

LR Number of Spherical Closed Curves

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Abstract. For a given oriented spherical closed curve with n transversal double points, we assign a cyclic word of length $2n$ on two letters L standing left and R standing right by reading the crossing sign so that each crossing point is read once L and once R . The LR number of the curve is the number of appearance of subwords LR in the cyclic word. We completely determine oriented spherical closed curves whose LR numbers are less than or equal to three.

1. Introduction

In knot theory, knot diagrams play an important role to study and classify knots. In 2012, Higa, Nakanishi, Satoh and Yamamoto defined an OU sequence for a knot diagram, where the OU sequence is obtained from crossing information by reading a sequence of over- and under- crossing points along the orientation direction of a knot [1]. They mainly studied about sequences which are realized by diagrams of the trefoil knot. After their study, K. Taniyama suggested the author to study LR sequences of knot diagrams. The LR sequence of a knot diagram is obtained from crossing information by reading a sequence of left- and right- crossing points along the orientation direction. The precise definition is given later. The LR sequence does not reflect any over/under crossing information. Therefore we define an LR sequence for an oriented closed curve on the 2-sphere with only finitely many transversal double points. We define an LR number of an LR sequence and completely determine oriented closed curves on the 2-sphere whose LR numbers are less than or equal to three. We note that there is a related study [2].

Let L and R be symbols. An *LR sequence* of length $l \in \mathbf{Z}_{\geq 1}$ is a map $\varphi : \{1, 2, \dots, l\} \rightarrow \{L, R\}$. Such a sequence is encoded by $\varphi(1)\varphi(2)\cdots\varphi(l)$. We define a *cyclic permutation* $\rho : \{1, 2, \dots, l\} \rightarrow \{1, 2, \dots, l\}$ by $\rho(i) = i + 1$ ($i = 1, 2, \dots, l - 1$) and $\rho(l) = 1$.

Let φ_1 and φ_2 be LR sequences with the same length. If there exists $i \in \mathbf{Z}$ such that $\varphi_2 = \varphi_1 \circ \rho^i$, then we say that φ_1 and φ_2 are *equivalent* and denote it by $\varphi_1 \sim \varphi_2$. It is clear that this is an equivalence relation. We do not distinguish an LR sequence and its equivalence class so

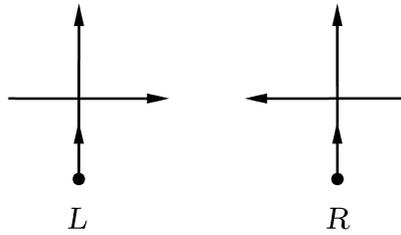


FIGURE 1

long as no confusion occurs. Let S_{2l} be the set of LR sequences of length $2l$ with $\sharp\varphi^{-1}(L) = \sharp\varphi^{-1}(R) = l$. The elements of the quotient set S_{2l}/\sim are called *LR words*. For convenience, a consecutive sequence of m ($m \in \mathbf{Z}_{\geq 1}$) copies of L (resp. R) is denoted by L^m (resp. R^m). Then an LR word w of length $2l$ can be written as $w = L^{\alpha_1} R^{\beta_1} L^{\alpha_2} R^{\beta_2} \dots L^{\alpha_n} R^{\beta_n}$, where $\alpha_1, \alpha_2, \dots, \alpha_n$ and $\beta_1, \beta_2, \dots, \beta_n$ are positive integers with $\alpha_1 + \alpha_2 + \dots + \alpha_n = \beta_1 + \beta_2 + \dots + \beta_n = l$. Note that

$$\begin{aligned} w &= L^{\alpha_1} R^{\beta_1} L^{\alpha_2} R^{\beta_2} \dots L^{\alpha_n} R^{\beta_n} \\ &= L^{\alpha_1-1} R^{\beta_1} L^{\alpha_2} R^{\beta_2} \dots L^{\alpha_n} R^{\beta_n} L \\ &= \dots \\ &= R^{\beta_1} L^{\alpha_2} R^{\beta_2} \dots L^{\alpha_n} R^{\beta_n} L^{\alpha_1} \\ &= R^{\beta_1-1} L^{\alpha_2} R^{\beta_2} \dots L^{\alpha_n} R^{\beta_n} L^{\alpha_1} R \\ &= \dots \end{aligned}$$

Then the *LR number* of w , denoted by $lr(w)$, is defined to be n .

We consider an oriented closed curve with finitely many transversal double points without any other singularities in the two-dimensional sphere S^2 . In this paper, by an *oriented spherical closed curve*, we mean such a curve. We consider it up to orientation preserving auto-homeomorphisms on S^2 . A transversal double point is called a *crossing point*.

We take a base point except for the crossing points on an oriented spherical closed curve P and trace P along the orientation direction. When we pass a crossing point, the crossing is read to be *left* (resp. *right*) if the curve that one crosses travel from left to right (resp. from right to left). Then we record the symbol L (resp. R) if the crossing is left (resp. right) at each crossing point (see Fig. 1). We continue this recording until we return to the base point.

Note that we pass each crossing point twice, and once it is read L and once R . Therefore we obtain an LR word from the crossing information on P by reading a sequence of left- and right- crossing along the orientation direction of P . It is called an *LR word* for P and denoted by w_P . We define the *LR number* of P , denoted by $lr(P)$, to be the LR number of w_P . Namely $lr(P) = lr(w_P)$. See for example Fig. 2.

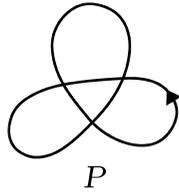


FIGURE 2. $w_P = LRLRLR, lr(P) = 3$.



FIGURE 3

We define the LR word for a simple closed curve in S^2 to be the empty word ε and $lr(\varepsilon)$ to be zero.

For any P , we obtain an LR word for P . Conversely, given an LR word w , we can construct a spherical closed curve whose LR word coincides with w (Proposition 2.2). Therefore, for any positive integer n , there exists an oriented spherical closed curve whose LR number is n .

A spherical closed curve P is said to be *prime* if for any simple closed curve C in S^2 which intersects P transversally in two points, exactly one of subcurves of P cut by C is a simple arc. A spherical closed curve P is said to be *reduced* if P does not have subcurves illustrated in Fig. 3.

The main results of this paper are Theorems 2.4, 3.2, 3.5 and 3.8. Theorem 2.4 is proved in Section 2. In Section 3, by Theorem 2.4, we describe all prime oriented spherical closed curves whose LR numbers are less than or equal to three (Theorems 3.2, 3.5 and 3.8). Then we describe all non-prime oriented spherical closed curves whose LR numbers are less than or equal to three (Theorem 3.2, Corollaries 3.10 and 3.11).

2. A concentric circular curve

Let w be an LR word. Assume that $w = u_1LRu_2$ or $w = u_1RLu_2$, where u_1 and u_2 are LR subsequences. Then we say that an LR word $w' = u_1u_2$ is obtained from w by a *contraction* (cf. [1]).

LEMMA 2.1. *Let w be an LR word, and w' an LR word obtained from w by a contraction. If there exists an oriented spherical closed curve P' with $w_{P'} = w'$, then there exists an oriented spherical closed curve P with $w_P = w$.*

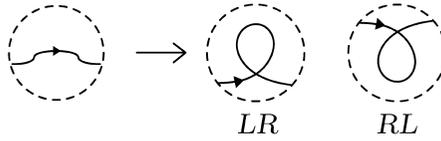


FIGURE 4

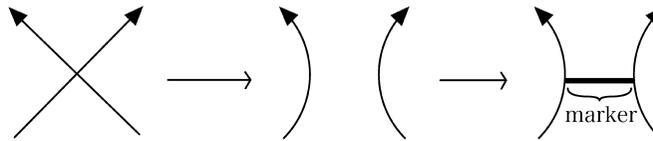


FIGURE 5. A smoothing, then giving a marker to a crossing point.

PROOF. Following the similar lines as [1], we make a local change illustrated in Fig. 4 to the arc of P' corresponding to the LR subsequence LR or RL contracted. Then we obtain a new oriented spherical closed curve P with $w_P = w$. □

PROPOSITION 2.2. *For any LR word w , there exists an oriented spherical closed curve P such that $w_P = w$.*

PROOF. For any LR word w , there exists an LR subsequence LR or RL in w . By the induction on the length of w , we obtain an empty word ε from w by a finite sequence of contractions. Since ε corresponds to a simple closed curve in S^2 , we obtain an oriented spherical closed curve whose LR word coincides with w inductively by Lemma 2.1. □

Let P be an oriented spherical closed curve. In a small neighborhood of each crossing point of P , we make the following local change to P : delete the crossing point and connect the ends in the only way compatible with orientation as in Fig. 5. We call this local change a *smoothing*.

When the smoothing has been done at all crossing points, P becomes a set of disjoint simple closed curves in S^2 . We call these closed curves *Seifert circles*. Applying smoothings to all crossing points of P and giving line segments called *markers* on the sites of crossing points, we obtain a figure called a *Seifert diagram* for P , which is denoted by $S_d(P)$. The Seifert diagram for P is constructed by the Seifert circles of P and the markers corresponding to the crossing points of P .

In the same way as Section 1, we will obtain the LR word for $S_d(P)$. First we determine how to circulate $S_d(P)$ in the following: tracing a Seifert circle γ of $S_d(P)$, if we encounter a marker on the left- (resp. right-) hand side for the direction of travelling, then we leave γ , move along the marker and go into a Seifert circle on the left- (resp. right-) hand side of γ .

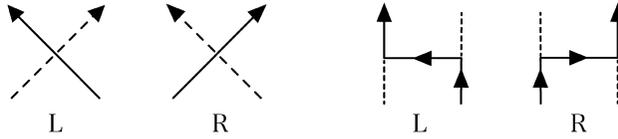


FIGURE 6. A left (resp. right) crossing point corresponds to the left (resp. right) marker.

along the marker. A marker is said to be *left* (resp. *right*) for γ if we pass the marker and take to a Seifert circle on left- (resp. right-) hand side of γ . A left marker is labeled *L* and a right marker *R* (see Fig. 6).

Then, circulating $S_d(P)$, we obtain the LR word from marker information of $S_d(P)$. Notice that since we can restore $S_d(P)$ to P , the LR word for $S_d(P)$ coincides with w_P . Therefore we may treat $S_d(P)$ instead of P in some cases.

Let $\mathcal{S}(P)$ be the set of Seifert circles of P in S^2 . Define

$$I_+(\mathcal{S}(P)) := \{\gamma \in \mathcal{S}(P) \mid \gamma \text{ is a clockwise innermost circle in } \mathcal{S}(P)\},$$

$$I_-(\mathcal{S}(P)) := \{\gamma \in \mathcal{S}(P) \mid \gamma \text{ is a counterclockwise innermost circle in } \mathcal{S}(P)\},$$

$$I(\mathcal{S}(P)) := \{\gamma \in \mathcal{S}(P) \mid \gamma \text{ is an innermost circle in } \mathcal{S}(P)\}.$$

Then we have $I(\mathcal{S}(P)) = I_+(\mathcal{S}(P)) \sqcup I_-(\mathcal{S}(P))$.

We say that P is a *concentric circular curve* if $\sharp I_+(\mathcal{S}(P)) = \sharp I_-(\mathcal{S}(P)) = 1$.

Let $c(\gamma)$ be the number of markers which the innermost circle γ touches. We define

$$i_{c_+}(P) := \sum_{\gamma \in I_+(\mathcal{S}(P))} c(\gamma), \quad i_{c_-}(P) := \sum_{\gamma \in I_-(\mathcal{S}(P))} c(\gamma).$$

LEMMA 2.3. *Let P be an oriented spherical closed curve. Then*

- (1) $lr(P) \geq i_{c_+}(P)$,
- (2) $lr(P) \geq i_{c_-}(P)$ and
- (3) $lr(P) \geq \max \{i_{c_+}(P), i_{c_-}(P)\}$.

PROOF. (1) Let $S_d(P)$ be the Seifert diagram for P , and m_1, m_2, \dots, m_n the markers which a clockwise innermost circle γ touches in $S_d(P)$ (see Fig. 7). Pass through m_i , trace the arc of γ and pass again through m_{i+1} (m_1 when $i = n$), then we have an LR subsequence RL obtained from the marker information.

Hence, if a clockwise innermost circle touches n markers, then the LR word for $S_d(P)$ contains at least n LR subsequences RL . Note that no two clockwise innermost circles touch a common marker. Therefore $lr(P) \geq i_{c_+}(P)$.

By a similar argument, we have (2). Then (3) follows immediately. □

THEOREM 2.4. *Let P be a reduced oriented closed spherical curve. If the LR number of w_P is less than or equal to three, then P is a concentric circular curve.*

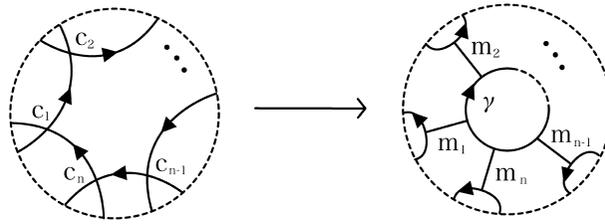


FIGURE 7. To each crossing point c_i ($1 \leq i \leq n$), we apply smoothing and giving a maker.

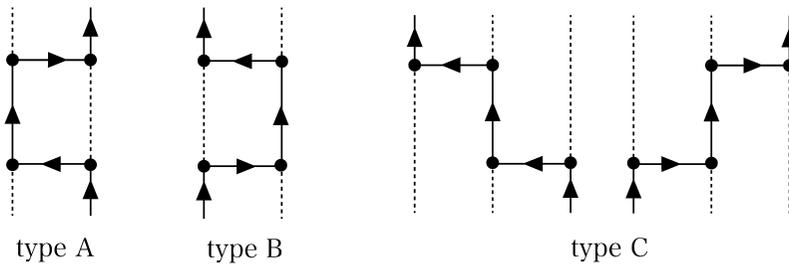


FIGURE 8

PROOF. If P is not a concentric circular curve, then $\sharp I(S(P)) \geq 3$. Namely, $\sharp I_+(S(P)) \geq 2$ or $\sharp I_-(S(P)) \geq 2$. On the other hand, since P is reduced, we have $c(\gamma) \geq 2$ for all $\gamma \in I(S(P))$. Therefore $i_{c_+}(P) \geq 4$ or $i_{c_-}(P) \geq 4$. Thus $lr(P) \geq 4$ by Lemma 2.3. \square

3. Spherical closed curves with LR number 1, 2 or 3

In this section we determine prime oriented spherical closed curves whose LR numbers are less than or equal to three. We need some lemmas in order to construct such curves.

Let P be a concentric circular curve in S^2 , and $S_d(P)$ the Seifert diagram for P . There are four patterns of the parts of the circulation of $S_d(P)$ as illustrated in Fig. 8. They are between two or three adjacent Seifert circles of $S_d(P)$.

A pattern on the left-hand side of Fig. 8 is called *type A*, one on the second from the left *type B*, and the others *type C*. We obtain an LR subsequence LR or RL from marker information of type A or B, and LL or RR from that of type C. Then the LR number of $S_d(P)$ is n if and only if $S_d(P)$ has exactly n type A patterns, and then $S_d(P)$ has exactly n type B patterns. Therefore we may count the number of patterns of type A or B in $S_d(P)$ to calculate the LR number of P .

Suppose that $S_d(P)$ has k Seifert circles $\gamma_1, \dots, \gamma_k$ where $k \geq 2$. Let γ_1 be the counter-

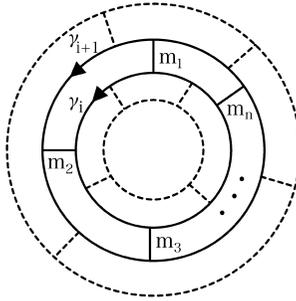


FIGURE 9. In the neighborhood of γ_i and γ_{i+1} .

clockwise innermost circle, and γ_k the clockwise innermost circle. Assume that γ_i and γ_{i+1} are adjacent ($1 \leq i \leq k - 1$). Such Seifert circles are called the *Seifert circles of $S_d(P)$ arranged in order*.

LEMMA 3.1. *Let P be a concentric circular curve, and $S_d(P)$ the Seifert diagram for P . Let $\gamma_1, \dots, \gamma_k$ be the Seifert circles of $S_d(P)$ arranged in order where $k \geq 2$. If there exists γ_i ($1 \leq i \leq k - 1$) such that the number of the markers between γ_i and γ_{i+1} is n , then the LR number of P is greater than or equal to n .*

PROOF. Let m_1, \dots, m_n be n markers between γ_i and γ_{i+1} (see Fig. 9).

Assume that we leave γ_i , pass m_1 and take to γ_{i+1} when circulating the $S_d(P)$. Since m_1 is a right marker for γ_i , we obtain an LR subsequence R . In order to turn back to γ_i again, we need to pass m_h ($1 \leq h \leq n$) in direction of γ_i once. At this time, m_h is a left marker for γ_{i+1} . Then we obtain an LR subsequence φ of length l ($l \geq 2$), which contains at least one R and one L in this process. Therefore there exists j ($j < l$) such that $\varphi(j) = R$ and $\varphi(j + 1) = L$. This shows that we have a type B pattern in the area between γ_i and γ_k .

Applying a similar argument for each marker, we have at least n type B patterns in the area between γ_i and γ_k . Therefore $lr(P) \geq n$. □

THEOREM 3.2. *Let P be an oriented spherical closed curve. If the LR number of P is one, then P is one of the following curves illustrated in Fig. 10. In particular a spherical closed curve in the far left-hand side of Fig. 10 is prime and the others are not prime.*

PROOF. By Lemma 2.3, $i_{c_+}(P) = i_{c_-}(P) = 1$. Thus $\sharp I_+(S(P)) = \sharp I_-(S(P)) = 1$, that is, P is a concentric circular curve. Let $\gamma_1, \dots, \gamma_k$ be the Seifert circles of $S_d(P)$ arranged in order where $k \geq 2$. By Lemma 3.1, we see that for any γ_i ($1 \leq i \leq k - 1$), the number of markers between γ_i and γ_{i+1} is one. Therefore we obtain the following Seifert diagrams for P illustrated in Fig. 11. □

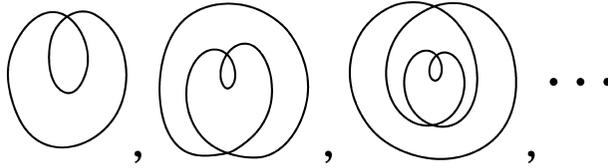


FIGURE 10

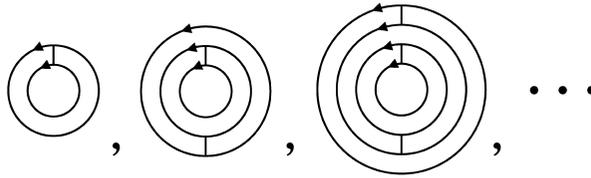


FIGURE 11

LEMMA 3.3. *Let P be a concentric circular curve, and $S_d(P)$ a Seifert diagram for P . Let $\gamma_1, \dots, \gamma_k$ be the Seifert circles of $S_d(P)$ arranged in order where $k \geq 3$. If there exists γ_i ($1 \leq i \leq k - 1$) such that the number of the markers between γ_i and γ_{i+1} is one, then P is not prime.*

PROOF. The marker between γ_i and γ_{i+1} represents a crossing point c in P such that $P \setminus \{c\}$ is disconnected. Therefore P is not prime. \square

Let $\gamma_1, \dots, \gamma_k$ be the Seifert circles of $S_d(P)$ arranged in order where $k \geq 3$. Let m_1^i, \dots, m_p^i be p markers between γ_i and γ_{i+1} , and $m_1^{i-1}, \dots, m_q^{i-1}$ q markers between γ_{i-1} and γ_i where $2 \leq i \leq k - 1$ and p and q are positive integers. We define the endpoints of the marker

$$e_h^i := m_h^i \cap \gamma_i \ (1 \leq h \leq p), \quad e_j^{i-1} := m_j^{i-1} \cap \gamma_i \ (1 \leq j \leq q).$$

Tracing γ_i , we obtain a cyclic sequence of endpoints. We denote it by $E(\gamma_i)$.

LEMMA 3.4. *Let P be a concentric circular curve, and $S_d(P)$ the Seifert diagram for P . Let $\gamma_1, \dots, \gamma_k$ be the Seifert circles of $S_d(P)$ arranged in order where $k \geq 3$. If there exists a Seifert circle γ_i ($2 \leq i \leq k - 1$) such that $E(\gamma_i)$ coincides $e_1^i e_2^i \dots e_p^i e_1^{i-1} e_2^{i-1} \dots e_q^{i-1}$ ($p, q \in \mathbf{N}$), then P is not prime.*

PROOF. There exists a simple closed curve α that intersects $S_d(P)$ transversally in two points as illustrated in Fig. 12. Therefore P restored from $S_d(P)$ is not prime. \square

Now we construct prime oriented spherical closed curves whose LR numbers are two.

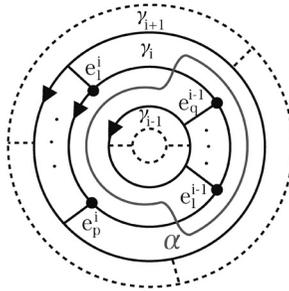


FIGURE 12

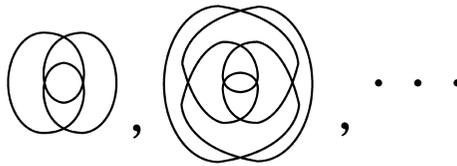


FIGURE 13

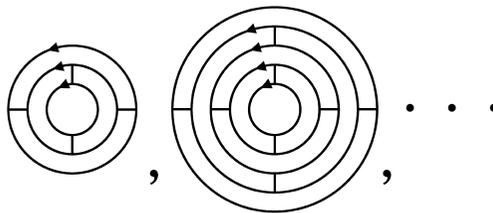


FIGURE 14

THEOREM 3.5. *Let P be a prime oriented spherical closed curve. If the LR number of P is two, then P is one of the following curves illustrated in Fig. 13.*

PROOF. It is clear that prime spherical closed curves with two or more crossing points are reduced. Thus P is a concentric circular curve by Theorem 2.4.

We deal with $S_d(P)$ instead of P . By Lemmas 3.1 and 3.3, any Seifert circles of $S_d(P)$ have just two markers. Furthermore, $S_d(P)$ does not contain the portions illustrated in Fig. 12 by Lemma 3.4. Therefore we obtain the following Seifert diagram for P illustrated in Fig. 14.

□

Lastly we construct prime oriented spherical closed curves whose LR numbers are three.

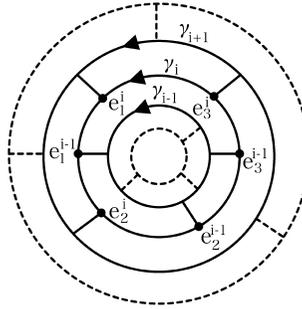


FIGURE 15. The case of $p = q = 3$ and $E(\gamma_i)$ contains a sequence $e_2^{i-1} e_3^{i-1}$.

LEMMA 3.6. *Let P be a concentric circular curve, $S_d(P)$ the Seifert diagram for P , and $\gamma_1, \dots, \gamma_k$ the Seifert circles of $S_d(P)$ arranged in order where $k \geq 3$. If there exists γ_i ($2 \leq i \leq k - 1$) such that $S_d(P)$ has p markers between γ_i and γ_{i+1} and q markers between γ_{i-1} and γ_i , and $E(\gamma_i)$ contains j subsequences $e_h^{i-1} e_{h+1}^{i-1}$ ($h, j \leq q - 1$), then the LR number of P is greater than or equal to $p + j$.*

PROOF. We will count the number of type B patterns in $S_d(P)$ to calculate the LR number of P .

Since the number of the markers between γ_i and γ_{i+1} is p , $S_d(P)$ has at least p type B patterns in the area between γ_i and γ_k . Then $lr(P) \geq p$. In addition, since $E(\gamma_i)$ contains j subsequences $e_h^{i-1} e_{h+1}^{i-1}$, $S_d(P)$ has j type B patterns in the area between γ_{i-1} and γ_i . Therefore $lr(P) \geq p + j$. \square

LEMMA 3.7. *Let P be a concentric circular curve, $S_d(P)$ the Seifert diagram for P , and $\gamma_1, \dots, \gamma_k$ the Seifert circles of $S_d(P)$ arranged in order where $k \geq 3$. If there exists γ_{i_1} and γ_{i_2} ($2 \leq i_2 < i_1 \leq k - 1$) such that $S_d(P)$ has p_1 markers between γ_{i_1} and γ_{i_1+1} , p_2 markers between γ_{i_2} and γ_{i_2+1} , and p_3 markers between γ_{i_2-1} and γ_{i_2} ($p_1 > p_2$, $p_3 > p_2$), then the LR number of P is greater than or equal to $p_1 - p_2 + p_3$.*

PROOF. We will count the number of type B patterns in $S_d(P)$ to calculate the LR number of P . Since the number of the markers between γ_{i_1} and γ_{i_1+1} is p_1 , $S_d(P)$ has at least p_1 type B patterns in the area between γ_{i_1} and γ_k . Then $lr(P) \geq p_1$. In addition, $S_d(P)$ has $p_3 - p_2$ type B patterns in the area between γ_{i_2-1} and γ_{i_2} . Therefore $lr(P) \geq p_1 - p_2 + p_3$. \square

THEOREM 3.8. *Let P be a prime oriented spherical closed curve. If the LR number of P is three, then P is obtained from $T(3, n)$ by replacing one of the areas A, B and C with $S(2, l)$, and by replacing the area D with $S(2, m)$ as in Fig. 16 where $n \geq 2$ and $l, m \geq 0$.*

PROOF. Since P has more than two crossing points, P is reduced. Thus, by Theorem 2.4, P is a concentric circular curve.

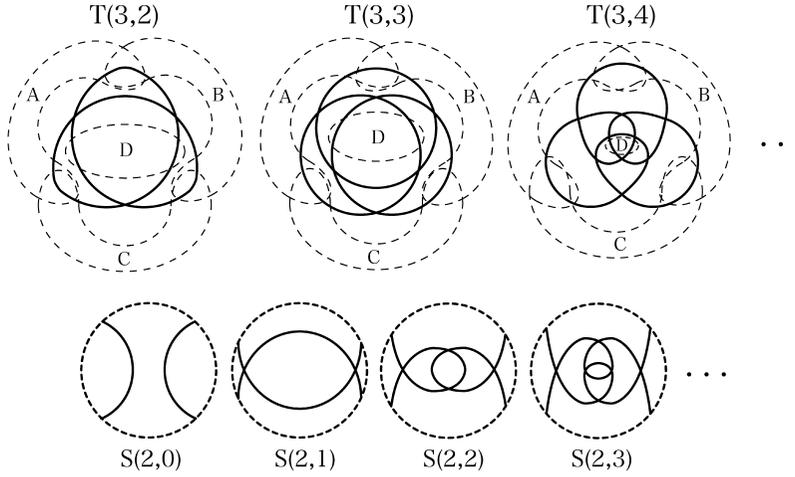


FIGURE 16

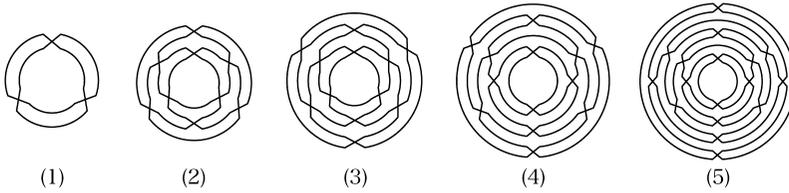


FIGURE 17

We deal with $S_d(P)$ instead of P . By Lemmas 3.1 and 3.3, any Seifert circles of $S_d(P)$ have two or three markers. In addition, by Lemma 3.4, $S_d(P)$ does not contain the portions illustrated in Fig. 12. Furthermore, $S_d(P)$ does not satisfy the conditions of Lemmas 3.6 and 3.7. By these facts, we have the desired conclusion. \square

Some examples of prime oriented spherical closed curves with LR number three are illustrated in Fig. 17. The curve (1) is $T(3, 2)$, (2) is $T(3, 4)$, (3) is $T(3, 5)$, (4) is obtained from $T(3, 4)$ by replacing D with $S(2, 2)$, and (5) is obtained from $T(3, 4)$ by replacing both C and D with $S(2, 2)$.

Let P_1 and P_2 be spherical closed curves. Suppose that P_1 and P_2 are disjoint. We make the following local change to P_1 and P_2 . Find a disk $D \subset S^2$ such that $D \cap P_i = \partial D \cap P_i$ is a simple arc for $i = 1, 2$.

Let $P = (P_1 \cup P_2 \cup \partial D) \setminus \text{int}(\partial D \cap (P_1 \cup P_2))$. Then P is said to be a *composition* of P_1 and P_2 .

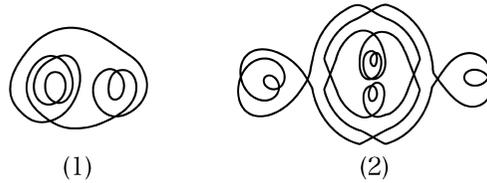


FIGURE 18. Examples of cases (1) and (2) of Corollary 3.10.

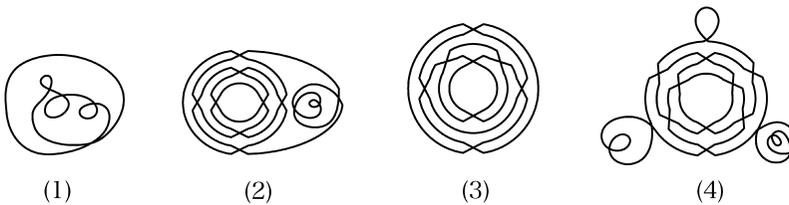


FIGURE 19. Examples of cases (1), (2), (3) and (4) of Corollary 3.11.

LEMMA 3.9. *Let P_1 and P_2 be oriented spherical closed curves. If the LR number of P_1 is n_1 and that of P_2 is n_2 , then the LR number of a composition of P_1 and P_2 is $n_1 + n_2 + 1$, $n_1 + n_2$ or $n_1 + n_2 - 1$.*

PROOF. Let w_{P_1} be the LR word for P_1 , and w_{P_2} the LR word for P_2 . The proof immediately follows by considering to put w_{P_2} between the letters of w_{P_1} . \square

By Theorems 3.5 and 3.8, and Lemma 3.9, we describe how to construct non-prime oriented spherical closed curves whose LR numbers are two or three.

COROLLARY 3.10. *Let P be a non-prime oriented spherical closed curve whose LR number is two. Then P is one of the following curves.*

- (1) *The curve P is a composition of two oriented spherical closed curves whose LR numbers are one.*
- (2) *The curve P is a composition of a prime oriented spherical closed curve whose LR number is two and at most four oriented spherical closed curves whose LR numbers are one.*

COROLLARY 3.11. *Let P be a non-prime oriented spherical closed curve whose LR number is three. Then P is one of the following curves.*

- (1) *The curve P is a composition of at most three oriented spherical closed curves whose LR numbers are one.*
- (2) *The curve P is a composition of an oriented spherical closed curve whose LR number is two and an oriented spherical closed curve whose LR number is one.*

(3) *The curve P is a composition of two oriented spherical closed curves whose LR numbers are two.*

(4) *The curve P is a composition of a prime oriented spherical closed curve whose LR number is three and at most six oriented spherical closed curves whose LR numbers are one.*

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