_i f_i(s_0) = \sum_{i=1}^3 \xi_i f'_i(s_0) = \sum_{i=1}^3 \xi_i f''_i(s_0) = 0.$$

Therefore it follows from (7.9) that  $\xi_1 = \xi_2 = \xi_3 = 0$ . This implies that the matrix  $F'(o)$  is non-singular.

Using the inverse function theorem, we see that there exists a positive number  $\varepsilon$  such that the map  $F : B_\delta(o) \rightarrow \mathbf{R}^3$  carries a neighborhood of the origin  $o$  diffeomorphically onto the  $\varepsilon$ -neighborhood of  $F(o) \in \mathbf{R}^3$ . Since  $F(o) = (K(\gamma), L(\gamma), E_\beta(\gamma))$ , we obtain a smooth curve  $x : (-\varepsilon, \varepsilon) \rightarrow B_\delta(o)$  such that

$$F(x(t)) = (K(\gamma), L(\gamma), E_\beta(\gamma) + t), \quad x(0) = o.$$

Then the smooth one-parameter family of the periodic regular curves  $\gamma_t(s) = p_{x(t)}(s)$  satisfies the required properties (1)–(3). □

PROOF OF THEOREM 6.4. Let  $\theta : \mathbf{R} \rightarrow \mathbf{R}$  be the diffeomorphism given by

$$\theta(s) = \int_0^s |\gamma'(x)| dx,$$

and let  $\bar{\gamma} : \mathbf{R} \rightarrow S^2$  be the curve defined by  $\bar{\gamma}(\theta(s)) = \gamma(s)$ . Then  $\bar{\gamma}$  is a regular curve parametrized by arclength, and its geodesic curvature  $\bar{k}$  satisfies  $\bar{k}(\theta(s)) = k(s)$ . Since  $|\gamma'(s)|\sqrt{1+k(s)^2} = 2$ , we obtain

$$s = \frac{1}{2} \int_0^{\theta(s)} \sqrt{1 + \bar{k}(x)^2} dx.$$

So it follows that  $\gamma$  is  $m$ -periodic if and only if  $\bar{\gamma}$  is  $\theta(m)$ -periodic. Hence the minimum period of  $\bar{\gamma}$  is equal to  $\theta(l)$ , where  $l$  denotes the minimum period of  $\bar{\gamma}$ . Since  $\bar{k}$  is not constant, Lemma 7.2 implies that there exist a smooth even function  $\beta$  and a smooth one-parameter family of periodic regular curves  $\bar{\gamma}_t : \mathbf{R} \rightarrow S^2$ ,  $-\varepsilon < t < \varepsilon$ , such that

$$(7.10) \quad \bar{\gamma}_0 = \bar{\gamma}, \quad K(\bar{\gamma}_t) = K(\bar{\gamma}), \quad L(\bar{\gamma}_t) = L(\bar{\gamma}), \quad E_\beta(\bar{\gamma}_t) = E_\beta(\bar{\gamma}) + t,$$

and the minimum period of  $\bar{\gamma}_t$  is equal to  $\theta(l)$ .

We now consider the smooth one-parameter family of the diffeomorphisms  $\theta_t : \mathbf{R} \rightarrow \mathbf{R}$ ,  $-\varepsilon < t < \varepsilon$ , defined by the following relation:

$$(7.11) \quad s = \frac{1}{2} \int_0^{\theta_t(s)} |\bar{\gamma}'_t(x)| \sqrt{1 + \bar{k}_t(x)^2} dx,$$

where  $\bar{k}_t$  denotes the geodesic curvature of  $\bar{\gamma}_t$ . Furthermore we consider the smooth one-parameter family of regular curves  $\gamma_t : \mathbf{R} \rightarrow S^2$ ,  $-\varepsilon < t < \varepsilon$  given by

$$\gamma_t(s) = \bar{\gamma}_t(\theta_t(s)).$$

Since  $\bar{\gamma}_0 = \bar{\gamma}$  and  $|\bar{\gamma}'| = 1$ , we obtain  $\theta_0(s) = \theta(s)$  and so  $\gamma_0(s) = \gamma(s)$ . We set

$$l_t = \frac{1}{2} \int_0^{\theta(l)} |\bar{\gamma}'_t(x)| \sqrt{1 + \bar{k}_t(x)^2} dx.$$

Then it follows that  $\theta_t(l_t) = \theta(l)$  and  $l_0 = l$ . Since  $\bar{\gamma}_t$  is  $\theta(l)$ -periodic, we obtain  $\theta_t(s + l_t) = \theta_t(s) + \theta(l)$ . Hence

$$(7.12) \quad \gamma_t(s + l_t) = \gamma_t(s).$$

We now show that the family  $\gamma_t$ ,  $-\varepsilon < t < \varepsilon$ , satisfies the properties (1)–(3) required in Theorem 6.4. Let  $k_t(s)$  denote the geodesic curvature of  $\gamma_t(s)$ . Then it follows that  $k_t(s) = \bar{k}_t(\theta_t(s))$ , and so (7.11) implies

$$|\gamma_t'(s)|\sqrt{1 + k_t(s)^2} = 2.$$

Since  $l_0 = l$  and  $\gamma_0(s) = \gamma(s)$ , the minimum period of  $\gamma_0(s)$  is equal to  $l_0$ . Hence, using (7.12) and Lemma 7.1, we may assume that the minimum period of  $\gamma_t(s)$  is equal to  $l_t$  for  $-\varepsilon < t < \varepsilon$ . So we obtain

$$L(\gamma_t) = \int_0^{l_t} |\gamma_t'(s)| ds = \int_0^{l_t} |\bar{\gamma}_t'(\theta_t(s))| \theta_t'(s) ds = \int_0^{\theta(l)} |\bar{\gamma}_t'(x)| dx = L(\bar{\gamma}_t),$$

where the third equality follows from the relation  $\theta_t(l_t) = \theta(l)$ . Similarly we obtain  $K(\gamma_t) = K(\bar{\gamma}_t)$  and  $E_\beta(\gamma_t) = E_\beta(\bar{\gamma}_t)$ . Hence (7.10) implies that

$$K(\gamma_t) = K(\gamma_0), \quad L(\gamma_t) = L(\gamma_0), \quad E_\beta(\gamma_t) = E_\beta(\gamma_0) + t.$$

Since  $l_t$  is continuous in  $t$ , the closed curves  $\hat{\gamma}_0|[0, l_0]$  and  $\hat{\gamma}_t|[0, l_t]$  represent the same homology class in  $H_1(US^2)$ . Hence

$$I(\gamma_t) = I(\gamma_0).$$

This completes the proof of Theorem 6.4. □

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